

# Parton Distribution Functions and their applications

Pavel Nadolsky

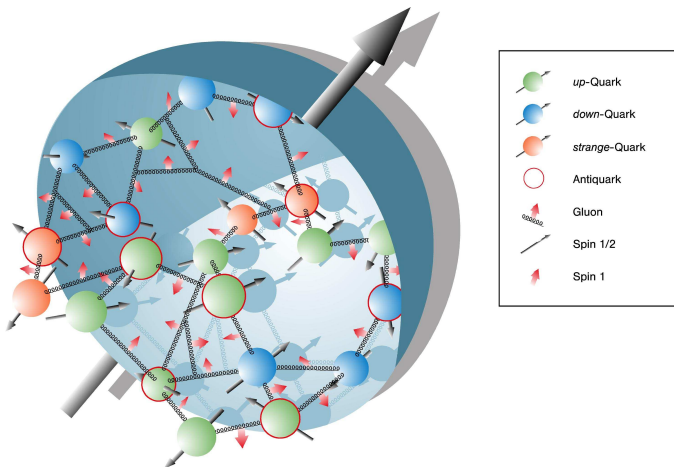
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Lecture 1  
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# 1. Key ideas

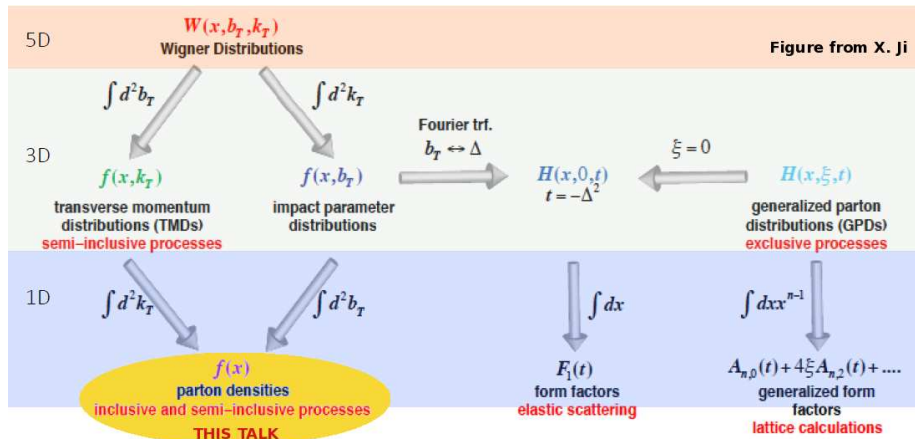
# Parton distribution functions $f_{a/p}(x, Q) \dots$

... are the key functions describing the nucleon structure in QCD



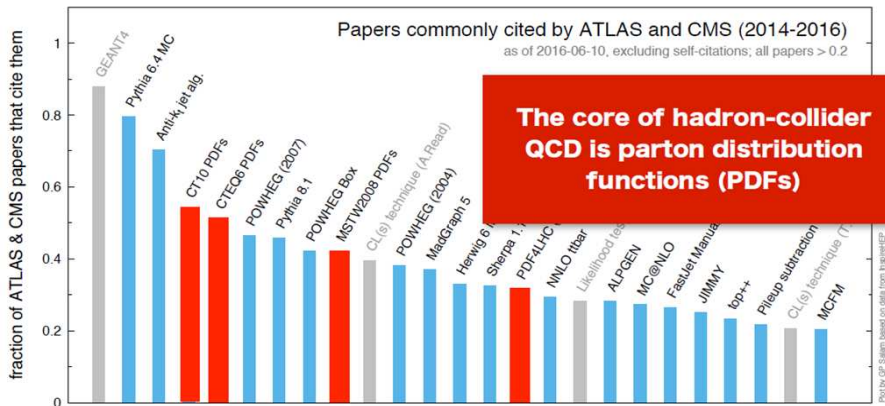
# Parton distribution functions $f_{a/p}(x, Q)$ ...

... the best-known nonperturbative functions introduced in QCD



# Parton distribution functions $f_{a/p}(x, Q)$ ...

...are indispensable in computations of inclusive hadronic reactions at CERN and other laboratories



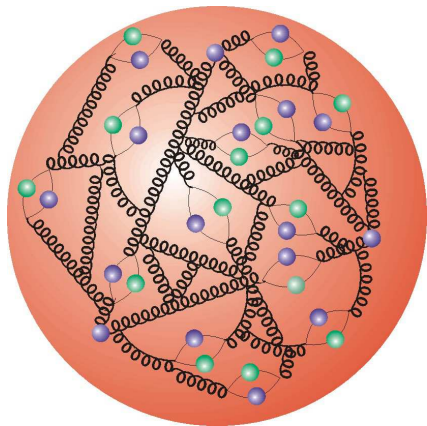
# Basic definitions

- **Partons** are weakly bound constituents of hadrons with small typical size

$$(r \ll r_{nucleon} \approx 1 \text{ fm})$$

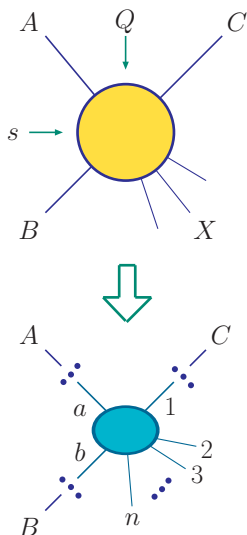
(Feynman; Bjorken, Paschos - 1969)

- ▶ assumed to be pointlike at present



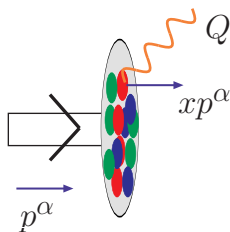
## Basic definitions

- Partons are most easily detected in **inclusive** hadronic scattering  $A + B \rightarrow C + X$  at large collision energy  $\sqrt{s} \gg 1 \text{ GeV}$ , with typical energy transfer  $Q$  of order  $\sqrt{s}$
- Such scattering is dominated by **rare independent collisions**  $a + b \rightarrow 1 + 2 + \dots + n$  of a parton  $a$  from  $A$  on a parton  $b$  from  $B$ , proceeding through **perturbative** QCD and electroweak interactions



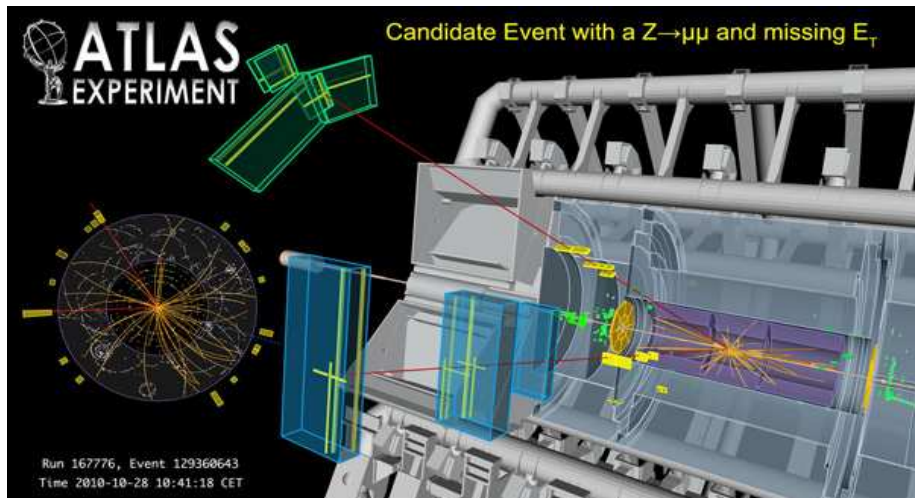
# Basic definitions

- In the simplest (leading-order) interpretation, the PDF  $f_{a/p}(x, Q)$  is a probability for finding a parton  $a$  with 4-momentum  $xp^\alpha$  in a proton with 4-momentum  $p^\alpha$
- $f_{a/p}(x, Q)$  depends on **nonperturbative** QCD interactions



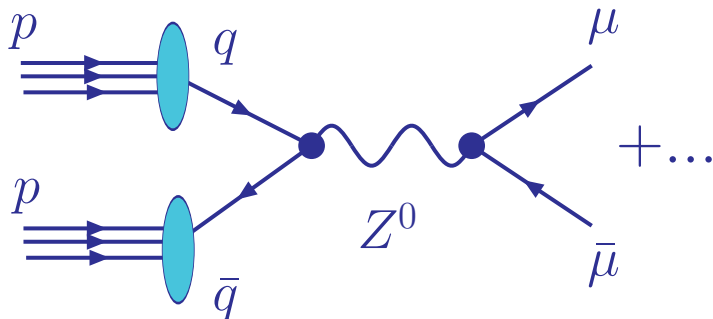


# PDFs and QCD factorization



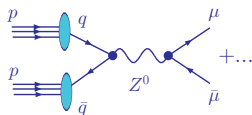
Drell-Yan process  $pp \rightarrow (Z^0 \rightarrow \ell\bar{\ell})X$  at the LHC ( $\ell\bar{\ell} = e\bar{e}$  or  $\mu\bar{\mu}$ )

# PDFs and QCD factorization



$pp \rightarrow (Z^0 \rightarrow \mu\bar{\mu})X$ : Feynman diagram at the leading order in QCD

