



CTEQ School on QCD Analysis and Electroweak Phenomenology

LECTURE 2

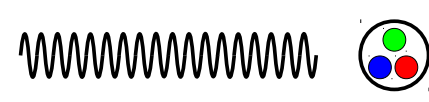
Introduction to the Parton Model and Perturbative QCD
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University of Pittsburgh, PA
18-28 July 2017

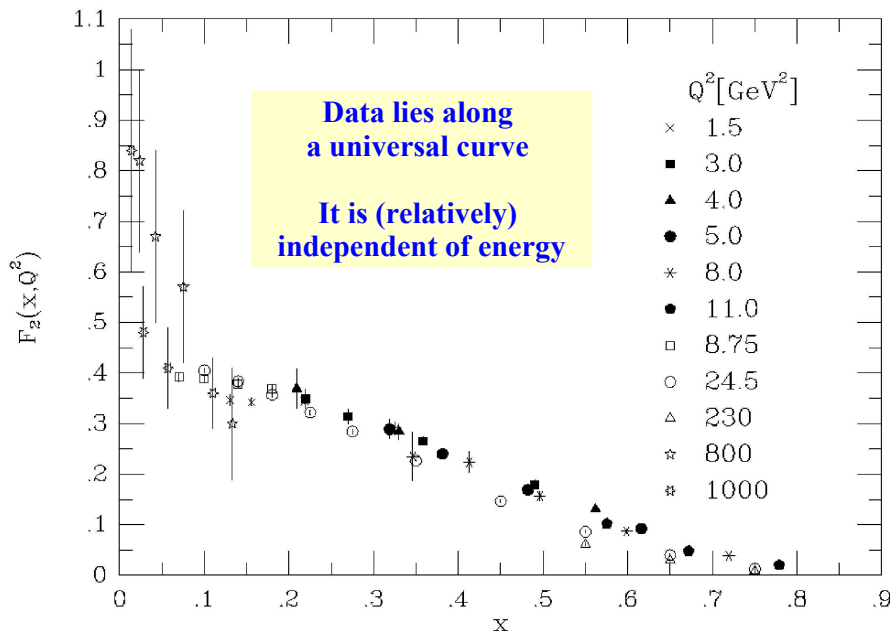
 $d\sigma \sim \frac{4\pi\alpha^2}{Q^2} \times 1$

 $d\sigma \sim \frac{4\pi\alpha^2}{Q^2} \times F\left(\frac{Q^2}{\Lambda^2}\right)$

Λ of order of the proton mass scale

 $d\sigma \sim \frac{4\pi\alpha^2}{Q^2} \times \sum_i e_i^2$

The Scaling of the Proton Structure Function



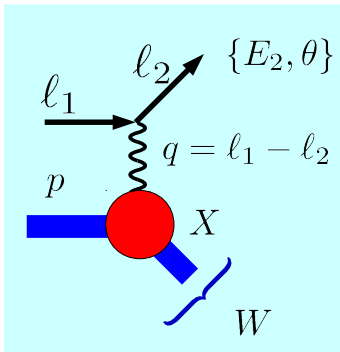
HOW TO CHARACTERIZE THE PROTON

Deeply Inelastic Scattering
(DIS)

Cf. lecture by
Simona Malace

Inclusive Deeply Inelastic Scattering (DIS)

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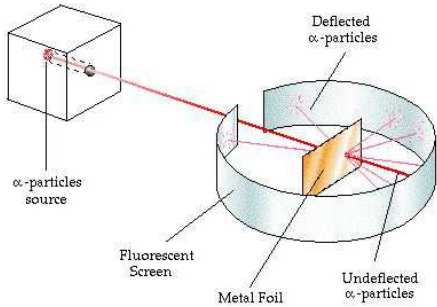
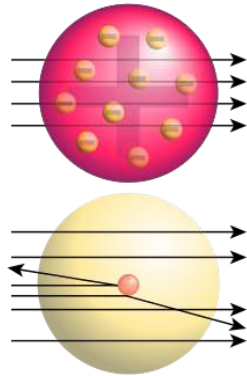


Measure $\{E_2, \theta\} \Leftrightarrow \{x, Q^2\}$ Inclusive

Deep: $Q^2 \geq 1 GeV^2$

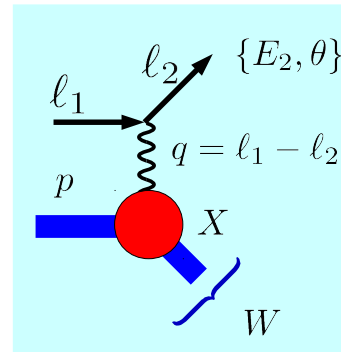
Inelastic: $W^2 \geq M_p^2$

Analogue of Rutherford scattering



Inclusive Deeply Inelastic Scattering (DIS)

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Measure $\{E_2, \theta\} \Leftrightarrow \{x, Q^2\}$

$Q^2 = -q^2 = 4E_1 E_2 \sin^2(\theta/2)$

$x = \frac{Q^2}{2p \cdot q} = \frac{2E_1 E_2 \sin^2(\theta/2)}{M(E_1 - E_2)}$

Other common DIS variables

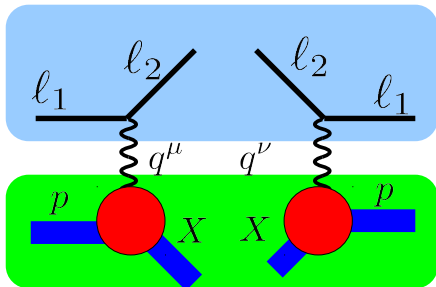
$\nu = \frac{p \cdot q}{p^2} = E_1 - E_2$

$y = \frac{\nu}{E_1} = \frac{Q^2}{2ME_2 x}$

$d\sigma \sim |A|^2$

Lepton Tensor (L) and Hadronic Tensor (W)

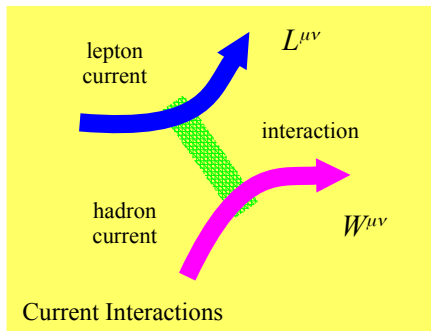
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$L^{\mu\nu}$ Leptonic Tensor

