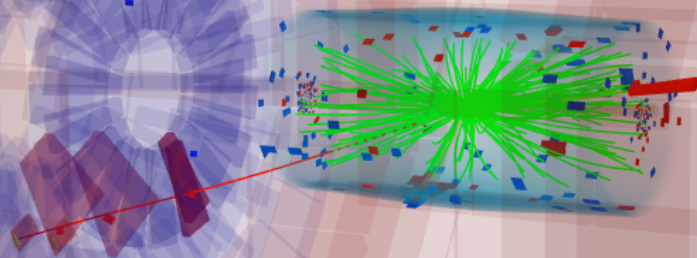




CMS Experiment at LHC, CERN  
Data recorded: Sat May 7 04:15:29 2016 CEST  
Run/Event: 272775 / 36556333  
Lumi section: 49

# Heavy Quarks and Top Production at the LHC



**Roberto Tenchini (INFN Pisa)**

CTEQ - MCnet School 2016 - DESY

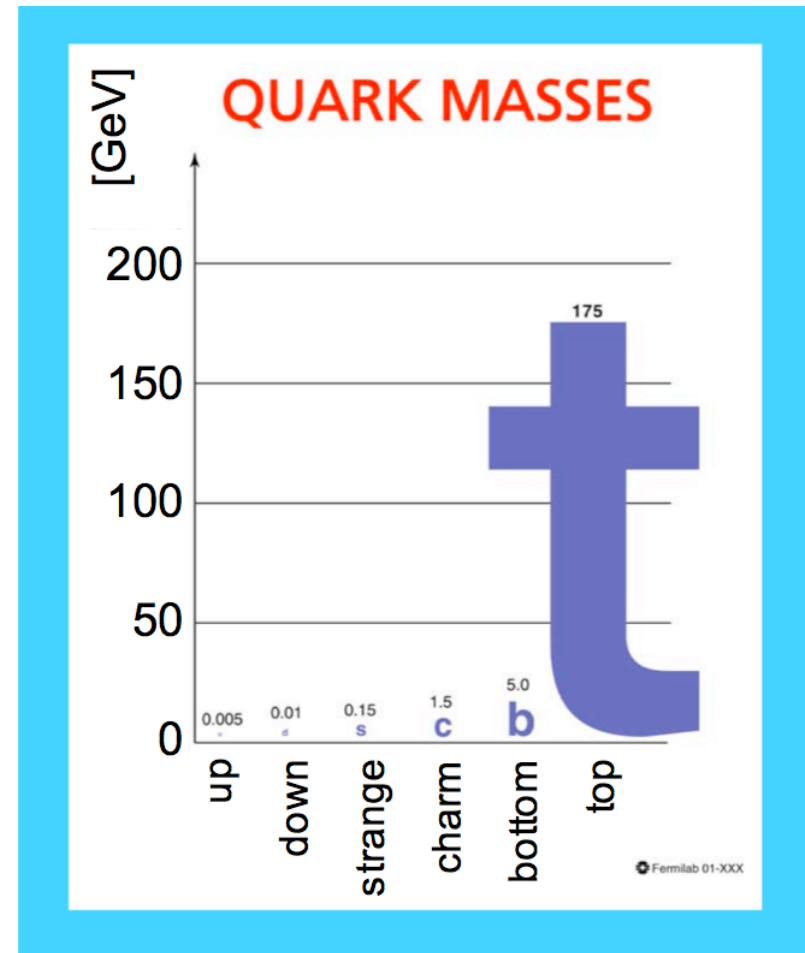
10<sup>th</sup> July 2016

# Charm, bottom and top

- Strong mass hierarchy: charm and bottom are called **heavy quarks**, but the heavier is top, with **a mass comparable to a tungsten atom** !
- Yukawa quark-Higgs couplings

$$Y = \sqrt{2} \frac{m}{v.e.v. (\sim 246 \text{ GeV})}$$

- **top – Higgs Yukawa coupling is  $\approx 1$** 
  - *top is a window to electroweak symmetry breaking*



# The privilege of being heavy



- Experimentally, charm bottom top share several signatures
- **Secondary vertexes**
  - For c, b originating from long lived charm and beauty hadrons
  - For t from bW decay
- **Leptons**
  - For c, b from high semileptonic branching ratios
  - For t from bW decay

# The privilege of being even more heavy

- Experimentally, charm & bottom are very different from top
- **Exclusive states** are **key signatures** for c and b
  - quarkonia, D and B mesons
- **Exclusive states** are **not formed** for top
  - Very short lifetime: bound states are not formed, opportunity to study a free quark



$$\tau_t = \frac{1}{\Gamma_t} \sim 0.5 \times 10^{-24} \text{ s} < \frac{1}{\Lambda_{QCD}} < \frac{m_t}{\Lambda_{QCD}^2} \sim 3 \times 10^{-21} \text{ s} \ll \tau_b \sim 10^{-12} \text{ s}$$

$$\tau_t < \tau(\text{hadronization}) < \tau(\text{spin-decorrelation}) \ll \tau_b$$

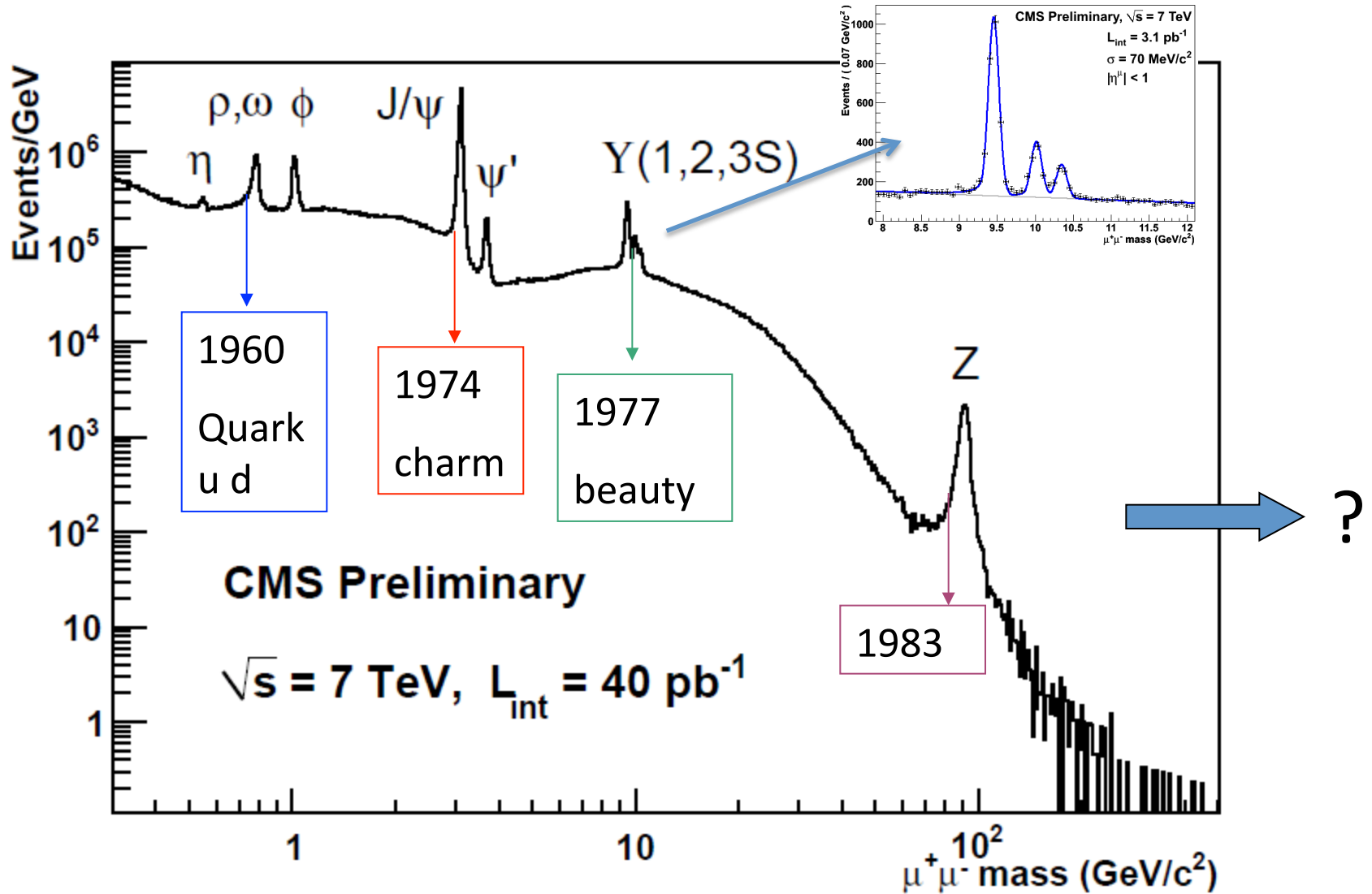


# Basic ingredients of cross section measurements (example with leptons)

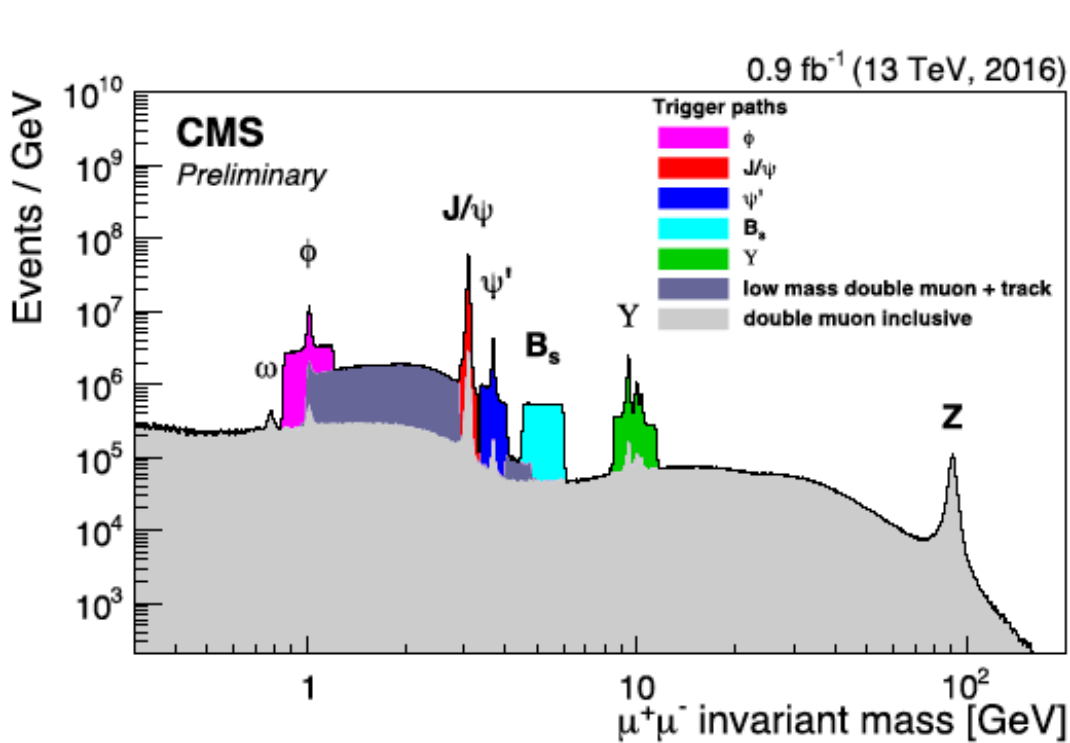
$$\sigma = (\text{Nsignal} - \text{Nbackground}) / (\text{efficiency} \times \text{luminosity})$$

- Trigger on leptons  $(e, \mu, \tau) \rightarrow p_t$ , **isolation cuts if applicable**
- Offline lepton selection  $\rightarrow$  cuts with improved reconstruction, define acceptance in fiducial region
  - **need to measure efficiencies from data !**
- Suppress background (e.g. suppress jet production with transverse mass cut)
  - **need to measure background from data !**
  - (typical bkg: leptons from b,c decays, decay in flights of  $\pi$ , K, conversions  $\gamma \rightarrow e^+e^-$ , etc.)
- **Need information on accelerator luminosity**

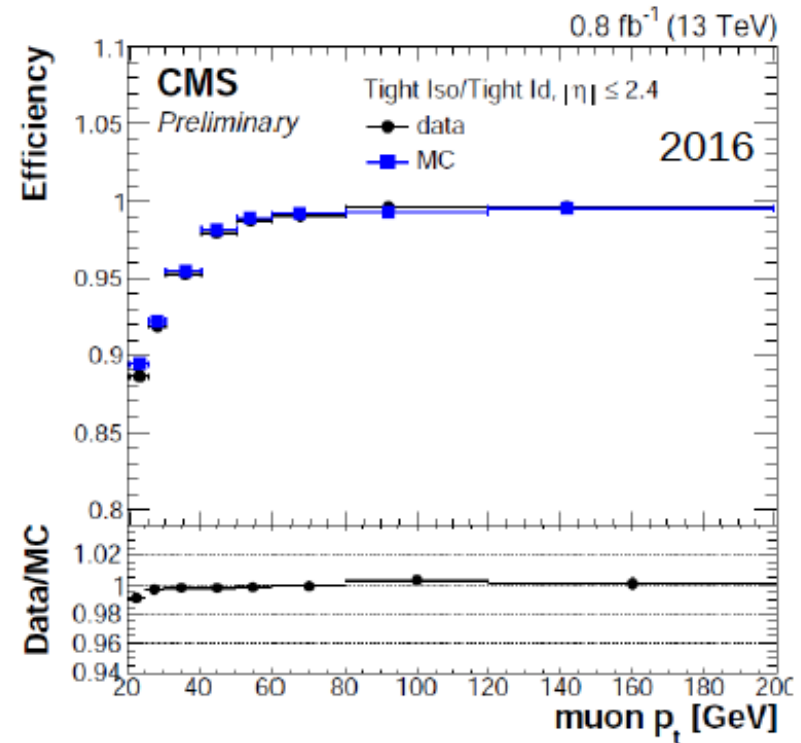
example from 2010:  $\mu^+\mu^-$  inv mass spectrum, after **trigger** selection (very low trigger thresholds !)



# Need dedicated trigger streams at LHC Run II



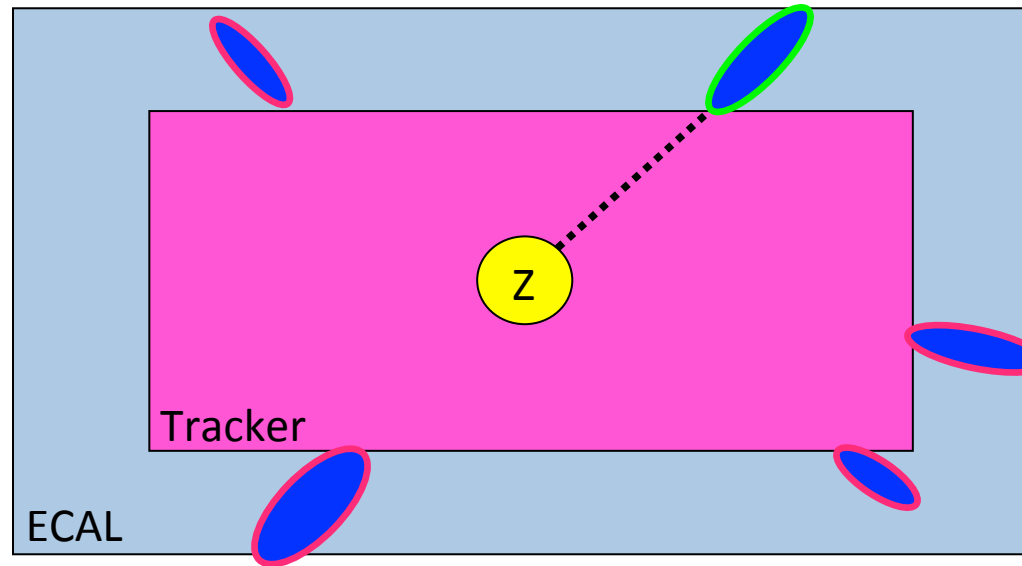
Dimuon mass distribution collected with various dimuon triggers.



Efficiency for tight muons to pass the isolation cut. Agreement between data and MC is very good.

# Measure efficiency from data (Tag and Probe)

(example of a common technique)



Compute ECAL-tracker matching efficiency

$$eff = \frac{\text{number of matched probes}}{\text{number of probes}}$$

 = ECAL-tower cluster

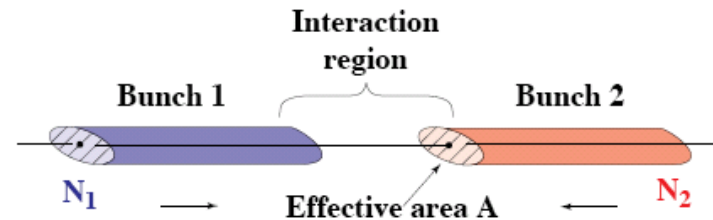
1. Find a good electron in a  $Z \rightarrow ee$  event that meets Tag criteria
2. Loop over ECAL-tower clusters in the event with transverse energy above, e.g., 15 GeV and calculate the cluster-Tag invariant mass ( $M$ )
3. The cluster satisfying, e.g. :  $82 < M < 100$  GeV is a Probe

# Luminosity determination

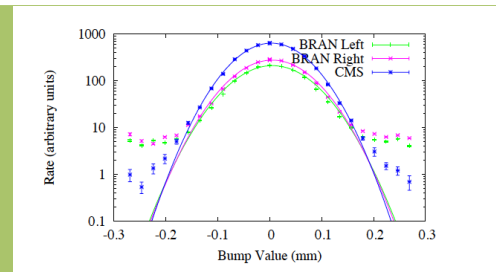
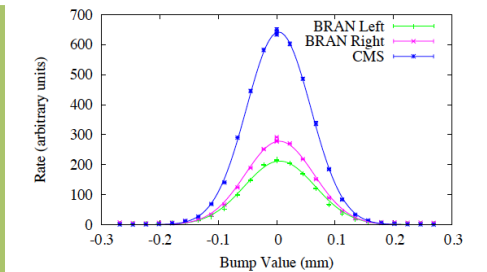
$$\text{Rate} = \sigma L$$

- The method is known as “Van Der Meer scan”

$$\mathcal{L} = \frac{N_1 N_2 f}{A_{\text{eff}}}$$



**Beam intensities and crossing frequency are known with good accuracy**  
**The effective overlap area A can be determined by scans in separation**



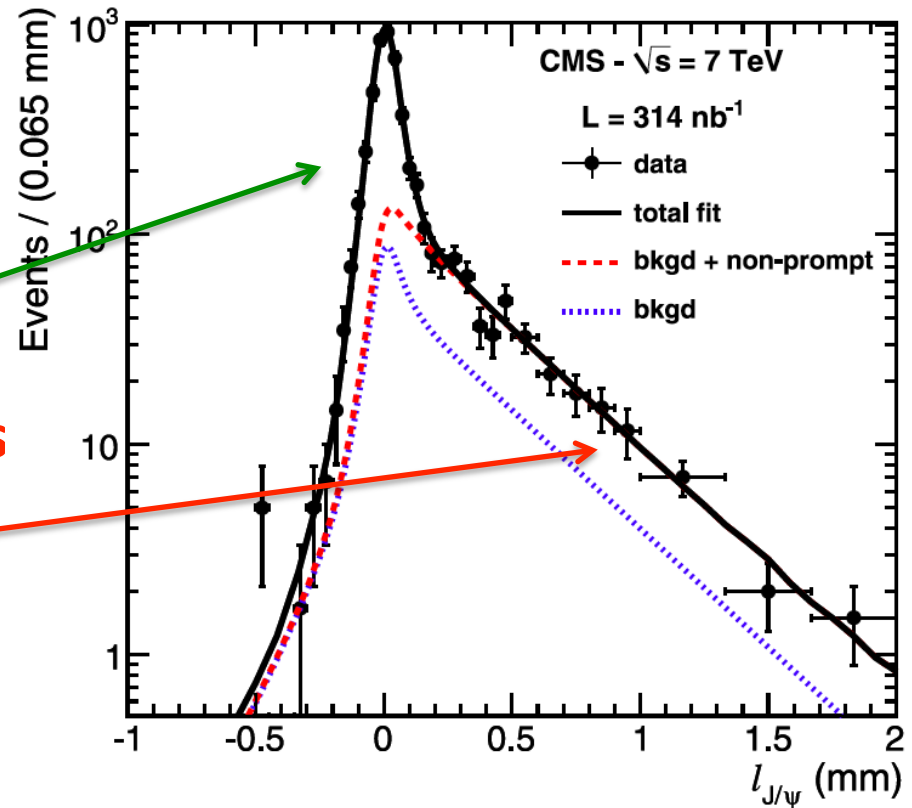
Details : LHC Report 1019 by Grafström Burkhardt <http://cdsweb.cern.ch/record/1056691>