# PDFs and QCD factorization

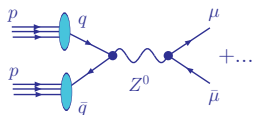


According to QCD factorization theorems, typical cross sections (e.g., for  $p(k_1)p(k_2) \rightarrow [Z(q) \rightarrow \ell(k_3)\bar{\ell}(k_4)] X$ ) take the form

$$\sigma_{pp \rightarrow \ell\bar{\ell}X} = \sum_{a,b=q,\bar{q},g} \int_0^1 d\xi_1 \int_0^1 d\xi_2 \hat{\sigma}_{ab \rightarrow Z \rightarrow \ell\bar{\ell}} \left( \frac{x_1}{\xi_1}, \frac{x_2}{\xi_2}; \frac{Q}{\mu} \right) f_{a/p}(\xi_1, \mu) f_{b/p}(\xi_2, \mu) + \mathcal{O}(\Lambda_{QCD}^2/Q^2)$$

- $\hat{\sigma}_{ab \rightarrow Z \rightarrow \ell\bar{\ell}}$  is the **hard-scattering cross section**
- $f_{a/p}(\xi, \mu)$  are the **PDFs**
- $Q^2 = (k_3 + k_4)^2$ ,  $x_{1,2} = (Q/\sqrt{s}) e^{\pm y_V}$  — measurable quantities
- $\xi_1, \xi_2$  are partonic momentum fractions (integrated over)
- $\mu$  is a factorization scale (=renormalization scale from now on)

# PDFs and QCD factorization



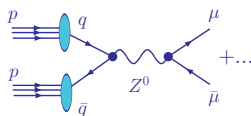
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■  $\mu$  is naturally set to be of order  $Q$

■ Factorization holds up to terms of order  $\Lambda_{QCD}^2/Q^2$

# PDFs and QCD factorization



According to QCD factorization theorems, typical cross sections (e.g., for  $p(k_1)p(k_2) \rightarrow [Z(q) \rightarrow \ell(k_3)\bar{\ell}(k_4)] X$ ) take the form

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## Purpose of this arrangement:

- Subtract large collinear logarithms  $\alpha_s^n \ln^k(Q^2/m_q^2)$  from  $\hat{\sigma}$
- Resum them in  $f_{a/p}(\xi, \mu)$  to all orders of  $\alpha_s$

## Operator definitions for PDFs

To all orders in  $\alpha_s$ , PDFs are **defined** as matrix elements of certain correlator functions:

$$f_{q/p}(x, \mu) = \frac{1}{4\pi} \int_{-\infty}^{\infty} dy^- e^{iy^- p^+} \langle p | \bar{\psi}_q(0, y^-, \vec{0}_T) \gamma^+ \psi_q(0, 0, \vec{0}_T) | p \rangle, \text{ etc.}$$

Several types of definitions, or **factorization schemes** ( $\overline{MS}$ , DIS, etc.), exist

They all correspond to the probability density for finding  $a$  in  $p$  at LO; they differ at NLO and beyond

To prove factorization, one must show that  $f_{a/p}(x, \mu)$  correctly captures higher-order contributions for the considered observable

This condition can be violated for multi-scale observables (e.g., DIS or Drell-Yan process at  $x \sim Q/\sqrt{s} \ll 1$ )

## Operator definitions for PDFs

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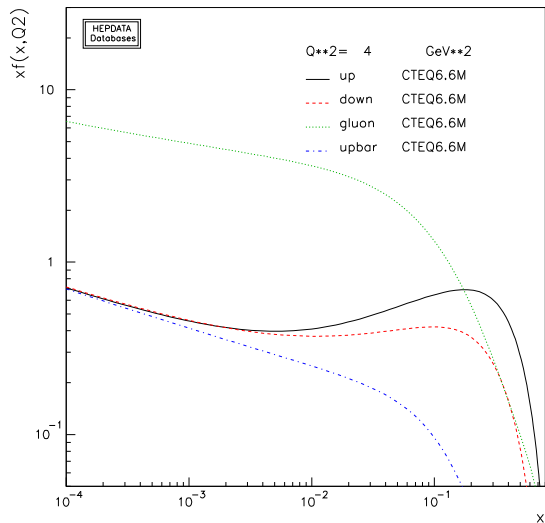
The exact form of  $f_{a/p}$  is not known; but its  $\mu$  dependence is described by **Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP)** equations

$$\mu \frac{df_{i/p}(x, \mu)}{d\mu} = \sum_{j=g,u,\bar{u},d,\bar{d},\dots} \int_x^1 \frac{dy}{y} P_{i/j} \left( \frac{x}{y}, \alpha_s(\mu) \right) f_{j/p}(y, \mu)$$

$P_{i/j}$  are probabilities for  $j \rightarrow ik$  collinear splittings; are known to order  $\alpha_s^3$  (NNLO):

$$P_{i/j}(x, \alpha_s) = \alpha_s P_{i/j}^{(1)}(x) + \alpha_s^2 P_{i/j}^{(2)}(x) + \alpha_s^3 P_{i/j}^{(3)}(x) + \dots$$

# Example of DGLAP evolution



Compare  $\mu$  dependence of  $u$  quark PDF and the gluon PDF

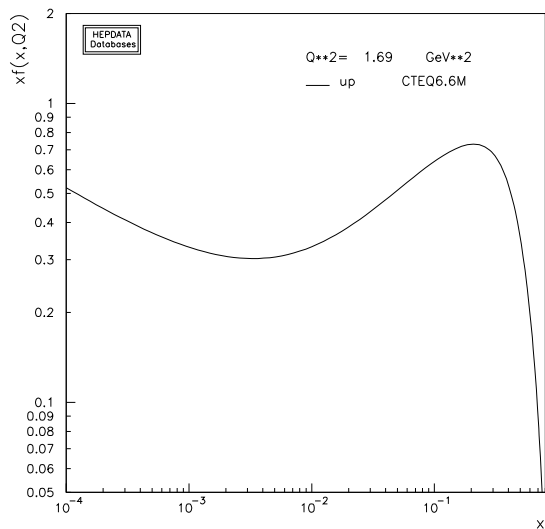
The  $u$ ,  $d$  PDFs have a characteristic bump at  $x \sim 1/3$  – reminiscent of early valence quark models of the proton structure

The PDFs rise rapidly at  $x < 0.1$  as a consequence of perturbative evolution

Durham PDF plotter, <http://durpdg.dur.ac.uk/hepdata/pdf3.html>



# Example of DGLAP evolution

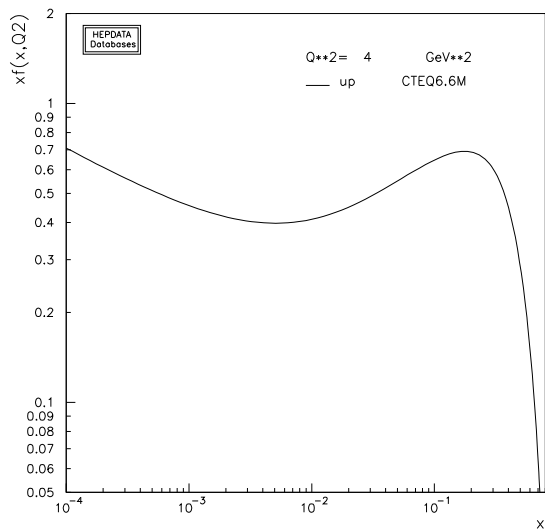


As  $Q$  increases, it becomes more likely that a high- $x$  parton loses some momentum through QCD radiation

$\Rightarrow u(x, Q)$  reduces at  $x \gtrsim 0.1$ , increases at  $x \lesssim 0.1$

Durham PDF plotter, <http://durpdg.dur.ac.uk/hepdata/pdf3.html>

# Example of DGLAP evolution

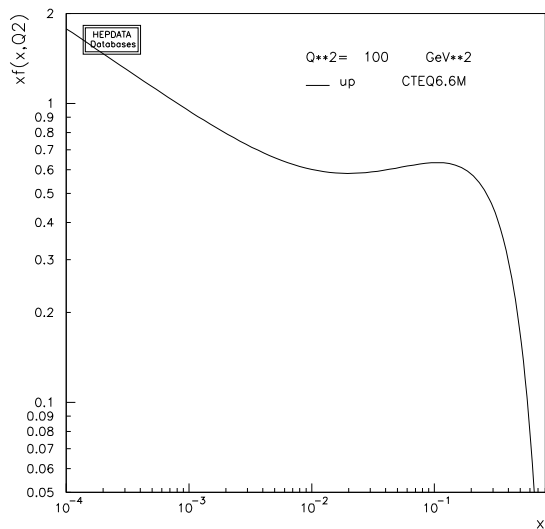


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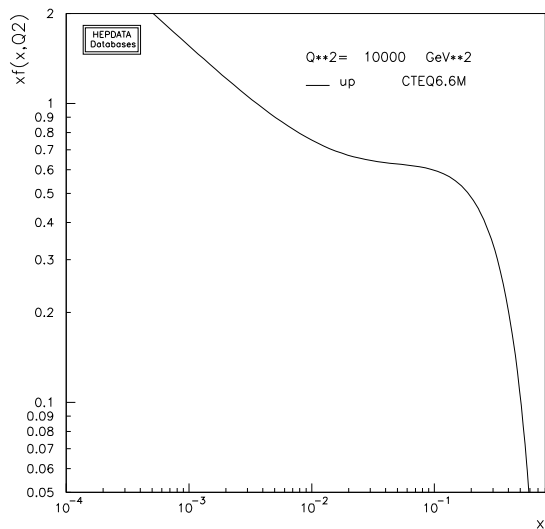