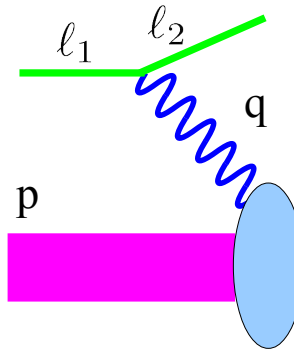
$W_{\mu\nu}$ Hadronic Tensor

$d\sigma \sim |A|^2 \sim L^{\mu\nu} W_{\mu\nu}$



W and F Structure Functions

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$d\sigma \sim |A|^2 \sim L^{\mu\nu} W_{\mu\nu}$

$L^{\mu\nu} = L^{\mu\nu}(l_1, l_2)$

$W^{\mu\nu} = W^{\mu\nu}(p, q)$

Details:
There are also $W_{4,5,6}$
but we neglect these

$W^{\mu\nu} = -g^{\mu\nu} W_1 + \frac{p^\mu p^\nu}{M^2} W_2 - \frac{i \epsilon^{\mu\nu\rho\sigma} p_\rho q_\sigma}{2M^2} W_3 + \dots$

Convert to "Scaling" Structure Functions

$W_1 \rightarrow F_1 \quad W_2 \rightarrow \frac{M}{\nu} F_2 \quad W_3 \rightarrow \frac{M}{\nu} F_3$

$\frac{d\sigma}{dx dy} = N [xy^2 F_1 + (1 - y - \frac{Mxy}{2E_2}) F_2 \pm y(1 - y/2) x F_3]$

$$\frac{d\sigma}{dx dy} = N \left[xy^2 F_1 + \left(1 - y - \frac{Mxy}{2E_2}\right) F_2 \pm y(1 - y/2) x F_3 \right]$$

Taking the limit $M \rightarrow 0$ for neutrino DIS

$$\frac{d\sigma^\nu}{dx dy} = N \left[(1 - y)^2 F_+ + 2(1 - y) F_0 + F_- \right]$$

For $\bar{\nu}$, $F_+ \Leftrightarrow F_-$

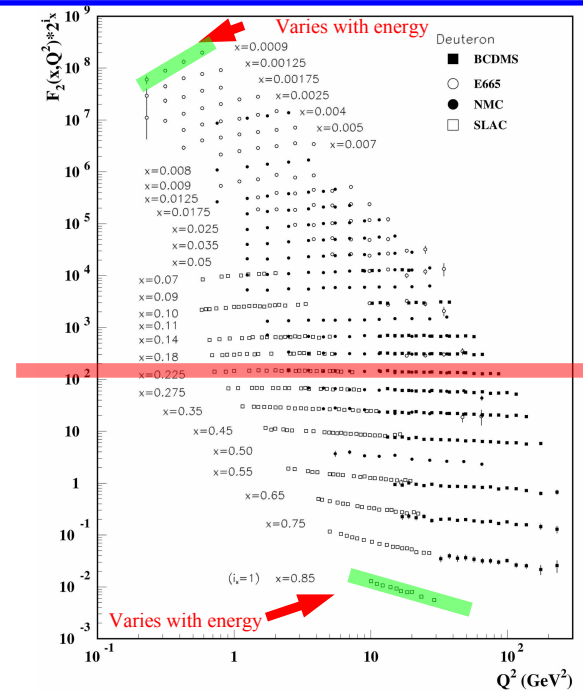
$$\begin{aligned} F_1 &= \frac{1}{2}(F_- + F_+) & F_+ &= F_1 - \frac{1}{2}F_3 \\ F_2 &= x(F_- + F_+ + 2F_0) & F_- &= F_1 + \frac{1}{2}F_3 \\ F_3 &= (F_- - F_+) & F_0 &= \frac{1}{2x}F_2 - F_1 \end{aligned}$$

A Review of Target Mass Corrections.
Ingo Schienbein et al.
J.Phys.G35:053101,2008.

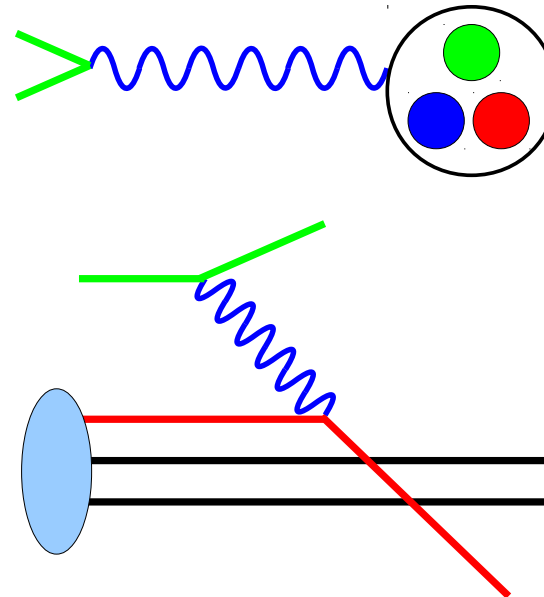
I have not yet mentioned the parton model!!!

