#### Introduction to the Parton Model and Perturbative QCD

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- I. The Parton Model and Deep-inelastic Scattering
- II. From the Parton Model to QCD
- **III. Factorization and Evolution**

In an historic era ...

#### The Context of QCD: "Fundamental Interactions"

#### • Electromagnetic

- + Weak Interactions ⇒ Electroweak
- + Strong Interactions (QCD)  $\Rightarrow$  Standard Model
- +  $\ldots$  = Gravity and the rest?
- QCD: A theory "off to a good start". Think of ...

$$-ec{F}_{12} = -GM_1M_2\hat{r}/R^2 \Rightarrow$$
 elliptical orbits  $\dots$  3-body problem  $\dots$ 

 $-L_{\text{QCD}} = \bar{q} \not D q - (1/4) F^2 \Rightarrow \text{asymptotic freedom}$ ... confinement ... I. The Parton Model and Deep-inelastic Scattering

IA. Nucleons to Quarks

**IB. DIS: Structure Functions and Scaling** 

IC. Getting at the Quark Distributions

ID. Classic Parton Model Extensions: Fragmentation and Drell-Yan

Introduce concepts and results that predate QCD, led to QCD and were incorporated and explained by QCD.

IA. From Nucleons to Quarks

• The pattern: nucleons, pions and isospin:

$$egin{pmatrix} p\n \end{pmatrix}$$
  
-p: m=938.3 MeV,  $S=1/2,~I_3=1/2$   
-n: m=939.6 MeV,  $S=1/2,~I_3=-1/2$ 

$$egin{pmatrix} \pi^+ \ \pi^0 \ \pi^- \end{pmatrix}$$

 $-\pi^{\pm}$ : m=139.6 MeV, S=0,  $I_3=\pm 1$  $-\pi^0$ : m=135.0 MeV, S=0,  $I_3=0$ 

- Isospin space ...
- Globe with a "north star" set by electroweak interactions:



Analog: the rotation group (more specifically, SU(2)).

# • Explanation: $\pi$ , N common substructure: quarks

(Gell Mann, Zweig 1964)

• spin 
$$S = 1/2$$
,  
 $I = 1/2$   $(u, d)$  &  $I = 0$   $(s)$   
with approximately equal masses (s heavier);

$$egin{pmatrix} u \; (Q=2e/3, I_3=1/2) \ d \; (Q=-e/3, I_3=-1/2) \ s \; (Q=-e/3, I_3=0) \end{pmatrix}$$

$$\pi^+ = (uar{d}) \;, \ \ \pi^- = (ar{u}d) \;, \ \ \pi^0 = rac{1}{\sqrt{2}} (uar{u} + dar{d}) \;,$$

 $p=(uud)\;,\quad n=(udd)\;,\quad K^+=(uar s)\dots$ 

This is the quark model

- Quark model nucleon has symmetric spin/isospin wave function (return to this later)
- Early success:  $\mu_p/\mu_n = -3/2$  (from S = 1/2, I = 1/2 uud, ddu wave functions; good to %)
- And now, six: 3 'light' (u, d, s), 3 'heavy': (c, b, t)
- Of these all but t form bound states of quark model type.

• Quarks as Partons: "Seeing" Quarks.

No isolated fractional charges seen ("confinement.")

**Could such a particle be detected?** 

Look closer: do high energy electrons bounce off anything hard? (SLAC 1969 – 'Rutherford-prime')



"Point-like' constituents.

The angular distribution gives information on the constituents.

Kinematics  $(e + N(P) \rightarrow \ell + X)$ 



- $V = \gamma, Z_0 \Rightarrow \ell = e, \mu$ , "neutral current" (NC).
- $V = W^{-}(e^{-}, \nu_{e})$ ,  $V = W^{+}(e^{+}, \bar{\nu}_{e})$ , also  $(\mu, \nu_{\mu})$  reactions. "charged current" (CC).
- $W^2 \equiv (p+q)^2 \gg m_{\rm proton}^2$ : Deep-inelastic scattering (DIS)



 $Q^2 = -q^2 = -(k - k')^2$  momentum transfer.

$$x\equiv rac{Q^2}{2p\cdot q}$$
 momentum fraction (from  $p'^2=(xp+q)^2=0$ ).

 $y = \frac{p \cdot q}{p \cdot k}$  fractional energy transfer in p rest frame.

