



# Introduction to Event Generators 3

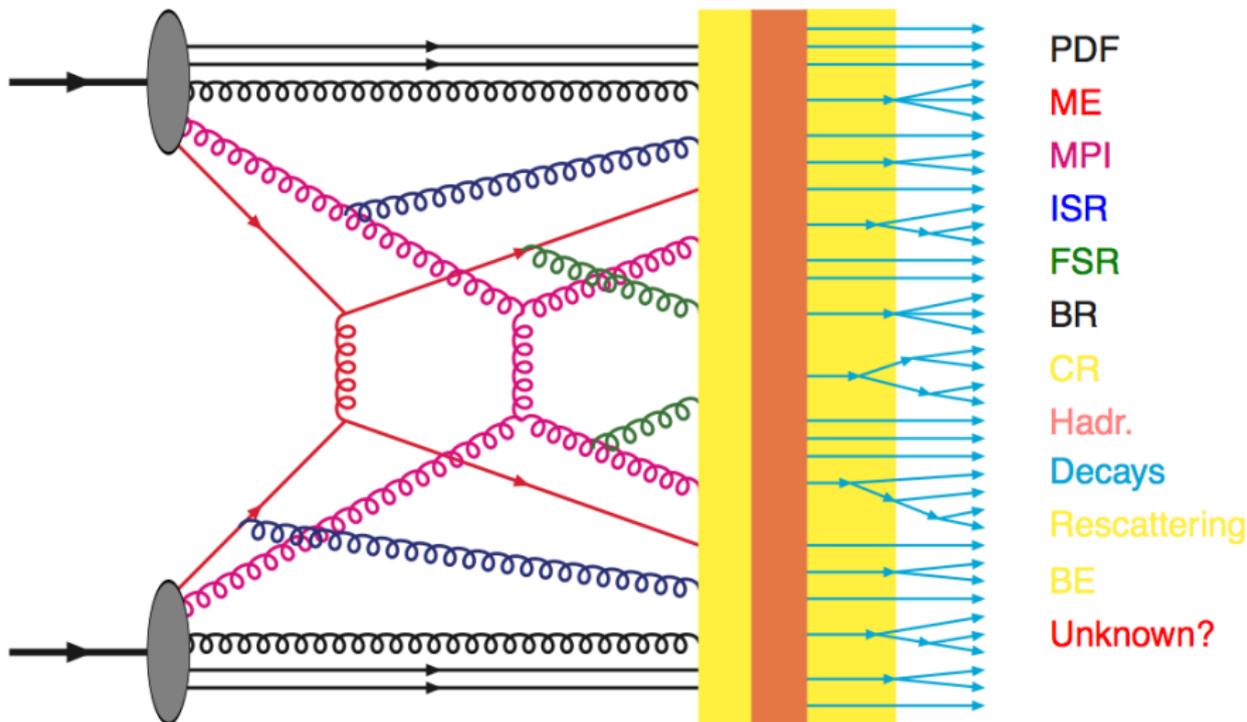
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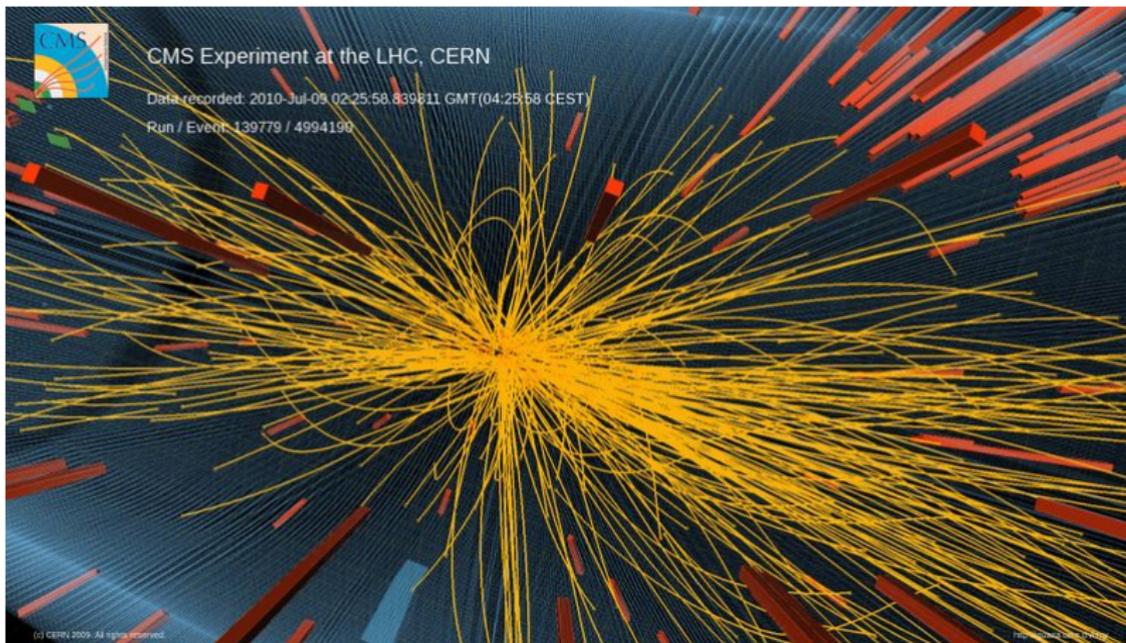
CTEQ/MCnet School, DESY, 12 July 2016

# Event Generators Reminder

An event consists of many different physics steps, which have to be modelled by event generators:



# Event topologies



Expect and observe high multiplicities at the LHC.  
What are production mechanisms behind this?

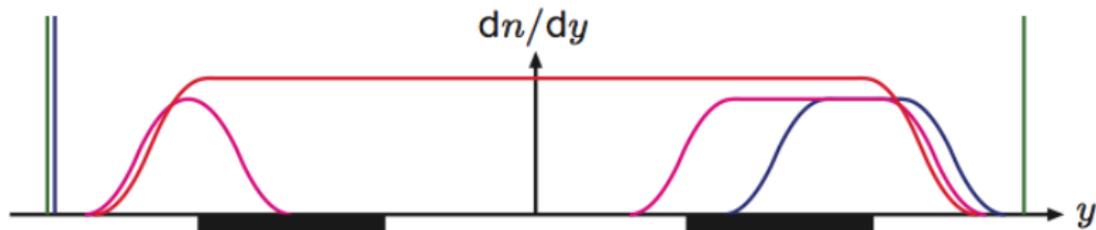
# What is minimum bias (MB)?

MB  $\approx$  “all events, with no bias from restricted trigger conditions”

$\sigma_{\text{tot}} =$

$\sigma_{\text{elastic}} + \sigma_{\text{single-diffractive}} + \sigma_{\text{double-diffractive}} + \dots + \sigma_{\text{non-diffractive}}$

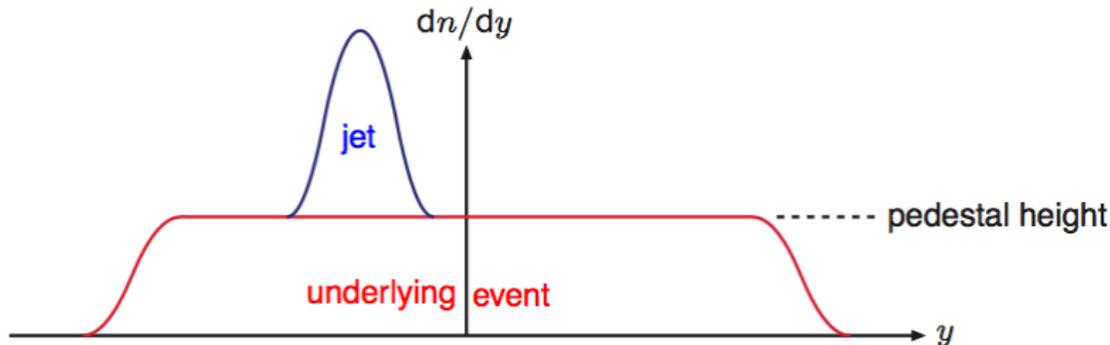
Schematically:



Reality: can only observe events with particles in central detector:  
**no universally accepted, detector-independent definition**

$\sigma_{\text{min-bias}} \approx \sigma_{\text{non-diffractive}} + \sigma_{\text{double-diffractive}} \approx 2/3 \times \sigma_{\text{tot}}$

# What is underlying event (UE)?

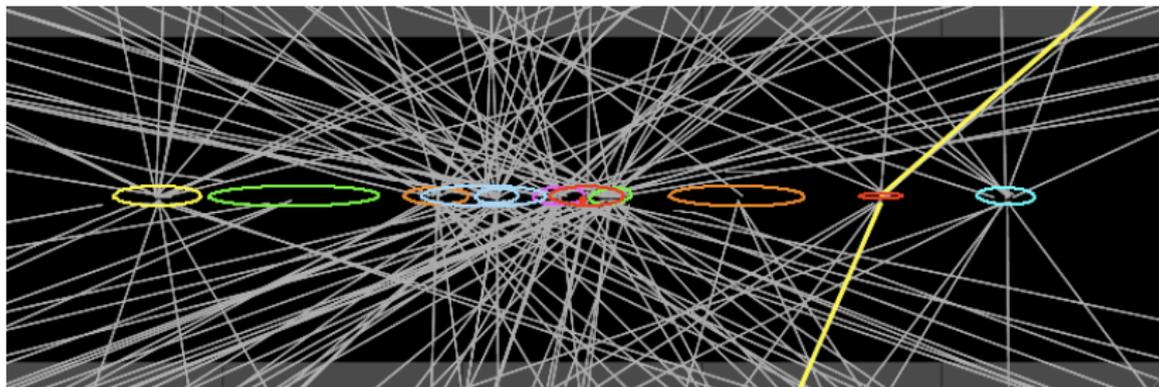


In an event containing a jet pair or another hard process, how much further activity is there, that does not have its origin in the hard process itself, but in other physics processes?