Data is (relatively) independent of energy

Scaling Violations observed at extreme x values

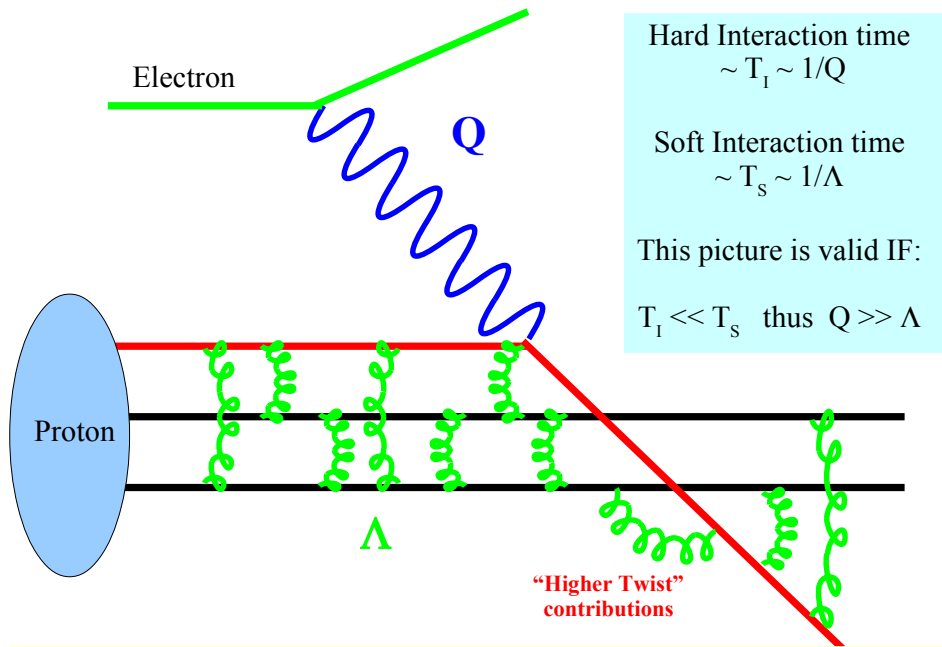


Parton Model

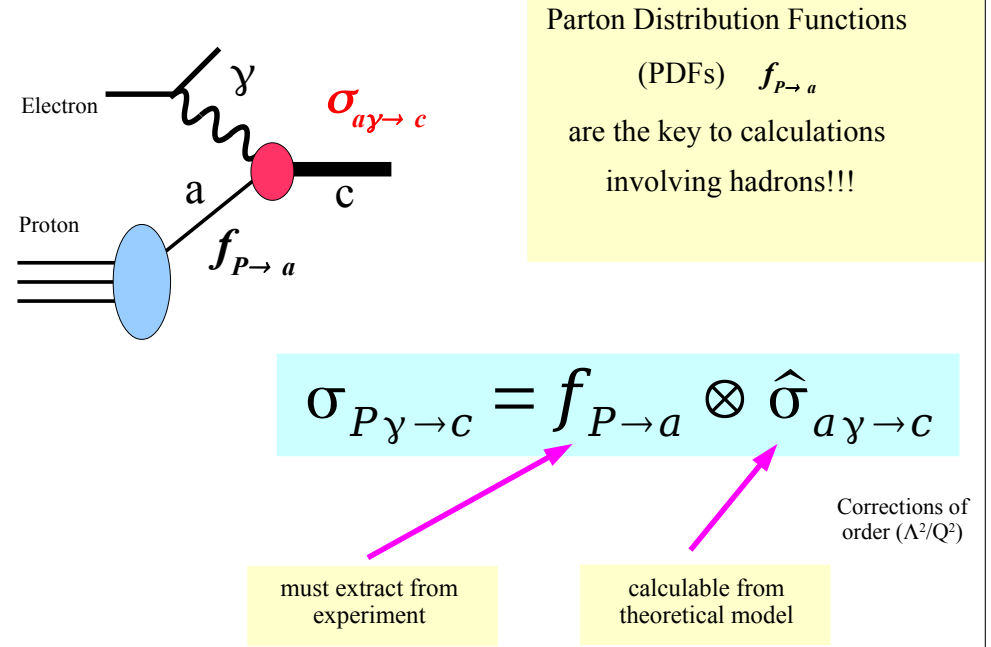


$$f(x, Q) = u(x, Q) + d(x, Q) = 2 \delta(x - \frac{1}{3}) + 1 \delta(x - \frac{1}{3})$$

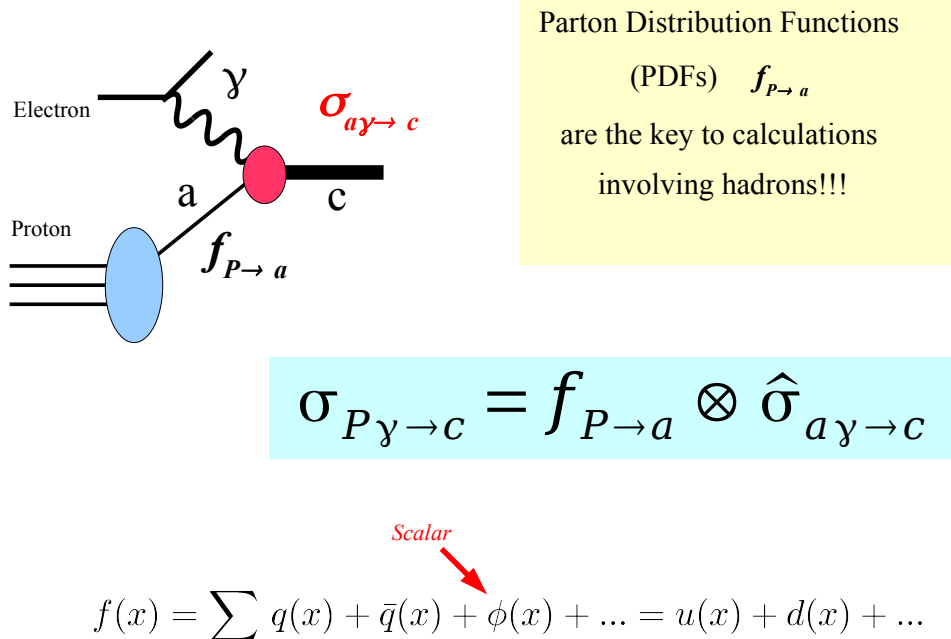
Fred's PDFs



Corrections to this picture (non-factorizable/ higher twist) terms are suppressed by powers of Λ/Q



Cross section is product of independent probabilities!!! (Homework Assignment)



Part 1) Show these 3 definitions are equivalent; work out the limits of integration.

$$f \otimes g = \int_0^1 \int_0^1 f(x) g(y) \delta(z - x * y) dx dy$$

$$f \otimes g = \int f(x) g\left(\frac{z}{x}\right) \frac{dx}{x}$$

$$f \otimes g = \int f\left(\frac{z}{y}\right) g(y) \frac{dy}{y}$$

Part 2) Show convolutions are the “natural” way to multiply probabilities.

