

# Introduction to Event Generators 2

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#### The Parton-Shower Approach



FSR = Final-State Radiation = timelike shower  $Q_i^2 \sim m^2 > 0$  decreasing ISR = Initial-State Radiation = spacelike showers  $Q_i^2 \sim -m^2 > 0$  increasing

## Why "time" like and "space" like?

Consider four-momentum conservation in a branching  $a \rightarrow b c$ 

$$\mathbf{p}_{\perp a} = 0 \implies \mathbf{p}_{\perp c} = -\mathbf{p}_{\perp b}$$

$$p_{+} = E + p_{\mathrm{L}} \implies p_{+a} = p_{+b} + p_{+c} \quad a$$

$$p_{-} = E - p_{\mathrm{L}} \implies p_{-a} = p_{-b} + p_{-c}$$
Define  $p_{+b} = z p_{+a}, \quad p_{+c} = (1 - z) p_{+a}$ 
Use  $p_{+}p_{-} = E^{2} - p_{\mathrm{L}}^{2} = m^{2} + p_{\perp}^{2}$ 

$$\frac{m_a^2 + p_{\perp a}^2}{p_{+a}} = \frac{m_b^2 + p_{\perp b}^2}{z \, p_{+a}} + \frac{m_c^2 + p_{\perp c}^2}{(1 - z) \, p_{+a}}$$

$$\Rightarrow m_a^2 = \frac{m_b^2 + p_{\perp}^2}{z} + \frac{m_c^2 + p_{\perp}^2}{1 - z} = \frac{m_b^2}{z} + \frac{m_c^2}{1 - z} + \frac{p_{\perp}^2}{z(1 - z)}$$

Final-state shower:  $m_b = m_c = 0 \Rightarrow m_a^2 = \frac{p_\perp^2}{z(1-z)} > 0 \Rightarrow$  timelike Initial-state shower:  $m_a = m_c = 0 \Rightarrow m_b^2 = -\frac{p_\perp^2}{1-z} < 0 \Rightarrow$  spacelike Shower evolution is viewed as a probabilistic process, which occurs with unit total probability: the cross section is not directly affected Shower evolution is viewed as a probabilistic process, which occurs with unit total probability: the cross section is not directly affected However, more complicated than that

• PDF evolution  $\approx$  showers  $\Rightarrow$  enters in convoluted cross section, e.g. for 2  $\rightarrow$  2 processes

$$\sigma = \iiint \mathrm{d}x_1 \,\mathrm{d}x_2 \,\mathrm{d}\hat{t} \,f_i(x_1, Q^2) \,f_j(x_2, Q^2) \,\frac{\mathrm{d}\hat{\sigma}_{ij}}{\mathrm{d}\hat{t}}$$

• Shower affects event shape

E.g. start from 2-jet event with  $p_{\perp 1} = p_{\perp 2} = 100$  GeV. ISR gives third jet, plus recoil to existing two, so

$$p_{\perp 1}=$$
 110 GeV,  $p_{\perp 2}=$  90 GeV,  $p_{\perp 1}=$  20 GeV:

- $\bullet$  inclusive  $p_{\perp jet}$  spectrum goes up
- hardest  $p_{\perp jet}$  spectrum goes up
- two-jets with both jets above some  $p_{\perp \min}$  comes down
- three-jet rate goes up

A 2  $\rightarrow$  *n* graph can be "simplified" to 2  $\rightarrow$  2 in different ways:



Do not doublecount:  $2 \rightarrow 2 = most virtual = shortest distance$ 

(detailed handling of borders  $\Rightarrow$  match & merge)

#### Final-state radiation



### Final-state radiation



Convenient (but arbitrary) subdivision to "split" radiation:

$$\frac{1}{(1-x_1)(1-x_2)}\frac{(1-x_1)+(1-x_2)}{x_3} = \frac{1}{(1-x_2)x_3} + \frac{1}{(1-x_1)x_3}$$

#### From matrix elements to parton showers

Rewrite for  $x_2 \rightarrow 1$ , i.e. q-g collinear limit:

$$1 - x_2 = \frac{m_{13}^2}{E_{\rm cm}^2} = \frac{Q^2}{E_{\rm cm}^2} \Rightarrow dx_2 = \frac{dQ^2}{E_{\rm cm}^2}$$