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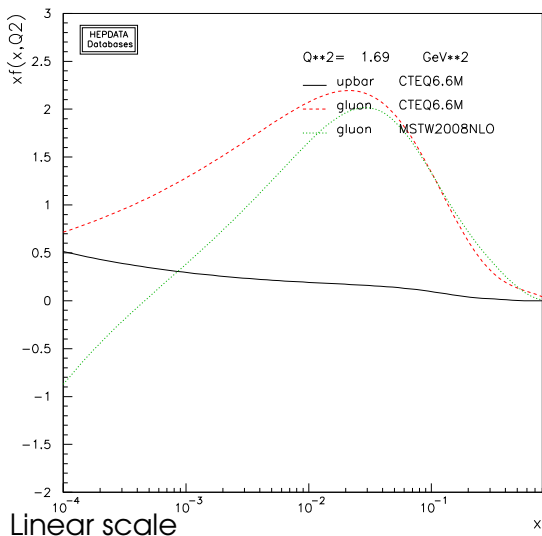


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# Example of DGLAP evolution: $\bar{u}$ and gluon PDF



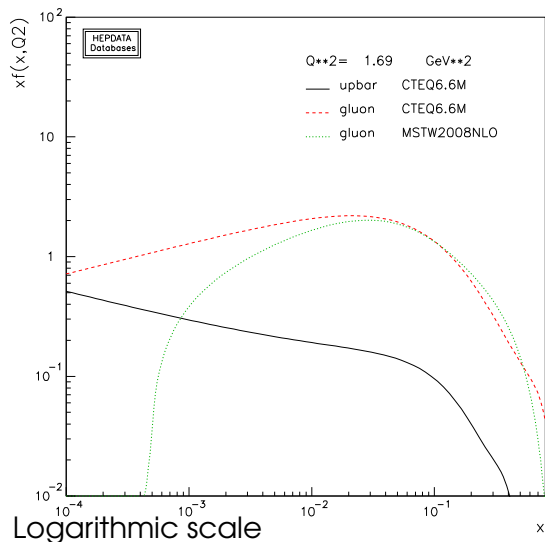
$g(x, Q)$  can become negative at  $x < 10^{-2}$ ,  $Q < 2 \text{ GeV}$

may lead to unphysical predictions

This is an indication that DGLAP factorization experiences difficulties at such small  $x$  and  $Q$

Large  $\ln^k(1/x)$  in  $P_{i/j}(x)$  break PQCD expansion at  $x \sim Q/\sqrt{s} \ll 1$

# Example of DGLAP evolution: $\bar{u}$ and gluon PDF



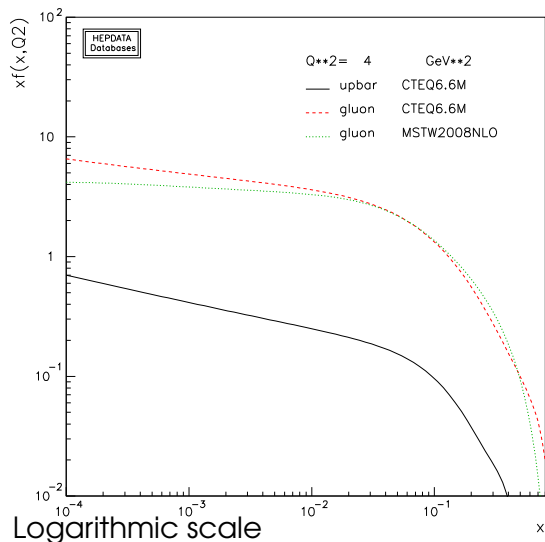
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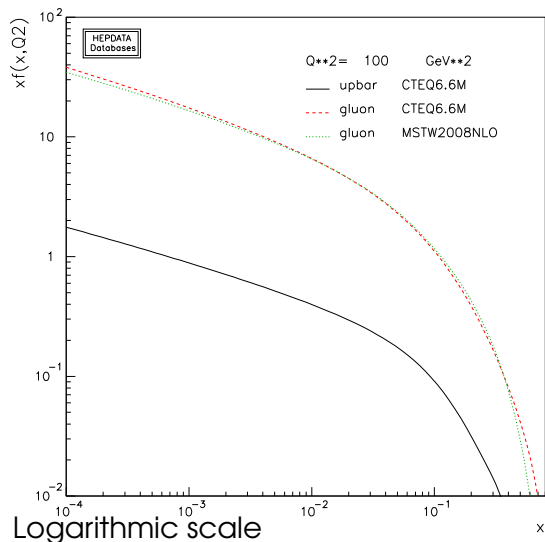


As  $Q$  increases,  $g(x, Q)$  grows rapidly at small  $x$

$\alpha_s(Q)$  becomes small enough to suppress  $\ln^k(1/x)$  terms

small- $x$  behavior stabilizes

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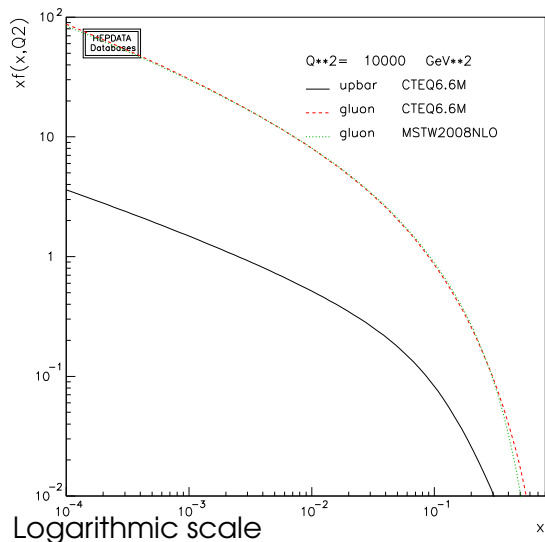
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# Universality of PDFs

To all orders in  $\alpha_s$ , PDFs are **defined** as matrix elements of certain correlator functions:

$$f_{q/p}(x, \mu) = \frac{1}{4\pi} \int_{-\infty}^{\infty} dy^- e^{iy^- p^+} \langle p | \bar{\psi}_q(0, y^-, \vec{0}_T) \gamma^+ \psi_q(0, 0, \vec{0}_T) | p \rangle, \text{ etc.}$$

PDFs are **universal** – depend only on the type of the hadron ( $p$ ) and parton ( $q, \bar{q}, g$ )

... can be **parametrized** as

$$f_{i/p}(x, Q_0) = a_0 x^{a_1} (1-x)^{a_2} F(a_3, a_4, \dots) \text{ at } Q_0 \sim 1 \text{ GeV}$$

... predicted by solving DGLAP equations at  $\mu > Q_0$

# Factorized QCD predictions

Lepton-hadron scattering

$$\sigma = \sum_a \hat{\sigma}_a \otimes f_{a/p}$$

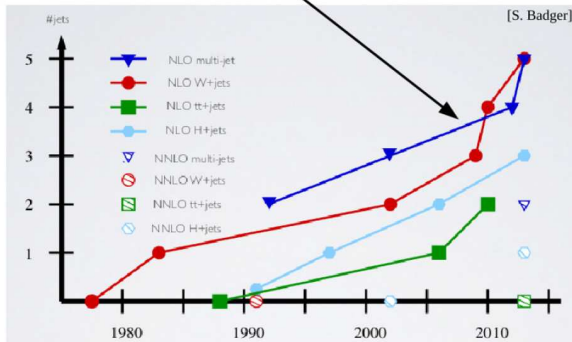
Hadron-hadron scattering

$$\sigma = \sum_{a_1, a_2} \hat{\sigma}_{a_1 a_2} \otimes f_{a_1/p_1} \otimes f_{a_2/p_2}$$

The accuracy in determination of PDFs  $f_{a/p}$  must match the accuracy of hard cross sections  $\hat{\sigma}$

# Perturbative QCD loop revolution

The NLO Revolution



# of jets	# 1-loop Feynman diagram
1	11
2	110
3	1,253
4	16,648
5	256,265

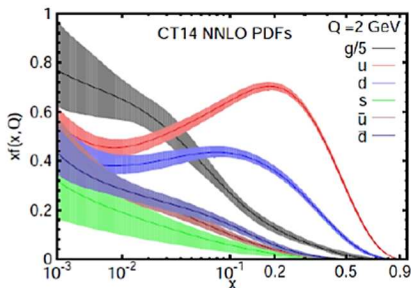
[L.Dixon]

Since 2005, generalized unitarity and related methods dramatically advanced the computations of **perturbative** NLO/NNLO/N3LO hard cross sections  $\hat{\sigma}$ .