If f represents the heads/tails probability distribution for a single coin flip, show that the distribution of 2 coins is $f \oplus f$ and 3 coins is: $f \oplus f \oplus f$.

$$f \oplus g = \int f(x) g(y) \delta(z - (x + y)) dx dy$$

$$f(x) = \frac{1}{2} (\delta(1 - x) + \delta(1 + x))$$

Careful: convolutions involve + and *

BONUS: How many processes can you think of that don't factorize?

$$\frac{d\sigma^\nu}{dx dy} = N [(1-y)^2 F_+ + 2(1-y)F_0 + F_-]$$

Compute with Hadronic Tensor

$$\frac{d\sigma^\nu}{dx dy} = N [(1-y)^2(2\bar{q}) + 2(1-y)(\phi) + (2q)]$$

Compute in Parton Model

Scalar

$$\begin{aligned} F_+ &= 2\bar{q} & F_+ &= F_1 - \frac{1}{2}F_3 \\ F_- &= 2q & F_- &= F_1 + \frac{1}{2}F_3 \\ F_0 &= \phi & F_0 &= \frac{1}{2x}F_2 - F_1 \end{aligned}$$

Scalar

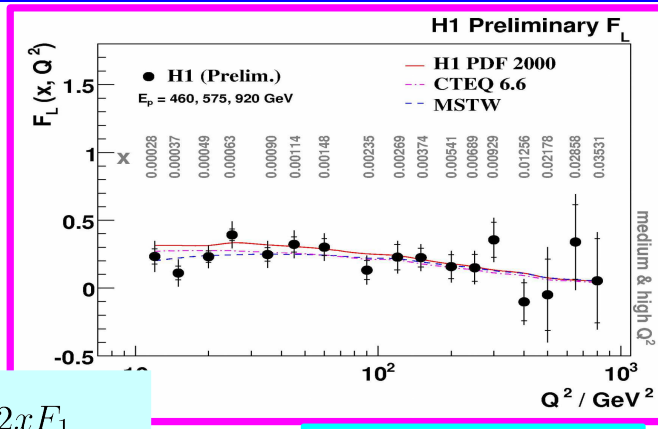
$$\begin{aligned} F_L &= 0 = F_0 \\ F_2 &= 2xF_1 \end{aligned}$$

Callan-Gross Relation

$$F_L = 2xF_0$$

F_L

Why is F_L special ???



$$\begin{aligned} F_L &= 2xF_0 = F_2 - 2xF_1 \\ F_L &= 0 \implies F_2 = 2xF_1 \end{aligned}$$

Callan-Gross

H1 Collaboration and ZEUS Collaboration (S. Glazov for the collaboration). Nucl.Phys.Proc.Suppl.191:16-24,2009.

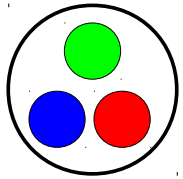
$$F_L \sim \frac{m^2}{Q^2} q(x) + \alpha_S \{c_g \otimes g(x) + c_q \otimes q(x)\}$$

Masses are important

Higher orders are important

TOY PDFs

$$f(x, Q) = u(x, Q) + d(x, Q) = 2 \delta(x - \frac{1}{3}) + 1 \delta(x - \frac{1}{3})$$



$$u(x, Q) = 2 \delta(x - \frac{1}{3})$$

$$d(x, Q) = 1 \delta(x - \frac{1}{3})$$

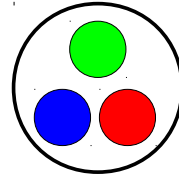
Perfect Scaling PDFs
Q independent

Quark Number Sum Rule

$$\langle q \rangle = \int_0^1 dx q(x) \quad \langle u \rangle = 2 \quad \langle d \rangle = 1 \quad \langle s \rangle = 0$$

Quark Momentum Sum Rule

$$\langle x q \rangle = \int_0^1 dx x q(x) \quad \langle x u \rangle = \frac{2}{3} \quad \langle x d \rangle = \frac{1}{3}$$



$$F_+ = 2\bar{q}$$

$$F_- = 2q$$

$$F_L = \phi$$

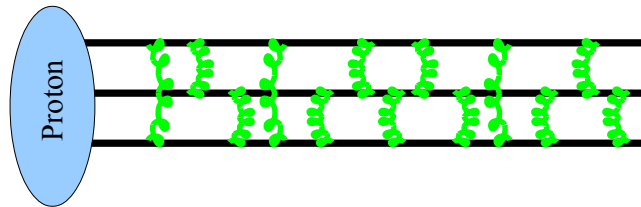
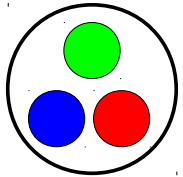
$$q + \bar{q} = \frac{F_+ + F_-}{2}$$

Momentum Sum Rule

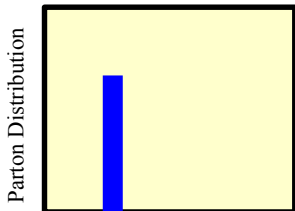
$$\sum_i \langle x q_i \rangle = \int_0^1 dx \sum x [q_i(x) + \bar{q}_i(x)] = 50\% \neq 100\%$$

Substitute F

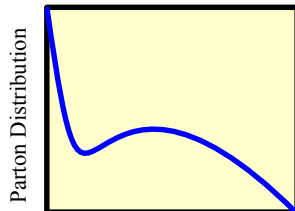
SOLUTION:
Gluons carry half the momentum, but don't couple to the photons