# The DGLAP equations

#### Generalizes to

DGLAP (Dokshitzer-Gribov-Lipatov-Altarelli-Parisi)

$$\begin{aligned} \mathrm{d}\mathcal{P}_{a \to bc} &= \frac{\alpha_{\mathrm{s}}}{2\pi} \frac{\mathrm{d}Q^2}{Q^2} P_{a \to bc}(z) \,\mathrm{d}z \\ P_{\mathrm{q} \to \mathrm{qg}} &= \frac{4}{3} \frac{1+z^2}{1-z} \\ P_{\mathrm{g} \to \mathrm{qg}} &= 3 \frac{(1-z(1-z))^2}{z(1-z)} \\ P_{\mathrm{g} \to \mathrm{q\overline{q}}} &= \frac{n_f}{2} \left(z^2 + (1-z)^2\right) \quad (n_f = \mathrm{no. of quark flavours}) \end{aligned}$$

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$$d\mathcal{P}_{a \to bc} = \frac{\alpha_s}{2\pi} \frac{dQ^2}{Q^2} P_{a \to bc}(z) dz$$

$$P_{q \to qg} = \frac{4}{3} \frac{1+z^2}{1-z}$$

$$P_{g \to gg} = 3 \frac{(1-z(1-z))^2}{z(1-z)}$$

$$P_{g \to q\overline{q}} = \frac{n_f}{2} (z^2 + (1-z)^2) \quad (n_f = \text{no. of quark flavours})$$

Universality: any matrix element reduces to DGLAP in collinear limit.

e.g. 
$$\frac{\mathrm{d}\sigma(\mathrm{H}^{0}\to\mathrm{q}\overline{\mathrm{q}}\mathrm{g})}{\mathrm{d}\sigma(\mathrm{H}^{0}\to\mathrm{q}\overline{\mathrm{q}})} = \frac{\mathrm{d}\sigma(\mathrm{Z}^{0}\to\mathrm{q}\overline{\mathrm{q}}\mathrm{g})}{\mathrm{d}\sigma(\mathrm{Z}^{0}\to\mathrm{q}\overline{\mathrm{q}})} \quad \mathrm{in \ collinear \ limit}$$

#### The iterative structure

Generalizes to many consecutive emissions if strongly ordered,  $Q_1^2 \gg Q_2^2 \gg Q_3^2 \dots$  ( $\approx$  time-ordered). To cover "all" of phase space use DGLAP in whole region  $Q_1^2 > Q_2^2 > Q_3^2 \dots$ 



Need soft/collinear cuts to stay away from nonperturbative physics. Details model-dependent, but around 1 GeV scale.

# The Sudakov form factor – 1

Time evolution, conservation of total probability:  $\mathcal{P}(\text{no emission}) = 1 - \mathcal{P}(\text{emission}).$ 

Multiplicativeness, with  $T_i = (i/n)T$ ,  $0 \le i \le n$ :

$$\begin{aligned} \mathcal{P}_{\rm no}(0 \leq t < T) &= \lim_{n \to \infty} \prod_{i=0}^{n-1} \mathcal{P}_{\rm no}(T_i \leq t < T_{i+1}) \\ &= \lim_{n \to \infty} \prod_{i=0}^{n-1} (1 - \mathcal{P}_{\rm em}(T_i \leq t < T_{i+1})) \\ &= \exp\left(-\lim_{n \to \infty} \sum_{i=0}^{n-1} \mathcal{P}_{\rm em}(T_i \leq t < T_{i+1})\right) \\ &= \exp\left(-\int_0^T \frac{\mathrm{d}\mathcal{P}_{\rm em}(t)}{\mathrm{d}t} \mathrm{d}t\right) \\ &\Longrightarrow \ \mathrm{d}\mathcal{P}_{\rm first}(T) &= \mathrm{d}\mathcal{P}_{\rm em}(T) \exp\left(-\int_0^T \frac{\mathrm{d}\mathcal{P}_{\rm em}(t)}{\mathrm{d}t} \mathrm{d}t\right) \end{aligned}$$

cf. radioactive decay in lecture 1.