 $W^2 = (p+q)^2 = rac{Q^2}{x}(1-x)$  squared invariant mass of final-state hadrons.

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A useful identity:

$$xy=rac{Q^2}{S}$$

From CTEQ Summer School 1992:

Parton Interpretation (Feynman 1969, 72) Look in the electron's rest frame . . .



- Basic Parton Model Relation

$$\sigma_{
m eh}(p,q) \;=\; \sum\limits_{
m partons} \, a \int_0^1 d\xi \, \hat{\sigma}_{ea}^{
m el}(\xi p,q) \; \phi_{a/h}(\xi) \,,$$

-where:  $\sigma_{eh}(p,q)$  is the inclusive cross section  $e(k) + h(p) \rightarrow e(k' = k - q) + X(p + q)$ 

-and: 
$$\hat{\sigma}_{ea}^{\text{el}}(\xi p, q)$$
 is the elastic cross section  
 $e(k) + a(\xi p) \rightarrow e(k' - q) + a(\xi p + q)$  which sets  
 $(\xi p + q)^2 = 0 \rightarrow \xi = -q^2/2p \cdot q \equiv x.$ 

-and:  $\phi_{a/h}(\xi)$  is the distribution of parton a in hadron h, the "probability for a parton of type a to have momentum  $\xi p$ ". It is independent of the details of the hard scattering – the hallmark of factorization.

- in words: Hadronic INELASTIC cross section is the sum of convolutions of partonic ELASTIC cross sections with the hadron's parton distributions.
- The nontrivial assertion: quantum mechanical incoherence of large-q scattering and the partonic distributions.
   Multiply probabilities without adding amplitudes.
- Heuristic justification: the binding of the nucleon involves long-time processes that do not interfere with the short-distance scattering. Later we'll see how this works in QCD.

– The familiar picture



 – "QM incoherence" ⇔ no interactions between the outgoing scattered quark and the rest. • A contemporary set of parton distributions "at different scales": see "evolution" (CTEQ 2015: 1506.07443):



FIG. 4: The CT14 parton distribution functions at Q = 2 GeV and Q = 100 GeV for  $u, \overline{u}, d, \overline{d}, s = \overline{s}$ , and g.

• It's convenient to let the distributions change with q – we'll see where this comes from.

#### **IB. DIS: Structure Functions and Scaling**



$$egin{aligned} A_{e+N 
ightarrow e+X}(\lambda,\lambda',\sigma;q) &= ar{u}_{\lambda'}(k')(-ie\gamma_{\mu})u_{\lambda}(k) \ & imesrac{-ig^{\mu\mu'}}{q^2} \ & imesrac{-ig^{\mu\mu'}}{q^2} \ & imes\langle X|\,eJ^{ ext{EM}}_{\mu'}(0)\,|p,\sigma
angle \end{aligned}$$

• Historically an assuption that the photon couples to hadrons by point-like current operator. Now, built into the Standard Model.

• The cross section:

$$egin{aligned} d\sigma_{ ext{DIS}} &= rac{1}{2^2} rac{1}{2s} rac{d^3 k'}{(2\pi)^3 2 \omega_{k'}} & \sum \sum {X \lambda, \lambda', \sigma} \ & imes (2\pi)^4 \, \delta^4(p_X + k' - p - k) \end{aligned}$$

In  $|A|^2$ , separate the known leptonic part from the "unknown" hadronic part:  $\Sigma |A|^2 \delta^4(\cdots) \equiv L^{\mu\nu} W_{\mu\nu}$ .

• The leptonic tensor:

$$egin{aligned} L^{\mu
u} &= rac{e^2}{8\pi^2} \sum\limits_{\lambda,\lambda'} (ar{u}_{\lambda'}(k')\gamma^\mu u_\lambda(k))^st \, (ar{u}_{\lambda'}(k')\gamma^
u u_\lambda(k)) \ &= rac{e^2}{2\pi^2} \left( k^\mu k'^{\,
u} + k'^{\,\mu} k^
u - g^{\mu
u} k \cdot k' 
ight) \end{aligned}$$

• Leaves us with the hadronic tensor:

$$W_{\mu
u} = rac{1}{8\pi} \, \, \mathop{{}_{\displaystyle \sigma,X}}\limits_{\sigma,X} \langle X|J_{\mu}|p,\sigma
angle^* \langle X|J_{
u}|p,\sigma
angle \ imes \, (2\pi)^4 \delta^4(p_X-p-q)$$