Gluons allow partons to exchange momentum fraction

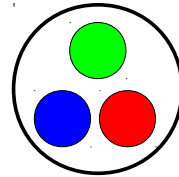


Momentum Fraction x



Momentum Fraction x

α_s is large at low Q , so it is easy to emit soft gluons

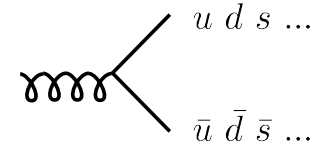


Reconsider the Quark Number Sum Rule

$$\langle u, d \rangle = \infty \quad \langle q \rangle = \int_0^1 dx q(x)$$

Quark Number Sum Rule:
More Precisely

$$q(x) \sim 1/x^{1.5}$$

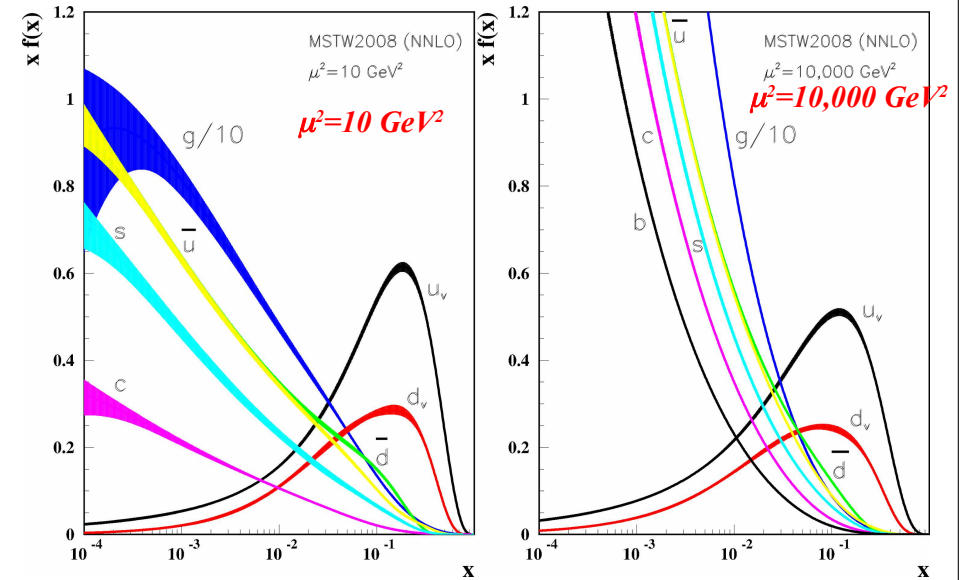


$$\langle u - \bar{u} \rangle = 2 \quad \langle d - \bar{d} \rangle = 1 \quad \langle s - \bar{s} \rangle = 0$$

SOLUTION: Infinite number of u quarks in proton, because they can be pair produced:
(We neglect saturation ...)

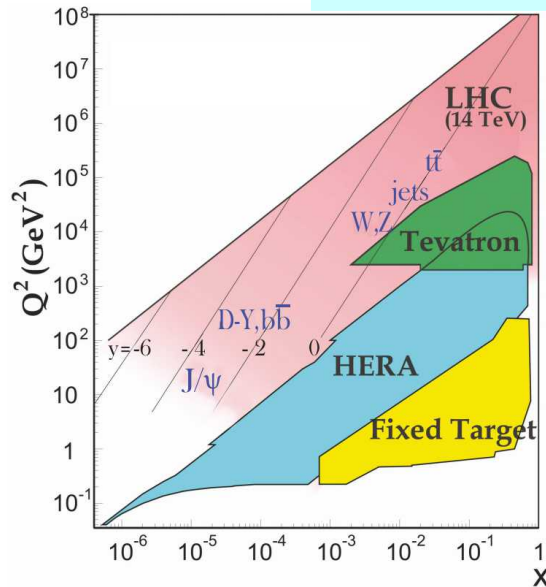
PDFs

cf., lectures by Pavel Nadolsky



Scaling violations are essential feature of PDFs

$$\sigma_{P\gamma \rightarrow c} = f_{P \rightarrow a} \otimes \sigma_{a\gamma \rightarrow c}$$



Calculable from theoretical model

Must extract from experiment

Note we can combine different experiments. **FACTORIZATION!!!**

HOMEWORK

Sum Rules & Structure Functions

$$\begin{aligned}
 F_2^{ep} &= \frac{4}{9}x [u + \bar{u} + c + \bar{c}] \\
 &+ \frac{1}{9}x [d + \bar{d} + s + \bar{s}] \\
 F_2^{en} &= \frac{4}{9}x [d + \bar{d} + c + \bar{c}] \\
 &+ \frac{1}{9}x [u + \bar{u} + s + \bar{s}] \\
 F_2^{\nu p} &= 2x [d + s + \bar{u} + \bar{c}] \\
 F_2^{\nu n} &= 2x [u + s + \bar{d} + \bar{c}] \\
 F_2^{\bar{\nu} p} &= 2x [u + c + \bar{d} + \bar{s}] \\
 F_2^{\bar{\nu} n} &= 2x [d + c + \bar{u} + \bar{s}] \\
 F_3^{\nu p} &= 2 [d + s - \bar{u} - \bar{c}] \\
 F_3^{\nu n} &= 2 [u + s - \bar{d} - \bar{c}] \\
 F_3^{\bar{\nu} p} &= 2 [u + c - \bar{d} - \bar{s}] \\
 F_3^{\bar{\nu} n} &= 2 [d + c - \bar{u} - \bar{s}]
 \end{aligned}$$

Verify:
i.e., Check for typos ...

We use these different observables to dis-entangle the flavor structure of the PDFs

See talks by Stephen Parke & Jonathan Paley (Neutrinos) & Pavel Nadolsky (PDFs)

In the limit $\theta_{Cabibbo} = 0$
 $m_c = 0$

Verify:
i.e., Check for typos ...

Adler (1966) $\int_0^1 \frac{dx}{2x} [F_2^{\nu n} - F_2^{\nu p}] = 1$

Bjorken (1967) $\int_0^1 \frac{dx}{2x} [F_2^{\bar{\nu} p} - F_2^{\nu p}] = 1$

Gross Llewellyn-Smith (1969) $\int_0^1 dx [F_3^{\nu p} + F_3^{\bar{\nu} p}] = 6$

Gottfried (1967) if $\bar{u} = \bar{d}$ $\int_0^1 dx [F_2^{ep} - F_2^{en}] = \frac{1}{3}$

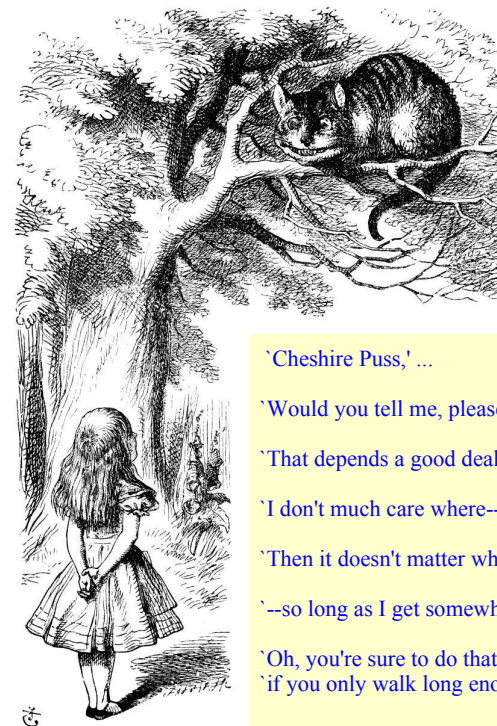
Homework (19??) $\frac{5}{18} F_2^{\nu N} - F_2^{eN} = ?$

Before the parton model was invented, these relations were observed. Can you understand them in the context of the parton model?

This one has been particularly important/controversial

Evolution

What does the proton look like???