# **CHARM AND BOTTOM PRODUCTION (SOME RELEVANT EXAMPLES)**

# J/psi as a window to c and b

Eur. Phys. J. C (2011) 71: 1575

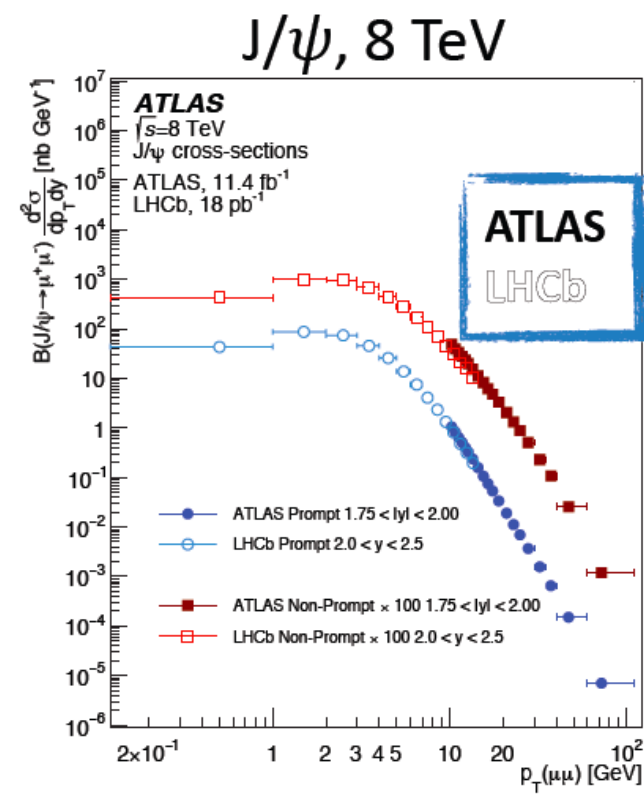
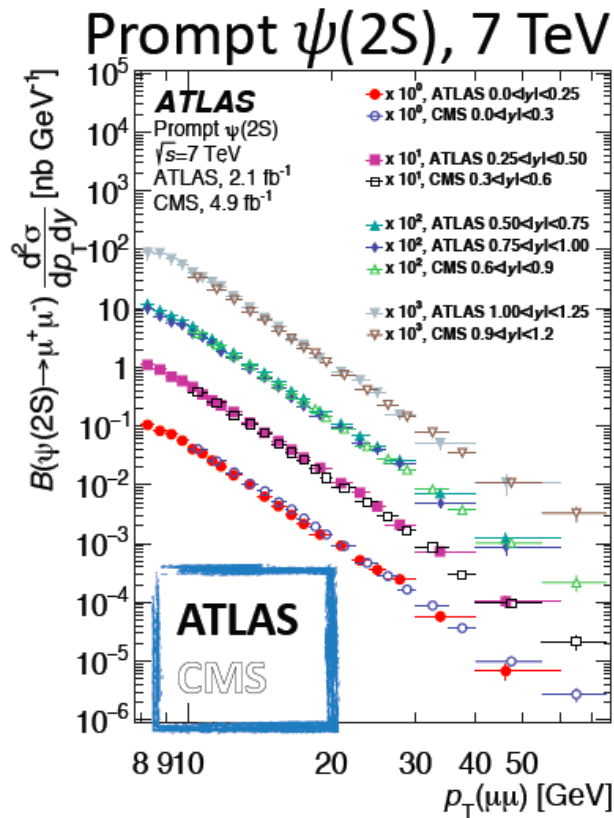
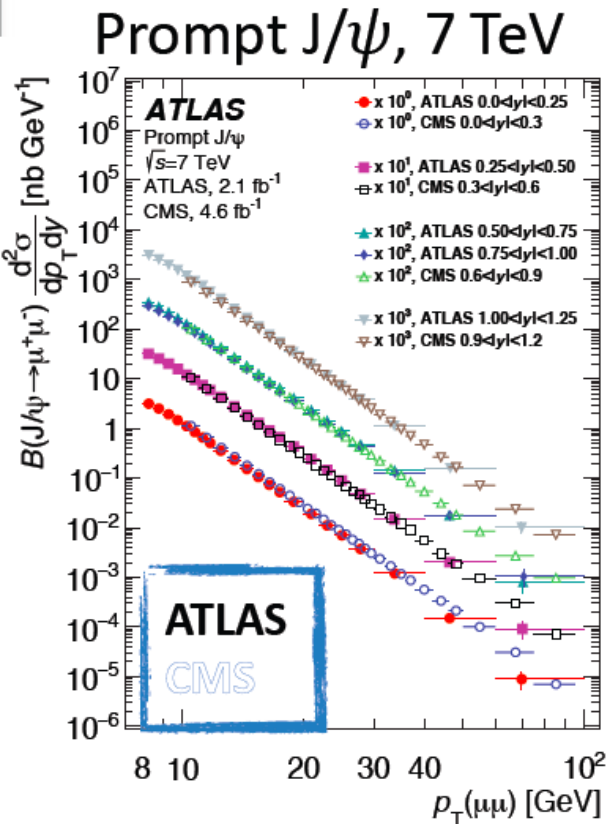
- Separate  $J/\psi \rightarrow \mu^+\mu^-$  by means of decay length ( $L_{xy}$ ) using **pseudo-proper lifetime**,  $\tau = L_{xy}(m/p_T)$
- **Prompt J/psi from charm** show peak at production point
- **J/psi from  $B \rightarrow J/\psi X$  decays** show exponential behaviour from B lifetime
- Both component are smeared by detector resolution





# J/Psi and $\psi(2s)$ prompt cross sections

Data available at a wide  $p_T$  and rapidity range



CMS: Phys. Rev. Lett. 114.19 (2015) 191802,

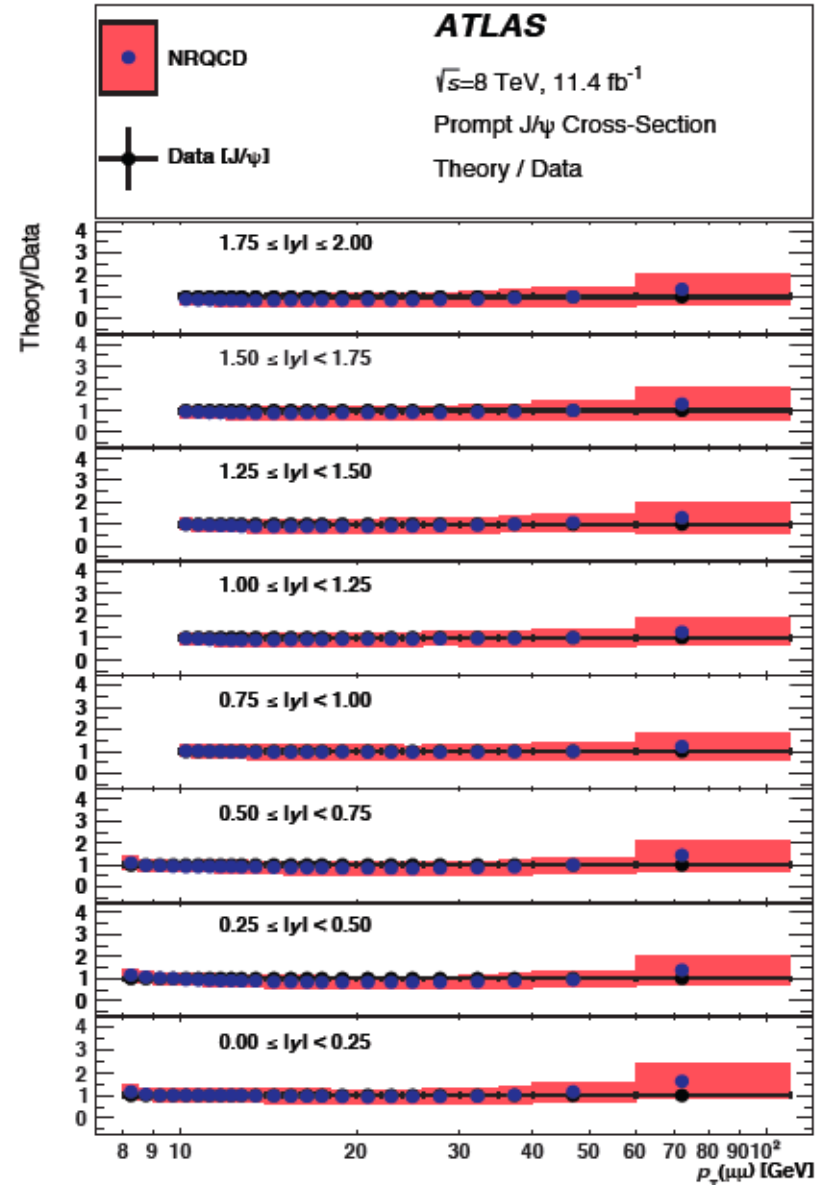
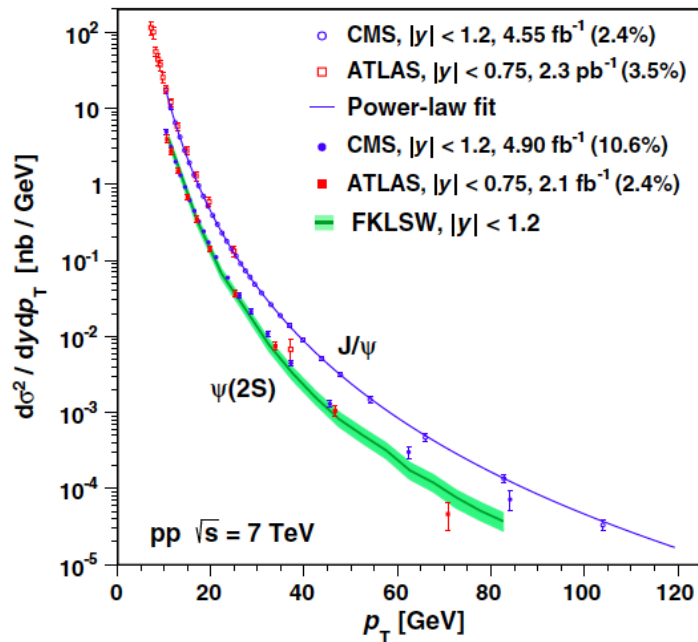
(LHCb) JHEP 1306 (2013) 064,

14

From J. Walder, BEAUTY 2016

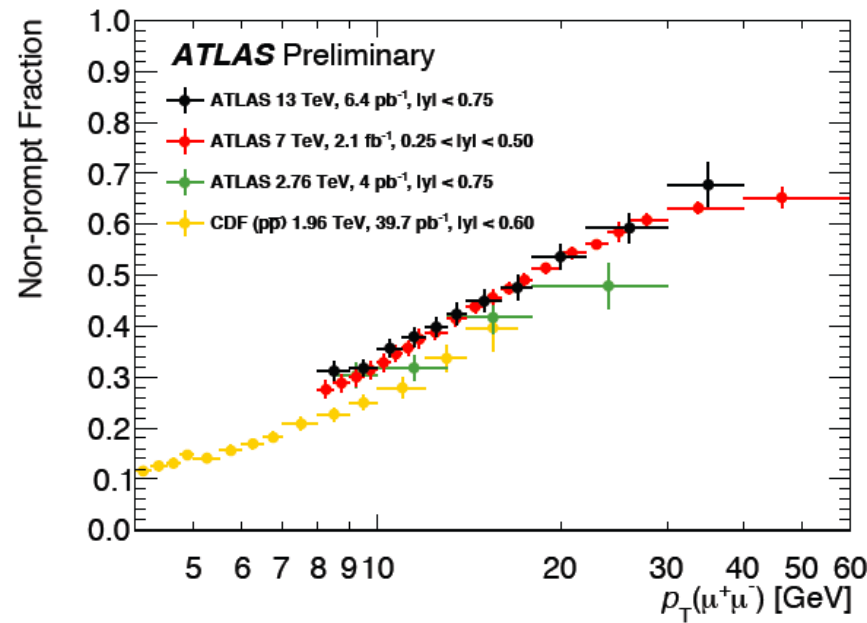
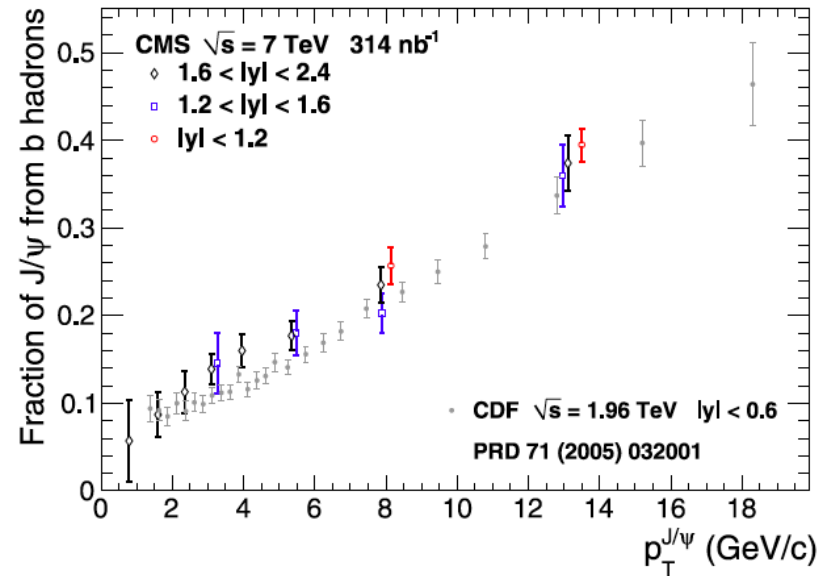
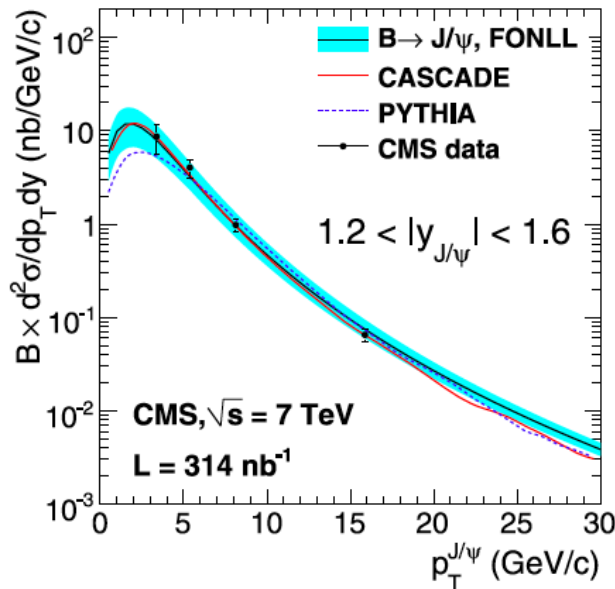
# Prompt J/psi and NRQCD

- Long standing disagreement in quarkonia production with theory until realized octet production had to be taken into account
- Requires input from Long Distance ME (growing evidence of universality)

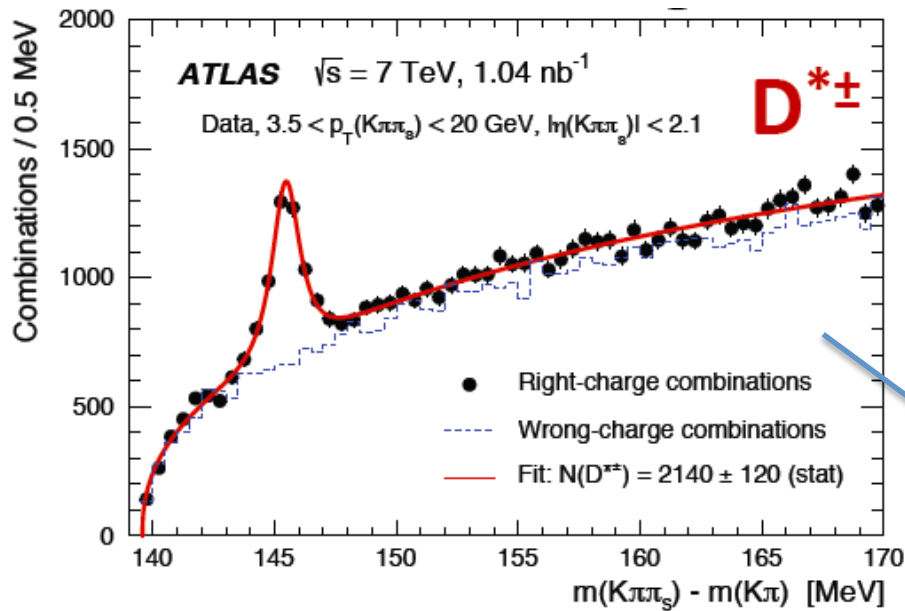


# Non prompt fraction

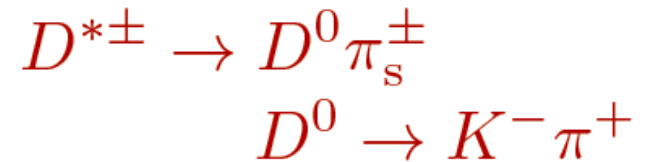
- Strong fraction dependence from  $p_T$
- Reasonably well described from current simulations



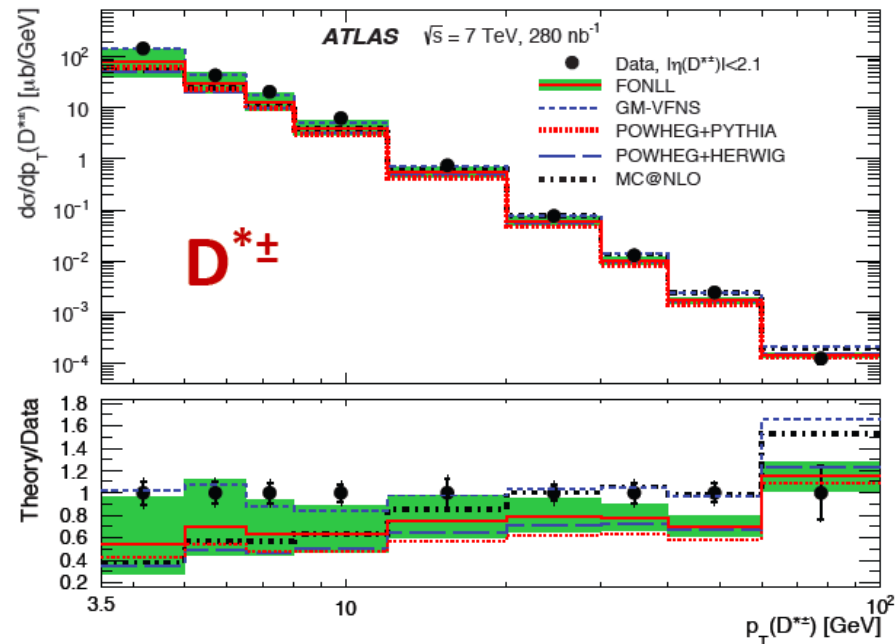
# Charm production from exclusive states



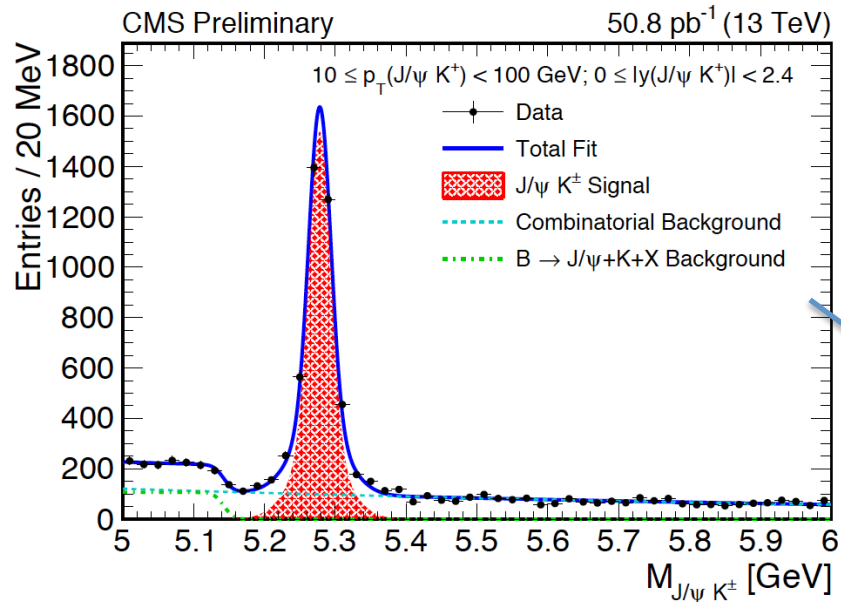
Example from



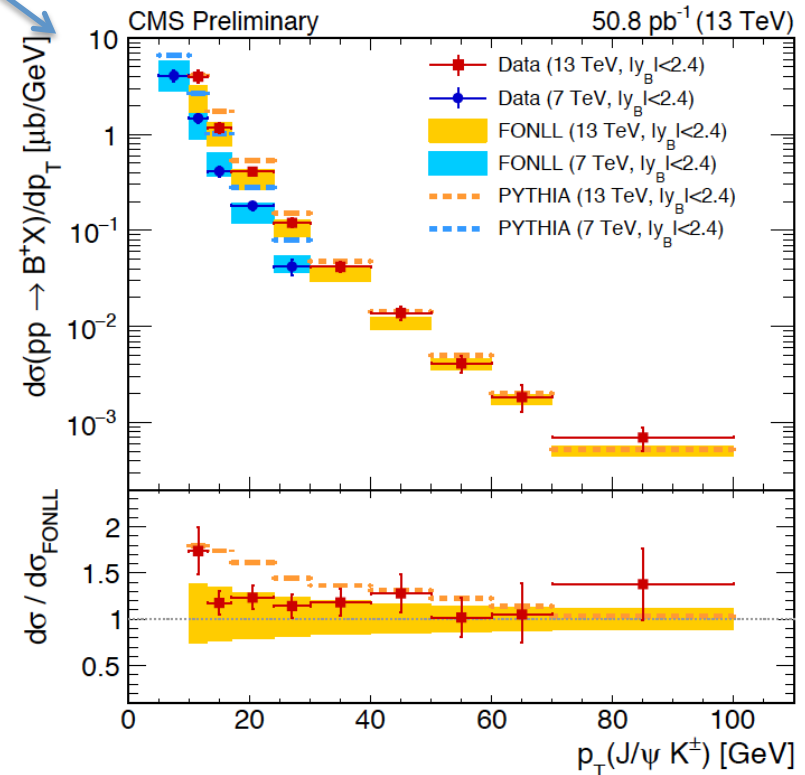
Important inputs to simulations  
 General description OK  
 Discrepancies appears in detailed trends  
 and normalization



# B production from exclusive states



Example from  
 $B^+ \rightarrow J/\psi K^+$



Important inputs to simulations  
 General description OK, especially for  
 NLL-based calculations

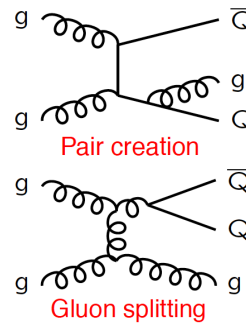
# Differential cross sections: example from BB angular correlations

- Provide information about the underlying production subprocess, e.g.