• And the cross section becomes:

$$2 \omega_{k'} rac{d\sigma}{d^3 k'} = rac{1}{s(q^2)^2} \; L^{\mu
u} W_{\mu
u}$$

•  $W_{\mu\nu}$  has sixteen components, but known properties of the strong interactions constrain  $W_{\mu\nu}$  ... • An example: current conservation,

$$egin{aligned} \partial^\mu J^{ ext{EM}}_\mu(x) &= 0 \ &\Rightarrow \langle X | \, \partial^\mu J^{ ext{EM}}_\mu(x) \, | p 
angle &= 0 \ &\Rightarrow (p_X - p)^\mu \langle X | \, J^{ ext{EM}}_\mu(x) \, | p 
angle &= 0 \ &\Rightarrow q^\mu W_{\mu
u} = 0 \end{aligned}$$

• With parity, time-reversal, etc ...

$$egin{aligned} W_{\mu
u}&=-\left(g_{\mu
u}-rac{q_{\mu}q_{
u}}{q^2}
ight)W_1(x,Q^2)\ &+\left(p_{\mu}-q_{\mu}rac{p\cdot q}{q^2}
ight)\left(p_{
u}-q_{
u}rac{p\cdot q}{q^2}
ight)W_2(x,Q^2) \end{aligned}$$

• Often given in terms of the dimensionless structure functions,

$$F_1 = W_1$$
  $F_2 = p \cdot q W_2$ 

• Note that if there is no other mass scale, the *F*'s cannot depend on *Q* except indirectly through *x*.

• Structure functions in the Parton Model: The Callan-Gross Relation

From the "basic parton model formula":

$$\frac{d\sigma_{eh}}{d^3k'} = \sum_{\text{quarks } f} \int d\xi \; \frac{d\sigma_{ef}^{\text{el}}(\xi p)}{d^3k'} \; \phi_{f/h}(\xi) \tag{1}$$

Can treat a quark of "flavor" f just like any hadron and get

$$\omega_{k'} \; rac{d\sigma^{
m el}_{ef}(\xi p)}{d^3 k'} = rac{1}{2(\xi s)Q^4} \; L^{\mu
u} \, W^{ef}_{\mu
u}(k+\xi p o k'+p')$$

Let the charge of f be  $e_f$ .

**Exercise 1: Compute**  $W^{ef}_{\mu\nu}$  to find:

$$egin{aligned} W^{ef}_{\mu
u} &= -\left(g_{\mu
u} - rac{q_{\mu}q_{
u}}{q^2}
ight)\delta\left(1 - rac{x}{\xi}
ight)rac{e_f^2}{2} \ &+ \left(\xi p_{\mu} - q_{\mu}rac{\xi p\cdot q}{q^2}
ight)\left(\xi p_{
u} - q_{
u}rac{\xi p\cdot q}{q^2}
ight)\delta\left(1 - rac{x}{\xi}
ight)rac{e_f^2}{\xi p\cdot q} \end{aligned}$$

Ex. 2: by substituting in (1), find the Callan-Gross relation, $F_2(x) = \sum_{
m quarks} e_f^2 x \, \phi_{f/p}(x) = 2x F_1(x)$ 

#### And Ex. 3: that this relation is quite different for scalar quarks.

- The Callan-Gross relation shows the compatibility of the quark and parton models.
- In addition: parton model structure functions are independent of  $Q^2$ , a property called "scaling".
- With massless partons, there is no other massive scale. Then the F's must be Q-independent; see above.
- Approximate properties of the kinematic region explored by SLAC in late 1960's early 1970's.
- QCD "evolution" gives corrections to this picture.