# The Sudakov form factor – 2

Expanded, with  $Q \sim 1/t$  (Heisenberg)

$$d\mathcal{P}_{a \to bc} = \frac{\alpha_{s}}{2\pi} \frac{dQ^{2}}{Q^{2}} P_{a \to bc}(z) dz$$
$$\times \exp\left(-\sum_{b,c} \int_{Q^{2}}^{Q_{\max}^{2}} \frac{dQ'^{2}}{Q'^{2}} \int \frac{\alpha_{s}}{2\pi} P_{a \to bc}(z') dz'\right)$$

where the exponent is (one definition of) the Sudakov form factor

A given parton can only branch once, i.e. if it did not already do so

Note that  $\sum_{b,c} \int \int d\mathcal{P}_{a\to bc} \equiv 1 \Rightarrow$  convenient for Monte Carlo ( $\equiv 1$  if extended over whole phase space, else possibly nothing happens before you reach  $Q_0 \approx 1$  GeV).

# The Sudakov form factor – 3

Sudakov regulates singularity for first emission ....



... but in limit of repeated soft emissions  $q \rightarrow qg$  (but no  $g \rightarrow gg$ ) one obtains the same inclusive Q emission spectrum as for ME,

i.e. divergent ME spectrum  $\iff$  infinite number of PS emissions

More complicated in reality:

- energy-momentum conservation effects big since  $\alpha_s$  big, so hard emissions frequent
- $\bullet \ g \to gg$  branchings leads to accelerated multiplication of partons

# The ordering variable

#### In the evolution with

$$\mathrm{d}\mathcal{P}_{a\to bc} = \frac{\alpha_{\mathrm{s}}}{2\pi} \frac{\mathrm{d}Q^2}{Q^2} P_{a\to bc}(z) \,\mathrm{d}z$$

 $Q^2$  orders the emissions (memory). If  $Q^2 = m^2$  is one possible evolution variable then  $Q'^2 = f(z)Q^2$  is also allowed, since

$$\left|\frac{\mathrm{d}(Q'^2,z)}{\mathrm{d}(Q^2,z)}\right| = \left|\begin{array}{cc} \frac{\partial Q'^2}{\partial Q^2} & \frac{\partial Q'^2}{\partial z} \\ \frac{\partial z}{\partial Q^2} & \frac{\partial z}{\partial z} \\ \frac{\partial z}{\partial Q^2} & \frac{\partial z}{\partial z} \end{array}\right| = \left|\begin{array}{cc} f(z) & f'(z)Q^2 \\ 0 & 1 \end{array}\right| = f(z)$$

 $\Rightarrow \mathrm{d}\mathcal{P}_{a \to bc} = \frac{\alpha_{\mathrm{s}}}{2\pi} \frac{f(z)\mathrm{d}Q^2}{f(z)Q^2} P_{a \to bc}(z) \,\mathrm{d}z = \frac{\alpha_{\mathrm{s}}}{2\pi} \frac{\mathrm{d}Q'^2}{Q'^2} P_{a \to bc}(z) \,\mathrm{d}z$ 

•  $Q'^2 = E_a^2 \theta_{a \to bc}^2 \approx m^2/(z(1-z))$ ; angular-ordered shower •  $Q'^2 = p_{\perp}^2 \approx m^2 z(1-z)$ ; transverse-momentum-ordered

### Coherence

#### QED: Chudakov effect (mid-fifties)

 $\sim$  cosmic ray  $\gamma$  atom



e<sup>+</sup>

e<sup>-</sup>

#### Coherence



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# Ordering variables in the LEP/Tevatron era

PYTHIA:  $Q^2 = m^2$  HERWIG:  $Q^2 \sim E^2 \theta^2$ 

'IG:  $Q^2 \sim E^2 \theta^2$  ARIADNE:  $Q^2 = p_{\perp}^2$ 



large mass first ⇒ "hardness" ordered **coherence brute force** covers phase space ME merging simple g → q\overline{q} simple **not Lorentz invariant** no stop/restart