Pedestal effect: the UE contains more activity than a normal MB event does (even discarding diffractive events).

Trigger bias: a jet "trigger" criterion  $E_{\perp\text{jet}} > E_{\perp\text{min}}$  is more easily fulfilled in events with upwards-fluctuating UE activity, since the UE  $E_{\perp}$  in the jet cone counts towards the  $E_{\perp\text{jet}}$ . *Not enough!*

# What is pileup?



$$\langle n \rangle = \bar{\mathcal{L}} \sigma$$

where  $\bar{\mathcal{L}}$  is machine luminosity per bunch crossing,  $\bar{\mathcal{L}} \sim n_1 n_2 / A$  and  $\sigma \sim \sigma_{\text{tot}} \approx 100 \text{ mb}$ .

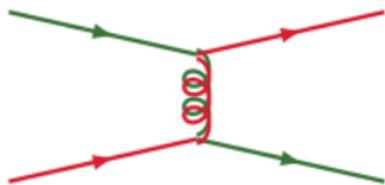
Current LHC machine conditions  $\Rightarrow \langle n \rangle \sim 10 - 20$ .

Pileup introduces no new physics, and is thus not further considered here, but can be a nuisance.

However, keep in mind concept of bunches of hadrons leading to multiple collisions.

# The divergence of the QCD cross section

Cross section for  $2 \rightarrow 2$  interactions is dominated by  $t$ -channel gluon exchange, so diverges like  $d\hat{\sigma}/dp_{\perp}^2 \approx 1/p_{\perp}^4$  for  $p_{\perp} \rightarrow 0$ .



Integrate QCD  $2 \rightarrow 2$

$qq' \rightarrow qq'$

$q\bar{q} \rightarrow q'\bar{q}'$

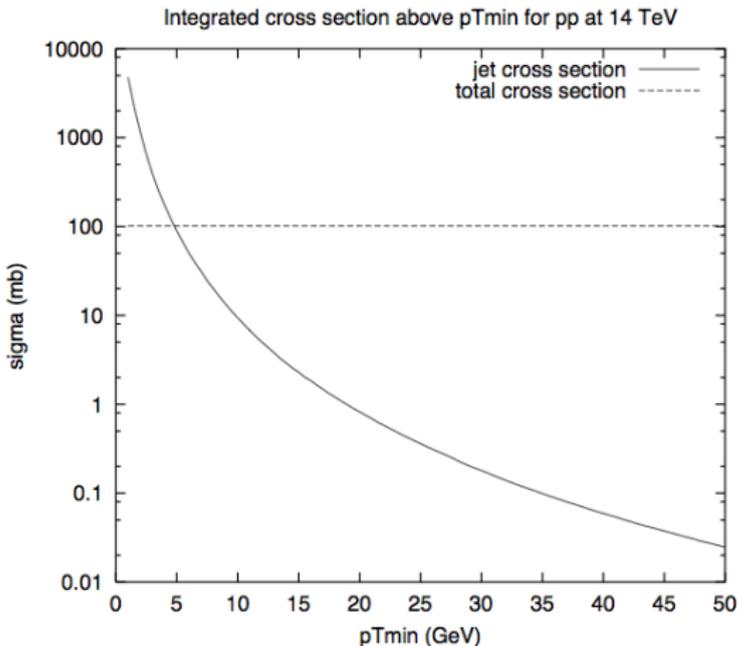
$q\bar{q} \rightarrow gg$

$qg \rightarrow qg$

$gg \rightarrow gg$

$gg \rightarrow q\bar{q}$

(with CTEQ 5L PDF's)



# What is multiple partonic interactions (MPI)?

Note that  $\sigma_{\text{int}}(p_{\perp\text{min}})$ , the number of ( $2 \rightarrow 2$  QCD) interactions above  $p_{\perp\text{min}}$ , involves integral over PDFs,

$$\sigma_{\text{int}}(p_{\perp\text{min}}) = \iiint_{p_{\perp\text{min}}} dx_1 dx_2 dp_{\perp}^2 f_1(x_1, p_{\perp}^2) f_2(x_2, p_{\perp}^2) \frac{d\hat{\sigma}}{dp_{\perp}^2}$$

with  $\int dx f(x, p_{\perp}^2) = \infty$ , i.e. infinitely many partons.

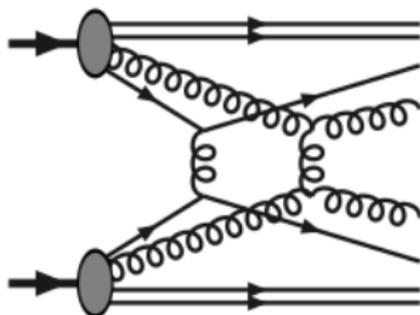
So half a solution to  $\sigma_{\text{int}}(p_{\perp\text{min}}) > \sigma_{\text{tot}}$  is

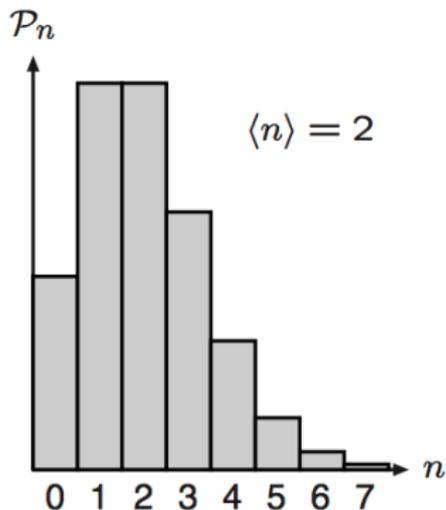
**many interactions per event: MPI**

$$\sigma_{\text{tot}} = \sum_{n=0}^{\infty} \sigma_n$$

$$\sigma_{\text{int}} = \sum_{n=0}^{\infty} n \sigma_n$$

$$\sigma_{\text{int}} > \sigma_{\text{tot}} \iff \langle n \rangle > 1$$





If interactions occur independently  
then **Poissonian statistics**

$$\mathcal{P}_n = \frac{\langle n \rangle^n}{n!} e^{-\langle n \rangle}$$

but  $n = 0 \Rightarrow$  no event (in many models)  
and energy–momentum conservation  
 $\Rightarrow$  large  $n$  suppressed  
so narrower than Poissonian

MPI is a logical consequence of the composite nature of protons,

$n_{\text{parton}} \sim \sum_{q,\bar{q},g} \int f(x) dx > 3$ , which allows  $\sigma_{\text{int}}(p_{\perp\text{min}}) > \sigma_{\text{tot}}$ ,

but what about the limit  $p_{\perp\text{min}} \rightarrow 0$ ?

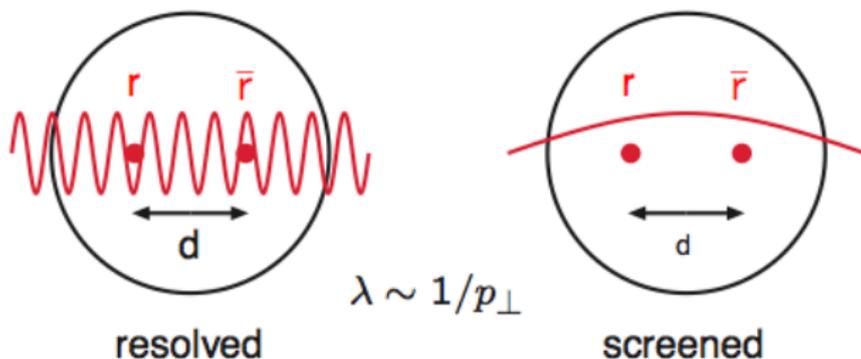
# Colour screening

Other half of solution is that perturbative QCD is not valid at small  $p_{\perp}$  since  $q, g$  are not asymptotic states (**confinement!**).