The F's, W's from DIS: (CTEQ "Handbook")  $\frac{d\sigma^{(lh)}}{dx \, dy} = \frac{E_{k'}y}{m} N^{(lV)} \left[ 2W_1^{(Vh)}(x,q^2) \sin^2(\theta/2) + W_2^{(Vh)}(x,q^2) \cos^2(\theta/2) + W_3^{(Vh)}(x,q^2) \frac{E+E'}{m_h} \sin^2(\theta/2) \right],$ (3.23)

where the  $\pm$  corresponds to  $V = W^{\pm}$ , and where

$$N^{(l^{\pm}\gamma)} = 8\pi \alpha^{2} \frac{m_{h}E}{Q^{4}} ,$$

$$N^{(\nu W^{+})} = N^{(\overline{\nu}W^{-})} = \pi \alpha^{2} \frac{m_{h}E}{2\sin^{4}(\theta_{W})(Q^{2} + M_{W}^{2})^{2}} .$$
(3.24)

Here  $\theta_W$  is the weak mixing angle, and  $\pi \alpha^2 / (2M_W^4 \sin^4 \theta_W) = G_F^2 / \pi$ , with  $G_F$  the Fermi constant.

Other useful expressions for this cross section are given directly in terms of y,

$$\frac{d\sigma^{(lh)}}{dx \, dy} = N^{lV} \left[ \frac{y^2}{2} 2x F_1^{(Vh)} + \left[ 1 - y - \frac{m_h xy}{2E} \right] F_2^{(Vh)} + \delta_V \left[ y - \frac{y^2}{2} \right] x F_3^{(Vh)} \right], \qquad (3.25)$$

where  $\delta_V$  is +1 for  $V = W^+$  (neutrino beam), -1 for  $V = W^-$  (antineutrino beam), and zero for the photon, while  $m_h$  is the target mass.

#### **IC.** Getting at the Quark Distributions

- Relating the parton distributions to experiment
- Simplifying assumptions that illustrate the general approach.

$$egin{aligned} \phi_{u/p} &= \phi_{d/n} & \phi_{d/p} &= \phi_{u/n} & ext{isospin} \ \phi_{ar{u}/p} &= \phi_{ar{u}/n} &= \phi_{ar{d}/p} &= \phi_{ar{d}/n} & ext{symmetric sea} \ \phi_{c/p} &= \phi_{b/N} &= \phi_{t/N} &= 0 & ext{no heavy quarks} \end{aligned}$$

• Adequate to early experiments, but no longer.

• With assumptions above, the quark-parton model gives for e,  $\nu$   $(W^+)$  and  $\bar{\nu}$   $(W^-)$  DIS (see appendix)

$$\begin{split} F_2^{(eN)}(x) &= 2x F_1^{(eN)}(x) = \sum_{\substack{f=u,d,s}} e_F^2 x \phi_{f/N}(x) \\ F_2^{(\nu N)} &= 2x \left( \sum_{\substack{D=d,s,b}} \phi_{D/N}(x) + \sum_{\substack{U=u,c,t}} \phi_{\bar{U}/N}(x) \right) \\ F_2^{(\bar{\nu}N)} &= 2x \left( \sum_{\substack{D}} \phi_{\bar{D}/N}(x) + \sum_{\substack{U}} \phi_{U/N}(x) \right) \\ F_3^{(\nu N)} &= 2 \left( \sum_{\substack{D}} \phi_{D/N}(x) - \sum_{\substack{U}} \phi_{\bar{U}/N}(x) \right) \\ F_3^{(\bar{\nu}N)} &= 2 \left( - \sum_{\substack{D}} \phi_{\bar{D}/N}(x) + \sum_{\substack{U}} \phi_{U/N}(x) \right) \end{split}$$

• Ex: Trace the relative minus sign between quarks and antiquarks in  $F_3$  back to the Born diagrams.

- The distributions are actually overdetermined with these assumptions, which checks the consistency of the picture.
- Further consistency checks: Sum Rules.

$$N_{u/p}= \int_0^1 dx \left[ \, \phi_{u/p}(x) - \phi_{ar u/p}(x) \, 
ight] = 2$$
 etc. for  $N_{d/p}=1.$ 

The most famous ones make predictions for structure functions ...

• The Adler Sum Rule:

$$\begin{split} \int_{0}^{1} dx \, \frac{1}{2x} \left[ F_{2}^{(\nu n)} - F_{2}^{(\nu p)} \right] \\ &= \int_{0}^{1} dx \left[ \sum_{D} \phi_{D/n}(x) + \sum_{U} \phi_{\bar{U}/n}(x) \right] \\ &- \int_{0}^{1} dx \left[ \sum_{D} \phi_{D/p}(x) + \sum_{U} \phi_{\bar{U}/p}(x) \right] \\ &= \int_{0}^{1} dx \left[ \phi_{d/n}(x) - \phi_{\bar{u}/p}(x) - \left( \phi_{d/p}(x) - \phi_{\bar{u}/n}(x) \right) \right] \\ &= N_{u/p} - N_{d/p} \\ &= 1 \end{split}$$

In the 2nd equality, all the extra terms from heavy quarks D = s, b, U = c, t cancel between proton and neutron. In the 3rd, we've used isospin invariance.