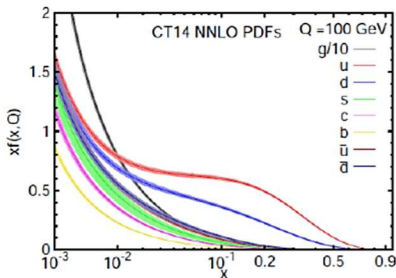
To make use of it, accuracy of PDFs  $f_{a/p}(x, \mu)$  must keep up

# General-purpose CT14 PDFs

(S. Dulat et al., arXiv:1506.07443)



$Q=2\text{ GeV}$



$Q=100\text{ GeV}$

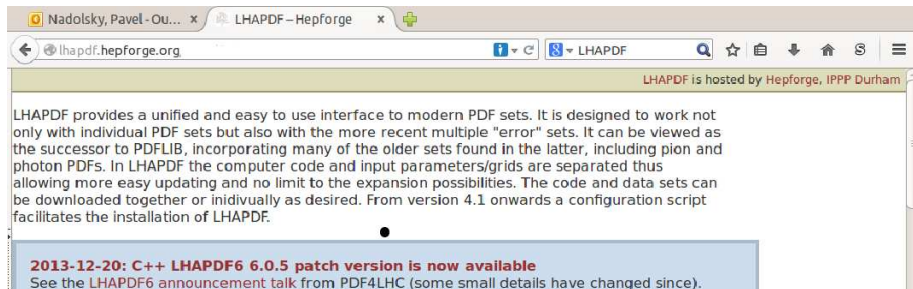
Phenomenological parametrizations of PDFs are provided with estimated uncertainties of multiple origins (**uncertainties of measurement, theoretical model, parametrization form, statistical analysis, ...**)

The shape of PDFs is optimized w.r.t. hundreds of **nuisance parameters**

# Where do the PDFs come from?

# Practical answer: from the Les Houches Accord PDF library (LHAPDF)

Almost all recent PDFs are included in the LHAPDF C++ library available at [lhpdf.hepforge.org](http://lhpdf.hepforge.org).



The screenshot shows a web browser window with the URL [lhpdf.hepforge.org](http://lhpdf.hepforge.org). The page content includes a header stating "LHAPDF is hosted by Hepforge, IPPP Durham". The main text describes LHAPDF as a unified interface to modern PDF sets, designed to work with multiple "error" sets and be viewed as the successor to PDFLIB. It mentions that LHAPDF separates computer code and input parameters/grids, allowing for easy updates and expansion. A blue banner at the bottom of the page contains the following text: **2013-12-20: C++ LHAPDF6 6.0.5 patch version is now available**. See the [LHAPDF6 announcement talk](#) from PDF4LHC (some small details have changed since).

Thousands of PDF sets are provided and can be linked to your computer code. Which one should you use?

# Where do the PDFs come from?



LHC  
Tevatron



HERA  
RHIC  
EIC



Fixed-target  
experiments

- From a combination of BIG, medium, and *small* experiments
- Complementarity in
  - kinematical ranges
  - systematics

+ lattice QCD



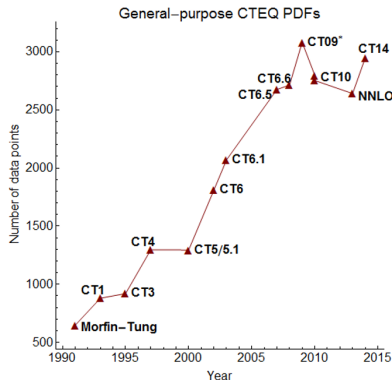


## Coordinated Theoretical-Experimental Analysis of QCD (CTEQ)

Several groups in CTEQ work on determination of PDFs:  
CTEQ-Tung Et Al. (CT), CTEQ-JLab (CJ),...

**Global analysis** (term promoted by J. Morfin & W.-K. Tung in 1990):

constrains PDFs or other nonperturbative functions with  
data from diverse hadronic experiments



**Morfin-Tung:** DIS, low-Q Drell-Yan process

**CT1:** DIS, DY, direct  $\gamma$

**CT3:** low-x DIS, W charge asymmetry

**CT4:** - direct  $\gamma$ ; + CDF high- $p_T$  jets

**CT5/5.1:** + $\sigma_{pp}/\sigma_{pd}$  in DY process; D0 jets

**CT6:** Error PDFs; correlated syst. errors

**CT6.1:** Tevatron Run-1b jets

**CT6.5:** GM-VFN scheme; free  $s(x)$

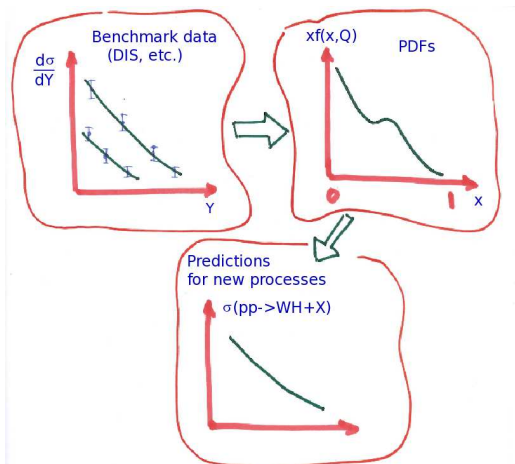
**CT6.6:** PDF correlations

**CT09\*:** +Tevatron Run-2 jets

**CT10:** +NNLO, combined HERA, Run-2 W asymmetry

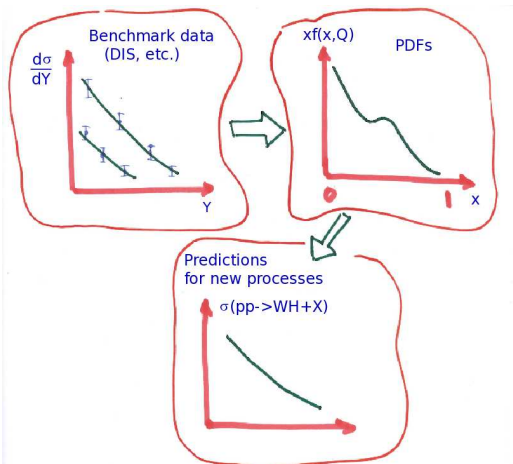
**CT14:** +LHC Run-1 W, Z, jet production

# The flow of the global analysis



PDFs are not measured directly, but some data sets are sensitive to specific combinations of PDFs. By constraining these combinations, the PDFs can be disentangled in a combined (global) fit.

# The flow of the global analysis

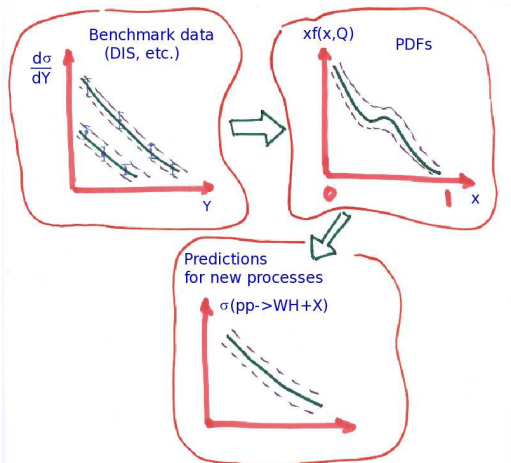


## Data sets in the CT10 analysis

Experimental data set	$N_{pt}$	CT10NNLO	CT10W
Combined HERA1 NC and CC DIS [74]	579	1.07	1.17
BCDMS $F_2^d$ [75]	339	1.16	1.14
BCDMS $F_2^p$ [76]	251	1.16	1.12
NMC $F_2^d$ [77]	201	1.66	1.71
NMC $F_2^p/F_2^d$ [77]	123	1.23	1.28
CDHSW $F_2^d$ [78]	85	0.83	0.66
CDHSW $F_2^p$ [78]	96	0.81	0.75
CCFR $F_2^d$ [79]	69	0.98	1.02
CCFR $xF_3^d$ [80]	86	0.40	0.59
NuTeV neutrino dimuon SIDIS [81]	38	0.78	0.94
NuTeV antineutrino dimuon SIDIS [81]	33	0.86	0.91
CCFR neutrino dimuon SIDIS [82]	40	1.20	1.25
CCFR antineutrino dimuon SIDIS [82]	38	0.70	0.78
H1 $F_2^d$ [83]	8	1.17	1.26
H1 $\sigma_e^2$ for $ce$ [59, 84]	10	1.63	1.54
ZEUS $F_2^d$ [57]	18	0.74	0.90
ZEUS $F_2^p$ [58]	27	0.62	0.76
E605 Drell-Yan process, $\sigma(\mu A)$ [85]	119	0.80	0.81
E866 Drell-Yan process, $\sigma(ppd)/(2\sigma(pp))$ [86]	15	0.65	0.64
E866 Drell-Yan process, $\sigma(pp)$ [87]	184	1.27	1.21
CDF Run-1 $W$ charge asymmetry [88]	11	1.22	1.24
CDF Run-2 $W$ charge asymmetry [89]	11	1.04	1.02
DØ Run-2 $W \rightarrow e\nu_e$ charge asymmetry [90]	12	2.17	2.11
DØ Run-2 $W \rightarrow \mu\nu_\mu$ charge asymmetry [91]	9	1.65	1.49
DØ Run-2 $Z$ rapidity distribution [92]	28	0.56	0.54
CDF Run-2 $Z$ rapidity distribution [93]	29	1.60	1.44
CDF Run-2 inclusive jet production [94]	72	1.42	1.55
DØ Run-2 inclusive jet production [95]	110	1.04	1.13
<b>Total:</b>	<b>2641</b>	<b>1.11</b>	<b>1.13</b>

Modern fits involve up to 40 experiments, 3000+ data points, and 100+ free parameters

# The flow of the global analysis



We are interested not just in one best fit, but also in the uncertainty of the resulting PDF parametrizations and theoretical predictions based on them. This will be covered in Lecture 2

# A question to you (think for 1 minute)

Among Standard Model particles, which particles can have a non-zero PDF?