Naively breakdown at

$$p_{\perp \min} \simeq \frac{\hbar}{r_p} \approx \frac{0.2 \text{ GeV} \cdot \text{fm}}{0.7 \text{ fm}} \approx 0.3 \text{ GeV} \simeq \Lambda_{\text{QCD}}$$

... but better replace  $r_p$  by (unknown) **colour screening** length  $d$  in hadron:

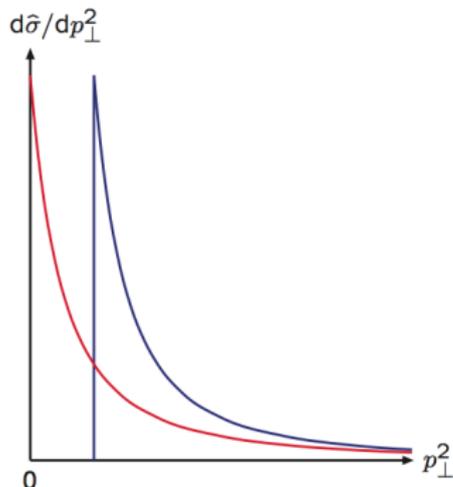


# Regularization of low- $p_{\perp}$ divergence

so need **nonperturbative regularization for  $p_{\perp} \rightarrow 0$** , e.g.

$$\frac{d\hat{\sigma}}{dp_{\perp}^2} \propto \frac{\alpha_s^2(p_{\perp}^2)}{p_{\perp}^4} \rightarrow \frac{\alpha_s^2(p_{\perp}^2)}{p_{\perp}^4} \theta(p_{\perp} - p_{\perp\min}) \quad (\text{simpler})$$

$$\text{or} \rightarrow \frac{\alpha_s^2(p_{\perp 0}^2 + p_{\perp}^2)}{(p_{\perp 0}^2 + p_{\perp}^2)^2} \quad (\text{more physical})$$

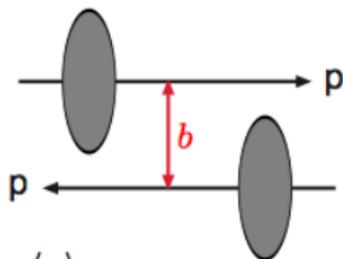


where  $p_{\perp\min}$  or  $p_{\perp 0}$  are free parameters, empirically of order **2–3 GeV**.

Typical number of interactions/event is 3 at 2 TeV, 4 – 5 at 13 TeV, but may be twice that in “interesting” high- $p_{\perp}$  ones.

# Impact parameter dependence

So far assumed that all collisions have equivalent initial conditions, but hadrons are extended, so dependence on impact parameter  $b$ .



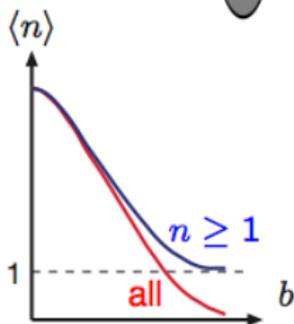
Overlap of protons during encounter is

$$\mathcal{O}(b) = \int d^3\mathbf{x} dt \rho_1(\mathbf{x}, t) \rho_2(\mathbf{x}, t)$$

where  $\rho$  is (boosted) matter distribution in  $p$ , e.g. Gaussian or electromagnetic form factor.

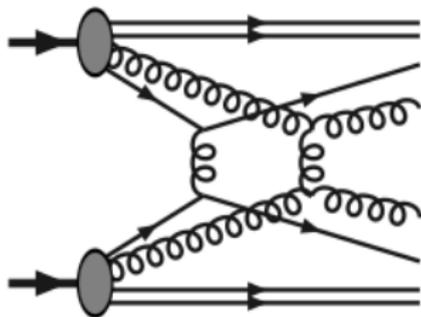
Average activity at  $b$  proportional to  $\mathcal{O}(b)$ :

- ★ central collisions more active  
 $\Rightarrow \mathcal{P}_n$  broader than Poissonian;
- ★ peripheral passages normally give no collisions  $\Rightarrow$  finite  $\sigma_{\text{tot}}$ .



# Double parton scattering

Double parton scattering (DPS): two hard processes in same event.



$$\sigma_{\text{DPS}} = \begin{cases} \frac{\sigma_A \sigma_B}{\sigma_{\text{eff}}} & \text{for } A \neq B \\ \frac{\sigma_A \sigma_B}{2\sigma_{\text{eff}}} & \text{for } A = B \end{cases}$$

(Poissonian  $\Rightarrow 1/2$ ;  $AB + BA \Rightarrow 2$ )

Note inverse relationship on  $\sigma_{\text{eff}}$ .  
Natural scale is  $\sigma_{\text{ND}} \approx 50 \text{ mb}$ ,  
but “reduced” by  $b$  dependence.

Studied by

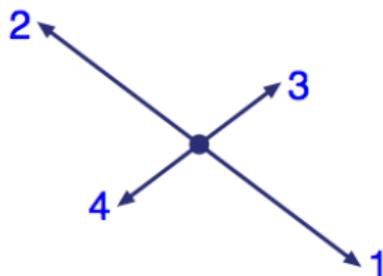
- 4 jets
- $\gamma + 3$  jets
- 4 jets, whereof two b- or c-tagged
- $J/\psi$  or  $\Upsilon + 2$  jets (including  $v\bar{c}\bar{c}$ )
- $W/Z + 2$  jets
- $W^-W^-$

# Double parton scattering backgrounds

Always non-DPS backgrounds, so kinematics cuts required.

Example: order 4 jets  $\mathbf{p}_{\perp 1} > \mathbf{p}_{\perp 2} > \mathbf{p}_{\perp 3} > \mathbf{p}_{\perp 4}$  and define  $\varphi$  as angle between  $\mathbf{p}_{\perp 1} \mp \mathbf{p}_{\perp 2}$  and  $\mathbf{p}_{\perp 3} \mp \mathbf{p}_{\perp 4}$  for AFS/CDF

## Double Parton Scattering



$$|\mathbf{p}_{\perp 1} + \mathbf{p}_{\perp 2}| \approx 0$$

$$|\mathbf{p}_{\perp 3} + \mathbf{p}_{\perp 4}| \approx 0$$

$d\sigma/d\varphi$  flat

## Double BremsStrahlung

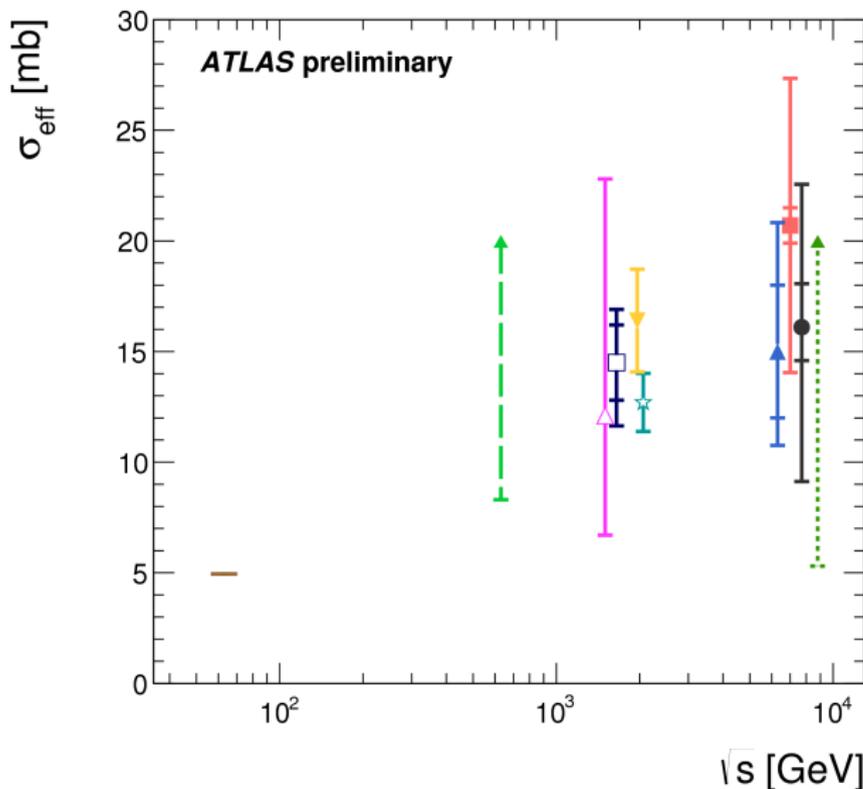


$$|\mathbf{p}_{\perp 1} + \mathbf{p}_{\perp 2}| \gg 0$$

$$|\mathbf{p}_{\perp 3} + \mathbf{p}_{\perp 4}| \gg 0$$

$d\sigma/d\varphi$  peaked at  $\varphi \approx 0/\pi$  for AFS/CDF

# Experimental summary on DPS rate



Note:

big error bars,  
uncertain  
methodology,  
but consistent:

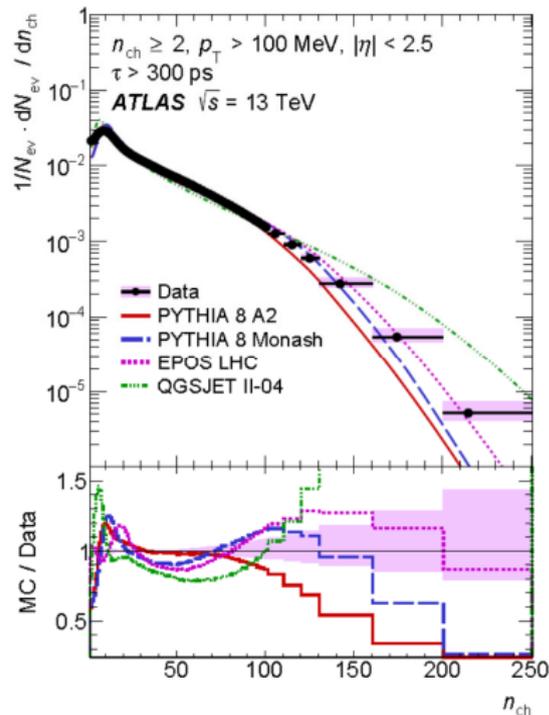
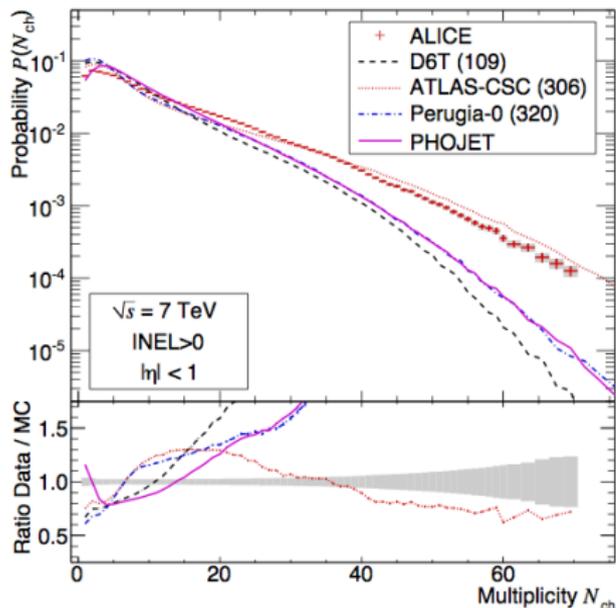
$$\sigma_{\text{eff}} \approx \sigma_{\text{ND}}/3$$

⇒ factor  $\sim 3$   
enhancement  
relative to naive  
expectations

# Multiplicity and MPI effects

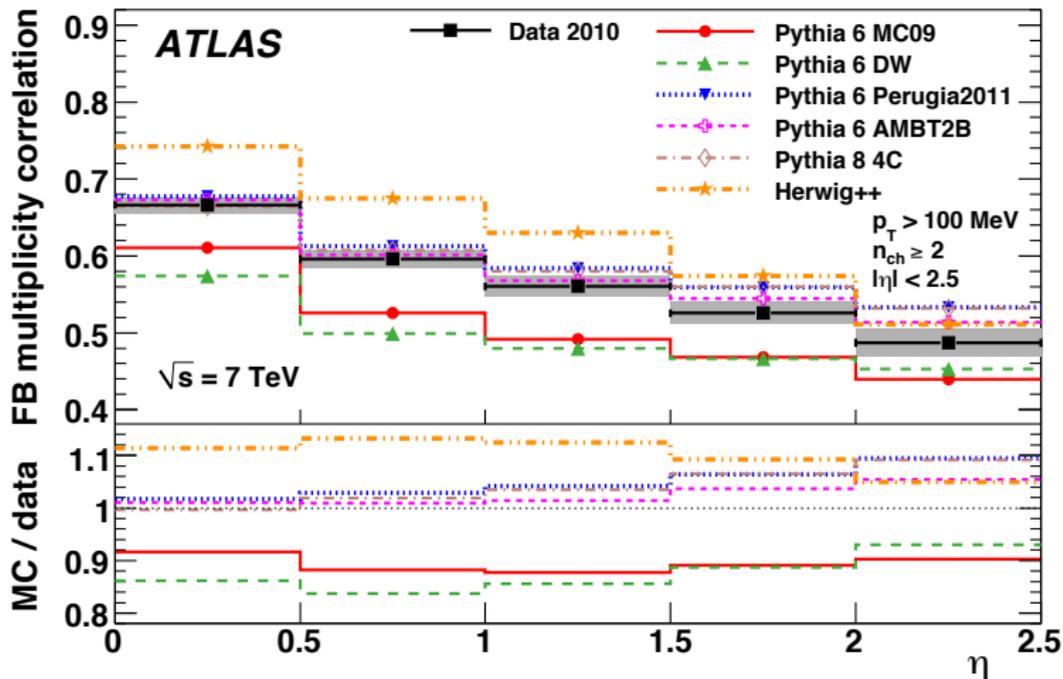
DPS only probes high- $p_{\perp}$  tail of effects.

More dramatic are effects on multiplicity distributions:



# Forward-backward correlations

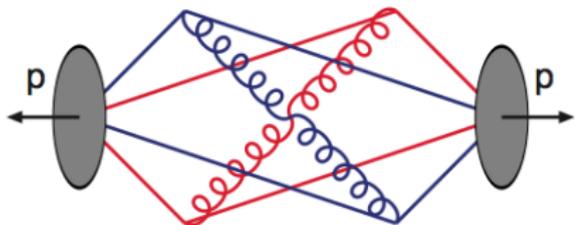
Global number, such as #MPI, affects activity everywhere:



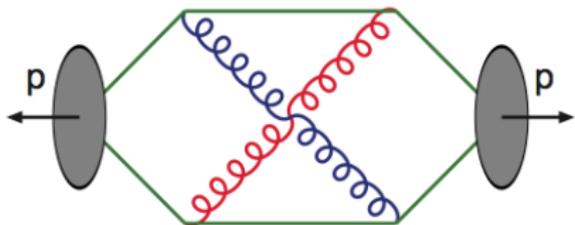
(note suppressed zero on vertical axis  $\Rightarrow$  big effects!)

# Colour (re)connections and $\langle p_{\perp} \rangle(n_{\text{ch}})$

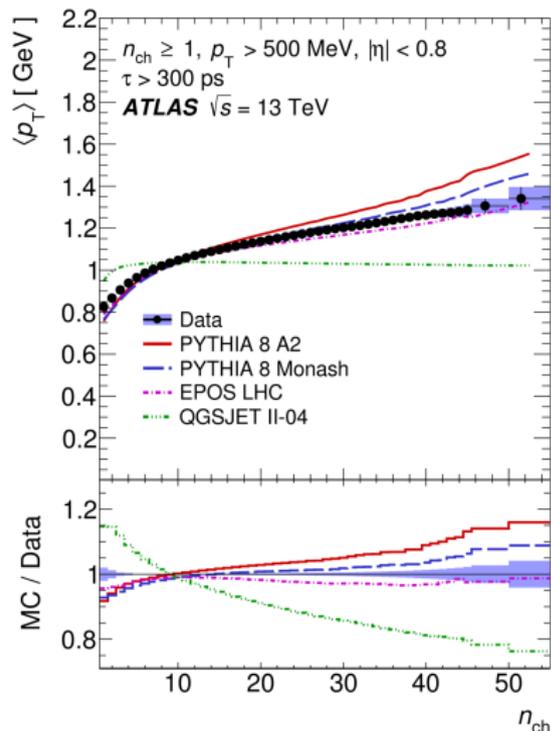
$\langle p_{\perp} \rangle(n_{\text{ch}})$  is very sensitive to colour flow



long strings to remnants  $\Rightarrow$  much  $n_{\text{ch}}/\text{interaction} \Rightarrow \langle p_{\perp} \rangle(n_{\text{ch}}) \sim \text{flat}$



short strings (more central)  $\Rightarrow$  less  $n_{\text{ch}}/\text{interaction} \Rightarrow \langle p_{\perp} \rangle(n_{\text{ch}})$  rising

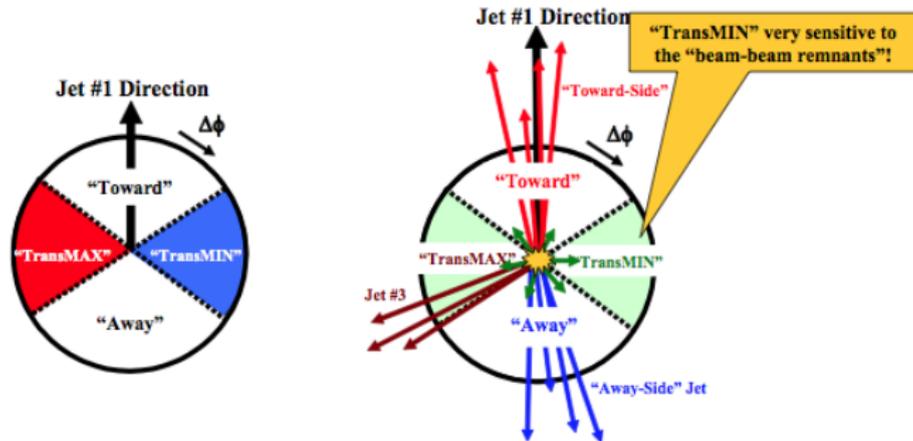


# Jet pedestal effect – 1

Events with hard scale (jet, W/Z) have more underlying activity!  
Events with  $n$  interactions have  $n$  chances that one of them is hard,  
so “trigger bias”: hard scale  $\Rightarrow$  central collision  
 $\Rightarrow$  more interactions  $\Rightarrow$  larger underlying activity.