#### • And similarly, the Gross-Llewellyn-Smith Sum Rule:

$$egin{array}{rcl} 3&=&N_{u/p}+N_{d/p}\ &=& \int_{0}^{1}dx\,rac{1}{2x}\left[xF_{3}^{(
u n)}+\;xF_{3}^{(
u p)}\;-\;\left(xF_{3}^{(ar{
u} n)}+\;xF_{3}^{(ar{
u} p)}
ight)
ight] \end{array}$$

Ex: work this one out from the relations of structure functions to quark and antiquark distributions.

ID. Classic Parton Model Extensions: Fragmentation and Drell Yan

- Fragmentation functions
- "Crossing" applied to DIS: "Single-particle inclusive" (1PI) From scattering to pair annihilation.

Parton distributions become "fragmentation functions".



• Parton model relation for 1PI cross sections

$$\sigma_h(P,q) = \sum_a \int_0^1 dz \,\, \hat{\sigma}_a(P/z,q) \,\, D_{h/a}(z)$$

- Heuristic justification: Formation of hadron C from parton a takes a time  $\tau_0$  in the rest frame of a, but much longer in the CM frame this "fragmentation" thus decouples from  $\hat{\sigma}_a$ , and is independent of q (scaling).
- Fragmentation picture suggests that hadrons are aligned along parton direction  $\Rightarrow$  jets. And this is what happens.

### • For DIS:



## $\bullet$ For $e^+e^-$ :



#### • And in nucleon-nucleon collisions:

Run 178796 Event 67972991 Fri Feb 27 08:34:03 2004





- Finally: the Drell-Yan process
- In the parton model (1970).
   Drell and Yan: look for the annihilation of quark pairs into virtual photons of mass Q ... any electroweak boson in NN scattering.

The probabilities are  $\phi_{q/N}(\xi_i)$ 's from DIS!

How it works (with colored quarks) ...

• The Born cross section



# With this matrix element: $M = e_q rac{e^2}{Q^2} \, \overline{u}(k_1) \gamma_\mu v(k_2) \, \overline{v}(p_2) \gamma^\mu u(p_1)$

• First square and sum/average M. Then evaluate phase space.

• Total cross section at pair mass Q

$$egin{aligned} &\sigma_{\mathrm{q}ar{\mathrm{q}}
ightarrow\muar{\mu}}^{\mathrm{EW},\,\mathrm{Born}}(x_1p_1,x_2p_2) = rac{1}{2\hat{s}}/rac{d\Omega}{32\pi^2}rac{e_q^2e^4}{3}(1+\cos^2 heta) \ &= rac{4\pilpha^2}{9Q^2}rac{1}{2}e_q^2 e_q^2 \end{aligned}$$

With Q the pair mass and 3 for color average.

• And measured rapidity:

Pair mass (Q) and rapidity

$$\eta \equiv (1/2) \ln \left( rac{Q^+}{Q^-} 
ight) = (1/2) \ln \left( rac{Q^0 + Q^3}{Q^0 - Q^3} 
ight)$$

•  $\xi$ 's are overdetermined  $\rightarrow$  delta functions in the Born cross section

• and integrating over rapidity, back to  $d\sigma/dQ^2$ ,

$$egin{aligned} rac{d\sigma}{dQ^2} &= \left( rac{4\pilpha_{ ext{EM}}^2}{9Q^4} 
ight) ^1_0 d\xi_1 \, d\xi_2 \, \delta \left( \xi_1 \xi_2 - au 
ight) \ & imes rac{5}{a} \lambda_a^2 \, \phi_{a/N}(\xi_1) \, \phi_{ar{a}/N}(\xi_s) \end{aligned}$$

Found by Drell and Yan in 1970 (aside from 1/3 for color).

Analog of DIS scaling in x is DY scaling in  $au = Q^2/S$ .