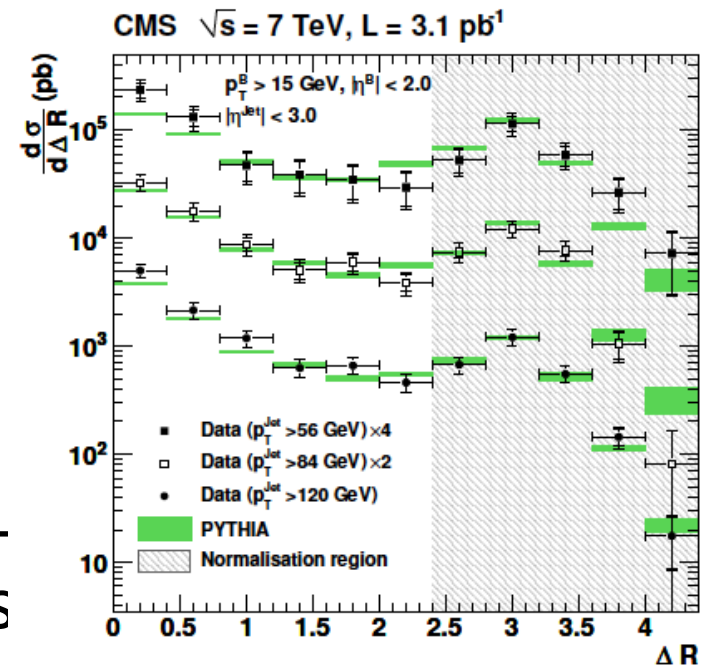
- flavour creation

- flavour excitation

- gluon splitting

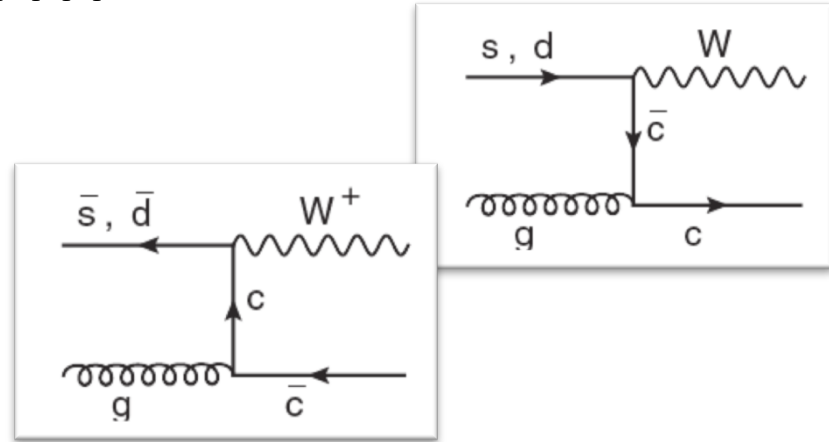
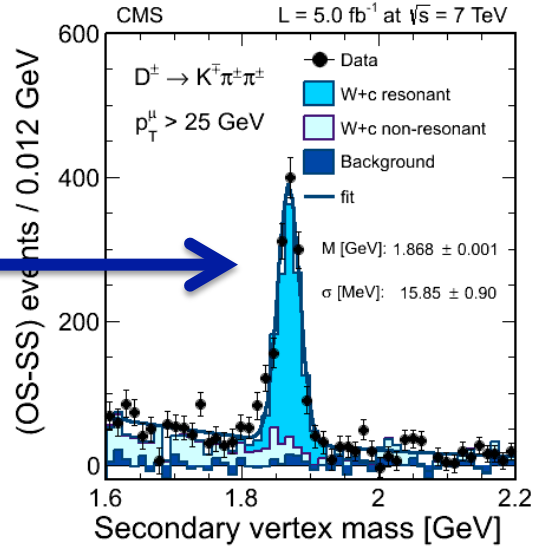


- sensitive test of pQCD leading-order (LO) and next-to-leading order (NLO) cross sections and their evolution with event energy scales



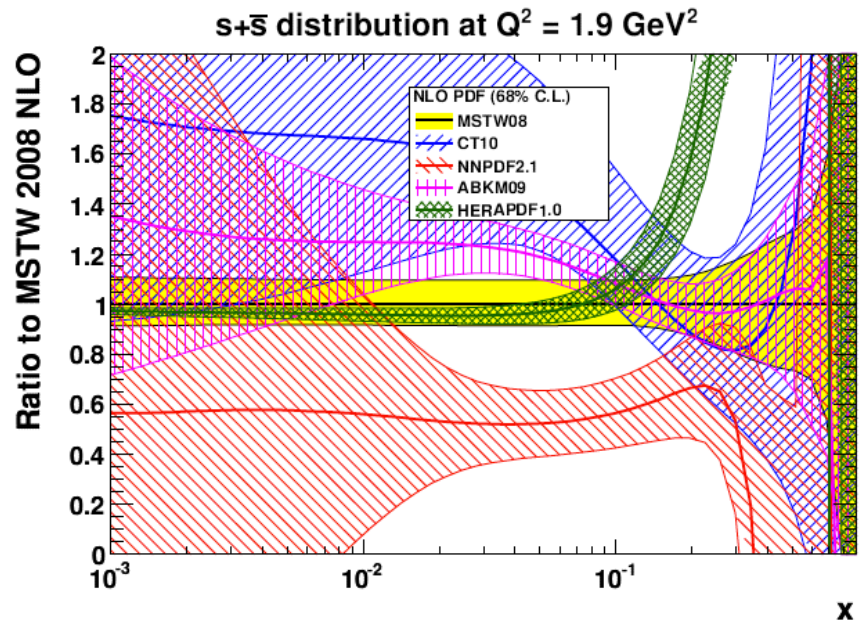
# Associate production, an example: W+charm

High  $p_T$   
isolated  
lepton +



**Probes the strange content of the proton**

- contribution from d quark about  $\sim 10\%$  (Cabibbo suppressed)
- Different PDFs assume different level of suppression of s-quark w.r. to d-quark sea.

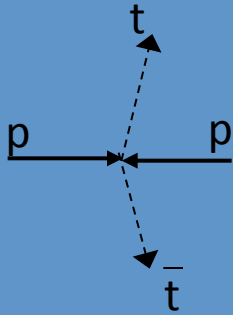




**TOP PAIR PRODUCTION  
(AGAIN, SELECTED TOPICS, THIS IS NOT  
A REVIEW)**

# Top Quark Production at the LHC

## top pairs

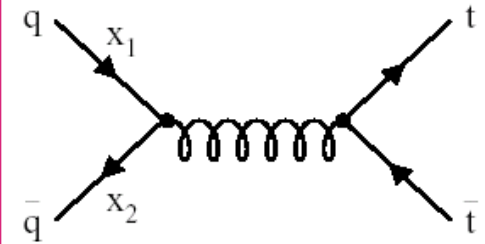
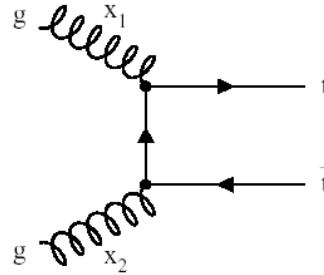
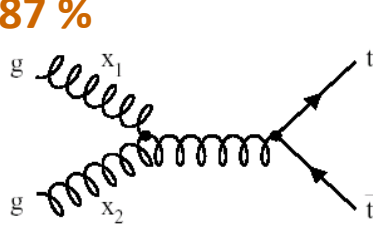


10 tt pairs per day @ Tevatron  
 $qq \rightarrow tt : 85\%$



1 tt pair per second @ LHC  
 $gg \rightarrow tt : 87\%$

**~87 %**

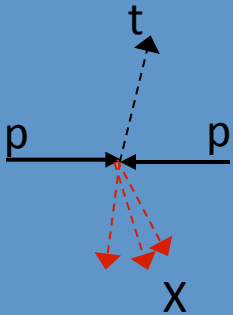


❖ NLO cross-section  $\sigma^{\text{NLO}} = 232 \text{ pb}$  at 8 TeV [?]  $\sim 2 \text{ M events}/10\text{fb}^{-1}$

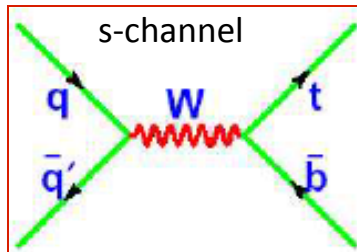
❖ NNLO calculations now available, Czakon, Mitov (2013) arXiv:1303.6254

Some references (not a complete list!): (top pairs) N.Nason *et al.* Nucl.Phys. B303 (1988) 607, S.Catani *et al.* Nucl.Phys. B478 (1996) 273, M.Beneke *et al.* hep-ph/0003033, N.Kidonakis and R.Vogt, Phys.Rev. D68 (2003) 114014, W.Bernreuther *et al.* Nucl.Phys. B690 (2004) 81-137 (single-top) T.Stelzer *et al.* Phys.Rev. D56 (1997) 5919, M.C.Smith and S.Willenbrock Phys.Rev. D54 (1996) 6696, T.M.Tait Phys.Rev. D61 (2000) 034001

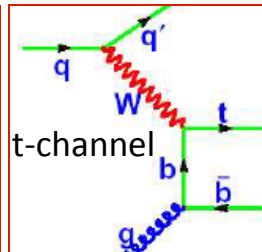
## single-top



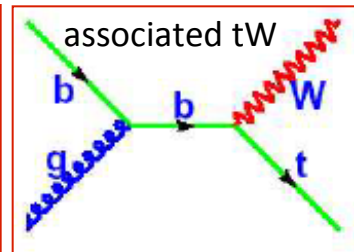
[?] 30 single-tops per minute @ LHC



$\sigma^{\text{NLO}} = 3.4 \text{ pb}$   
 $\sigma^{\text{NLO}} = 2.1 \text{ pb}$



$\sigma^{\text{NLO}} = 53 \text{ pb}$   
 $\sigma^{\text{NLO}} = 30 \text{ pb}$



$\sigma^{\text{NLO}} = 11 \text{ pb}$   
 $\sigma^{\text{NLO}} = 11 \text{ pb}$

$\sigma_{\text{top}}$  &  $\sigma_{\text{anti-top}}$  not equal

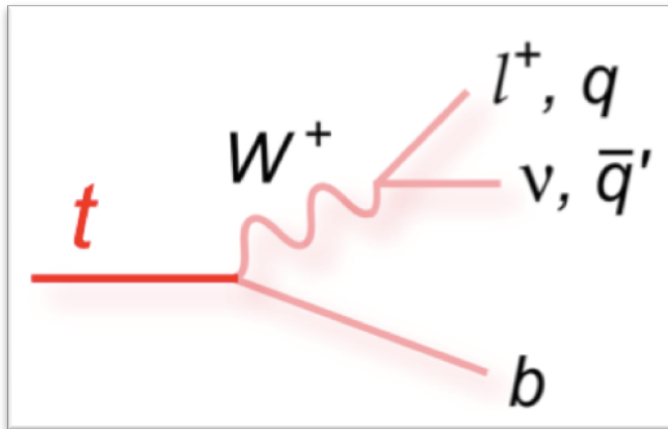
$\sigma^{\text{NLO}}(\text{total})$  8 TeV = 112 pb

$\sim 1 \text{ M events}/10\text{fb}^{-1}$

→ top production  
 → anti-top production

# Top Quark decays

It decays almost exclusively to  $Wb$ , from CKM elements  $V_{tu}$ ,  $V_{ts}$ ,  $V_{tb}$  :



$$\frac{BR(t \rightarrow Wb)}{BR(t \rightarrow Wq)} \approx 0.99825 \pm 0.00005$$

$$BR(t \rightarrow cZ, c\gamma, cg) \approx O(10^{-33})$$

W decays are used to classify top final states

- Decay topologies for  $t\bar{t}$  :**
- Dileptonic
  - Lepton+jets
  - Fully hadronic

**For single top measurements only W leptonic decays are used**

# ttbar topologies

## Top Pair Decay Channels

**Lepton + jets  $\approx 34\%$**   
 Low background  
 Main background:  
 W + jet

**Dileptonic  $\approx 6\%$**   
 Very low background  
 main background:  
 Drell-Yan

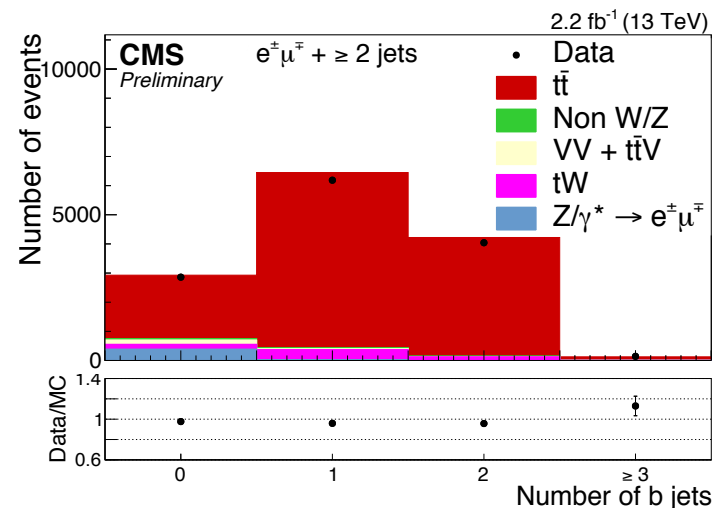
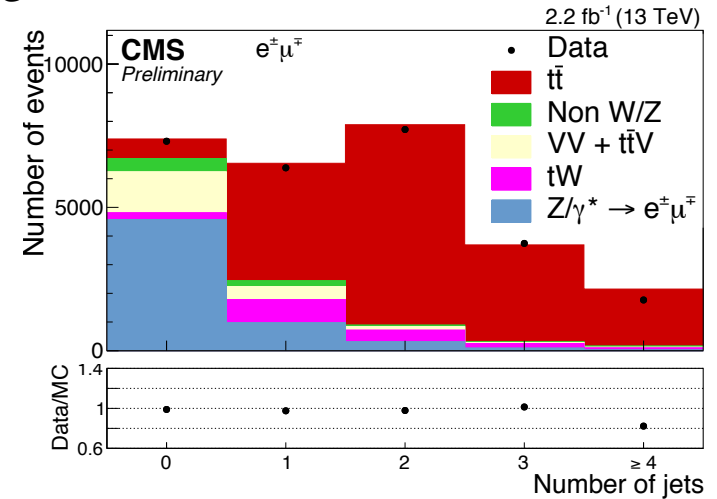
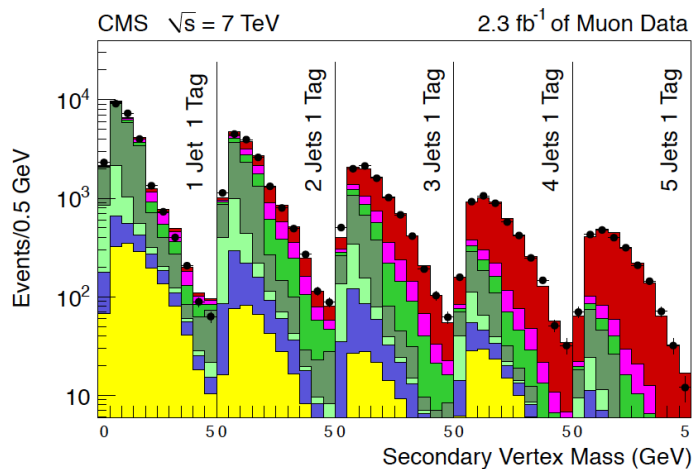
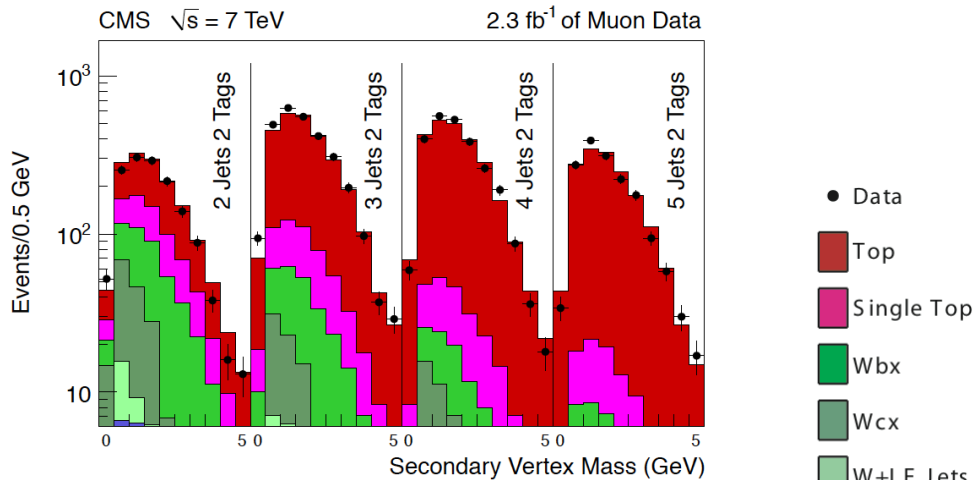
$\bar{c}s$	electron+jets	muon+jets	tau+jets	all-hadronic		
$\bar{u}d$						
$\tau^-$	$e\tau$	$\mu\tau$	$\tau\tau$	tau+jets		
$\mu^-$	$e\mu$	$\mu\mu$	$\mu\tau$	muon+jets		
$e^-$	$e\mu$	$e\mu$	$e\tau$	electron+jets		
W decay	$e^+$	$\mu^+$	$\tau^+$	$u\bar{d}$	$c\bar{s}$	