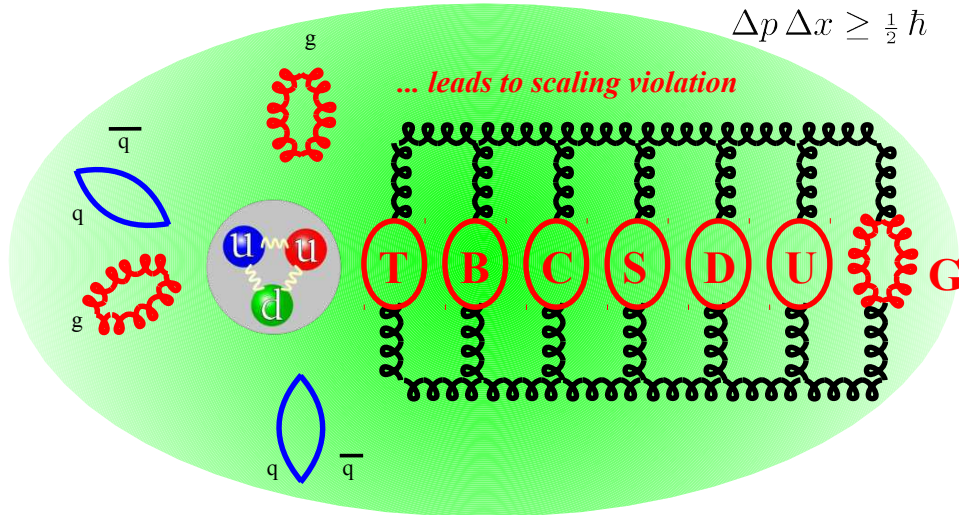
The answer is dependent upon the question

'Cheshire Puss,' ...
'Would you tell me, please, which way I ought to go from here?'
'That depends a good deal on where you want to get to,' said the Cat.
'I don't much care where--' said Alice.
'Then it doesn't matter which way you go,' said the Cat.
'--so long as I get somewhere,' Alice added as an explanation.
'Oh, you're sure to do that,' said the Cat, 'if you only walk long enough.'

Proton is a complex object

$$\Delta E \Delta t \geq \frac{1}{2} \hbar$$

$$\Delta p \Delta x \geq \frac{1}{2} \hbar$$



$$\Lambda_{QCD} \sim 200 \text{ MeV}$$

m_t	m_b	m_c	m_s	m_d	m_u	m_g
175	4.5	1.3	0.3	0.00?	0.00?	0

μ dependence must balance

$$\sigma(Q, x) = f(x, \mu) \otimes \hat{\sigma}(\mu, Q, \alpha_S)$$

How does f change with scale μ ???

$$\frac{df}{d \ln[\mu]} = ???$$

Homework: Mellin Transform

$$\tilde{f}(n) = \int_0^1 dx x^{n-1} f(x)$$

$$\sigma = f \otimes \omega$$

$$f(x) = \frac{1}{2\pi i} \int_C dn x^{-n} \tilde{f}(n)$$

$$\tilde{\sigma} = \tilde{f} \tilde{\omega}$$

C is parallel to the imaginary axis, and to the right of all singularities

1) Take the Mellin transform of $f(x) = \sum_{m=1}^{\infty} a_m x^m$, and verify the inverse transform of \tilde{f} regenerates $f(x)$

2) Take the Mellin transform of $\sigma = f \otimes \omega$ to demonstrate that the Mellin transform separates a convolution yields $\tilde{\sigma} = \tilde{f} \tilde{\omega}$.

A useful reference:
Courant, Richard and Hilbert, David. *Methods of Mathematical Physics, Vol. 1.* New York: Wiley, 1989. 561 p.

Renormalization Group Equation

Parton Model $\sigma = f \otimes \omega$

Renormalization Group Equation $\frac{d\sigma}{d\mu} = 0 = \frac{df}{d\mu} \tilde{\omega} + \tilde{f} \frac{d\tilde{\omega}}{d\mu}$

Separation of variables $\frac{1}{\tilde{f}} \frac{d\tilde{f}}{d \ln[\mu]} = -\gamma = -\frac{1}{\tilde{\omega}} \frac{d\tilde{\omega}}{d \ln[\mu]}$