• Template for all hard hadron-hadron scattering

• Next, the quantum field theory of all this ... QCD

- Appendix I: Quarks in the Standard Model Electroweak interactions of quarks:  $SU(2)_L \times U(1)$ . Their non-QCD interactions.
- Quark and lepton fields: L(eft) and R(ight)
  - $-\psi = \psi^{(L)} + \psi^{(R)} = \frac{1}{2}(1 \gamma_5)\psi + \frac{1}{2}(1 + \gamma_5)\psi; \ \psi = q, \ell$ - Helicity: spin along  $\vec{p}$  (R=right handed) or opposite (L=left handed) in solutions to Dirac equation
  - $-\psi^{(L)}$ : expanded only in L particle solutions to Dirac eqn. R antiparticle solutions
  - $-\psi^{(R)}$ : only R particle solutions, L antiparticle
  - An essential feature: L and R have different interactions in general!

-L quarks come in "weak SU(2)" = "weak isospin" pairs:

$$egin{aligned} q_i^{(L)} = egin{pmatrix} u_i & u_i^{(R)} \ d_i' = V_{ij} dj \end{pmatrix} & u_i^{(R)}, \ d_i^{(R)} \ & (u, d') & (c, s') & (t, b') \ \ell_i^{(L)} = egin{pmatrix} 
u_i & e_i^{(R)}, \ 
u_i^{(R)} \ e_i^{(R)}, \ 
u_i^{(R)} \ 
(
u_e, e) & (
u_\mu, \mu) & (
u_ au, au) \end{aligned}$$

(We've neglected neutrino masses.)

- $-V_{ij}$  is the "CKM" matrix.
- The electroweak interactions distinguish L and R.

- Weak vector bosons: electroweak gauge groups
  - -SU(2): three vector bosons  $B_i$  with coupling g
  - -U(1); one vector boson C with coupling g'
  - The physical bosons:

$$egin{aligned} W^{\pm} &= B_1 \pm i B_2 \ Z &= -C \sin heta_W + B_3 \cos heta_W \ \gamma &\equiv A &= C \cos heta_W + B_3 \sin heta_W \end{aligned}$$

 $\sin heta_W = g'/\sqrt{g^2 + g'^2}$   $M_W = M_Z/\cos heta_W$ 

 $e=gg'/\sqrt{g^2+g'^2} \qquad M_W\sim g/\sqrt{G_F}$ 

• Weak isospin space: connecting u with d'



• Only left handed fields move around this globe.

# - The interactions of quarks and leptons with the photon, W, Z

$$egin{split} \mathcal{L}_{ ext{EW}}^{(fermion)} &= \sum \limits_{ ext{all }\psi} ar{\psi} \left( i oldsymbol{\partial} - e \lambda_{\psi} \, oldsymbol{A} - (g m_{\psi} 2 M_W) h 
ight) \psi \ &- (g / \sqrt{2}) \sum \limits_{ ext{q}_i, e_i} ar{\psi}^{(L)} \left( \sigma^+ oldsymbol{W}^+ + \sigma^- oldsymbol{W}^- 
ight) \psi^{(L)} \ &- (g / 2 \cos heta_W) \sum \limits_{ ext{all }\psi} ar{\psi} \left( oldsymbol{v}_f - a_f \gamma_5 
ight) oldsymbol{Z} \, \psi \end{split}$$

- Interactions with W are through  $\psi_L$ 's only.
- Neutrino Z exchange depends on  $\sin^2 \theta_W$  even at low energy.
- This observation made it clear by early 1970's that  $M_W \sim g/\sqrt{G_F}$  is large ightarrow a need for colliders.
- -Coupling to the Higgs  $h \propto$  mass (special status of t).

- Symmetry violations in the standard model:
  - -W's interact through  $\psi^{(L)}$  only,  $\psi=q,\ell$ .
  - These are left-handed quarks & leptons;
     right-handed antiquarks, antileptons.
  - Parity (P) exchanges L/R; Charge conjugation (C) exchanges particles, antiparticles.
  - CP combination OK  $(L \rightarrow_P R \rightarrow_C L)$  if all else equal, but it's not (quite) ...

Complex phases in CKM V result in CP violation.