**Fully hadronic  $\approx 46\%$**   
 important background  
 from QCD multijet  
 events

**Tau channels  $\approx 14\%$**   
 Important background  
 from W + jet, QCD,  
 other ttbar decays

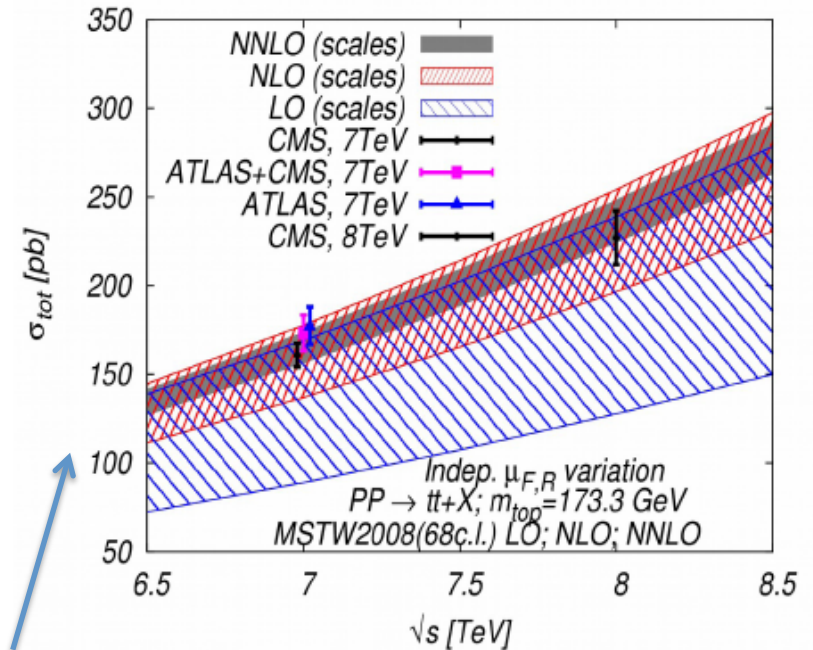
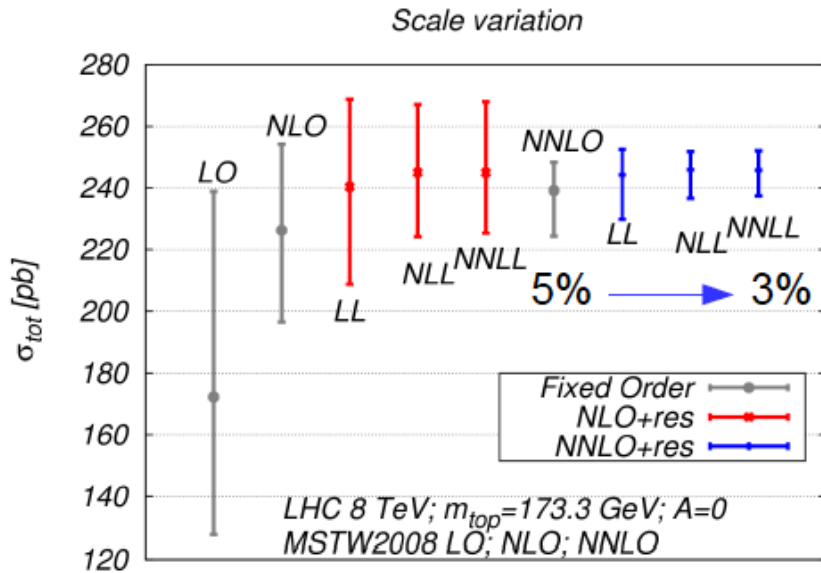
# Inclusive cross section from leptons+jets and dileptons ( $e, \mu$ )

- Excellent background control thanks to jet categorization, b tagging and in situ measurement of jet-energy scale



# Inclusive cross section computed at NNLO (+NNLL)

[Czakon, Fiedler, Mitov; 2013]



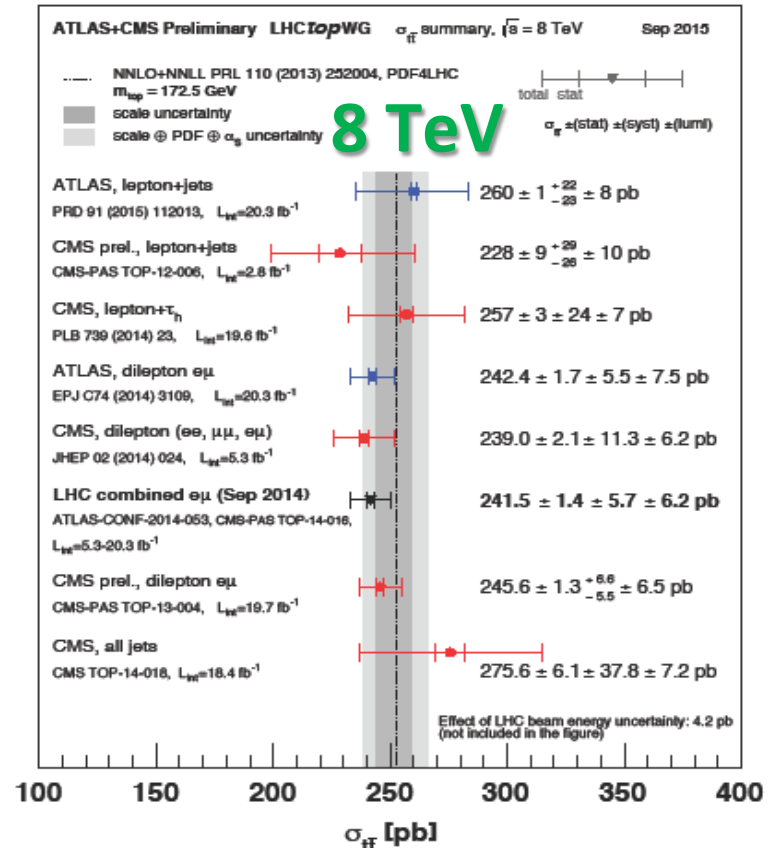
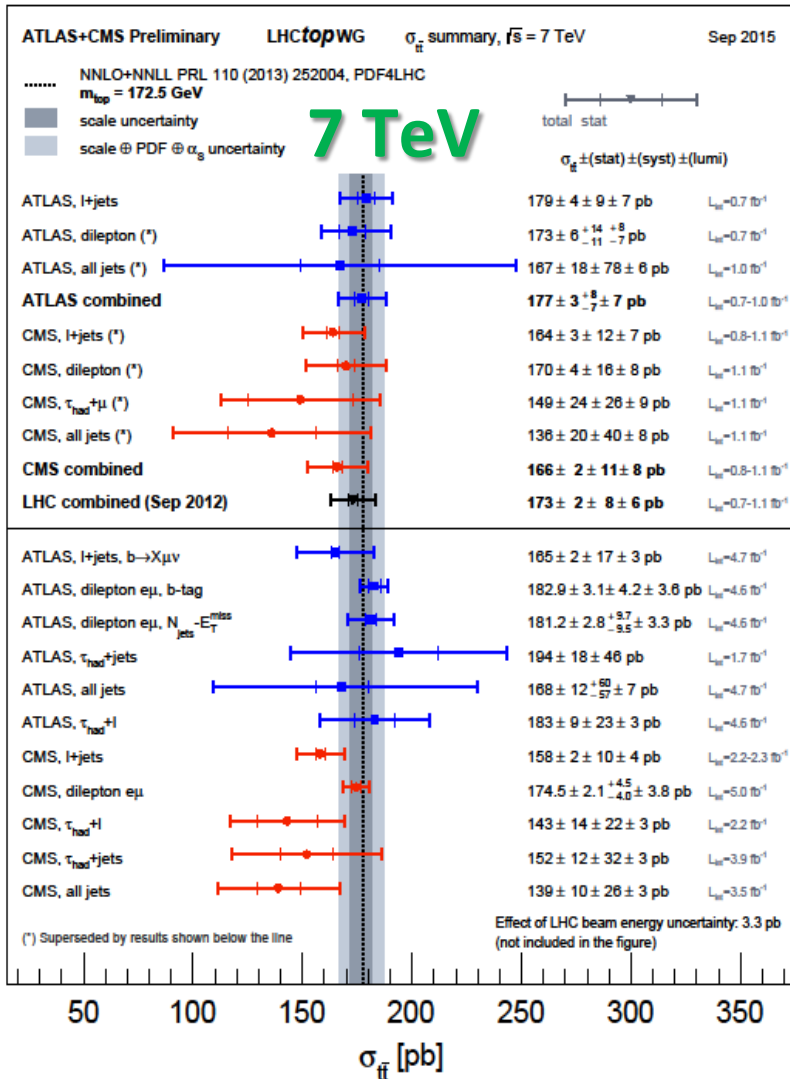
## Uncertainties

- Scales:  $\sim 3\%$
- PDF (68% cl):  $\sim 2 - 3\%$
- Top - mass:  $\sim 3\%$
- Coupling:  $\sim 1.5\%$

**good perturbative convergence**

Collider	$\sigma_{\text{tot}} [\text{pb}]$	scales [pb]	pdf [pb]
Tevatron	7.164	+0.110(1.5%) -0.200(2.8%)	+0.169(2.4%) -0.122(1.7%)
LHC 7 TeV	172.0	+4.4(2.6%) -5.8(3.4%)	+4.7(2.7%) -4.8(2.8%)
LHC 8 TeV	245.8	+6.2(2.5%) -8.4(3.4%)	+6.2(2.5%) -6.4(2.6%)
LHC 14 TeV	953.6	+22.7(2.4%) -33.9(3.6%)	+16.2(1.7%) -17.8(1.9%)

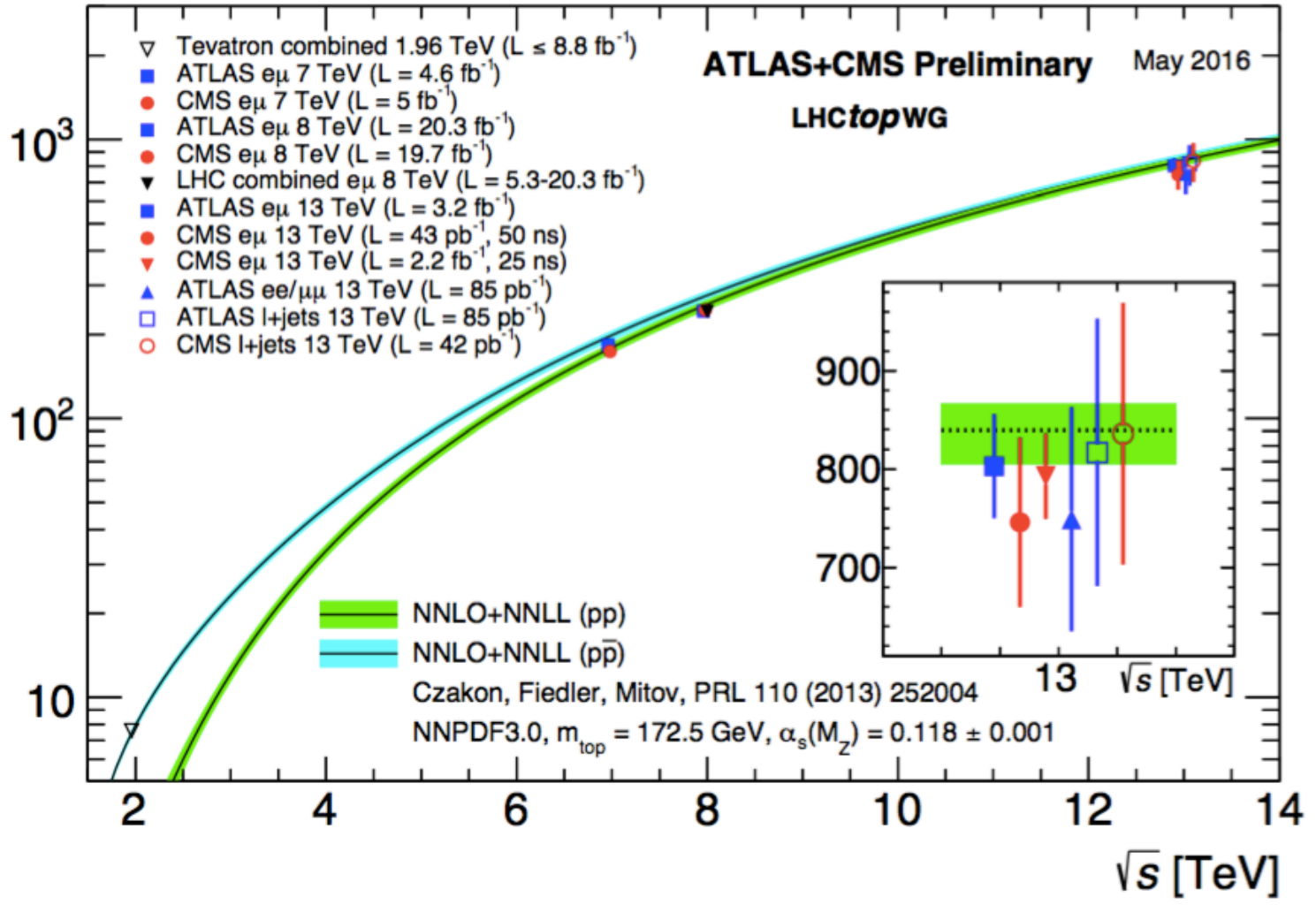
# Inclusive top pair cross-sections



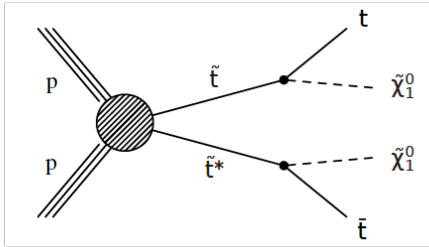
- All channels covered and consistent with SM
- Good agreement with NNLO+NNLL
- Precision of  $\sim 4\%$  (di-lepton channel), similar to theoretical prediction



Inclusive  $t\bar{t}$  cross section [pb]



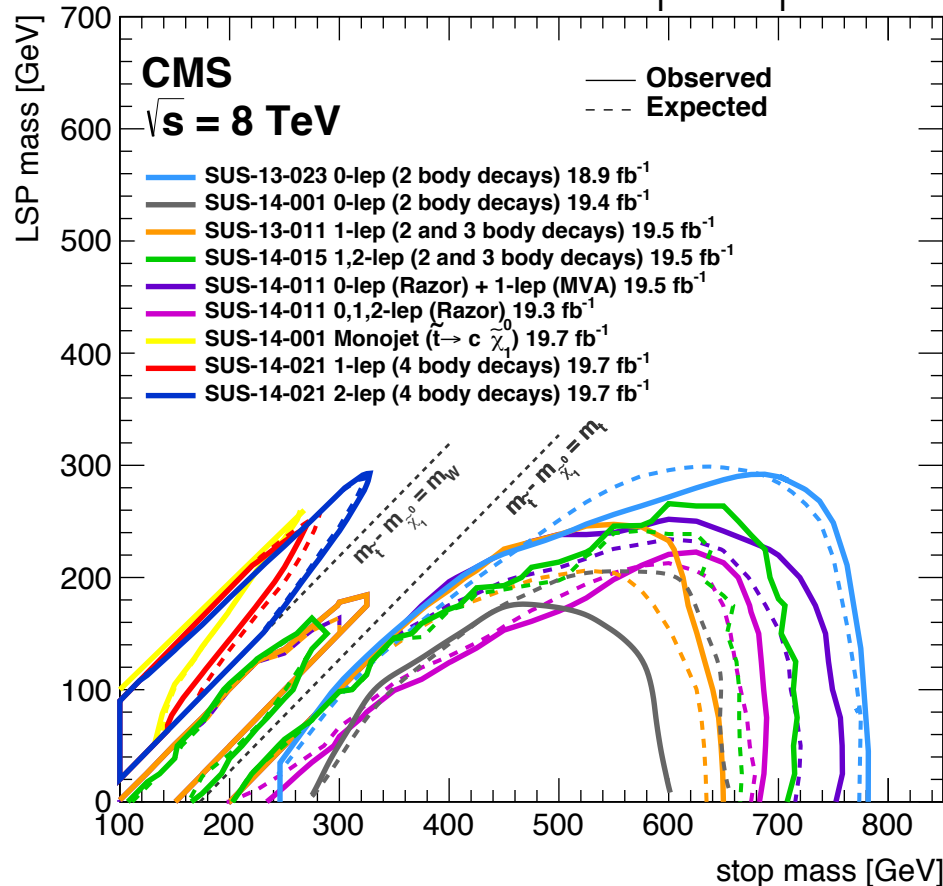
# Inclusive $t\bar{t}$ cross section and bounds on stop



$$m(\tilde{t}) \approx m(\tilde{\chi}_1^0) + m_t \longrightarrow \sigma_{t\bar{t}} \quad (\text{and } t\bar{t} \text{ spin correlations})$$

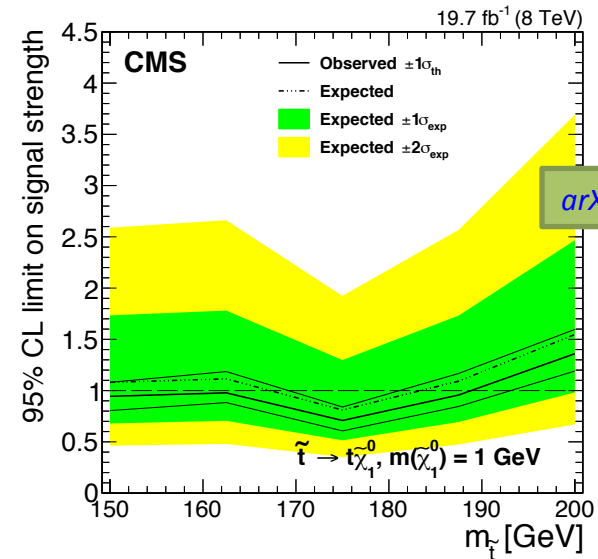
more sensitive than standard SUSY searches for low  $m(\tilde{\chi}_1^0)$  and  $m(\tilde{t}) \approx m_t$

$\tilde{t}\text{-}\tilde{t}$  production,  $\tilde{t} \rightarrow t \tilde{\chi}_1^0 / c \tilde{\chi}_1^0$



Simplified model with two parameters:

$$m(\tilde{t}), m(\tilde{\chi}_1^0)$$



[arXiv:1603.02303](https://arxiv.org/abs/1603.02303)

$$m(\tilde{t}; \tilde{\chi}_1^0 = 1 \text{ GeV}) > 189 \text{ GeV}$$

$$m(\tilde{t}; \tilde{\chi}_1^0) \notin 185 - 189 \text{ GeV}$$

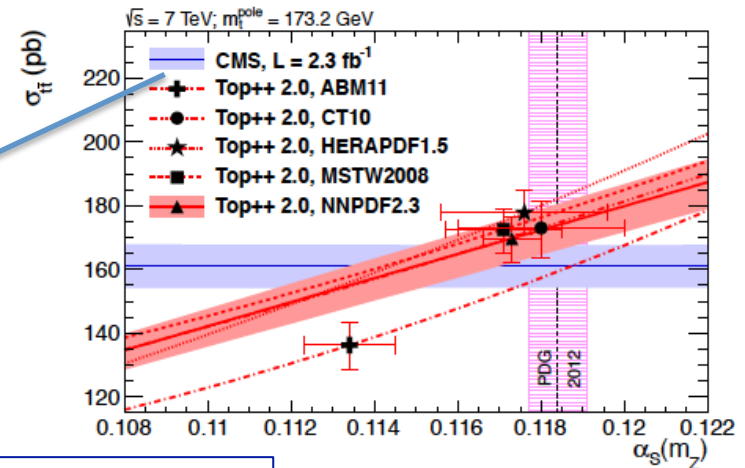
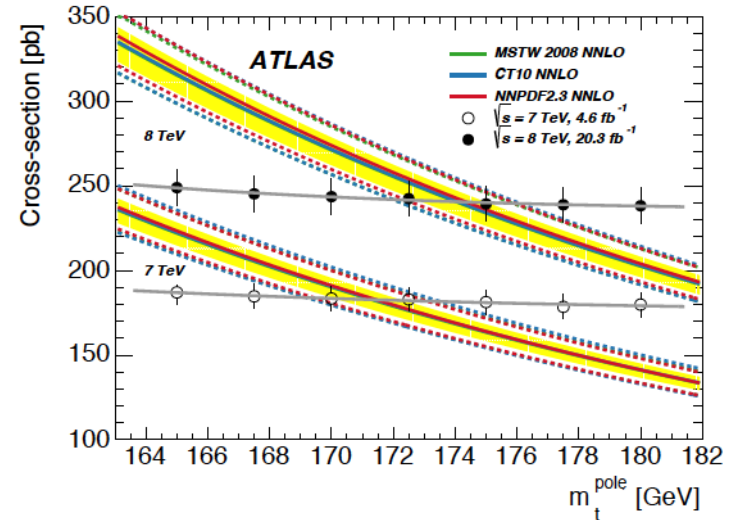
# use $t\bar{t}$ cross section for top mass and $\alpha_s$

[arXiv:1307.1908, arXiv:1406.5375]

- Measure cross section in the most precise channel: dilepton  $e\mu$
- Use recent NNLO calculation (\*) of top pair cross section to extract  $m_t$
- Provides also a measurement of  $\alpha_s$
- The method takes advantage of the excellent luminosity knowledge at LHC ( $\sim 2\%$ ), which is also the long-term experimental limitation, together with the knowledge of the LHC beam energy