## Boundary conditions at $Q_0$

In practice, independent parametrizations  $f_{a/p}(x, Q_0)$  are introduced for

- $g, u, d, s, \bar{u}, \bar{d}, \bar{s}$  (always)
  - contribute  $> 97\%$  of the proton's energy  $E_p$  at  $Q_0$ 
    - ▶ even in this case, the data are usually insufficient for constraining all PDF parameters; some of them can be fixed by hand
    - ▶ e.g.,  $\bar{u} = \bar{d} = \bar{s}$  in outdated fits
- $c$  and or  $b$  (occasionally; in a model allowing nonperturbative “intrinsic heavy-quark production”)
- photons  $\gamma$  (in QCD+QED PDFs by CT, LUX, MRST, NNPDF... groups)
  - ▶ a QCD+QED fit is more complicated than one might think: it must account for violation of charge symmetry by EM effects,

$$u_p(x, Q) \neq d_n(x, Q); \quad d_p(x, Q) \neq u_n(x, Q)$$

## PDFs for heavy flavors

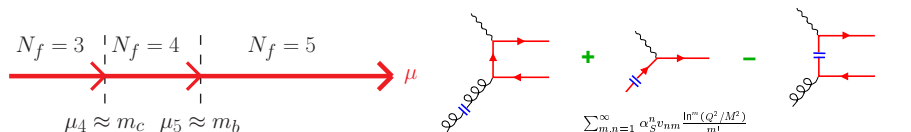
PDFs for heavy partons  $h$  can be generated via DGLAP evolution at  $Q \geq m$ , using a boundary condition  $f_{h/p}(x, Q) = 0$  at  $Q \leq m$

In practice:

- PDFs are usually introduced for  $c$  and  $b$  quarks
  - ▶ starting from  $\mathcal{O}(\alpha_s^2)$ , an initial condition  $f_{c/p}(x, Q_0) \neq 0$  can be generated at  $Q_0 = m_c$  from twist-4 intrinsic charm DIS terms (*arXiv:1707.00657*)
- QCD coupling  $\alpha_s(Q)$  and PDFs are evaluated with 5 active flavors at all  $Q \geq m_b$
- Logarithmic enhancements may exist in collinear  $t, W, Z$  production at  $Q \gtrsim 1 \text{ TeV}$ ; PDFs for  $t, W, Z$  “partons” may be introduced at such  $Q$

# General-mass variable-flavor number scheme

- A series of factorization schemes with  $N_f$  active quark flavors in  $\alpha_s(Q)$  and  $f_{a/p}(x, Q)$ 
  - ▶  $N_f$  is incremented sequentially at momentum scales
 
$$\mu_{N_f} \approx m_{N_f}$$
- incorporates essential  $m_{c,b}$  dependence near, and away from, heavy-flavor thresholds
- implemented in all latest PDF fits except ABM



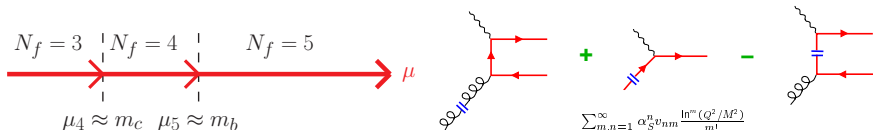


# General-mass variable-flavor number scheme

Proved for *inclusive DIS* by J. Collins (1998)

$$F_2(x, Q, m_c) = \sum_a \int_x^1 \frac{d\xi}{\xi} C_a\left(\frac{\chi}{\xi}, \frac{Q}{\mu}, \frac{m_c}{Q}\right) f_a\left(\xi, \frac{\mu}{m_c}\right) + \mathcal{O}\left(\frac{\Lambda_{QCD}}{Q}\right)$$

- $\lim_{Q \rightarrow \infty} C$  exists and is infrared safe
- collinear logarithms  $\sum_{k,n=1}^{\infty} \alpha_s^k v_{kn} \ln^n(\mu/m_c)$  are resummed in  $f_c(x, \mu/m_c)$
- no terms  $\mathcal{O}(m_c/Q)$  in the remainder

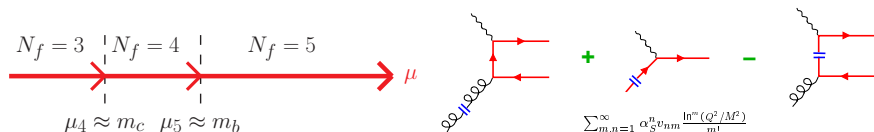


# General-mass variable-flavor number scheme

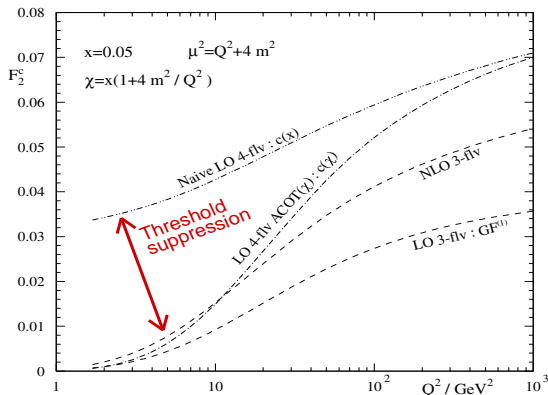
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- Works most effectively in DIS and Drell-Yan-like processes; practical implementation requires
  1. efficient treatment of mass dependence, rescaling of momentum fractions  $\chi$  in processes with incoming  $c, b$
  2. physically motivated factorization scale to ensure fast PQCD convergence (e.g.,  $\mu = Q$  in DIS)



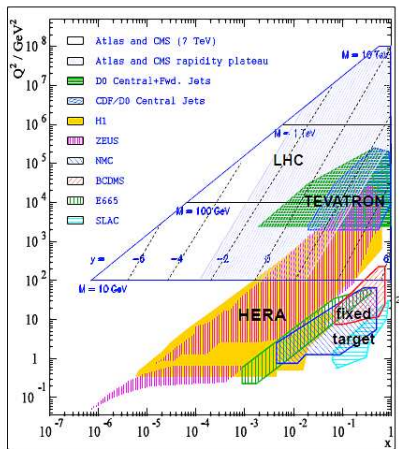
# An example of GM-VFN factorization scheme



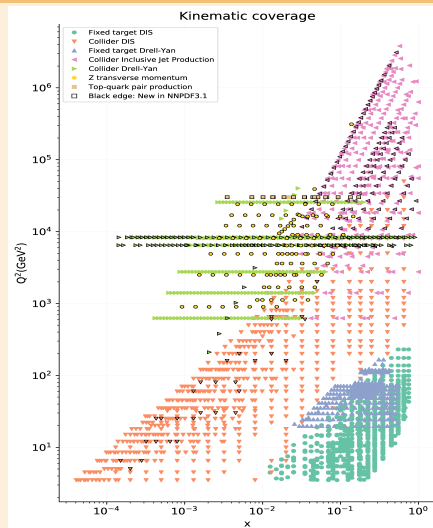
- Charm Wilson coefficient function is suppressed at  $Q \rightarrow m_c$
- To keep agreement with  $F_2$  data,  $u$ ,  $d$ ,  $\bar{u}$ ,  $\bar{d}$  PDF's are enhanced at small  $x$ , as compared to the zero-mass (ZM-VFN) scheme

## 2. Experimental observables constraining the PDFs in global fits

## $x, Q$ coverage of various experiments



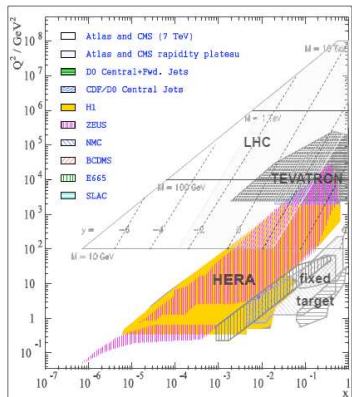
## Experiments included in the NNPDF3.1 PDF analysis



# Selection of experimental data for PDF fits (2017)

## ■ Inclusive deep-inelastic scattering

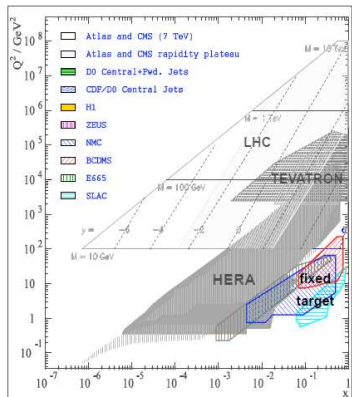
- ▶ At HERA:
  - neutral-current  $e^\pm p \rightarrow e^\pm X$ ;
  - charged-current  $ep \rightarrow \nu X$
  - ◇ the largest data set in the fit
  