DGLAP $\frac{d\tilde{f}}{d \ln[\mu]} = -\tilde{f} \gamma$

$\frac{df}{d \ln[\mu]} = P \otimes f$

$\tilde{f} \sim \mu^{-\gamma}$

Anomalous Dimension

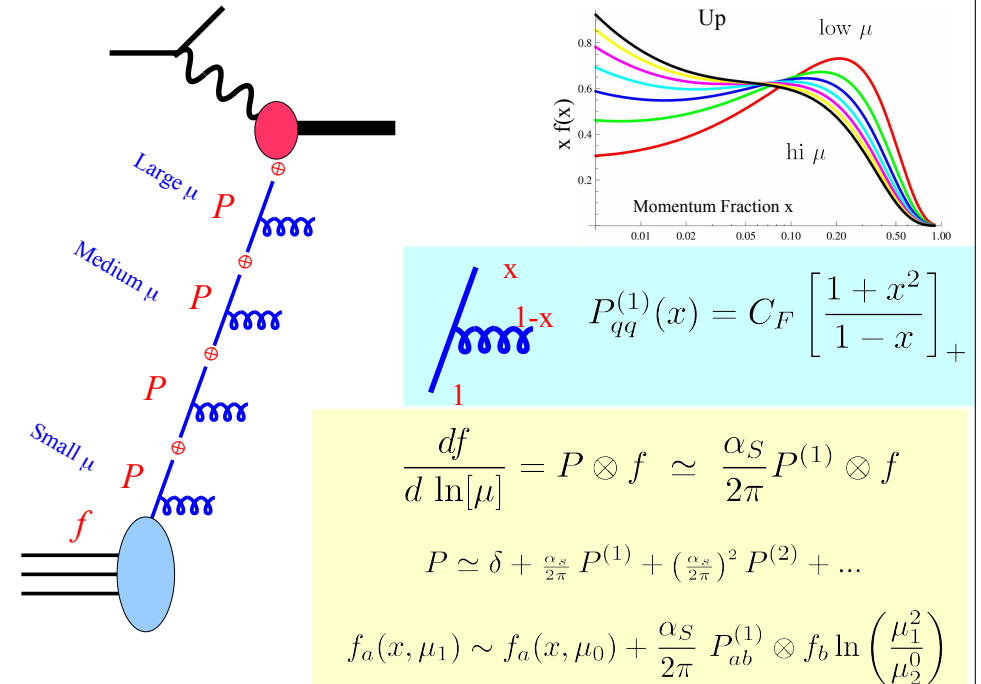
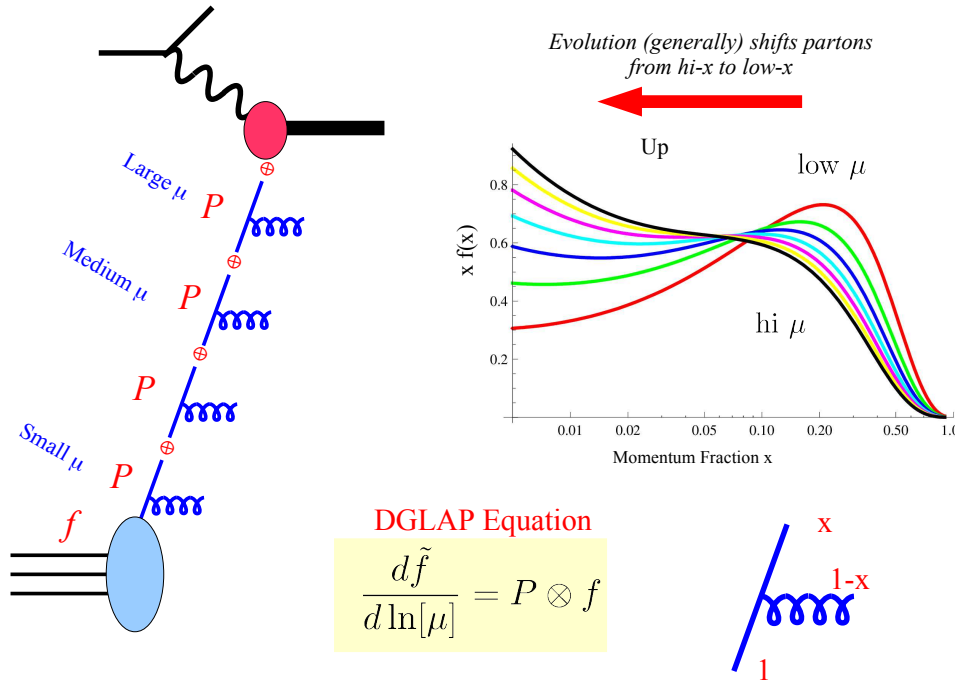
If "f" scaled, y would vanish

It is the dimension of the mass scaling

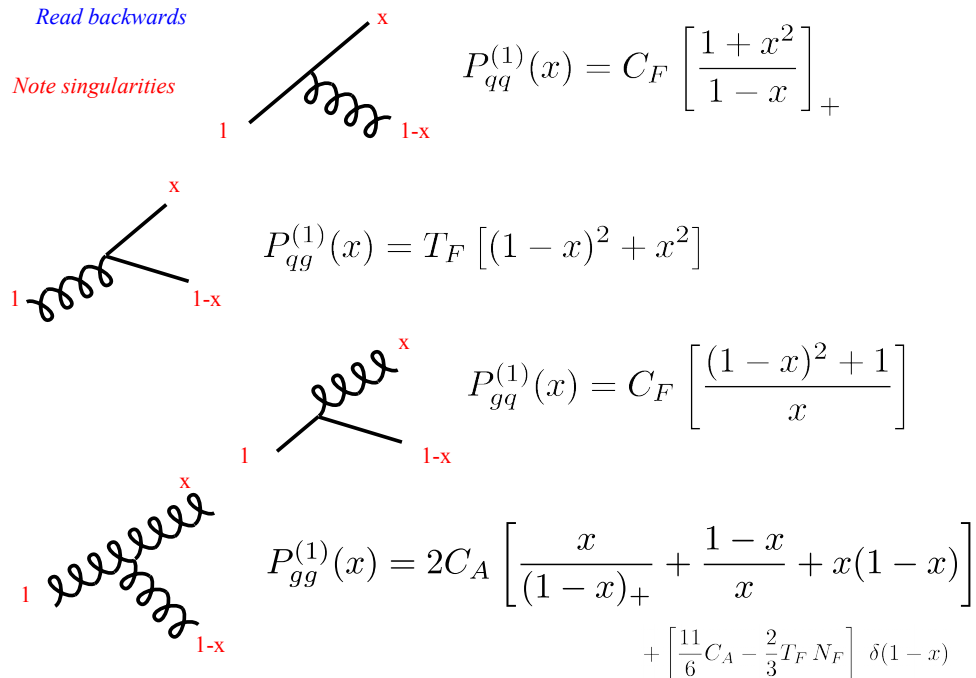
Take Mellin Transform

DGLAP Equation

ω OR $\hat{\sigma}$
Not physical!
Poor notation



The Splitting Functions:



Homework: Part 1 The Plus Function

Definition of the Plus prescription:

$$\int_0^1 dx \frac{f(x)}{(1-x)_+} = \int_0^1 dx \frac{f(x) - f(1)}{(1-x)}$$

1) Compute: $\int_a^1 dx \frac{f(x)}{(1-x)_+} = ???$

2) Verify:

$$P_{qq}^{(1)}(x) = C_F \left[\frac{1+x^2}{1-x} \right]_+ \equiv C_F \left[(1+x^2) \left[\frac{1}{1-x} \right]_+ + \frac{3}{2} \delta(1-x) \right]$$

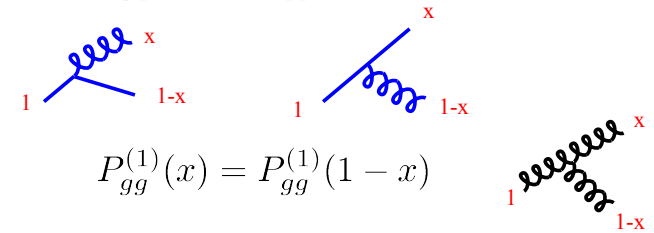
Observe

$$P_{gg}^{(1)}(x) = 2C_F \left[\frac{x}{(1-x)_+} + \frac{1-x}{x} + x(1-x) \right] + \left[\frac{11}{6}C_A - \frac{2}{3}T_F N_F \right] \delta(1-x)$$