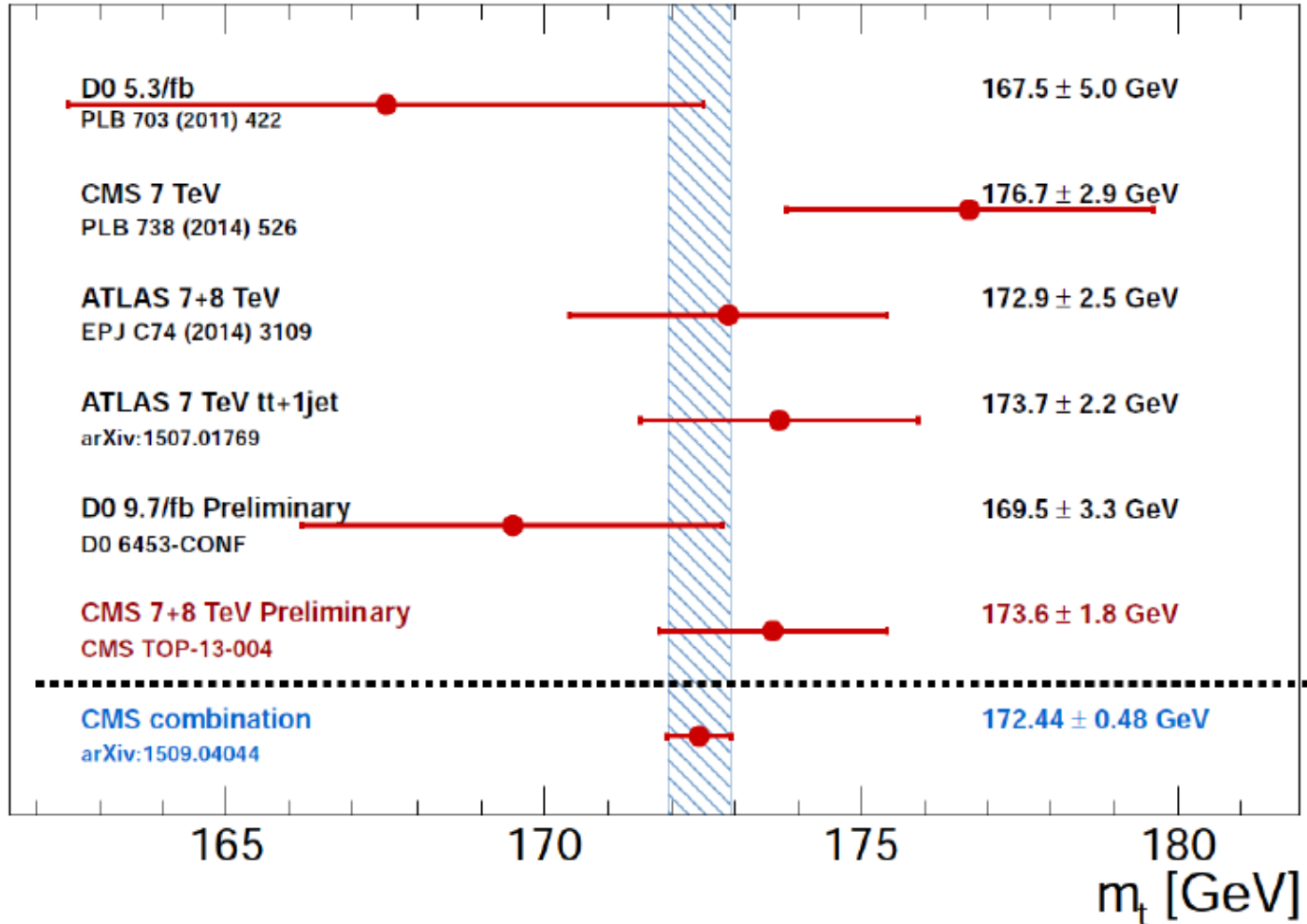
$$m_t = 176.7^{+3.0}_{-2.8} \text{ GeV}$$

$$\alpha_s = 0.1151^{+0.0028}_{-0.0027}$$



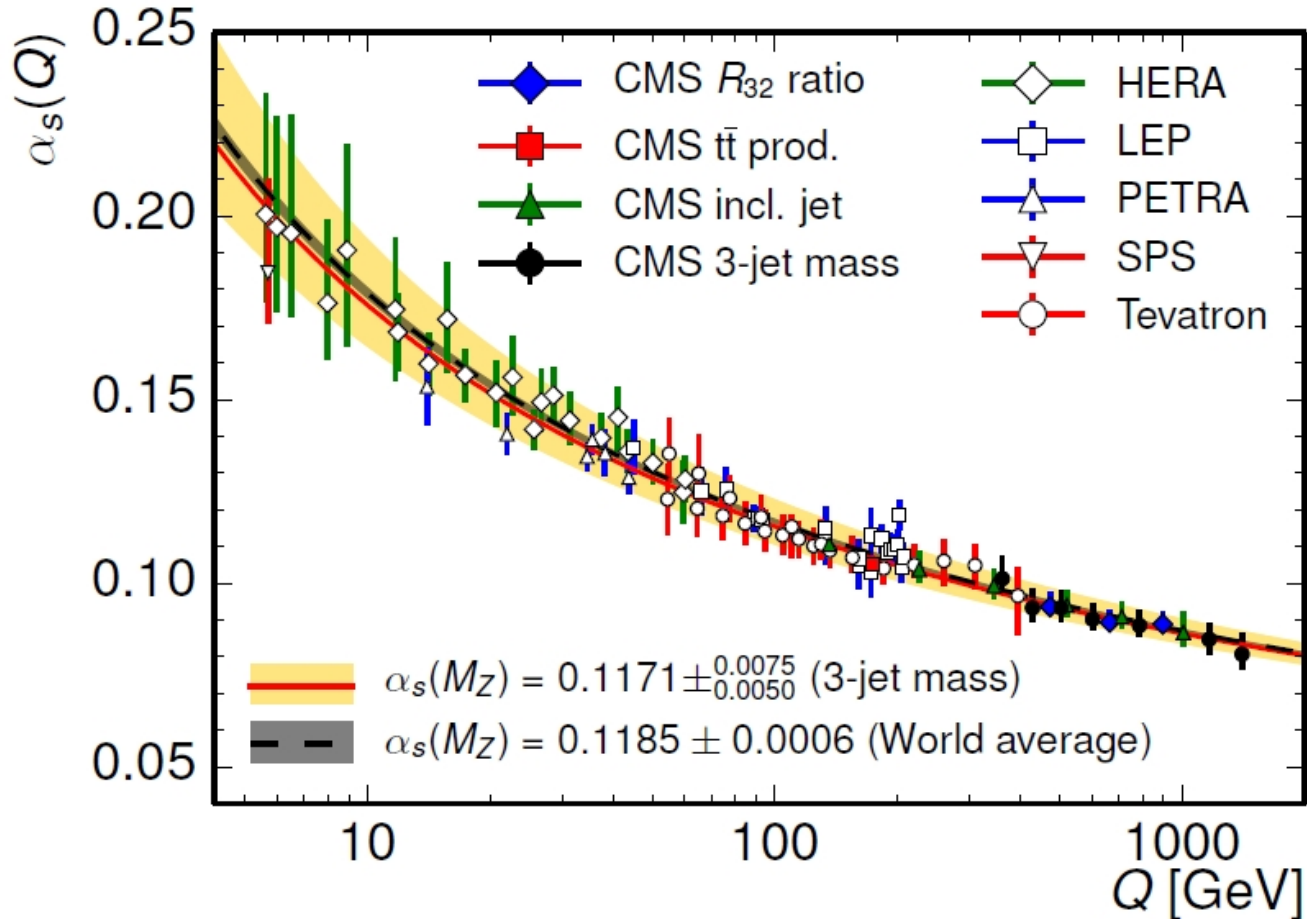
(\*) Czakon, Fiedler, Mitov, (2013) arxiv:1303.6254, PRL 110.252004

# Top mass extraction from cross section measurements



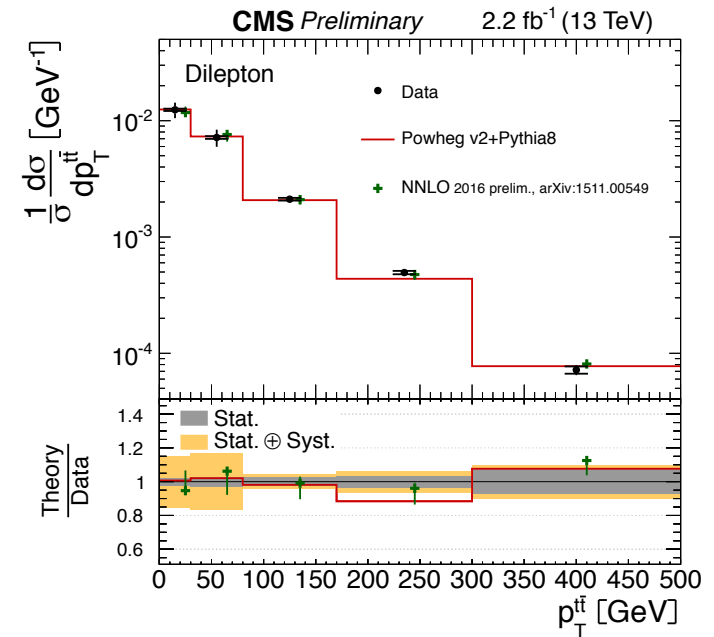
# Running of $\alpha_s$

- a very precise point from  $t\bar{t}$ bar -

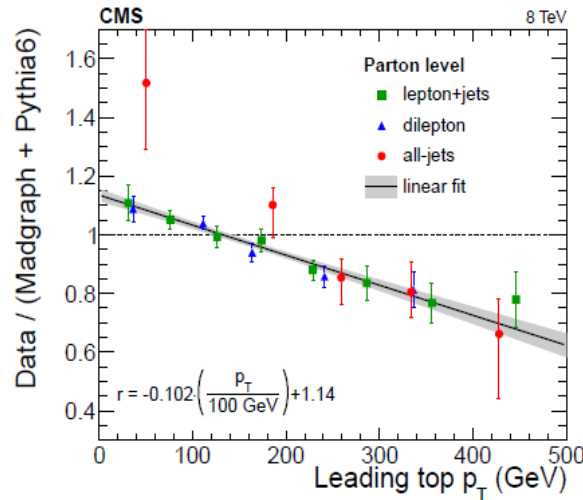
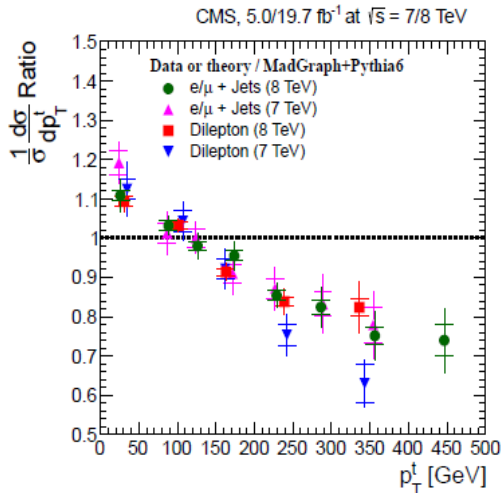


# Differential cross sections

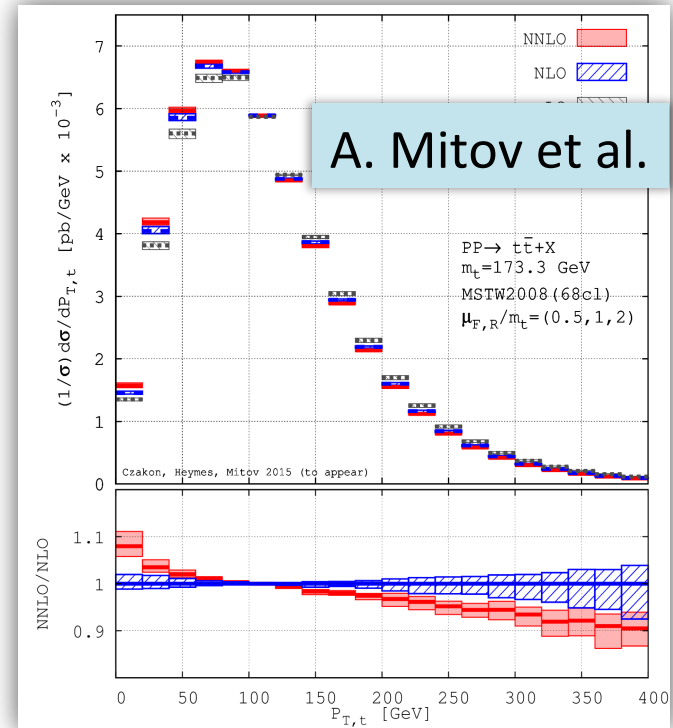
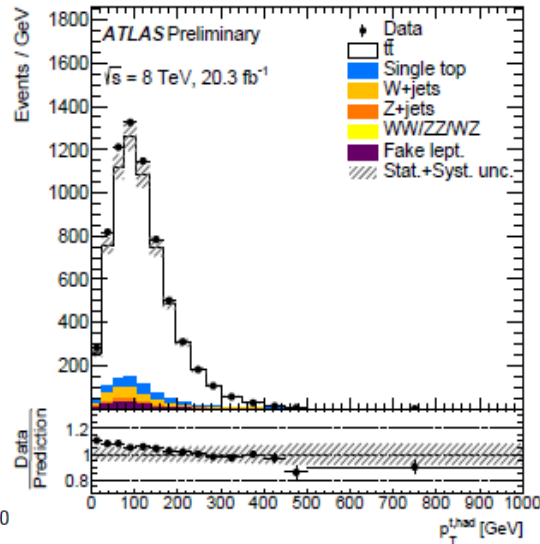
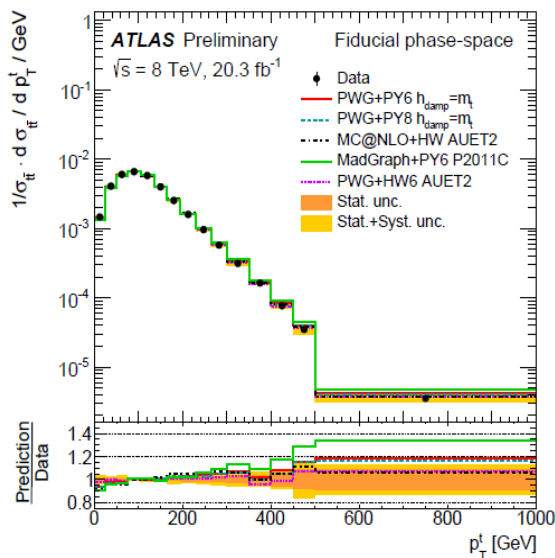
- Important measurements, they will play an important role for
  - i) investigate limitations of present MC (which QCD predictions and models describe our data best, in the search areas like high  $m(t\bar{t})$  and high multiplicities)
  - ii) provide independent interpretations (e.g. mass AND  $\alpha_s$  from cross section)
  - iii) sensitivity to high- $x$  gluon ( $\gamma(t\bar{t})$ )



# top $p_T$ differential distribution



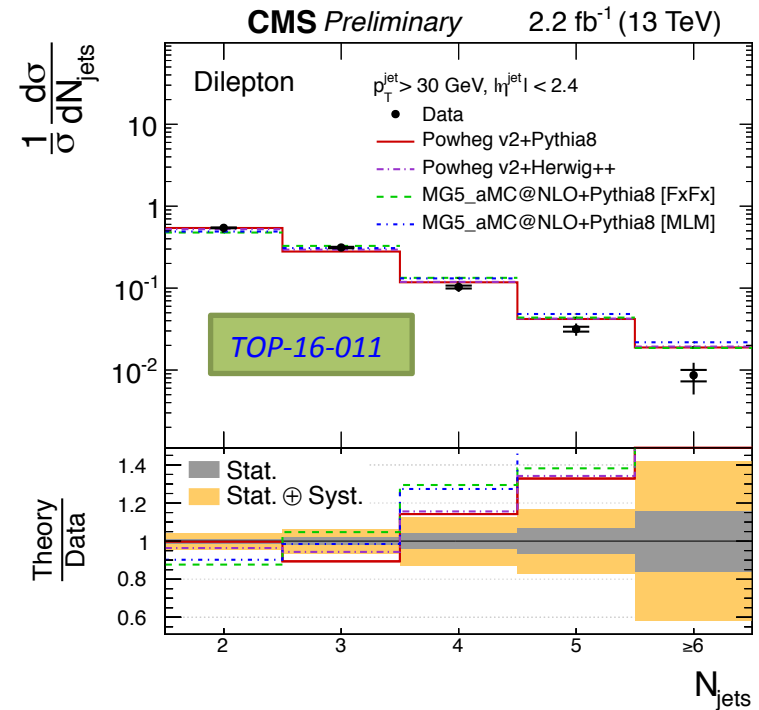
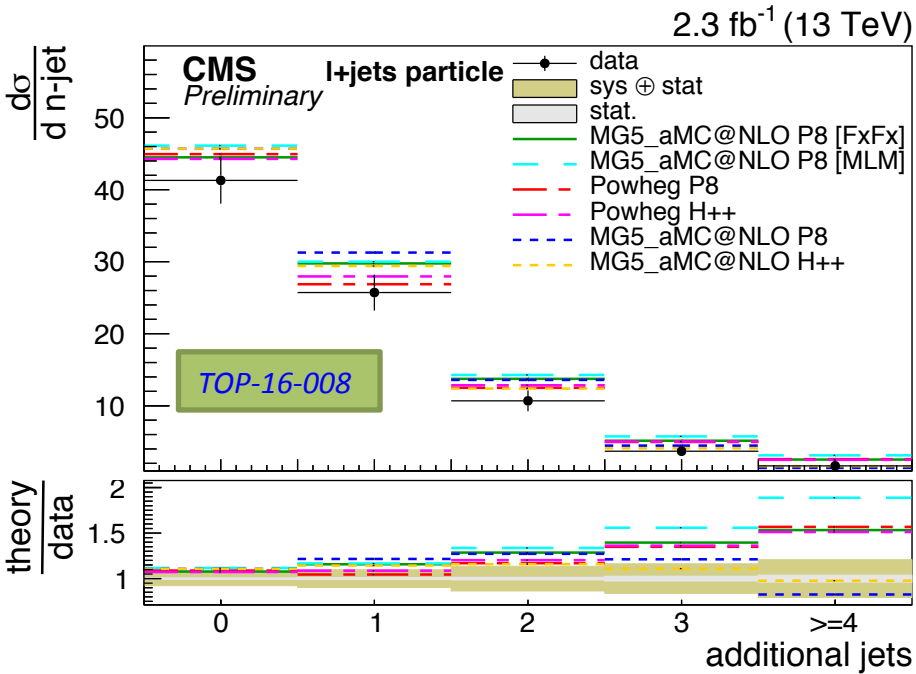
- full NNLO calculation seem confirm observed slope, in direction closer to the data





# Jet Multiplicity at $\sqrt{s} = 13$ TeV

- Low jet multiplicities  $\rightarrow$  Sensitive to Matrix element and matching to parton shower.
- High jet multiplicities  $\rightarrow$  parton shower
- $t\bar{t}$ +jets important background to  $t\bar{t}H$ .