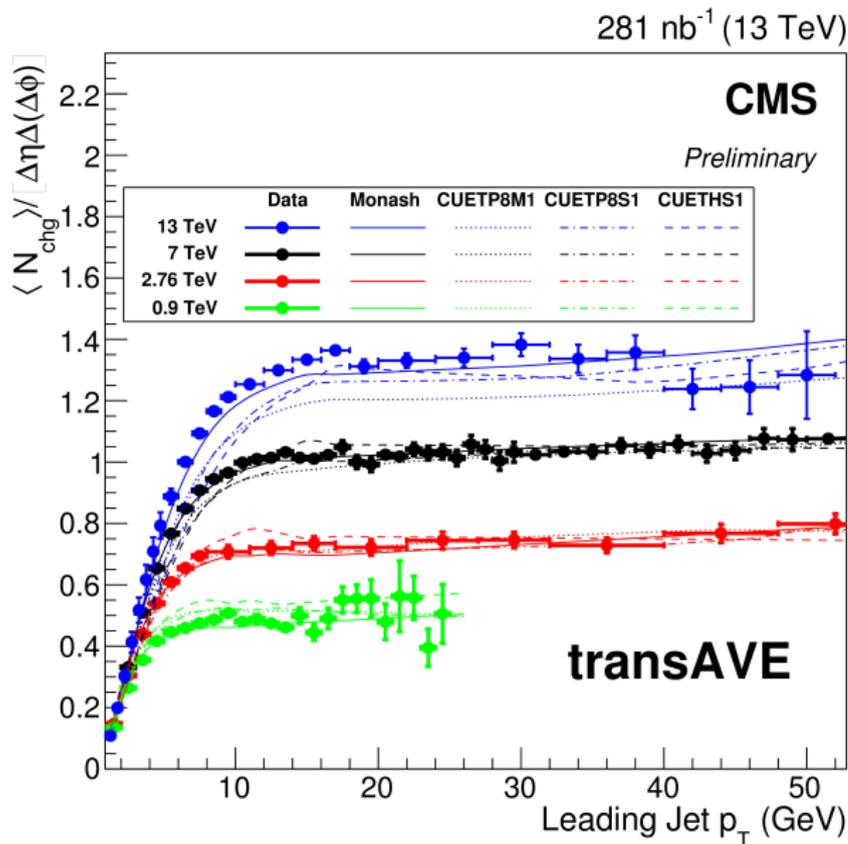
Studied in particular by Rick Field, with CDF/CMS data:

## “MAX/MIN Transverse” Densities



- Define the **MAX and MIN “transverse” regions** on an event-by-event basis with MAX (MIN) having the largest (smallest) density.

# Jet pedestal effect – 2



- MPIs are generated in a **falling sequence of  $p_{\perp}$  values**; recall Sudakov factor approach to parton showers.
- **Energy, momentum and flavour conserved** step by step: subtracted from proton by all “previous” collisions.
- Protons modelled as **extended objects**, allowing both central and peripheral collisions, with more or less activity.
- (Partons at small  $x$  more broadly spread than at large  $x$ .)
- **Colour screening increases with energy**, i.e.  $p_{\perp 0} = p_{\perp 0}(E_{\text{cm}})$ , as more and more partons can interact.
- (Rescattering: one parton can scatter several times.)
- **Colour connections**: each interaction hooks up with colours from beam remnants, but also correlations inside remnants.
- **Colour reconnections**: many interaction “on top of” each other  $\Rightarrow$  tightly packed partons  $\Rightarrow$  colour memory loss?

# Interleaved evolution in PYTHIA

- Transverse-momentum-ordered parton showers for ISR and FSR
- MPI also ordered in  $p_{\perp}$

⇒ Allows interleaved evolution for ISR, FSR and MPI:

$$\frac{d\mathcal{P}}{dp_{\perp}} = \left( \frac{d\mathcal{P}_{\text{MPI}}}{dp_{\perp}} + \sum \frac{d\mathcal{P}_{\text{ISR}}}{dp_{\perp}} + \sum \frac{d\mathcal{P}_{\text{FSR}}}{dp_{\perp}} \right) \\ \times \exp \left( - \int_{p_{\perp}}^{p_{\perp}^{\text{max}}} \left( \frac{d\mathcal{P}_{\text{MPI}}}{dp'_{\perp}} + \sum \frac{d\mathcal{P}_{\text{ISR}}}{dp'_{\perp}} + \sum \frac{d\mathcal{P}_{\text{FSR}}}{dp'_{\perp}} \right) dp'_{\perp} \right)$$

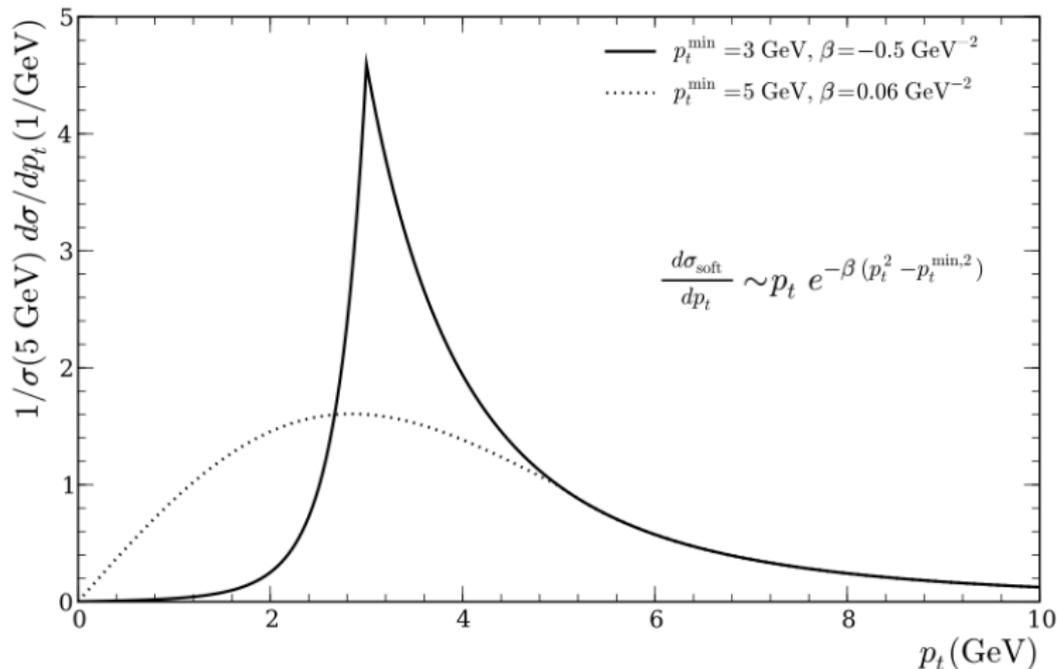
Ordered in decreasing  $p_{\perp}$  using “Sudakov” trick.

Corresponds to increasing “resolution”:

smaller  $p_{\perp}$  fill in details of basic picture set at larger  $p_{\perp}$ .

- Start from fixed hard interaction ⇒ underlying event
- No separate hard interaction ⇒ minbias events
- Possible to choose two hard interactions, e.g.  $W^-W^-$

Key point: **two-component model**

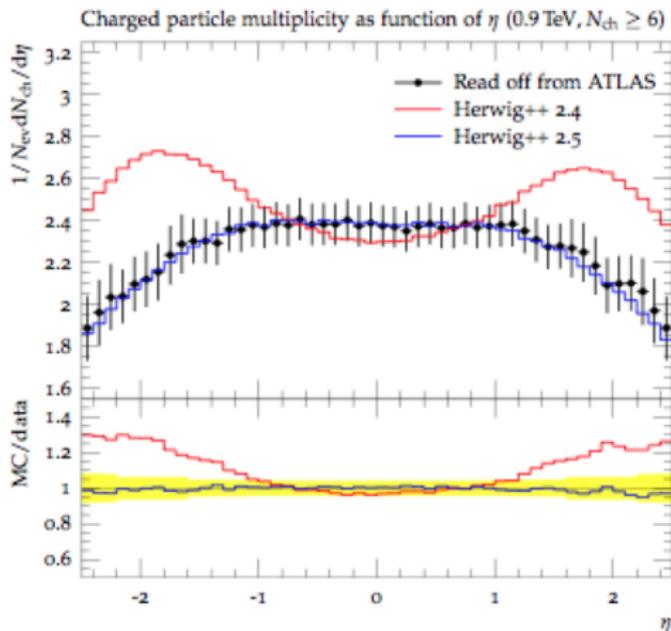


$p_{\perp} > p_{\perp\min}$ : pure perturbation theory (no modification)