Verify the following relation among the regular parts (from the real graphs)

For the regular part show:

$$P_{gq}^{(1)}(x) = P_{qq}^{(1)}(1-x)$$



For the regular part show:

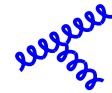
$$P_{gg}^{(1)}(x) = P_{gg}^{(1)}(1-x)$$

Verify, in the soft limit:

$$P_{qq}^{(1)}(x) \xrightarrow{x \rightarrow 1} 2C_F \frac{1}{(1-x)_+}$$



$$P_{gg}^{(1)}(x) \xrightarrow{x \rightarrow 1} 2C_F \frac{1}{(1-x)_+}$$



Verify conservation of momentum fraction

$$\int_0^1 dx x [P_{qq}(x) + P_{gq}(x)] = 0$$



$$\int_0^1 dx x [P_{qg}(x) + P_{gg}(x)] = 0$$



Use conservation of fermion number to compute the delta function term in P(q←q)

$$\int_0^1 dx [P_{qq}(x) - P_{q\bar{q}}(x)] = 0$$

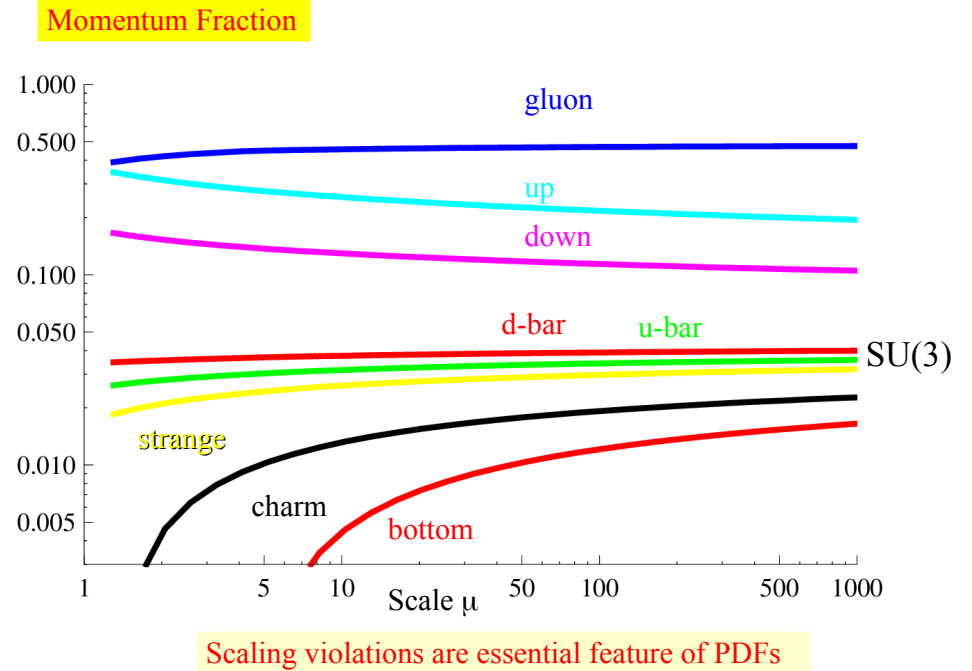
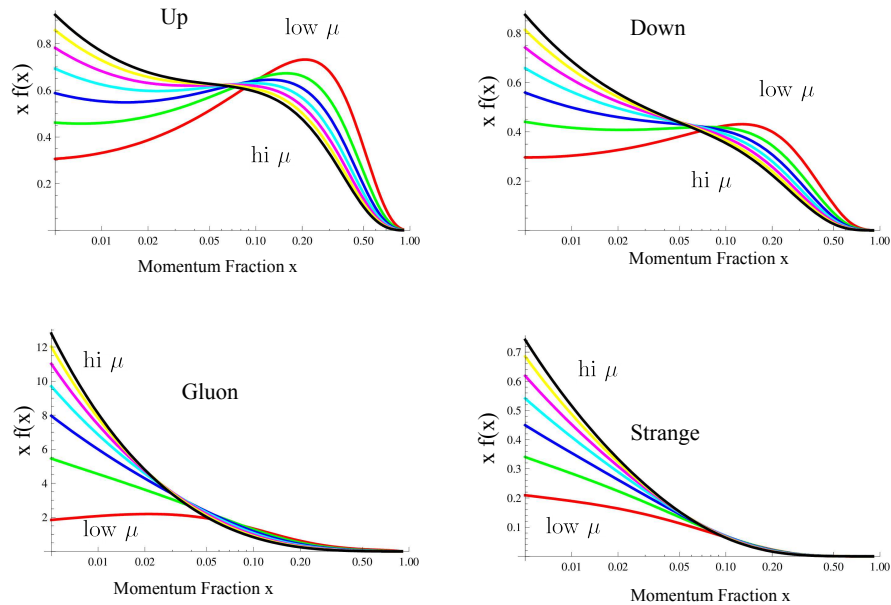


Verify conservation of fermion number

$$\int_0^1 dx [P_{qq}(x) - P_{q\bar{q}}(x)] = 0$$

$$P_{qq}^{(1)}(x) = C_F \left[\frac{1+x^2}{1-x} \right]_+ \equiv C_F \left[(1+x^2) \left[\frac{1}{1-x} \right]_+ + \frac{3}{2} \delta(1-x) \right]$$

Powerful tool: Since we know real and virtual must balance, we can use to our advantage!!!



Rutherford Scattering \Rightarrow Deeply Inelastic Scattering (DIS)
 Works for protons as well as nuclei
 Compute Lepton-Hadron Scattering 2 ways
 Use Leptonic/Hadronic Tensors to extract Structure Functions
 Use Parton Model; relate PDFs to F_{123}
 Parton Model Factorizes Problem:
 PDFs are independent of process
 Thus, we can combine different experiments. ESSENTIAL!!!
 PDFs are not truly scale invariant; they evolve
 We use evolution to “resum” an important set of graphs

Parton Distribution Functions (PDFs) $f_{P \rightarrow a}$ are the key to calculations involving hadrons!!!

$$\sigma_{P\gamma \rightarrow c} = f_{P \rightarrow a} \otimes \hat{\sigma}_{a\gamma \rightarrow c}$$

must extract from experiment calculable from theoretical model

Corrections of order (Λ^2/Q^2)

Cross section is product of independent probabilities!!! (Homework Assignment)

END OF LECTURE
2