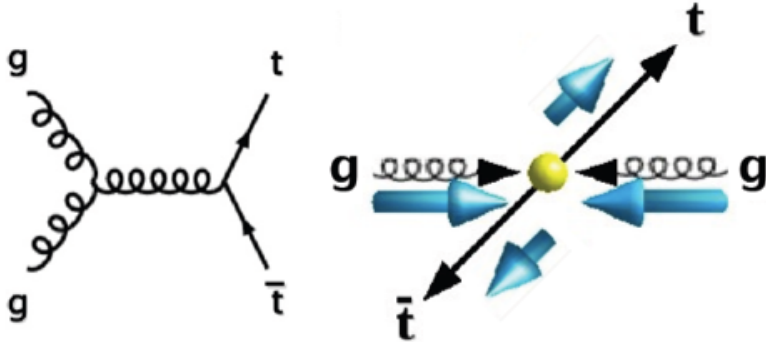


- New ME generator + PS codes in Run II
- Predictions overshoot the data for jet large multiplicities when out of the box parameters are used

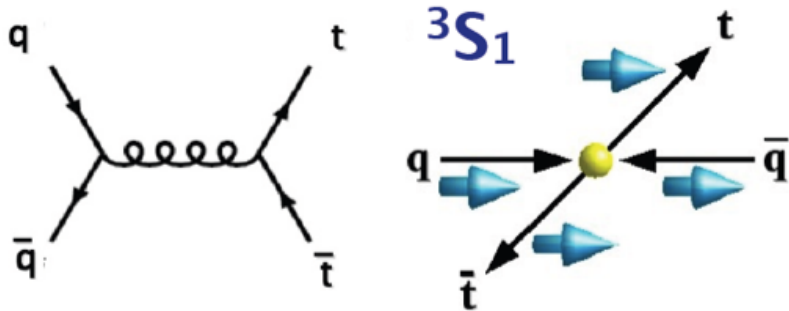
# Spin correlations in $t\bar{t}$

Another tool to investigate the production mechanism, possible only for the top quark  
Investigating it now, but will become a precision tool with high statistics

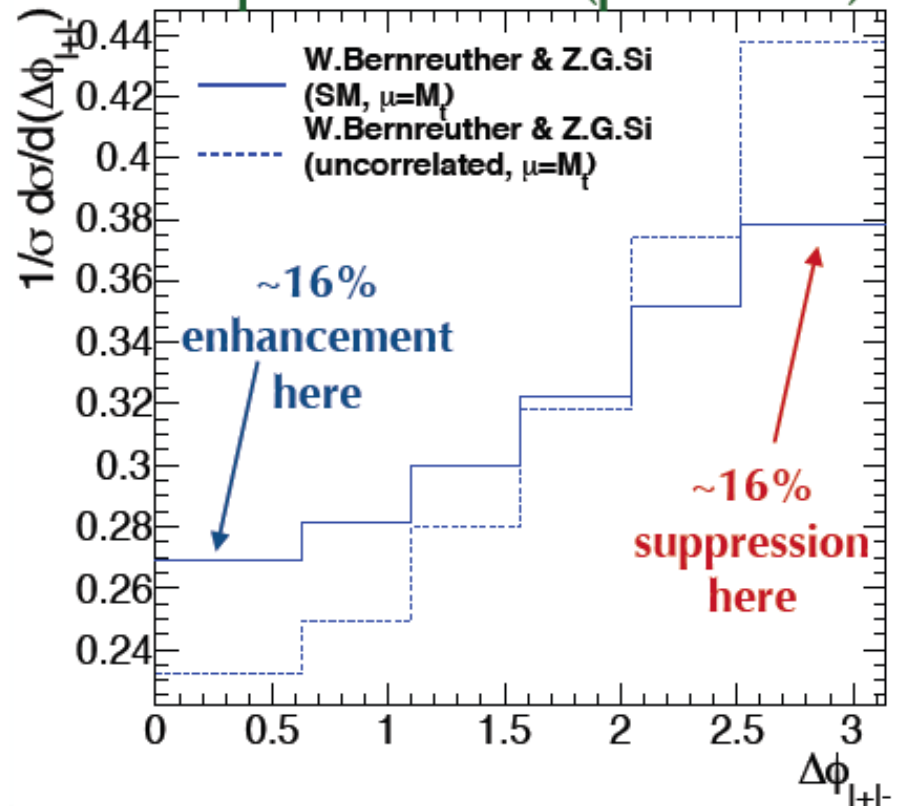
gluon-gluon example at high boost



qqbar example at threshold



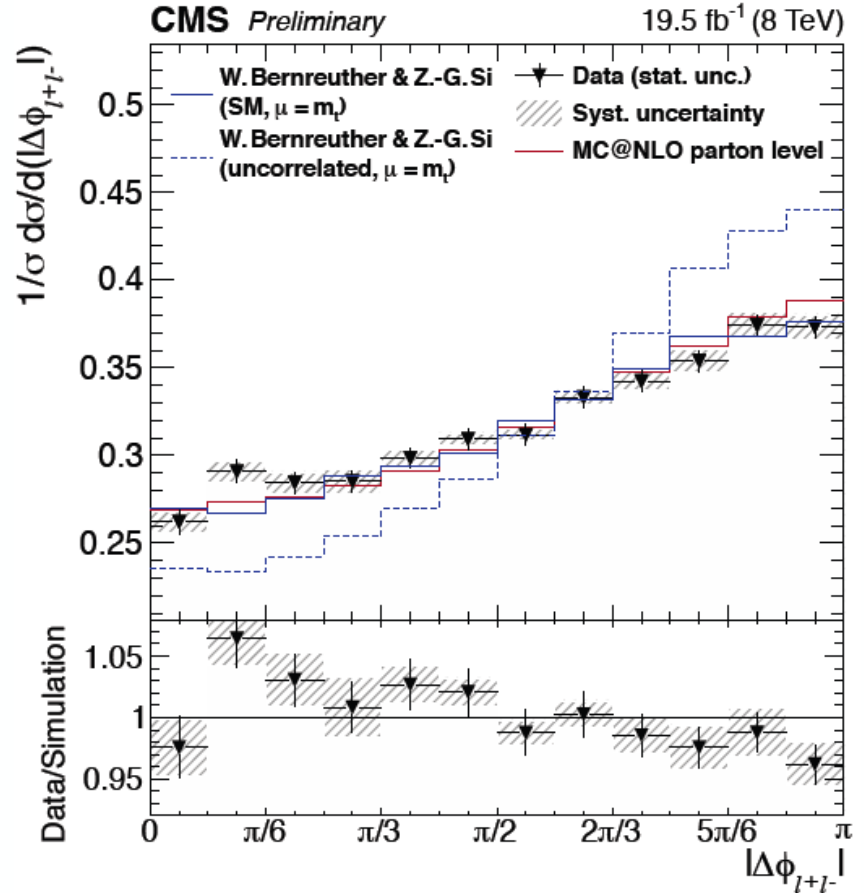
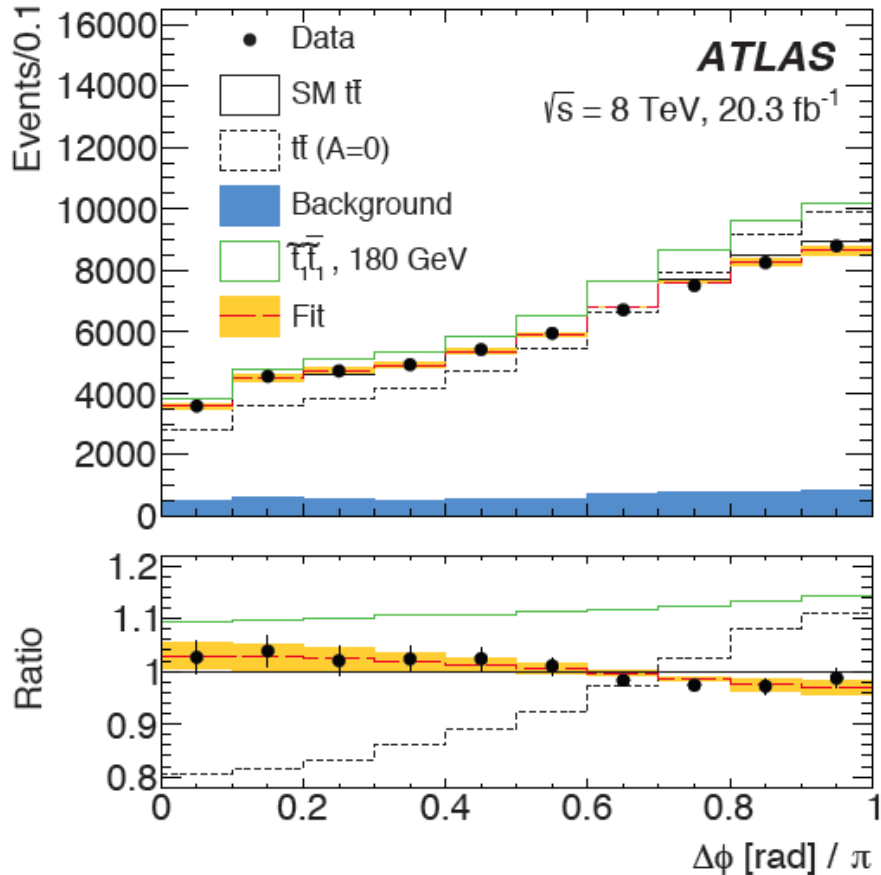
$\Delta\phi$  distribution in presence and absence of spin correlations (parton level)



# $\Delta\phi$ results from ATLAS and CMS

[PRL 114, 142001 \(2015\)](#)

[CMSTOP-14-023](#)



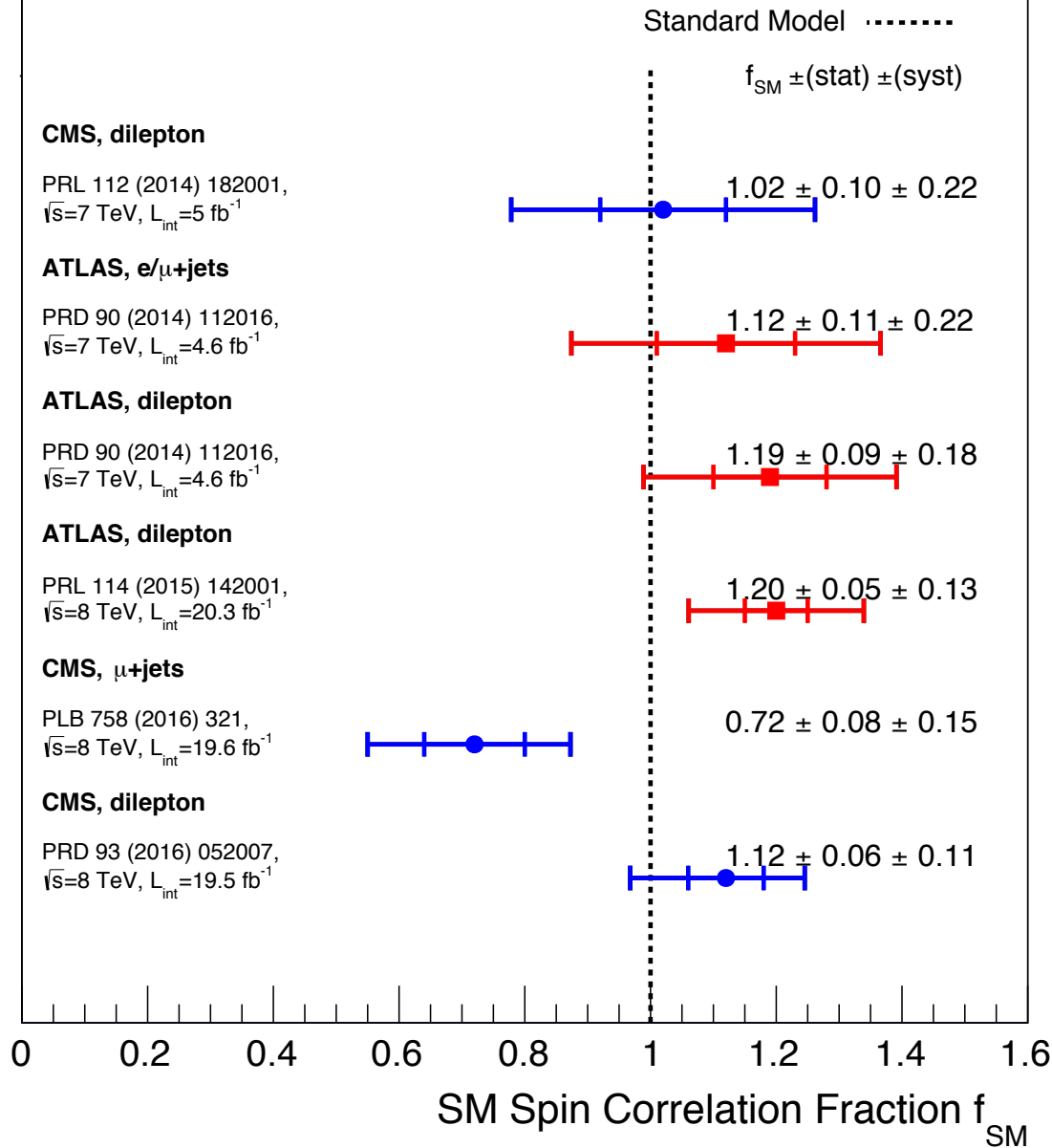
can extract limits to chromomagnetic dipole moments or to probe SUSY (stop)

**$-0.050 < \text{Re}(\hat{\mu}_t) < 0.076$  (95% CL)**

(CMSTOP-14-023)

# tt Spin Correlation Measurements Summary

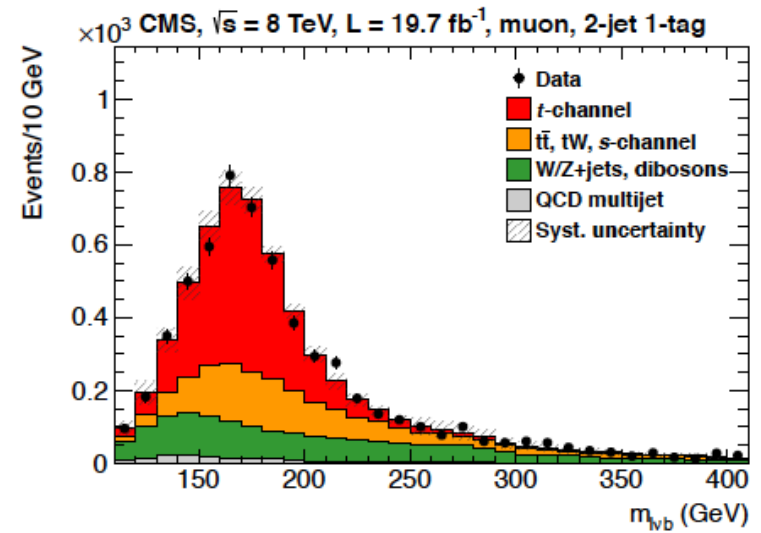
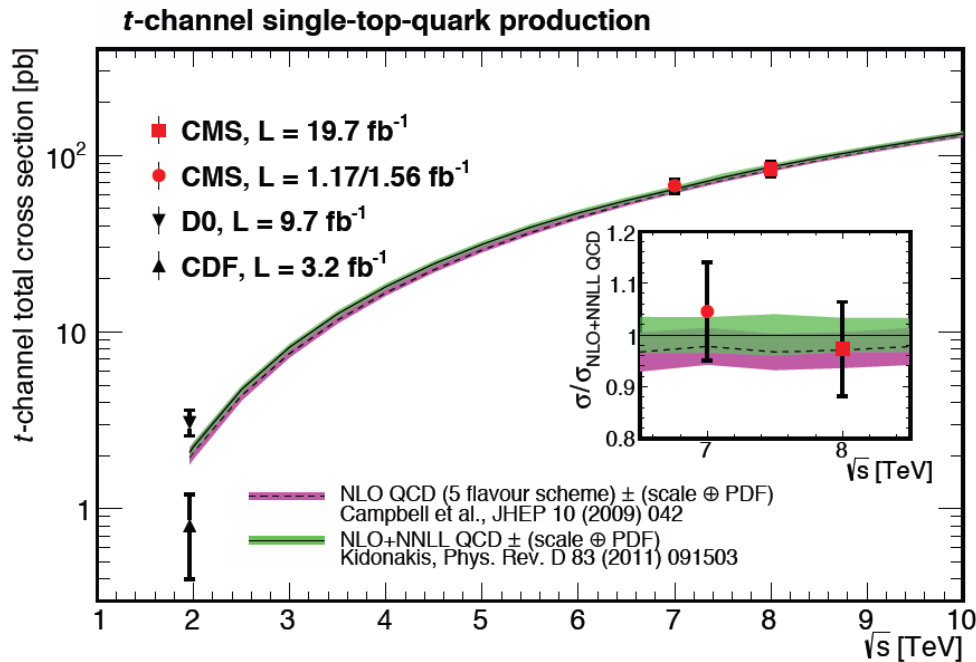
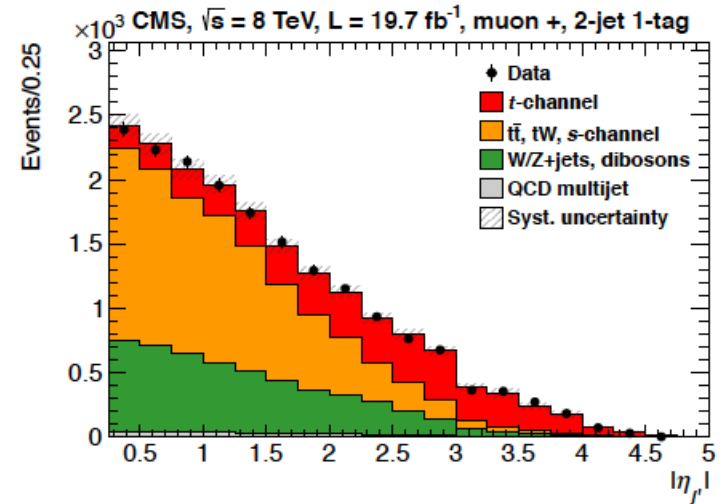
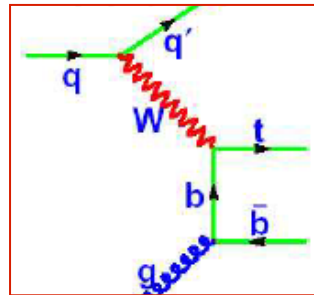
May 2016



$$f \equiv \frac{N_{SM}}{N_{SM} + N_{non-SM}}, \quad f_{SM} = 1$$

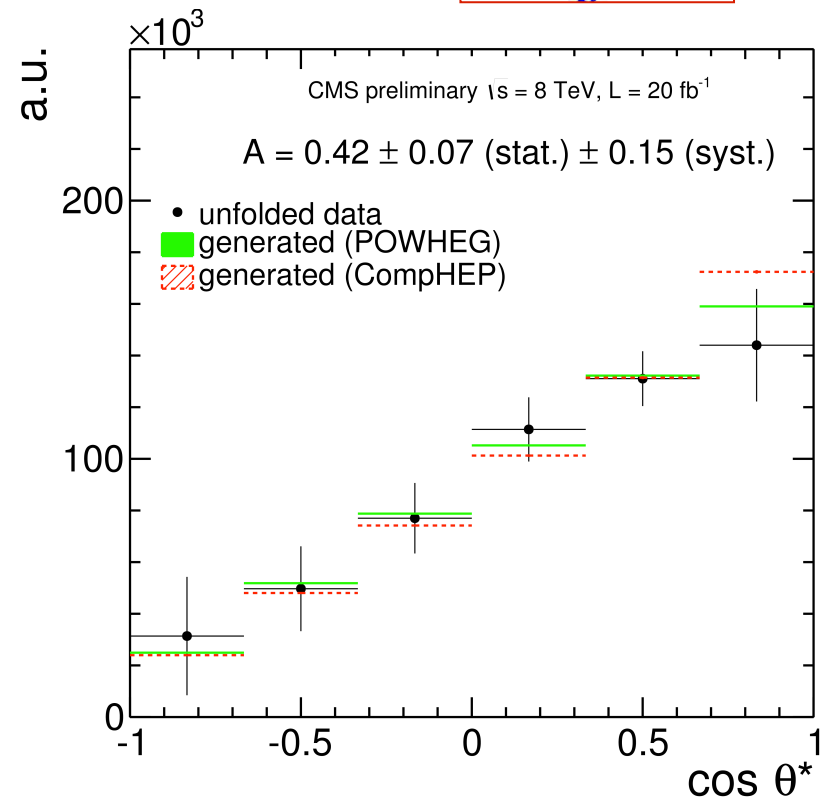
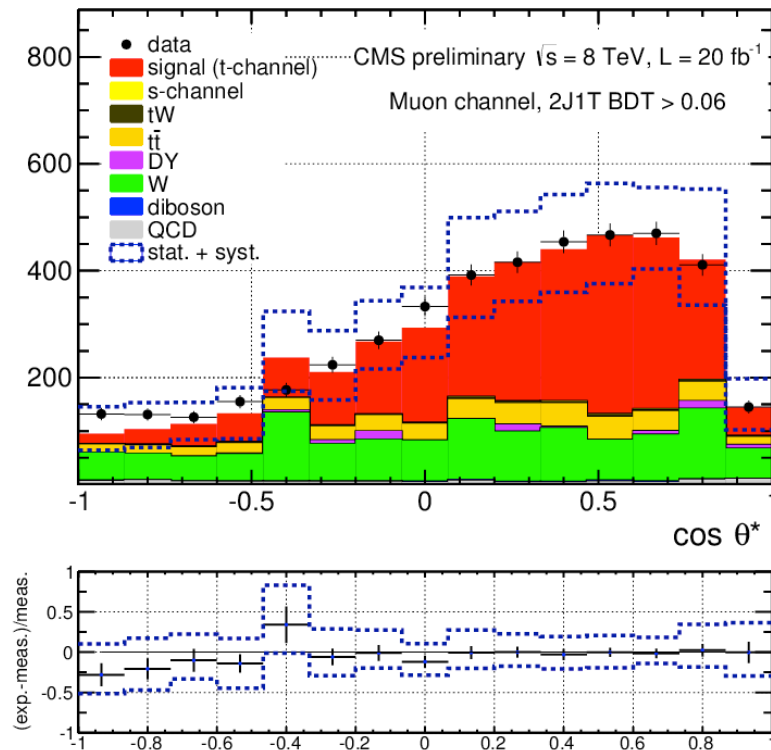
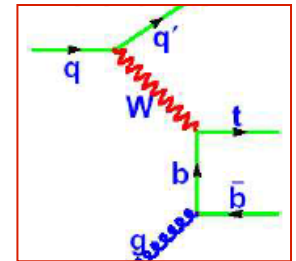
**SINGLE TOP**

# Single top t-channel

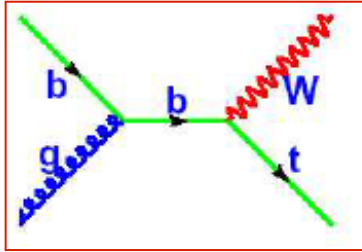


# single top polarization in t-channel

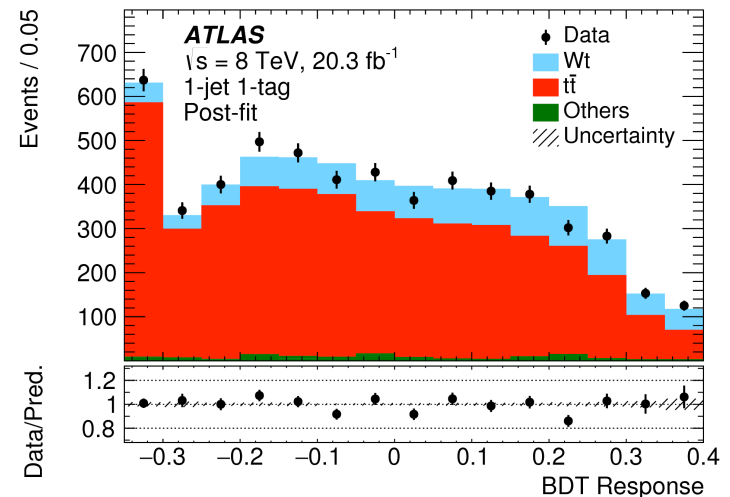
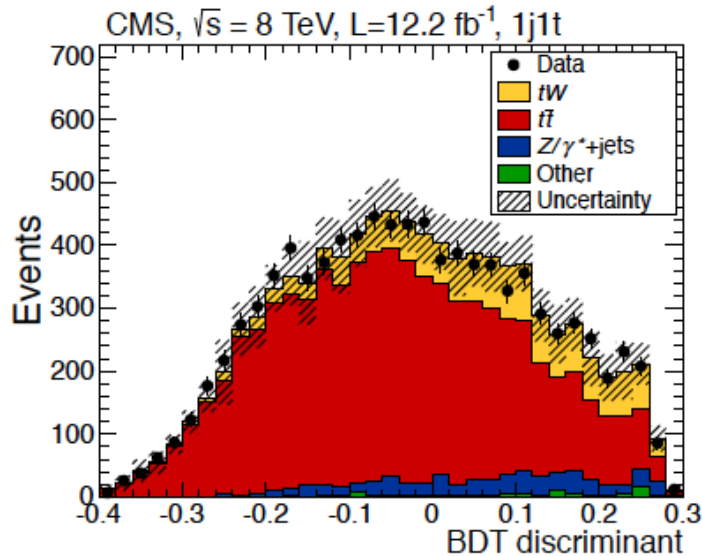
- V-A current, top 100% polarized !