- ▶ Fixed-target experiments
  - ◇  $eN, \mu N, \nu N$  scattering



# Selection of experimental data for PDF fits (2017)

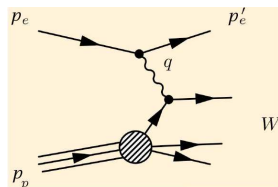
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  - ◇ the largest data set in the fit
  
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## Neutral-current $ep$ DIS: kinematics

- $s = (p_e + p_p)^2$  – total energy
- $Q^2 = -q^2 = -(p_e - p'_e)^2$  – momentum transfer
- $x = Q^2/(2p_p \cdot q)$  – Bjorken scaling variable
- $y = Q^2/(xs)$  – inelasticity
- $W^2 = Q^2(1 - x)/x$  – energy of the hadronic final state



$$\frac{d^2\sigma(e^\pm p)}{dQ^2 dx} = \frac{2\pi\alpha^2}{Q^4 x} Y_\pm \left( F_2 - \frac{y^2}{Y_+} F_L \pm \frac{Y_-}{Y_+} x F_3 \right),$$

with  $Y_\pm \equiv 1 \pm (1 - y)^2$

The data is fitted either in the form of  $F_2(x, Q^2)$  or  $d^2\sigma/(dQ^2 dx)$

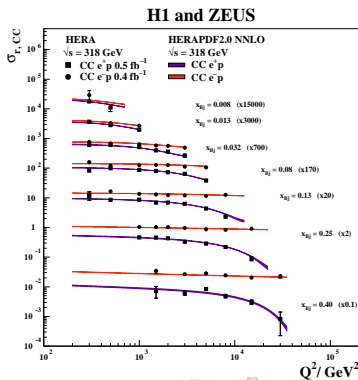
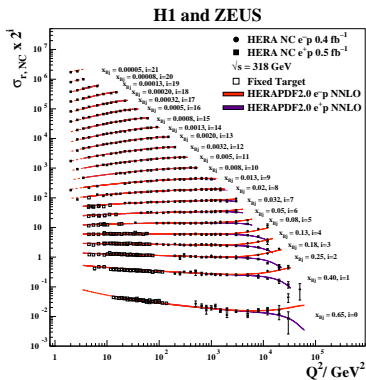


# Final combined DIS cross sections at HERA

(arXiv:1506.06042)

41 data sets on NC and CC DIS from H1 and ZEUS are combined into 1 set.

2927 data points are combined into 1307 data points. 165 correlated systematic errors are reanalyzed and calibrated.



## PDF combinations in DIS at the lowest order

### ■ Neutral current $\ell^\pm p$ :

$$F_2^{\ell^\pm p}(x, Q^2) = \frac{4}{9} (u + \bar{u} + c + \bar{c}) + \frac{1}{9} (d + \bar{d} + s + \bar{s} + b + \bar{b})$$

- ▶ PDFs are weighted by the fractional EM quark coupling  $e_i^2 = 4/9$  or  $1/9$
- ▶ 4 times more sensitivity to  $u$  and  $c$  than to  $d$ ,  $s$ , and  $b$
- ▶ No sensitivity to the gluon at this order

### ■ Neutral current ( $\ell^\pm N$ ) DIS on isoscalar nuclei ( $N = (p + n)/2$ ):

$$F_2^{\ell^\pm N}(x, Q^2) = \frac{5}{9} (u + \bar{u} + d + \bar{d} + \text{smaller } s, c, b \text{ contributions})$$

### ■ Charged current ( $\nu N$ ) DIS :

$$F_2^{\nu N}(x, Q^2) = x \sum_{i=u,d,s,\dots} (q_i + \bar{q}_i)$$

$$xF_3^{\nu N}(x, Q^2) = x \sum_{i=u,d,s} (q_i - \bar{q}_i)$$

## DIS at next-to-leading order (NLO) and beyond

Logarithmic corrections to Bjorken scaling ( $Q$  dependence of  $F_2(x, Q^2)$ ) are sensitive to the gluon PDF through DGLAP equations,

$$\mu \frac{df_{i/p}(x, \mu)}{d\mu} = \sum_{j=g,u,\bar{u},d,\bar{d},\dots} \int_x^1 \frac{dy}{y} P_{i/j} \left( \frac{x}{y}, \alpha_s(\mu) \right) f_{j/p}(y, \mu)$$

Thus, when examined at NLO, the DIS data constrains

- $\sum_i e_i^2 (q_i + \bar{q}_i)$  in an amazingly large range  $10^{-5} < x < 0.5$
- $u$  and  $d$  at  $10^{-2} < x < 0.3$
- $g(x, Q)$  at  $x < 0.1$

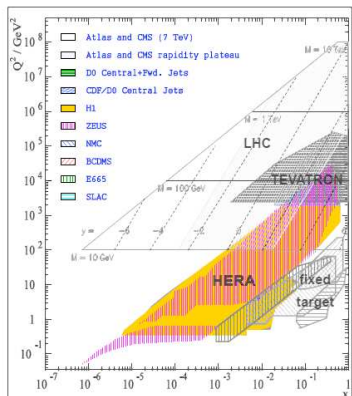
DIS cannot fully separate quarks from antiquarks, or  $s, c, b$  contributions from  $u$  and  $d$  contributions; more so because of systematic effects in fixed-target DIS experiments (higher-order terms, nuclear corrections,...)

# Selection of experimental data for PDF fits (2017)

The modern PDF fits include  
**Inclusive deep-inelastic scattering...**

+ **Semi-inclusive DIS:**

- charm production  $ep \rightarrow ecX$   
(HERA)
- $\mu\mu$  production  $\nu N \rightarrow \mu(c \rightarrow \mu)X$   
(NuTeV, NOMAD, ...)



Hard cross sections are known at NNLO (two QCD loops) for  
 inclusive DIS,  $ep \rightarrow ecX$ ,  $\nu N \rightarrow \mu\mu X$

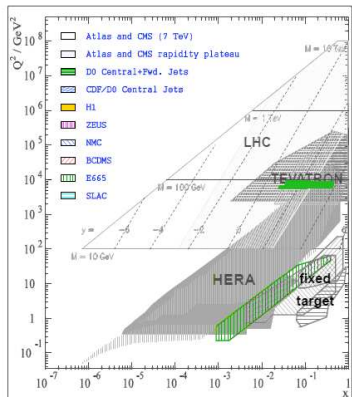
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+ **Lepton pair production**  $pN \xrightarrow{\gamma^*, W, Z} \ell\bar{\ell}'X$   
(Tevatron, fixed-target experiments)



# Selection of experimental data for PDF fits (2017)

The modern PDF fits include  
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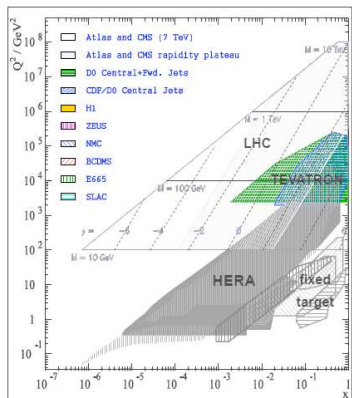
+ **Semi-inclusive DIS:**

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+ **Lepton pair production**  $pN \xrightarrow{\gamma^*, W, Z} \ell\bar{\ell}'X$   
 (Tevatron, fixed-target experiments)

+ **Inclusive jet production:**  $p\bar{p} \rightarrow jX$   
 (Tevatron),  $ep \rightarrow j(j)X$  (HERA)

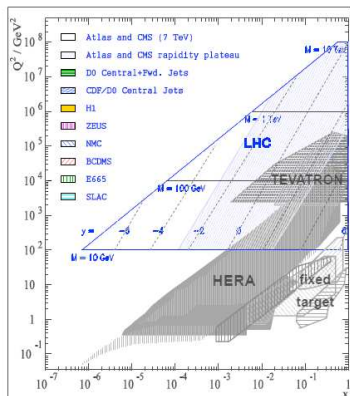
Hard cross sections are known at NNLO (two loops) for lepton pair production, jet,  $t\bar{t}$  production



# Selection of experimental data for PDF fits (2017)

Dozens of data sets from LHC!

- CT14, MMHT14, NNPDF3.0 include early 7 TeV  $W/Z$ , jet production data sets
- NNPDF3.1 (*arXiv:1706.00428*) includes high-luminosity data on  $W/Z$ ,  $Z$ ,  $p_T$ ,  $t\bar{t}$  production
  - ▶ moderate reduction in PDF uncertainty, especially for  $g(x, Q)$

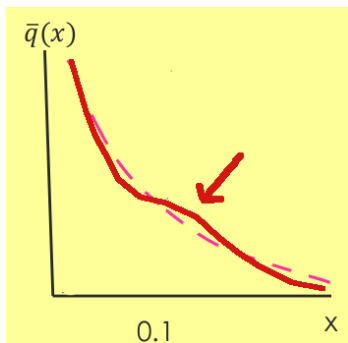


## Zooming in on quark sea PDFs

Various QCD effects produce non-trivial sea PDFs

- breaking of SU(2) symmetry and charge symmetry
- non-trivial shape of sea PDFs, cf. the figure

1% accuracy can distinguish between these effects.