• Appendix II: Structure Functions and Photon Polarizations

In the P rest frame can take

$$q^{m \mu} = ig(
u; 0, 0, \sqrt{Q^2 + 
u^2}ig) \;, \qquad 
u \equiv rac{p \cdot q}{m_p}$$

In this frame, the possible photon polarizations  $(\epsilon \cdot q = 0)$ :

$$egin{split} \epsilon_R(q) &= rac{1}{\sqrt{2}} \left( 0; 1, -i, 0 
ight) \ \epsilon_L(q) &= rac{1}{\sqrt{2}} \left( 0; 1, i, 0 
ight) \ \epsilon_{ ext{long}}(q) &= rac{1}{Q} \left( \sqrt{Q^2 + 
u^2}, 0, 0, 
u 
ight) \end{split}$$

• Alternative Expansion

$$W^{\mu
u} = { ilde{\sum} _{\lambda = L,R,long} \epsilon^{\mu *}_{\lambda}(q) \epsilon^{
u}_{\lambda}(q) \, F_{\lambda}(x,Q^2)}$$

• For photon exchange (Exercise 4):

$$egin{aligned} F_{L,R}^{\gamma e} &= F_1 \ F_{ ext{long}} &= rac{F_2}{2x} - F_1 \end{aligned}$$

 $\bullet$  So  $F_{\rm long}$  vanishes in the parton model by the C-G relation.

- Generalizations: neutrinos and polarization
- Neutrinos: flavor of the "struck" quark is changed when a W<sup>±</sup> is exchanged. For W<sup>+</sup>, a d is transformed into a linear combination of u, c, t, determined by CKM matrix (and momentum conservation).
- Z exchange leaves flavor unchanged but still violates parity.

• The Vh structure functions for  $= W^+, W^-, Z$ :

$$egin{aligned} W^{(Vh)}_{\mu
u} &- \left(g_{\mu
u} - rac{q_{\mu}q_{
u}}{q^2}
ight) W^{(Vh)}_1(x,Q^2) \ &+ \left(p_{\mu} - q_{\mu}rac{p\cdot q}{q^2}
ight) \left(p_{
u} - q_{
u}rac{p\cdot q}{q^2}
ight) rac{1}{m_h^2} W_2(x,Q^2) \ &- i\epsilon_{\mu
u\lambda\sigma}p^\lambda q^\sigma \;rac{1}{m_h^2} W^{(Vh)}_3(x,Q^2) \end{aligned}$$

• with dimensionless structure functions:

$$F_1=W_1\,,\qquad F_2=rac{p\cdot q}{m_h^2}\,W_2\,,\qquad F_3=rac{p\cdot q}{m_h^2}\,W_3$$
 $F_i^{(
uh)}$  gives  $W^+\,h$  scattering,  $F_i^{(ar
u h)}$  gives  $W^-\,h$ 

• And with spin (for the photon).

$$egin{aligned} W^{\mu
u} &= rac{1}{4\pi} \int d^4 z \, e^{iq\cdot z} \; \langle h(P,S) \, | \, J^\mu(z) J^
u(0) \, | \, h(P,S) 
angle \ &= \left( -g^{\mu
u} + rac{q^\mu q^
u}{q^2} 
ight) \, F_1(x,Q^2) \ &+ \left( P^\mu - q^\mu \, rac{P\cdot q}{q^2} 
ight) \left( P^
u - q^
u \, rac{P\cdot q}{q^2} 
ight) F_2(x,Q^2) \ &+ im_h \, \epsilon^{\mu
u
ho\sigma} q_
ho igg[ rac{S_\sigma}{P\cdot q} g_1(x,Q^2) + rac{S_\sigma(P\cdot q) - P_\sigma(S\cdot q)}{(P\cdot q)^2} g_2(x,Q^2) \ \end{aligned}$$

#### • Parton model structure functions:

$$egin{aligned} F_2^{(eh)}(x) &= \sum\limits_f e_f^2 \, x \, \phi_{f/h}(x) \ g_1^{(eh)}(x) &= rac{1}{2} \sum\limits_f e_f^2 \, \left( \Delta \phi_{f/n}(x) + \Delta ar \phi_{f/h}(x) 
ight) \end{aligned}$$

• Notation:  $\Delta \phi_{f/h} = \phi_{f/h}^+ - \phi_{f/h}^-$  with  $\phi_{f/h}^{\pm}(x)$ probability for struck quark f to have momentum fraction xand helicity with (+) or against (-) h's helicity.