# single top tW channel

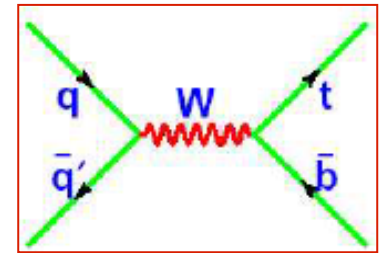


- Final state similar to top pair production
  - just miss one b in 5 flavour scheme
- At higher order interference with top pair
  - requires operative definition of cross section
- Experimentally not easy to separate from top pairs





# Single top s-channel



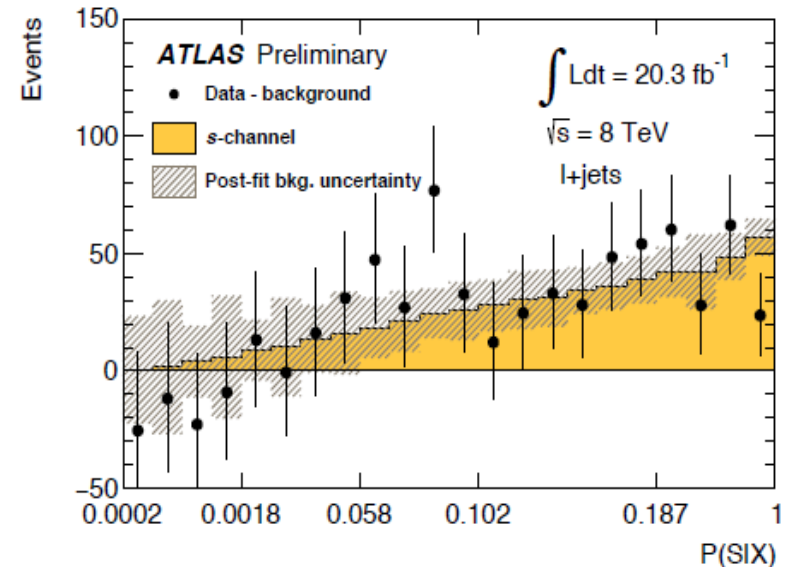
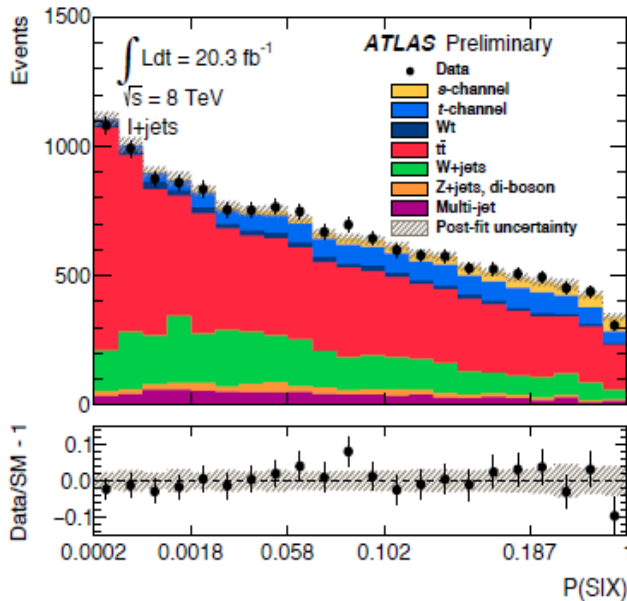
$$\sigma_s = 4.8 \pm 1.1 \text{ (stat.)}_{-2.0}^{+2.2} \text{ (syst.) pb}$$

Significance:  $3.2\sigma$  (exp.  $3.9\sigma$ )

→ Consistent with SM expectation:  
 $\sigma_{s-ch.}^{theory} = 5.61 \pm 0.22 \text{ pb}$

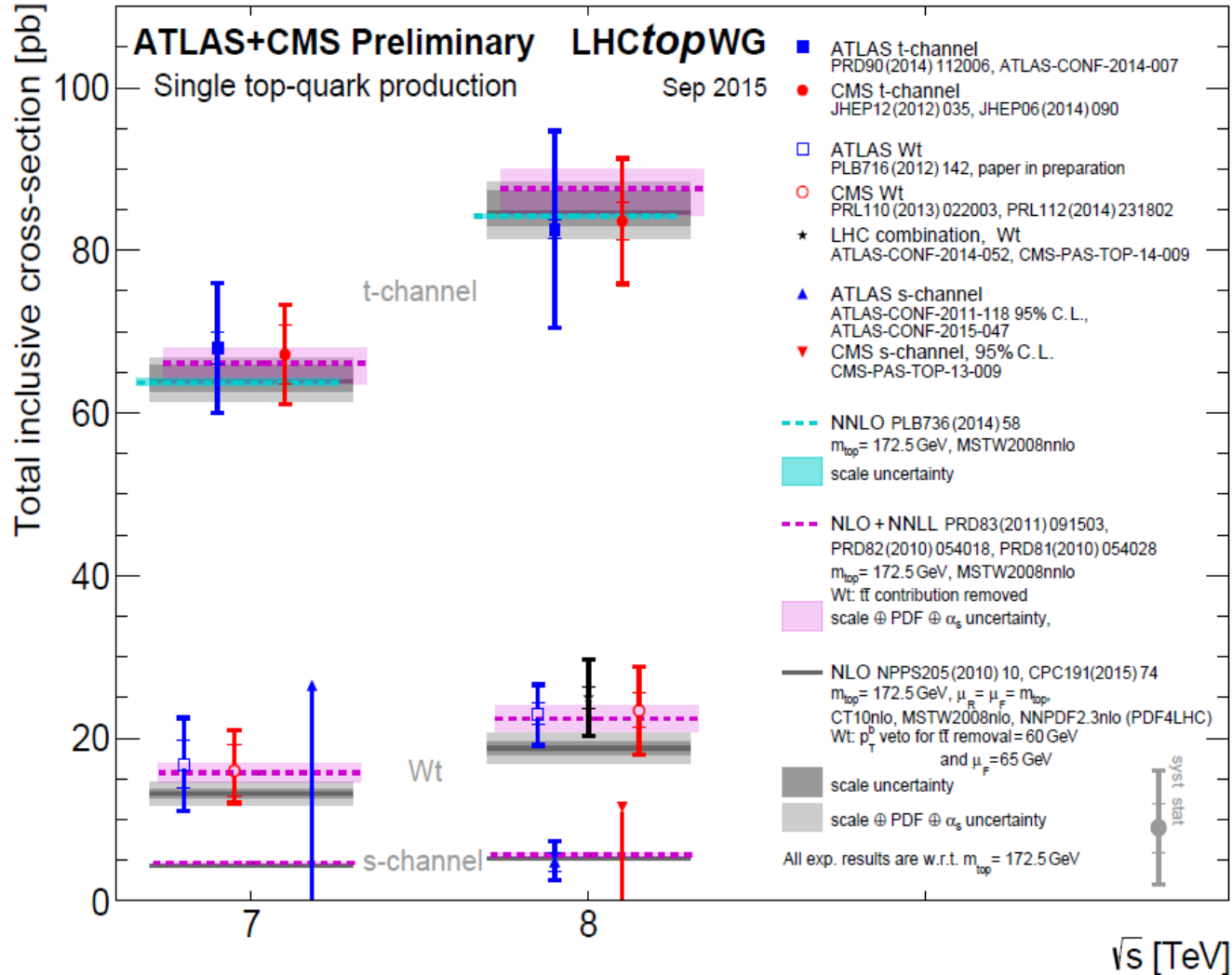
Uses the Matrix Element method to squeeze out optimal sensitivity...

2-jet 2-tag ( $\sim 4.3\%$  of s-channel)

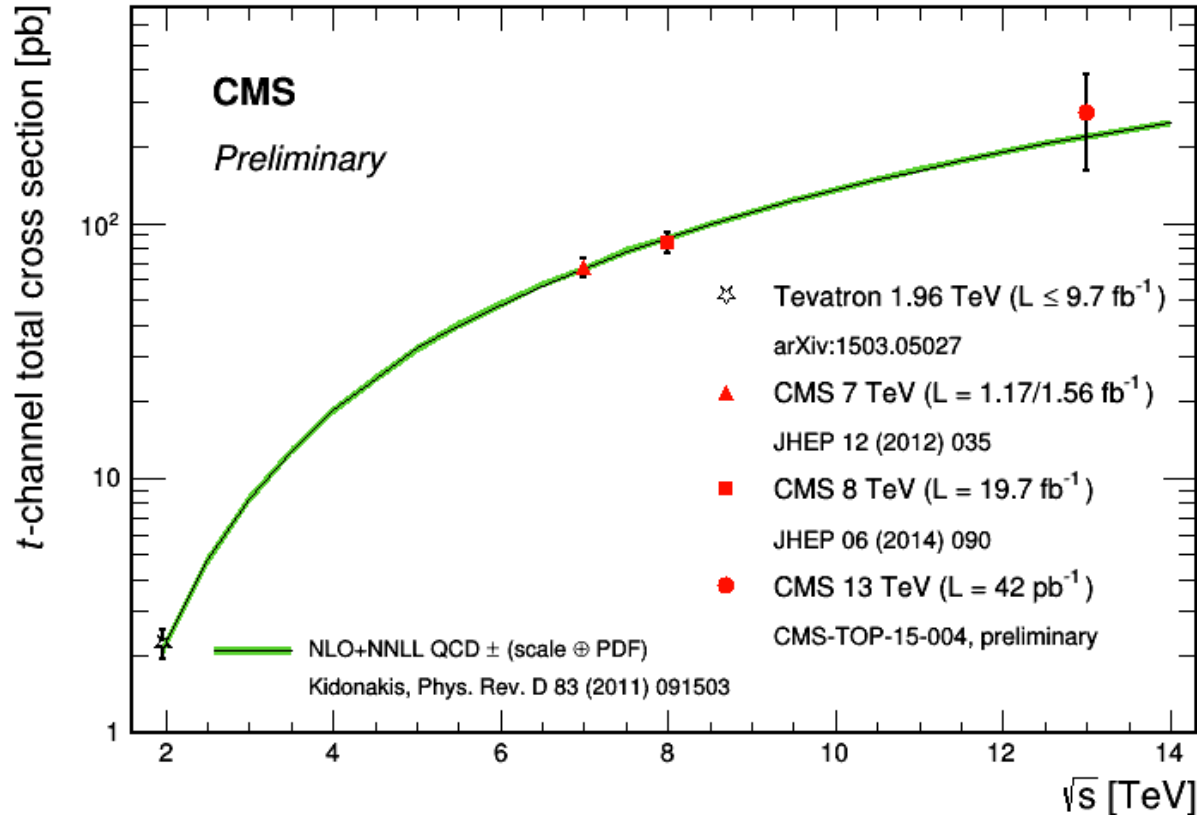


First EVIDENCE  
of the s-channel production at LHC

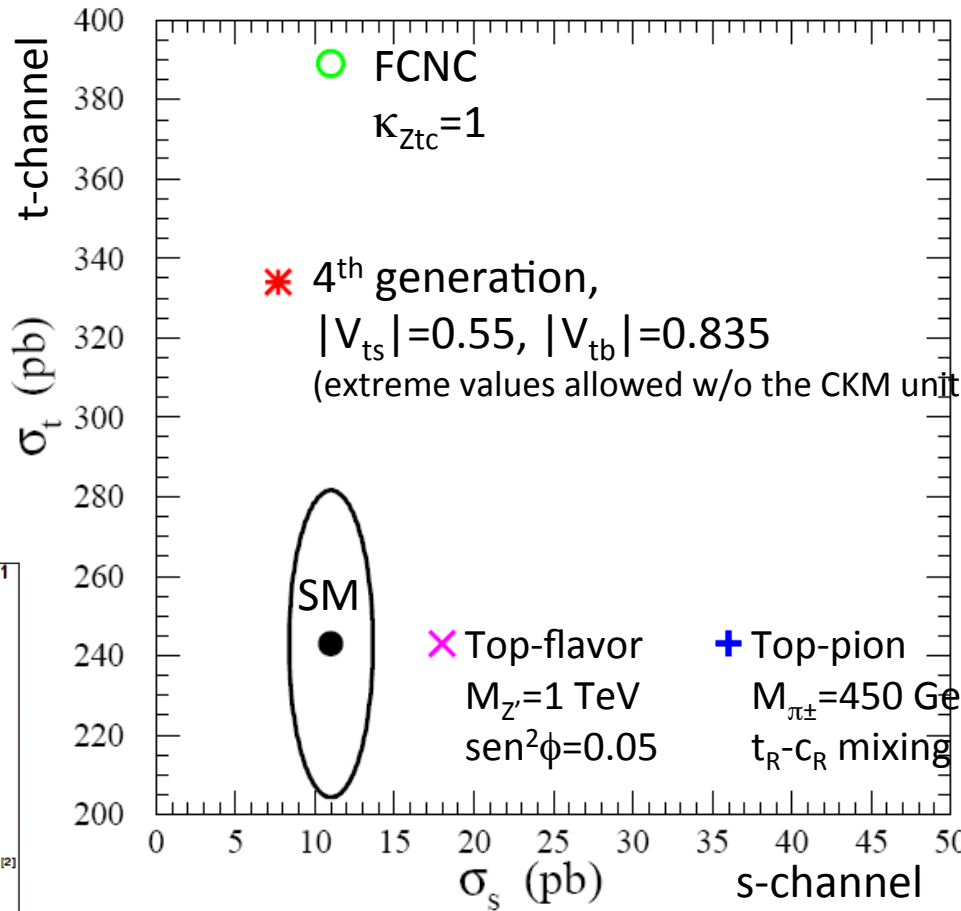
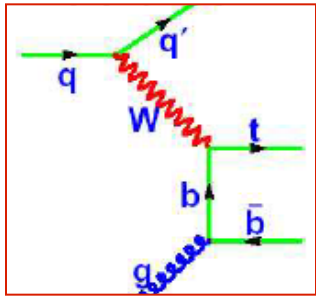
# Single Top: the complete picture



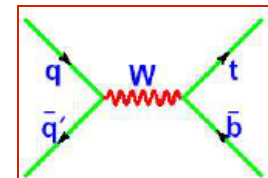
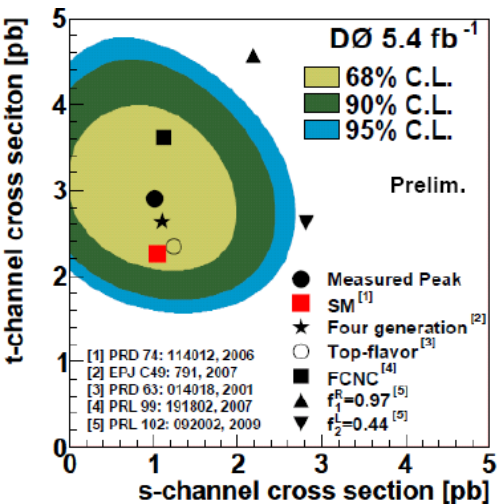
# single top cross section vs sqrt(s)



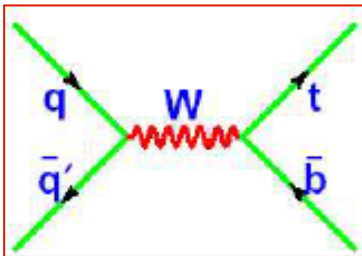
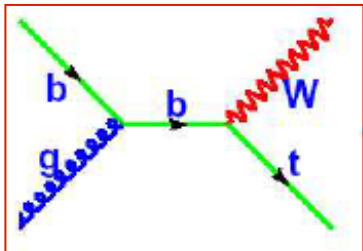
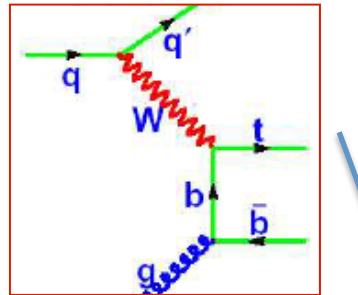
# Single top in t and s channel sensitive to different aspects of New Physics (tW, too !)