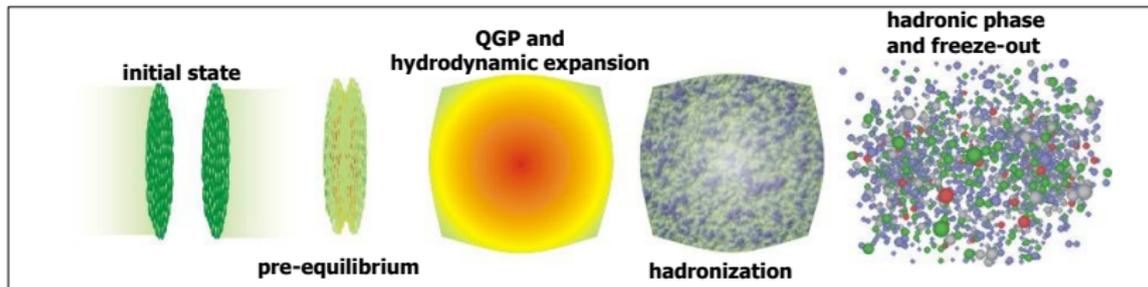
$p_{\perp} < p_{\perp\min}$ : pure nonperturbative ansatz

# MPI in Herwig – 2

- Number of MPIs first picked; then generated **unordered in  $p_{\perp}$** .
- **Interactions uncorrelated**, up until energy used up.
- Force ISR to reconstruct back to gluon after first interaction.
- Impact parameter by **em form factor shape**, but tunable width.
- $p_{\perp\text{min}}$  scale to be tuned energy-by-energy.
- **Colour reconnection** essential to get  $dn/d\eta$  correct.



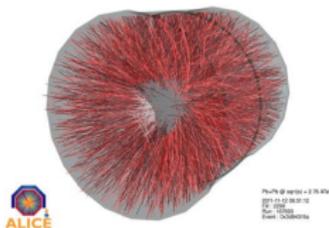
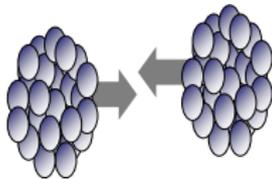
# Heavy Ion Collisions



- The only way we can create the QGP in the laboratory!
- By colliding heavy ions it is possible to create a large ( $\gg 1\text{fm}^3$ ) zone of hot and dense QCD matter
- Goal is to create and study the properties of the Quark Gluon Plasma
- Experimentally mainly the final state particles are observed, so the conclusions have to be inferred via models

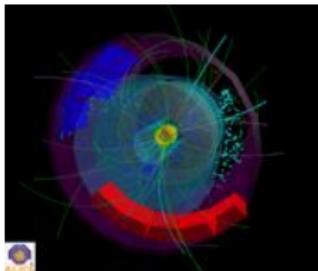
# The three systems — understanding before 2012

Pb-Pb



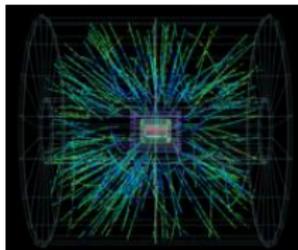
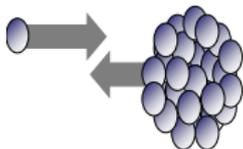
Hot QCD matter:  
This is where we expect  
the QGP to be created  
in central collisions.

pp



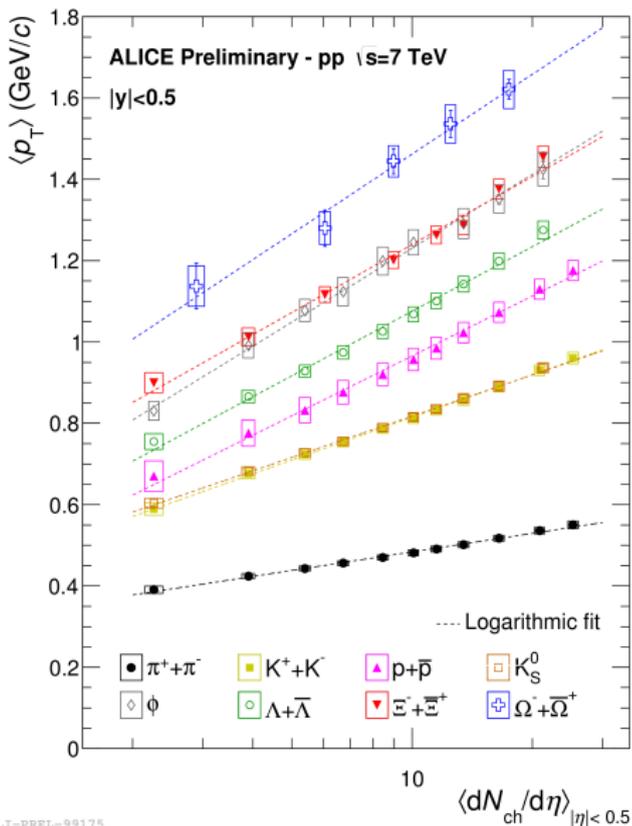
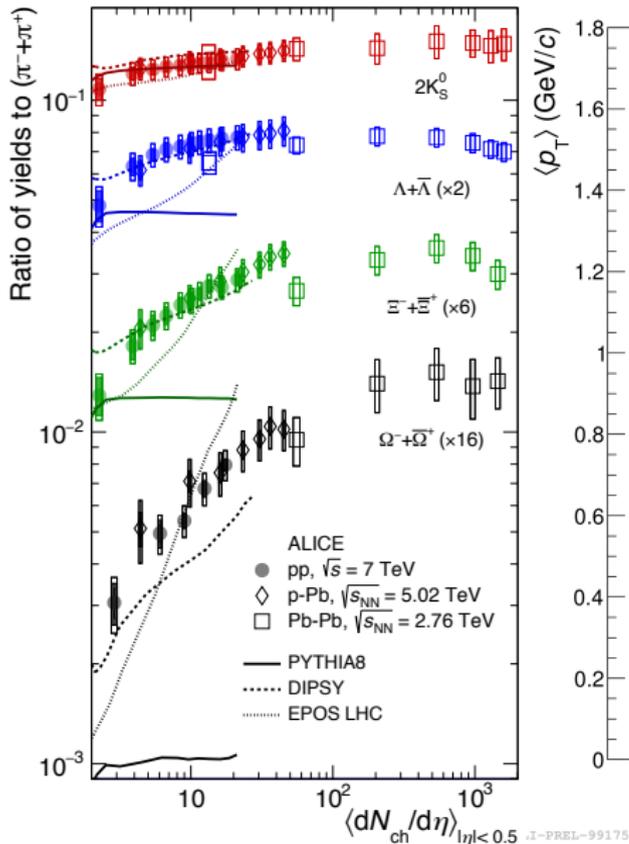
QCD baseline:  
This is the baseline for  
“standard” QCD  
phenomena.

p-Pb

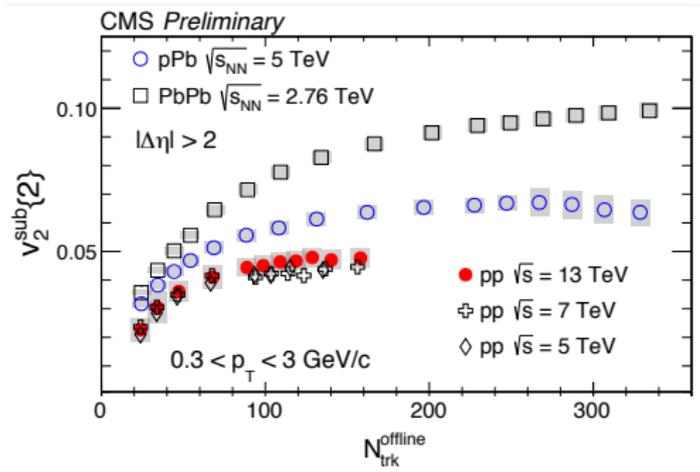
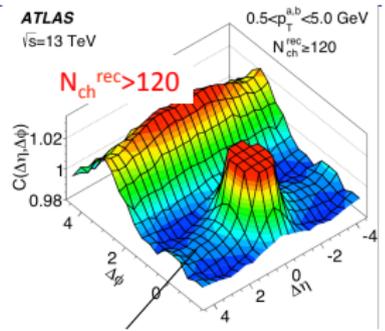
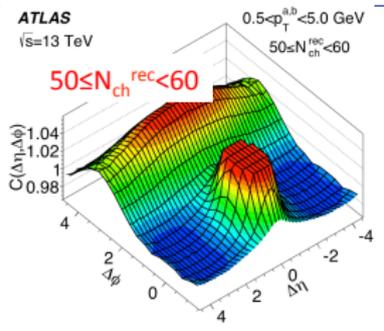
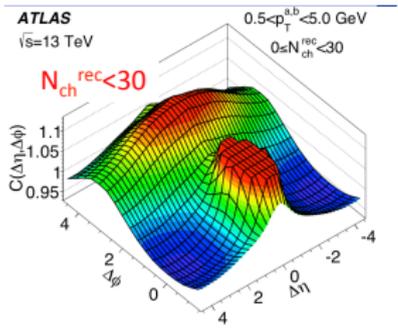


Cold QCD matter:  
This is to isolate nuclear  
effects, e.g. nuclear  
pdfs.