Unpolarized integrated PDFs must be known to  $\sim 1\%$  to determine polarized and TMD PDFs, fragmentation functions, and for LHC physics



## SU(2) and charge symmetry breaking

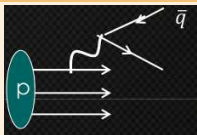
$$\bar{d}(x) \neq \bar{u}(x), \quad \bar{q}(x) \neq q(x)$$

May be caused by

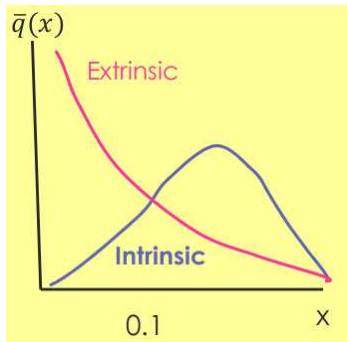
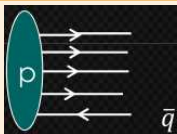
- DGLAP evolution
- Fermi motion
- Electromagnetic effects
- Nonperturbative meson fluctuations
- Chiral symmetry breaking
- Instantons
- ...

# Extrinsic and intrinsic sea PDFs

## "Extrinsic" sea



## "Intrinsic" sea



# Extrinsic and intrinsic sea PDFs

Smooth  $\bar{u} + \bar{d}$  parametrizations can hide existence of two components

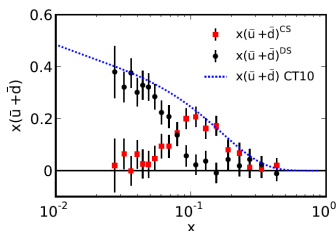
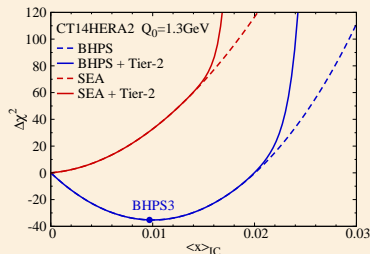


FIG. 5:  $x(\bar{u}^{cs}(x) + \bar{d}^{cs}(x))$  obtained from Eq. (1) is plotted together with  $x(\bar{u}(x) + \bar{d}(x))$  from CT10 and  $\frac{1}{R}x(s(x) + \bar{s}(x))$  which is taken to be  $x(\bar{u}^{ds}(x) + \bar{d}^{ds}(x))$ .

Liu, Chang, Cheng, Peng,  
1206.4339

Intrinsic charm (IC) can carry up to 1% of the proton momentum



CT14 IC NNLO PDFs  
T.-J. Hou et al., 1707.00657

# Constraints on quark sea from $pN \rightarrow \ell^+ \ell^- X$

( $N = p, d, Fe, Cu, \dots$ )

$$\frac{d\sigma_{pp}}{dQ^2 dy} \sim \left(\frac{2}{3}\right)^2 [u_A \bar{u}_B + \bar{u}_A u_B] + \left(-\frac{1}{3}\right)^2 [d_A \bar{d}_B + \bar{d}_A d_B] + \text{smaller terms}$$

$\Rightarrow$  sensitivity to  $\bar{q}(x, Q)$

Assuming charge symmetry between protons and neutrons

( $u_p = d_n, u_n = d_p$ ):

$$\frac{d\sigma_{pn}}{dQ^2 dy} \sim \left(\frac{2}{3}\right)^2 [u_A \bar{d}_B + \bar{u}_A d_B] + \left(-\frac{1}{3}\right)^2 [d_A \bar{u}_B + \bar{d}_A u_B] + \text{smaller terms}$$

If deuterium binding corrections are neglected:

$$q_d(x) \approx q_p(x) + q_n(x)$$

At  $x_A \gg x_B$  (large  $y$ ):  $\bar{q}(x_A) \sim 0$  and  $4u(x_A) \gg d(x_A)$

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# Constraints on quark sea from $pN \rightarrow \ell^+ \ell^- X$

( $N = p, d, Fe, Cu, \dots$ )

$$\frac{\sigma_{pd}}{2\sigma_{pp}} \approx \frac{1}{2} \frac{(1 + \frac{d_A}{4u_A})[1 + r]}{(1 + \frac{d_A}{4u_A}r)} \approx \frac{1}{2}(1 + r), \text{ where } r \equiv \bar{d}(x_B)/\bar{u}(x_B)$$

$\therefore \sigma_{pd}/(2\sigma_{pp})$  constrains  $\bar{d}(x, Q)/\bar{u}(x, Q)$  at moderate  $x$

# Experimental evidence for $SU(2)$ symmetry breaking

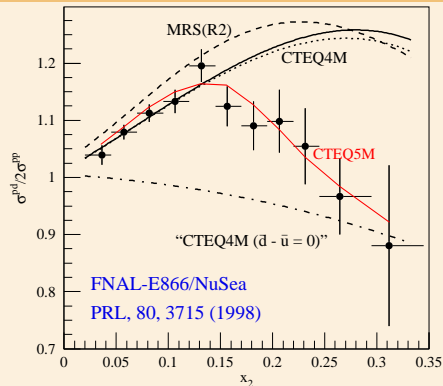
E866 Drell-Yan pair production:

$\bar{d}(x) - \bar{u}(x) \neq 0$  at  $x > 0.1$   
(large difference)

LHC  $W/Z$  production:

$\bar{d}(x) - \bar{u}(x) \neq 0$  at  $x < 0.1$   
(a few percent)

$\sigma_{pd}/(2\sigma_{pp})$  at large  $x_F = x_A - x_B$



Theory curves reflect different assumptions about  $\bar{d}/\bar{u}$

PDF fits (e.g., CTEQ5M) quantitatively account for the violation of  $SU(2)$  symmetry in the quark sea



# Charged lepton asymmetry in $AB \rightarrow (W \rightarrow e\nu_e)X$ ( $A, B = p$ or $\bar{p}$ )

$y_e$  and  $\eta \approx y_e$  are rapidity and pseudorapidity of an electron from  $W$  decay

$$A_{ch}(y_e) \equiv \frac{\frac{d\sigma^{W^+}}{dy_e} - \frac{d\sigma^{W^-}}{dy_e}}{\frac{d\sigma^{W^+}}{dy_e} + \frac{d\sigma^{W^-}}{dy_e}}$$

$A_{ch}(y_e)$  relates to the boson asymmetry

$$A_{ch}(y) = \frac{(d\sigma^{W^+}/dy) - (d\sigma^{W^-}/dy)}{(d\sigma^{W^+}/dy) + (d\sigma^{W^-}/dy)}, \text{ where}$$

$$\left(d\sigma^{W^+}/dy\right) \propto u_A(x_A, M_W)\bar{d}_B(x_B, M_W) + \bar{d}_A(x_A, M_W)u_B(x_B, M_W) + \dots$$

$$\left(d\sigma^{W^-}/dy\right) \propto \bar{u}_A(x_A, M_W)d_B(x_B, M_W) + d_A(x_A, M_W)\bar{u}_B(x_B, M_W) + \dots$$

# Charged lepton asymmetry in $AB \rightarrow (W \rightarrow e\nu_e)X$ ( $A, B = p$ or $\bar{p}$ )

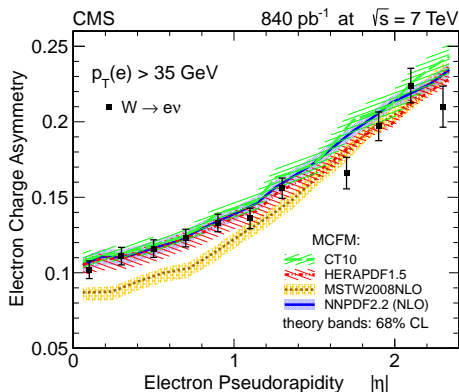
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$$A_{ch}(y_e) \equiv \frac{\frac{d\sigma^{W^+}}{dy_e} - \frac{d\sigma^{W^-}}{dy_e}}{\frac{d\sigma^{W^+}}{dy_e} + \frac{d\sigma^{W^-}}{dy_e}}$$

$\therefore A_{ch}(y_e)$  constrains PDF ratios at  $Q \approx M_W$ :

- $d/u$  at  $x \rightarrow 1$  at the Tevatron 1.96 TeV ( $p\bar{p}$ );
- $d/u$  at  $x > 0.1$  **and**  $\bar{u}/\bar{d}$  at  $x \sim 0.01$  at the LHC 7 TeV ( $pp$ )