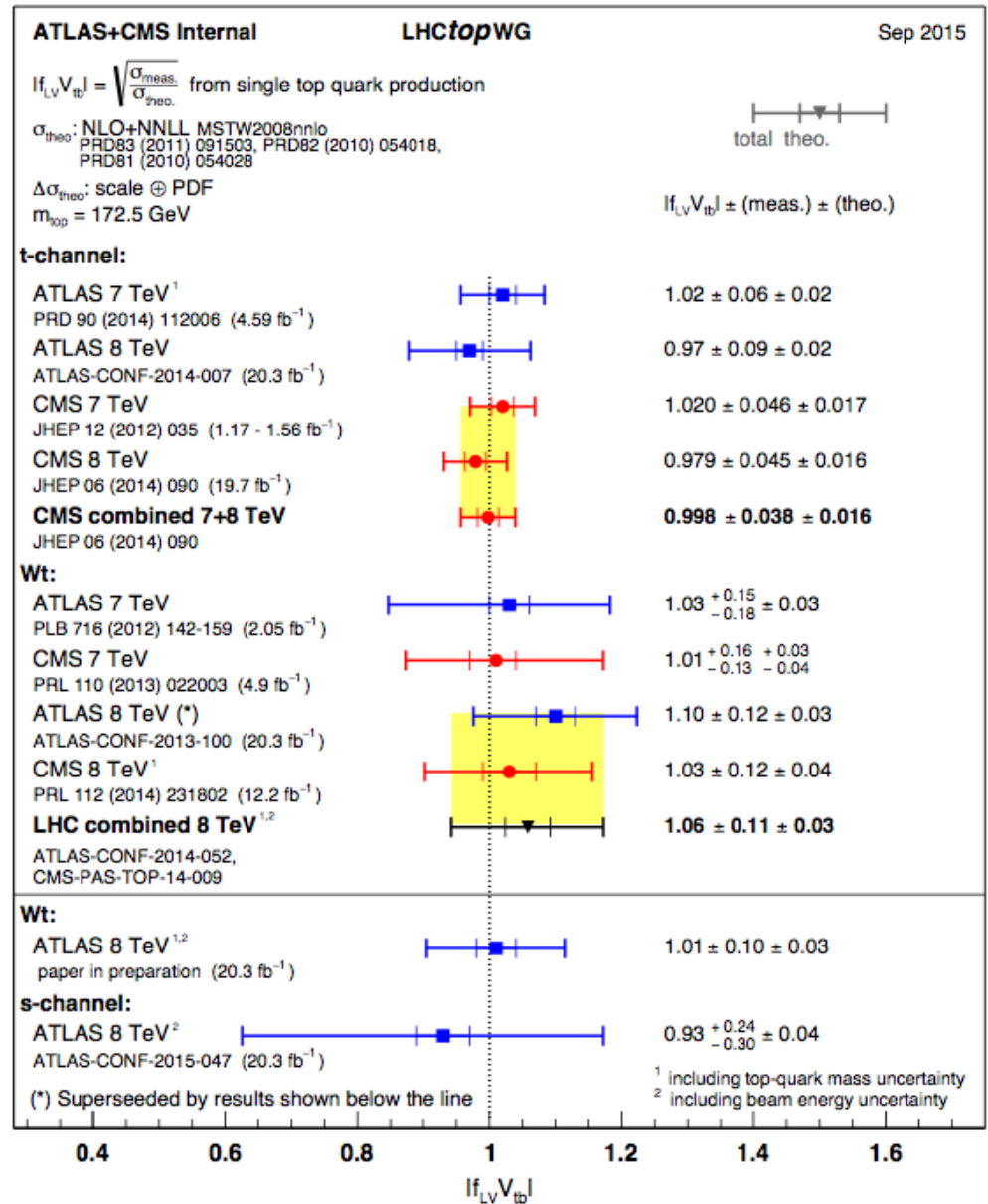
(plot for 14 TeV)



# Single top and $|V_{tb}|$

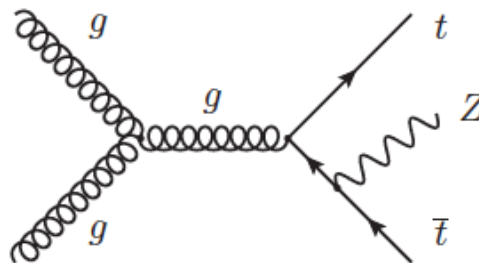
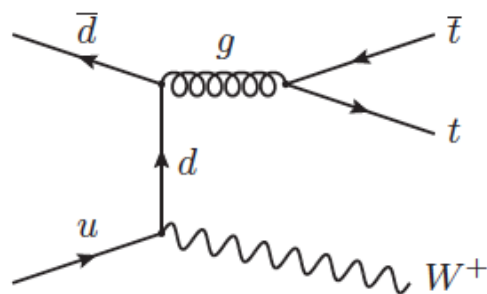


$$\sigma \propto |V_{tb}|^2$$



# **ASSOCIATED PRODUCTION OF TOP AND BOSONS (AND MORE ...)**

# Associated production of top pair and vector boson



CMS TOP-14-021

ATLAS  
arXiv:1509.05276

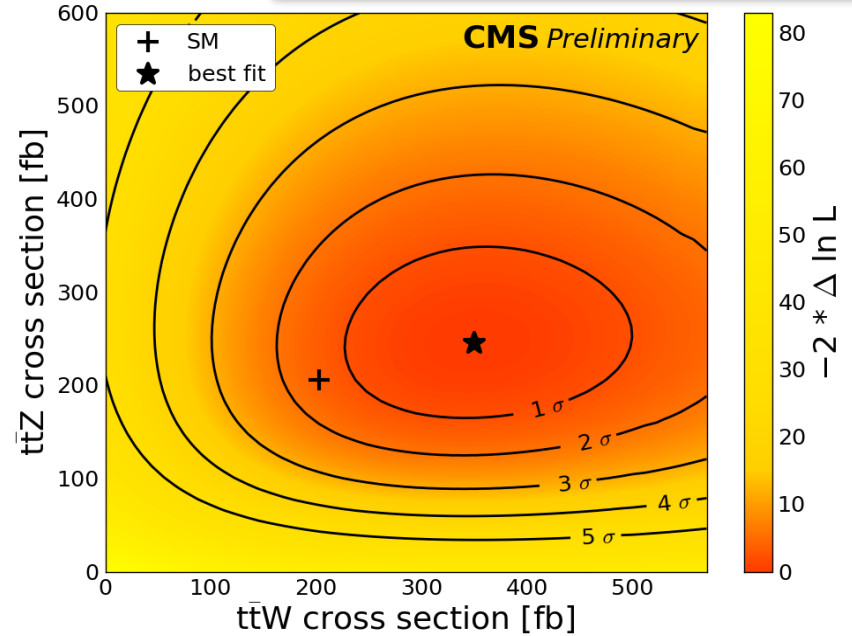
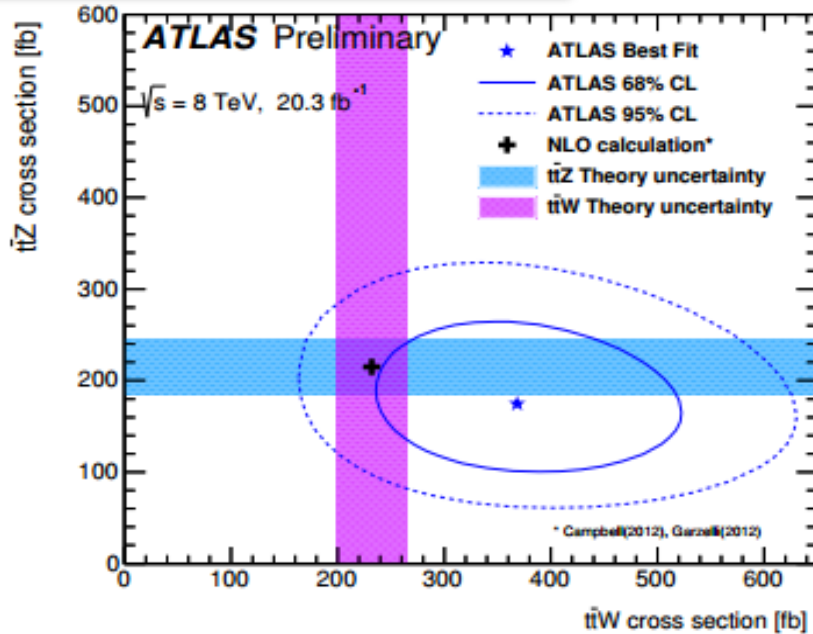
- The  $t\bar{t}Z$  process provides direct access to Z-top couplings
- Both  $t\bar{t}W$  and  $t\bar{t}Z$  processes can be altered by BSM physics
- Measured  **$t\bar{t}W$**  and  **$t\bar{t}Z$**  cross sections with  $19.5 \text{ fb}^{-1}$  of data collected at 8 TeV
- Measurement performed in multilepton ( $e$  or  $\mu$ ) final states
  - **$t\bar{t}Z$  measured in channels with two, three, or four leptons, with exactly one pair of same-flavor opposite-sign (OS) leptons close to the Z mass.**
  - **$t\bar{t}W$  measured in channels with two same-sign (SS) leptons or three leptons, where no lepton pair is consistent with coming from a Z boson decay.**
  - full or partial reconstruction of the  $t\bar{t}W$  or  $t\bar{t}Z$  system with a linear discriminant that matches leptons and jets to their parent particles using mass, charge, and b tagging information.

# ttV: Observation !

ttW: 3.2  $\sigma$  (exp) 5.0  $\sigma$  (obs)  
 ttZ: 4.5  $\sigma$  (exp) 4.2  $\sigma$  (obs)

**TOP 2015**

ttW: 3.8  $\sigma$  (exp) 4.8  $\sigma$  (obs)  
 ttZ: 5.7  $\sigma$  (exp) 6.4  $\sigma$  (obs)

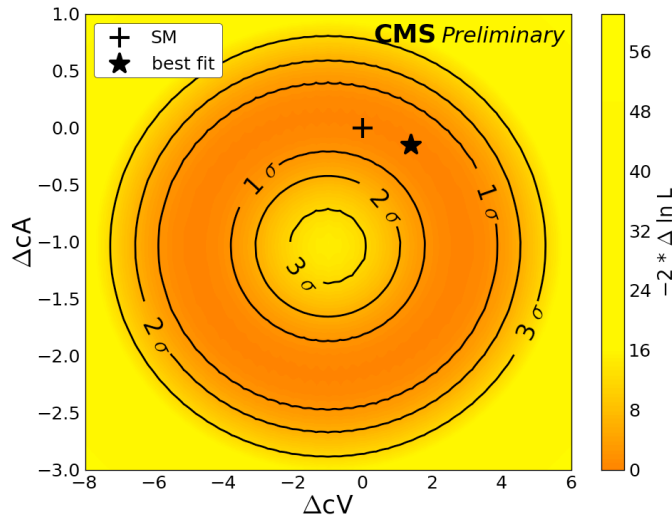


arXiv:1509.05276

**+ limits on 6D EFT operators**



# ttZ couplings



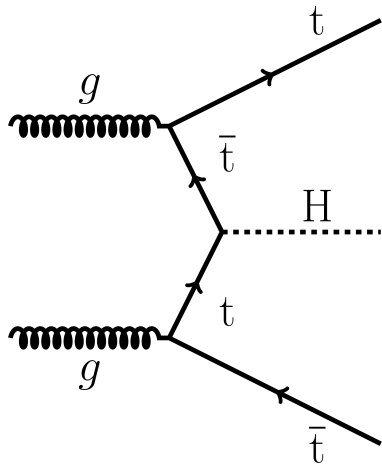
Constraints on vector and axial couplings

$$\begin{aligned} \mathcal{L}_{\text{eff}} &= \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda} \mathcal{L}_1 + \frac{1}{\Lambda^2} \mathcal{L}_2 + \dots \\ &= \mathcal{L}_{\text{SM}} + \frac{1}{\Lambda} \sum_i (c_i \mathcal{O}_i + \text{h.c.}) + \frac{1}{\Lambda^2} \sum_i (c_i \mathcal{O}_i + \text{h.c.}) + \dots \end{aligned}$$

Constraints on dimension-6 operators

operator	best fit point(s)	1σ CL	2σ CL
$\bar{c}_{uB}$	-0.07 and 0.07	{-0.11, 0.11}	{-0.14, 0.14}
$\bar{c}'_{HQ}$	0.12	{-0.07, 0.18}	{-0.33, -0.24} and {-0.02, 0.23}
$\bar{c}_{HQ}$	-0.09 and 0.41	{-0.22, 0.08} and {0.24, 0.54}	{-0.31, 0.63}
$\bar{c}_{Hu}$	-0.47 and 0.13	{-0.60, -0.23} and {-0.11, 0.26}	{-0.71, 0.37}
$\bar{c}_{3W}$	-0.28 and 0.28	{-0.36, -0.18} and {0.18, 0.36}	{-0.43, 0.43}

# Associated production of top pair and scalar boson

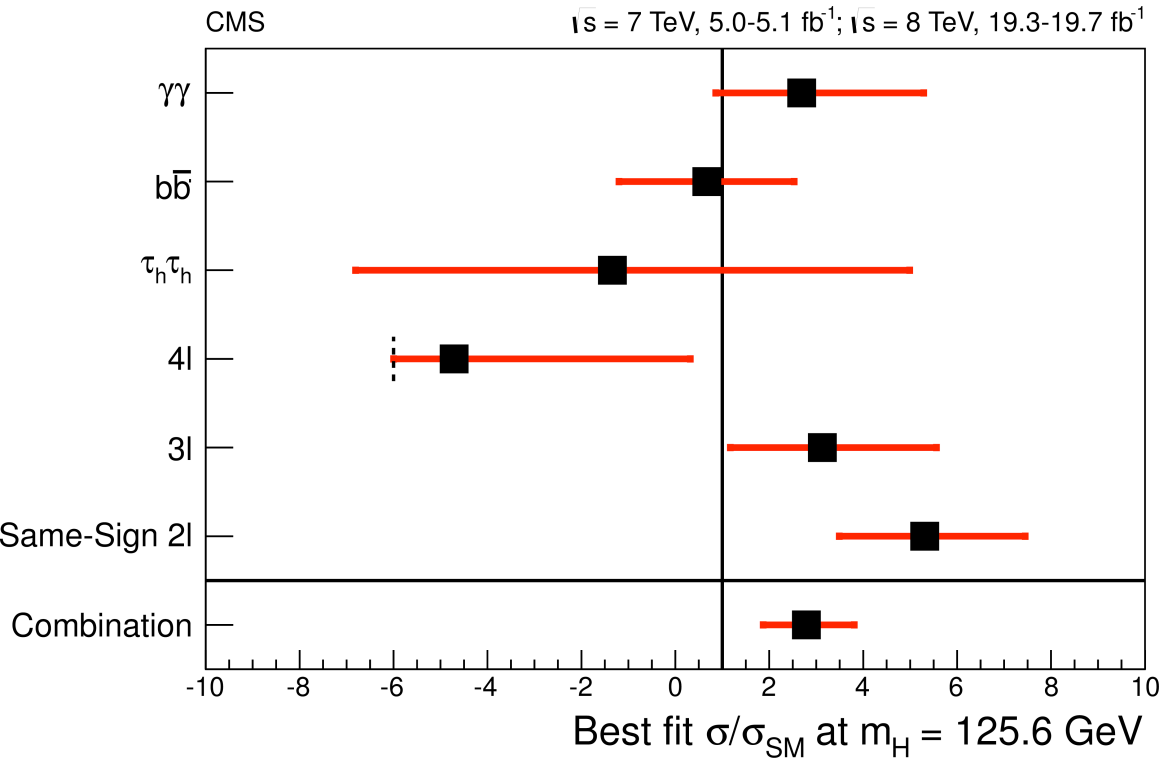


CMS HIG-14-021

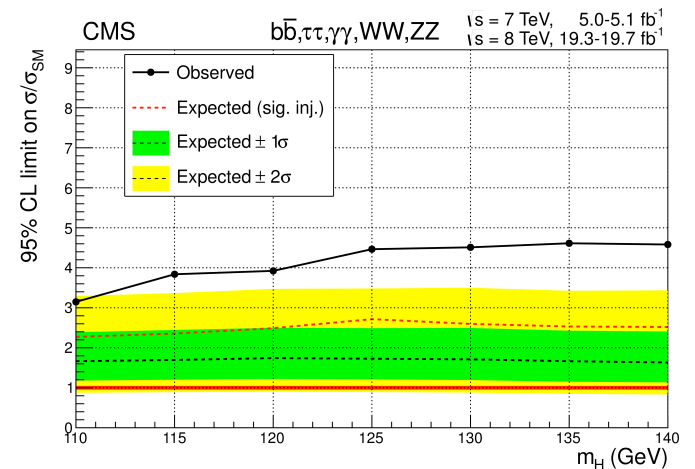
- The  $t\bar{t}H$  process gives direct access to the top-Higgs Yukawa coupling.
- The process can be altered by BSM physics
- Searched with  $5.1 \text{ fb}^{-1}$  at 7 TeV and  $19.7 \text{ fb}^{-1}$  of at 8 TeV
- Measurement performed in several final states
  - top pair all hadronic, lepton+jets, dilepton
  - with  $H \rightarrow \text{hadrons}$ ,  $H \rightarrow \text{leptons}$ ,  $H \rightarrow \gamma\gamma$
  - categorization includes  $H \rightarrow b\bar{b}$  and  $H \rightarrow \tau\tau$

# Results for ttH search

CMS HIG-14-021  
JHEP 09 (2014) 087

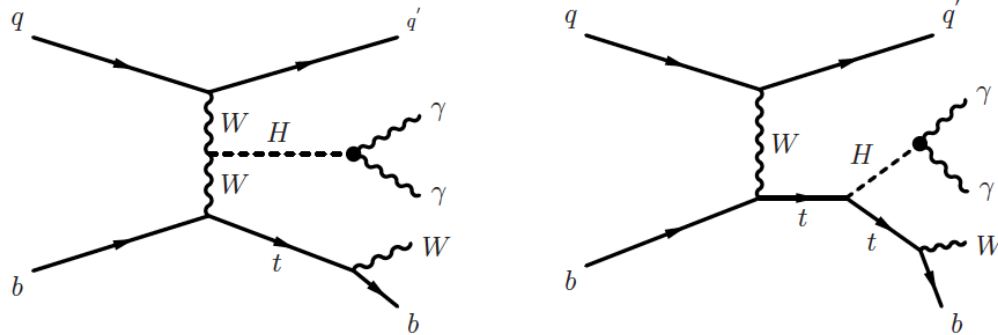


$\mu = 2.8 \pm 1.0$  for an Higgs boson mass of 125.6 GeV



# Associated production of single top and Higgs boson

CMS HIG-14-001



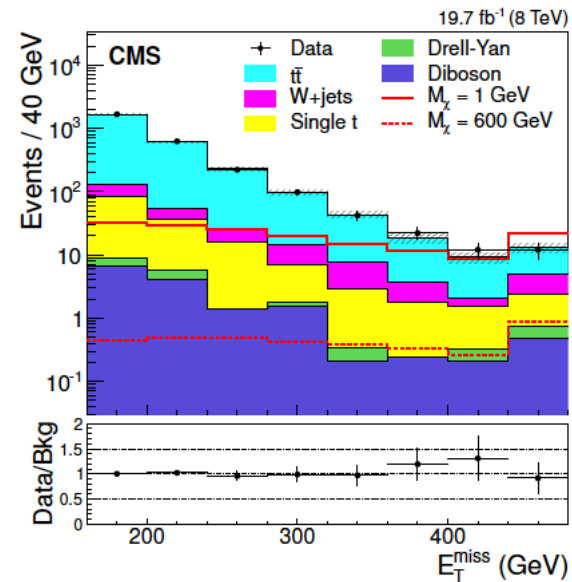
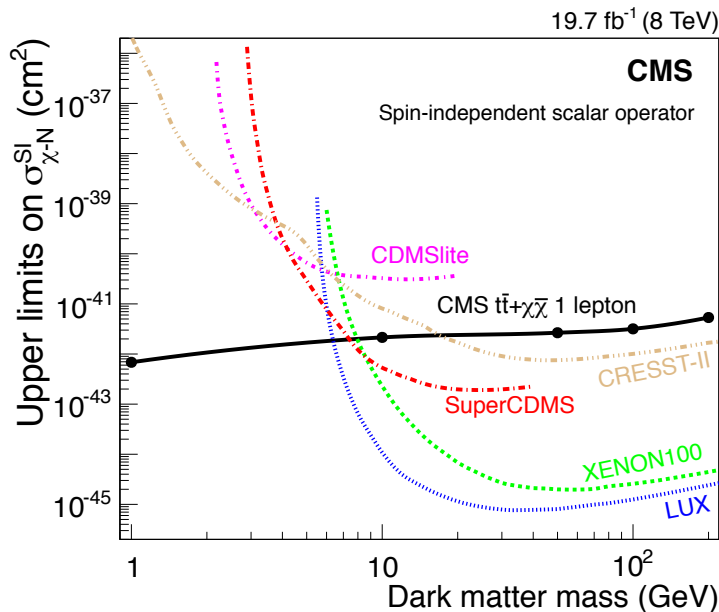
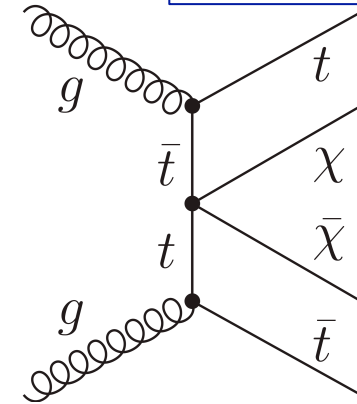
- Potentially gives the relative sign of the top-Higgs Yukawa coupling with respect to the Higgs-W coupling
- The two diagrams interfere destructively in the SM ( $\sigma=18$  fb), but with flipped sign cross section increases by a factor 15
- Analysis performed in the  $H \rightarrow \gamma\gamma$  channel, zero events found, upper limit at 4.1 times the flipped sign case

$$tHq \rightarrow (t \rightarrow b\ell\nu)(H \rightarrow \gamma\gamma)q \quad \text{with } \ell = e, \mu$$

# Search for Dark Matter produced in association with top pairs

JHEP 06 (2015) 221

- Dark matter could couple to heavy fermions through contact interactions
- Search requires the presence of one lepton, multiple jets, and large missing transverse energy.



# Conclusions

- Charm and Bottom production measurements at LHC an important playground for QCD and MC tuning
  - Considerable improvements in understanding of quarkonium production
- Top production (in pair or singly) provides key information to many aspects (QCD, searches) and represents an important sector of electroweak-symmetry-breaking studies
  - A complement to direct Higgs measurements
- After first three years at 7, 8 TeV (Run 1) **LHC** now entered a new phase (Run 2) at higher c.m. energy, 13 TeV, and at design luminosity (recently surpassed  $10^{34}\text{cm}^{-2}\text{s}^{-1}$ )
- **Entering uncharted territory in terms of (statistical) precision: will use statistics as a tool to reduce systematic uncertainties**