# Strangeness enhancement



# Collective flow

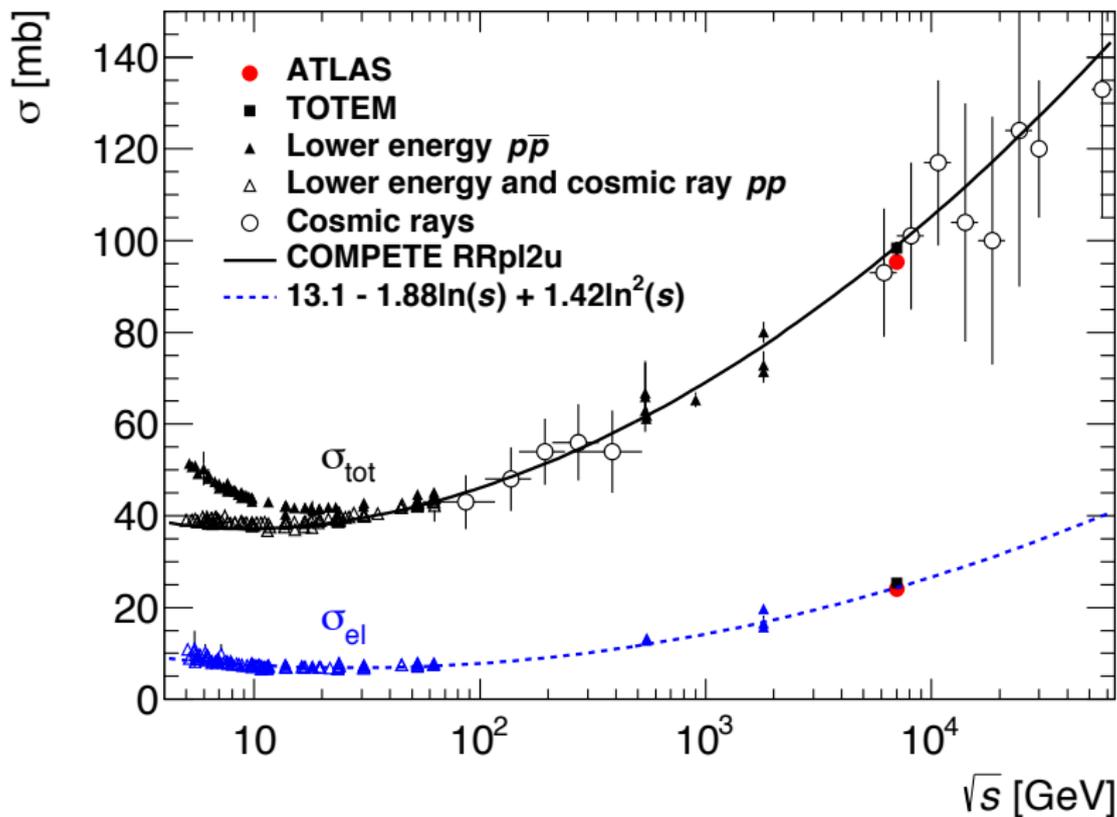


**Increasingly blurred line between pp, pA and AA!**

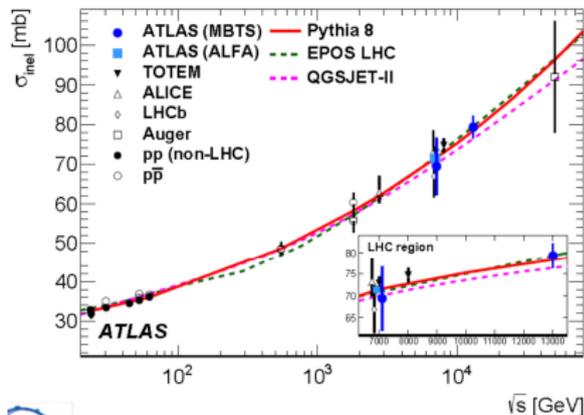
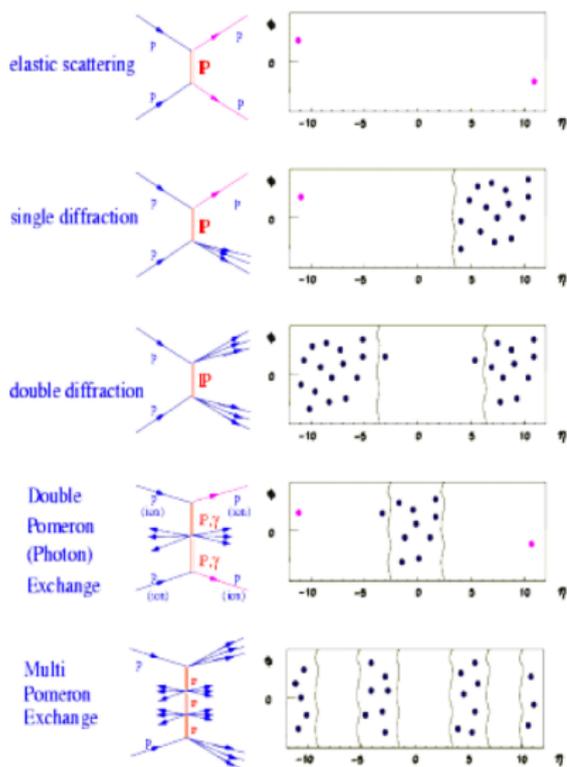
QGP theory wrong?  
 Much smaller systems enough for QGP?

Standard pp generators wrong! Need mechanism for collectivity.

# Total cross section



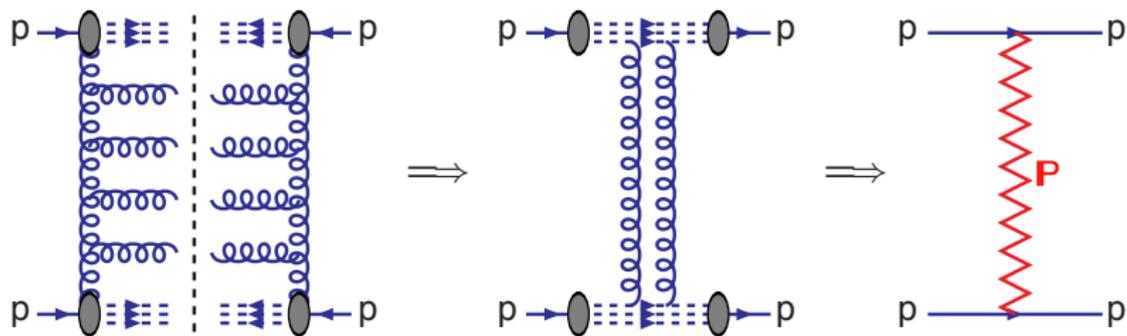
# Event-type breakdown



- Phase space for diffractive masses and rapidity gaps roughly like  $dM^2/M^2 = dy$ , i.e. flat in rapidity.
- Rapidity integration means  $\sigma_{sd}$  grows faster than  $\sigma_{tot}$ ,  $\sigma_{dd}$  even faster, etc.  $\Rightarrow$  Need damping.

# The Pomeron

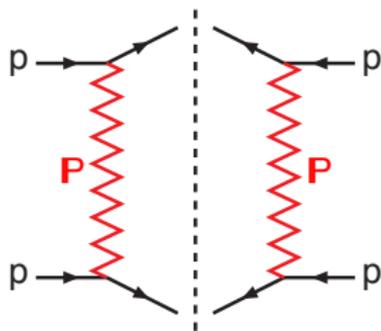
Amplitude for (forward) elastic scattering from total cross section:



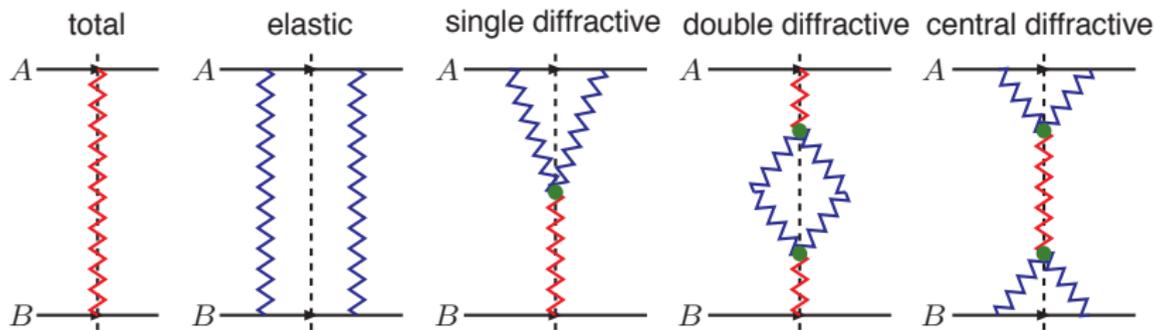
introducing the Pomeron  $\mathbb{P}$  as shorthand for the effective 2-gluon exchange.