ISR:  $m^2 \rightarrow -m^2$ 



large angle first  $\Rightarrow$  hardness not ordered coherence inherent gaps in coverage ME merging messy  $g \rightarrow q\overline{q}$  simple not Lorentz invariant no stop/restart ISR:  $\theta \rightarrow \theta$ 



large  $p_{\perp}$  first  $\Rightarrow$  "hardness" ordered coherence inherent

covers phase space ME merging simple  $g \rightarrow q\bar{q}$  messy Lorentz invariant can stop/restart ISR: more messy

# Quark vs. gluon jets

$$rac{P_{
m g 
ightarrow 
m gg}}{P_{
m q 
ightarrow 
m qg}} pprox rac{N_c}{C_F} = rac{3}{4/3} = rac{9}{4} pprox 2$$

 $\Rightarrow$  gluon jets are softer and broader than quark ones (also helped by hadronization models, lecture 4).



Note transition g jets  $\rightarrow$  q jets for increasing  $p_{\perp}$ .

## Heavy flavours: the dead cone

Matrix element for  $e^+e^- \rightarrow q \overline{q} g$  for small  $\theta_{13}$ 

$$\frac{\mathrm{d}\sigma_{\mathrm{q}\overline{\mathrm{q}}\mathrm{g}}}{\sigma_{\mathrm{q}\overline{\mathrm{q}}}} \propto \frac{x_1^2 + x_2^2}{\left(1 - x_1\right)\left(1 - x_2\right)} \approx \frac{\mathrm{d}\omega}{\omega} \; \frac{\mathrm{d}\theta_{13}^2}{\theta_{13}^2}$$



For charm and bottom lagely filled in by their decay products.

# Parton Distribution Functions

Hadrons are composite, with time-dependent structure:



 $f_i(x, Q^2) =$  number density of partons *i* at momentum fraction *x* and probing scale  $Q^2$ . Linguistics (example):

$$F_2(x, Q^2) = \sum_i e_i^2 x f_i(x, Q^2)$$

structure function

parton distributions

# PDF evolution

Initial conditions at small  $Q_0^2$  unknown: nonperturbative. Resolution dependence perturbative, by DGLAP:

DGLAP (Dokshitzer-Gribov-Lipatov-Altarelli-Parisi)

$$\frac{\mathrm{d}f_b(x,Q^2)}{\mathrm{d}(\ln Q^2)} = \sum_{a} \int_x^1 \frac{\mathrm{d}z}{z} f_a(y,Q^2) \frac{\alpha_{\mathrm{s}}}{2\pi} P_{a \to bc} \left(z = \frac{x}{y}\right)$$

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DGLAP already introduced for (final-state) showers:

$$\mathrm{d}\mathcal{P}_{a\to bc} = \frac{\alpha_{\mathrm{s}}}{2\pi} \frac{\mathrm{d}Q^2}{Q^2} P_{a\to bc}(z) \,\mathrm{d}z$$

Same equation, but different context:

- $\mathrm{d}\mathcal{P}_{a \to bc}$  is probability for the individual parton to branch; while
- $df_b(x, Q^2)$  describes how the ensemble of partons evolve by the branchings of individual partons as above.

# Initial-State Shower Basics

- $\bullet$  Parton cascades in  $\boldsymbol{p}$  are continuously born and recombined.
- Structure at Q is resolved at a time  $t \sim 1/Q$  before collision.
- A hard scattering at  $Q^2$  probes fluctuations up to that scale.
- A hard scattering inhibits full recombination of the cascade.



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• Convenient reinterpretation:



Event generation could be addressed by **forwards evolution**: pick a complete partonic set at low  $Q_0$  and evolve, consider collisions at different  $Q^2$  and pick by  $\sigma$  of those. **Inefficient:** 

- have to evolve and check for all potential collisions, but 99.9...% inert
- impossible (or at least very complicated) to steer the production, e.g. of a narrow resonance (Higgs)

**Backwards evolution** is viable and  $\sim$ equivalent alternative: start at hard interaction and trace what happened "before"