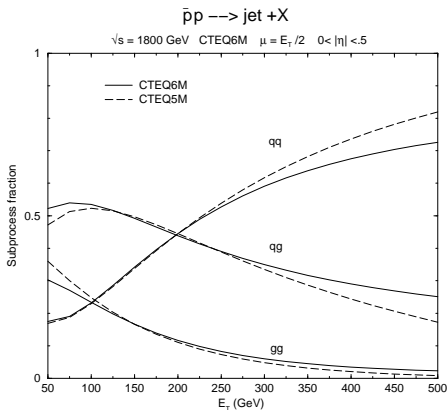
# Charge asymmetry at the Tevatron and LHC



$$A_{ch}(\eta) \equiv \frac{\frac{d\sigma^{W^+}}{d\eta} - \frac{d\sigma^{W^-}}{d\eta}}{\frac{d\sigma^{W^+}}{d\eta} + \frac{d\sigma^{W^-}}{d\eta}}$$

CMS  $A_{ch}(\eta)$  data disfavor some  $d/u$  parametrizations, motivated an update in MSTW'2008 PDFs

# Inclusive jet production, $p\bar{p}^{(-)} \rightarrow \text{jet} + X$



High- $E_T$  jets are mostly produced in  $qq$  scattering; yet most of the PDF uncertainty arises from  $qg$  and  $gg$  contributions

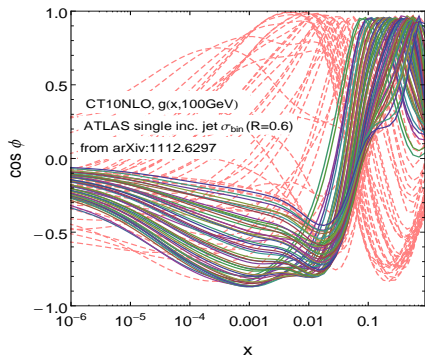
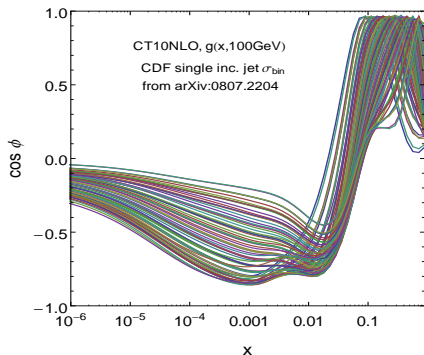
Here typical  $x$  is of order

$$2E_T/\sqrt{s} \gtrsim 0.1;$$

e.g.,  $x \approx 0.2$  for  $E_T = 200 \text{ GeV}$ ,  
 $\sqrt{s} = 1.8 \text{ TeV}$

At such  $x$ ,  $u(x, Q)$  and  $d(x, Q)$  are known very well; uncertainty arises mostly from  $g(x, Q)$

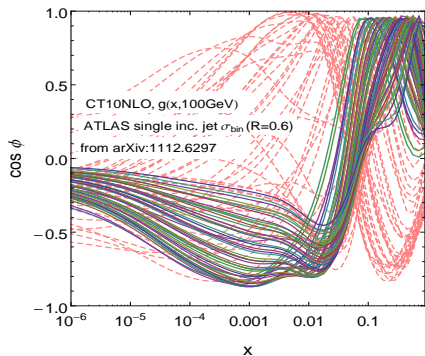
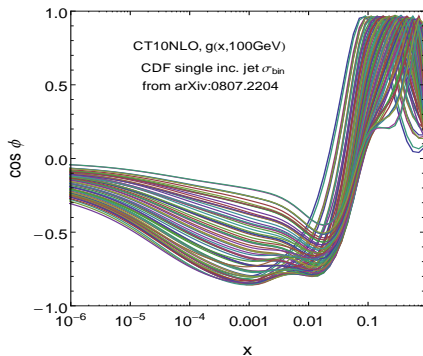
# Sensitivity of $pp^{(-)} \rightarrow \text{jet} + X$ to gluon PDF



$\cos \phi(x)$  measures sensitivity of the data to  $g(x, Q)$  at various  $x$  (see Lecture 2).

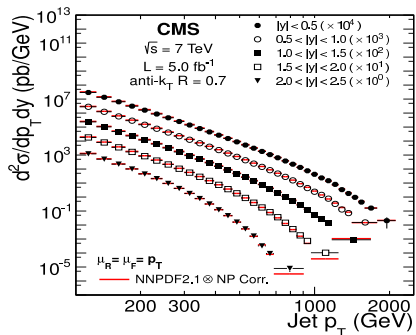
The CDF (left) and ATLAS (right) jet production are sensitive to  $g(x, Q)$  in the  $x$  regions with  $\cos \phi \gtrsim 0.7$  and  $\cos \phi \lesssim -0.7$

# Sensitivity of $pp^{(-)} \rightarrow \text{jet} + X$ to gluon PDF



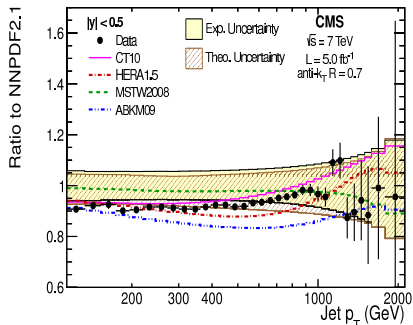
The curves show  $\cos \phi$  between the NLO theory cross sections in experimental  $p_T^j$  bins and  $g(x, Q)$ , for various  $x$  values in  $g(x, Q)$ . The CDF (ATLAS) jet measurement are mostly sensitive to the gluon PDF with  $x \gtrsim 0.1$  (0.01). In the ATLAS jet data, the bins with  $p_T^j < 200$  GeV (pink dashed curves) probe  $g(x, Q)$  in a wider range than CDF.

# Inclusive jet production in $pp \rightarrow \text{jet} + X$ (7 TeV)



- The cross sections span 12 orders of magnitude
- (Almost) negligible statistical error

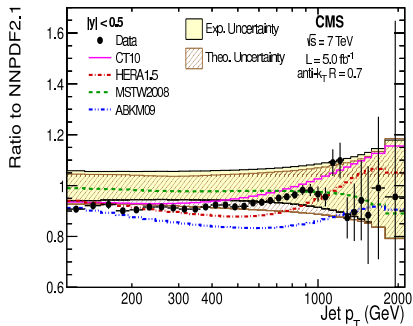
# Inclusive jet production in $pp \rightarrow \text{jet} + X$ (7 TeV)



- The cross sections span 12 orders of magnitude
- (Almost) negligible statistical error
- Systematic uncertainties dominate, both from the experiment (up to 90 correlated sources of uncertainty) and NLO theoretical cross section (QCD scale dependence)
- The PDF uncertainty would be strongly underestimated if these systematic errors are not included



# Inclusive jet production in $pp \rightarrow \text{jet} + X$ (7 TeV)

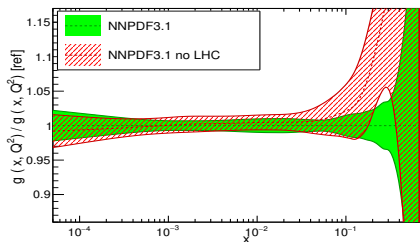


- The cross sections span 12 orders of magnitude
- (Almost) negligible statistical error

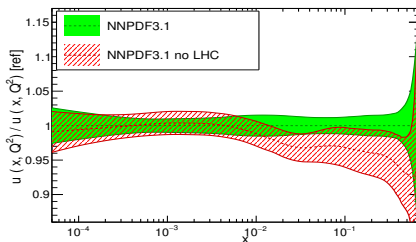
- Lecture 2 will discuss how to include the correlated systematic errors into the PDF analysis

# Effect of the LHC data on NNPDF3.1 PDFs

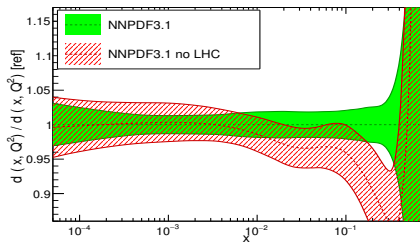
NNPDF3.1 NNLO,  $Q = 100$  GeV



NNPDF3.1 NNLO,  $Q = 100$  GeV



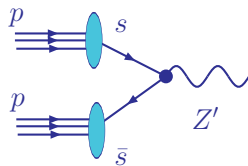
NNPDF3.1 NNLO,  $Q = 100$  GeV



Note: NNPDF3.1 (no LHC) does not include D0 Run-2 jet data, has softer gluon

# Homework assignment

An exotic boson  $Z'$  with mass  $Q = 2$  TeV is produced similarly to SM  $Z$  bosons, but only via the  $s\bar{s} \rightarrow Z'$  vertex ( $Z'$  does not interact with non-strange (anti-)quarks).



$Z'$  couples only to  $s, \bar{s}$

You need to compute  $\sigma(pp \rightarrow Z'X)$  at the LHC  $\sqrt{s} = 13000$  GeV, but for that you need to precisely know the strange (anti-)quark PDFs,  $s(x, Q)$  and  $\bar{s}(x, Q)$ . Propose one or two scattering processes to constrain  $s(x, Q)$  and  $\bar{s}(x, Q)$  at the relevant  $\{x, Q\}$ . Specify  $\sqrt{s}$  and other kinematic parameters of these processes. Can you use non-LHC measurements to constrain  $s(x, Q)$  at the LHC? Why or why not?

### 3. Choice of PDF parametrization

## 4. Statistical aspects

## 5. Practical applications