Since  $p \rightarrow p \mathbb{P}$  the Pomeron must have the quantum numbers of the vacuum:  $0^+$  colour singlet.

Recall: elastic cross section requires squaring one more time:



# Regge–Pomeranchuk theory of cross sections



$$\sigma_{\text{tot}}^{AB} = \beta_A(0) \beta_B(0) \text{Im } G_{\text{IP}}(s/s_0, 0)$$

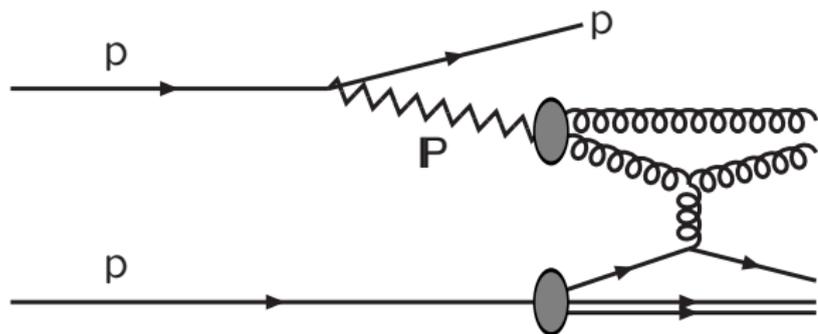
$$\frac{d\sigma_{\text{el}}^{AB}}{dt} = \frac{1}{16\pi} \beta_A^2(t) \beta_B^2(t) |G_{\text{IP}}(s/s_0, t)|^2$$

$$\frac{d\sigma_{\text{sd}}^{AB \rightarrow AX}}{dt dM^2} = \frac{1}{16\pi M^2} g_{3\text{IP}} \beta_A^2(t) \beta_B(0) |G_{\text{IP}}(s/M^2, t)|^2 \text{Im } G(M^2/s_0, 0)$$

$$\frac{d\sigma_{\text{dd}}^{AB \rightarrow X_1 X_2}}{dt dM_1^2 dM_2^2} = \frac{1}{16\pi M_1^2 M_2^2} g_{3\text{IP}}^2 \beta_A(0) \beta_B(0) |G_{\text{IP}}(ss_0/(M_1^2 M_2^2), t)|^2$$

$$\times \text{Im } G(M_1^2/s_0, 0) \text{Im } G(M_2^2/s_0, 0)$$

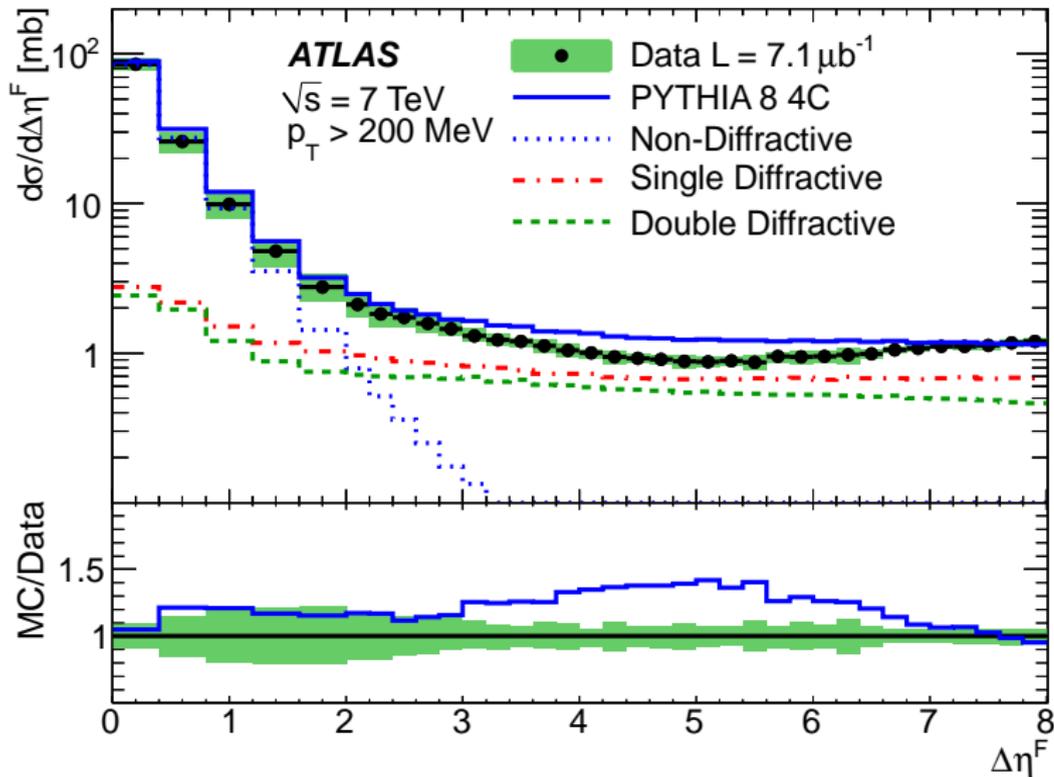
Ingelman-Schlein: Pomeron as hadron with partonic content  
Diffractive event = (Pomeron flux)  $\times$  ( $\mathbb{P}p$  collision)



Used e.g. in  
POMPYT  
POMWIG  
PHOJET

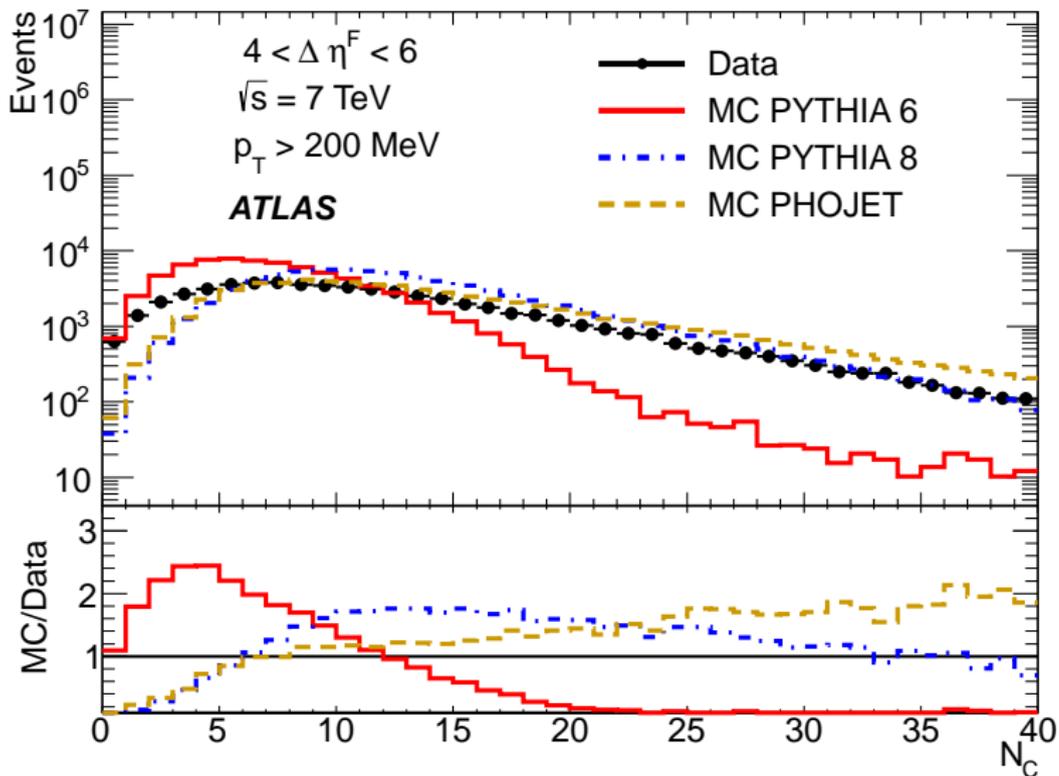
- 1)  $\sigma_{SD}$  and  $\sigma_{DD}$  set by Reggeon theory.
- 2)  $f_{\mathbb{P}/p}(x_{\mathbb{P}}, t) \Rightarrow$  diffractive mass spectrum,  $p_{\perp}$  of proton out.
- 3) Smooth transition from simple model at low masses to  $\mathbb{P}p$  with full  $pp$  machinery: multiple interactions, parton showers, etc.
- 4) Choice between different Pomeron PDFs.
- 5) Free parameter  $\sigma_{\mathbb{P}p}$  needed to fix  $\langle n_{\text{interactions}} \rangle = \sigma_{\text{jet}}/\sigma_{\mathbb{P}p}$ .

# Gaps by subprocess



Non-diffractive fine, but wrong gap spectrum for diffraction.

# Multiplicity in diffractive events



PYTHIA 6 lacks MPI, ISR, FSR in diffraction, so undershoots.