### Backwards evolution master formula

Monte Carlo approach, based on conditional probability: recast

$$\frac{\mathrm{d}f_b(x,Q^2)}{\mathrm{d}t} = \sum_{a} \int_x^1 \frac{\mathrm{d}z}{z} f_a(x',Q^2) \frac{\alpha_{\mathrm{s}}}{2\pi} P_{a \to bc}(z)$$

with  $t = \ln(Q^2/\Lambda^2)$  and z = x/x' to

$$\mathrm{d}\mathcal{P}_{b} = \frac{\mathrm{d}f_{b}}{f_{b}} = |\mathrm{d}t| \sum_{a} \int \mathrm{d}z \, \frac{x'f_{a}(x',t)}{xf_{b}(x,t)} \, \frac{\alpha_{\mathrm{s}}}{2\pi} \, P_{a \to bc}(z)$$

then solve for *de*creasing *t*, i.e. backwards in time, starting at high  $Q^2$  and moving towards lower, with Sudakov form factor  $\exp(-\int d\mathcal{P}_b)$ .

Extra factor  $x' f_a / x f_b$  relative to final-state equations.

#### Coherence in spacelike showers



- i.e.  $Q_i^2$  need not even be ordered
- coherence of leading collinear singularities:  $Q_5^2 > Q_3^2 > Q_1^2$ , i.e.  $Q^2$  ordered
- coherence of leading soft singularities (more messy):

$$\begin{array}{ll} E_{3}\theta_{4} > E_{1}\theta_{2}, \text{ i.e. } z_{1}\theta_{4} > \theta_{2} \\ z \ll 1; \quad E_{1}\theta_{2} \approx p_{\perp 2}^{2} \approx Q_{3}^{2}, E_{3}\theta_{4} \approx p_{\perp 4}^{2} \approx Q_{5}^{2} \\ \text{ i.e. reduces to } Q^{2} \text{ ordering as above} \end{array}$$

 $z \approx 1$ :  $\theta_4 > \theta_2$ , i.e. angular ordering of soft gluons  $\implies$  reduced phase space

# Evolution procedures



DGLAP: Dokshitzer–Gribov–Lipatov–Altarelli–Parisi evolution towards larger  $Q^2$  and (implicitly) towards smaller x BFKL: Balitsky–Fadin–Kuraev–Lipatov evolution towards smaller x (with small, unordered  $Q^2$ ) CCFM: Ciafaloni–Catani–Fiorani–Marchesini interpolation of DGLAP and BFKL GLR: Gribov–Levin–Ryskin nonlinear equation in dense-packing (saturation) region, where partons recombine, not only branch

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# Did we reach BFKL regime?

Study events with  $\geq 2$  jets as a function of their y separation;  $\cos(\pi - \Delta \phi) = 1$  is back-to-back jets, i.e. little extra radiation.



Analytic BFKL calculations describe data for  $\Delta y > 4$ , but HEJ BFKL-inspired generator overshoots effect, and standard DGLAP Herwig++ almost spot on. No strong indications for BFKL/CCFM behaviour onset so far!

### Initial- vs. final-state showers

Both controlled by same evolution equations

$$\mathrm{d}\mathcal{P}_{\boldsymbol{a}\to\boldsymbol{b}\boldsymbol{c}} = \frac{\alpha_{\mathrm{s}}}{2\pi} \, \frac{\mathrm{d}Q^2}{Q^2} \, \boldsymbol{P}_{\boldsymbol{a}\to\boldsymbol{b}\boldsymbol{c}}(\boldsymbol{z}) \, \mathrm{d}\boldsymbol{z} \, \cdot \, (\mathrm{Sudakov})$$

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but



decreasing  $E, m^2, \theta$ both daughters  $m^2 \ge 0$ physics relatively simple  $\Rightarrow$  "minor" variations:  $Q^2$ , shower vs. dipole, ... Initial-state showers:  $Q^2$  spacelike ( $\approx -m^2$ )  $E_0, Q_0^2$  $E_1, Q_1^2$ 

decreasing *E*, increasing  $Q^2$ ,  $\theta$ one daughter  $m^2 \ge 0$ , one  $m^2 < 0$ physics more complicated  $\Rightarrow$  more formalisms: DGLAP, BFKL, CCFM, GLR, ...

# Combining FSR with ISR



Separate processing of ISR and FSR misses interference ( $\sim$  colour dipoles)

# Combining FSR with ISR



Separate processing of ISR and FSR misses interference ( $\sim$  colour dipoles)



ISR+FSR add coherently in regions of colour flow and destructively else

"u" (g) in "normal" shower by
 SR azimuthal anisotropies

automatic in dipole (by proper boosts) Current-day generators for pseudorapidity of third jet:



#### The dipole picture -1



 $1 \rightarrow 2$  branching = replace m = 0 parton by pair with m > 0. Breaks energy-momentum conservation. Herwig angular-ordered shower: post-facto rescaling machinery.



Alternative: dipole picture (first Ariadne, now everybody else). 2  $\rightarrow$  3 parton branching, or 1  $\rightarrow$  2 colour dipole branching. Can be viewed as radiator  $a \rightarrow bc$  with recoiler *r*.

# The dipole picture – 2

Ariadne main splitting expressions for final-state radiation:

$$dP_{q\bar{q}\to q\bar{q}g} = \frac{\alpha_s}{2\pi} \frac{4}{3} \frac{x_1^2 + x_2^2}{(1 - x_1)(1 - x_2)} dx_1 dx_2$$
  

$$dP_{qg\to qgg} = \frac{\alpha_s}{2\pi} \frac{3}{2} \frac{x_1^2 + x_2^3}{(1 - x_1)(1 - x_2)} dx_1 dx_2$$
  

$$dP_{gg\to ggg} = \frac{\alpha_s}{2\pi} \frac{3}{2} \frac{x_1^3 + x_2^3}{(1 - x_1)(1 - x_2)} dx_1 dx_2$$

does not define angular orientation.

The Catani–Seymour dipole is primarily a kinematics recipe how to map 2 partons  $ar \leftrightarrow 3$  partons bcr' for both initial and final state:

$$p_{a} = p_{b} + p_{c} - \frac{y}{1 - y} p_{r'} \qquad y = \frac{p_{b} p_{c}}{p_{b} p_{c} + p_{b} p_{r'} + p_{c} p_{r'}}$$

$$p_{r} = \frac{1}{1 - y} p_{r'}$$

#### Some shower programs

- Herwig angular-ordered shower (QTilde)  $p_{\perp}$ -ordered CS dipoles (Dipoles)
- PYTHIA $p_{\perp}$ -ordered dipoles (TimeShower, SpaceShower)VINCIA antennae (plugin)DIRE dipoles (plugin)
- Sherpa $p_{\perp}$ -ordered CS dipoles (CSSHOWER++)DIRE dipoles
- Ariadne first dipole parton shower program
- DIPSY evolution and collision of dipoles in transverse space
- Deductor improved handling of colour, partitioned dipoles, all final partons share recoil,  $q^2/E$  evolution variable
- HEJ (High Energy Jets) BFKL-inspired description of well-separated multijets, with approximate matrix elements and virtual corrections

# VINCIA: an Interleaved Antennae shower

Markovian process: no memory of path to reach current state.

Based on antenna factorization of amplitudes and phase space.

Smooth ordering fills whole phase space.

Step-by-step reweighting to new matrix elements:  $Z \rightarrow Zj \rightarrow Zjj \rightarrow Zjjj$ (also Sudakov), e.g.

$$W = \frac{|\mathcal{M}_{\mathrm{Zj}}|^2}{\sum_i a_i |\mathcal{M}_{\mathrm{Z}}|_i^2}$$

Replaces PYTHIA normal showers; recent release. CMS,  $\Delta \phi(Z, J_1)$ ,  $\sqrt{s} = 7$  TeV



# DIRE: a Dipole Resummation shower

Joint Sherpa/PYTHIA development, but separate implementations, means technically well tested.

"Midpoint between dipole and parton shower", dipole with emitter & spectator, but not quite CS ones: unified initial-initial, initial-final, final-initial, final-final.

Soft term of kernels in all dipole types is less singular

$$\frac{1}{1-z} \rightarrow \frac{1-z}{(1-z)^2 + p_\perp^2/M^2}$$

