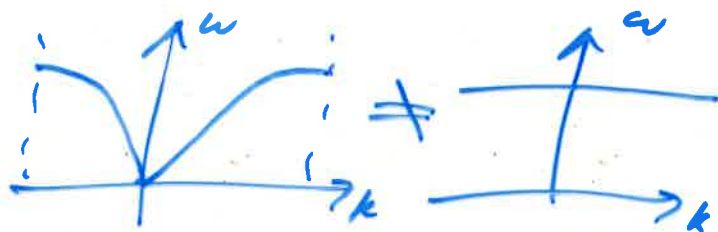


Einstein solid N 3-dim SHO's = $3N$ SHO's

one frequency $\omega = \omega_E$

clearly not correct



$$E_a = (a + \frac{1}{2}) \hbar \omega$$

Partition function

$$Z = \sum_{a=0}^{\infty} e^{-\frac{E_a}{k_B T}} = \sum_{a=0}^{\infty} e^{-\frac{a \hbar \omega}{k_B T}} = \frac{1}{1 - e^{-\frac{\hbar \omega}{k_B T}}}$$

define $x = e^{-\frac{\hbar \omega}{k_B T}} \Rightarrow$

$$Z = \sum_{a=0}^{\infty} x^a = \text{Geometric series} = \frac{1}{1-x}$$

Average Occupation number

$$\langle n \rangle = \frac{1}{e^{\frac{\hbar \omega}{k_B T}} - 1}$$

Average energy for one SHO

$$\langle u \rangle = \langle (n + \frac{1}{2}) \hbar \omega \rangle = \langle n \rangle \hbar \omega = \frac{\hbar \omega}{e^{\frac{\hbar \omega}{k_B T}} - 1}$$

Total energy for Einstein solid

$$U = 3N \langle u \rangle = \frac{3N \hbar \omega}{e^{\frac{\hbar \omega}{k_B T}} - 1}$$

Heat Capacity constant volume (chain rule)

$$C_V = \left(\frac{\partial U}{\partial T} \right)_{N,V} = \frac{3N \hbar \omega (-1) e^{\frac{\hbar \omega}{k_B T}} \left(\frac{\hbar \omega}{k_B T^2} \right) (-1)}{\left(e^{\frac{\hbar \omega}{k_B T}} - 1 \right)^2}$$

$$= 3N k_B \left(\frac{\hbar \omega}{k_B T} \right)^2 \frac{e^{\frac{\hbar \omega}{k_B T}}}{\left(e^{\frac{\hbar \omega}{k_B T}} - 1 \right)^2}$$

$$\lim_{T \rightarrow \infty} C_V = 3N k_B \quad \text{— Dulong-Petit}$$

classical - Equipartition

$\lim_{T \rightarrow 0} C_V$ is exponential

But experiment is $\sim T^3$

We have ignored phonons. We only looked at oscillations of individual atoms, not 1000's of atoms.

$$U = \sum_{\mathbf{k}} \sum_{p=1}^3 \langle n_{\mathbf{k}p} \rangle \hbar \omega_{\mathbf{k}p}$$

\leftarrow polarization
 \uparrow wave vector

For N atoms, there are $3N$ wave numbers

easier to replace $\sum_{\mathbf{k}}$ by integral

$$U = \sum_p \int d\omega D_p(\omega) \frac{\hbar \omega}{e^{\frac{\hbar \omega}{k_B T}} - 1}$$

\uparrow density of states

$D_p(\omega)$ is the number of vibrational modes (states) of polarization p in the frequency range ω to $\omega + d\omega$

$$D(\omega) = \frac{dN}{d\omega} \leftarrow \# \text{ of states}$$

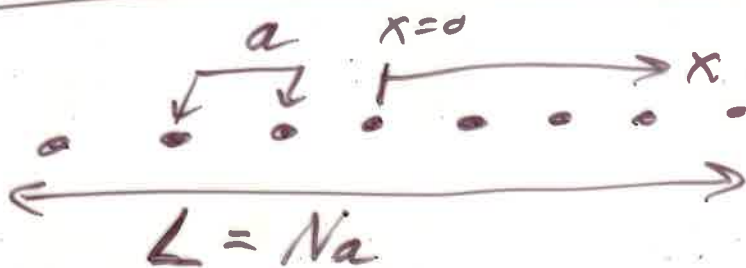
$$\int D(\omega) d\omega = \int \frac{dN}{d\omega} d\omega = \int dN = N$$

If $N(k)$ is the total number of states (modes) with wave number less than k .

$$D(\omega) = \frac{dN}{d\omega} = \frac{dN}{dk} \cdot \frac{dk}{d\omega} = \frac{dN}{dk} \left(\frac{1}{\frac{d\omega}{dk}} \right)$$

$$= \frac{dN}{dk} v_{\text{group}}$$

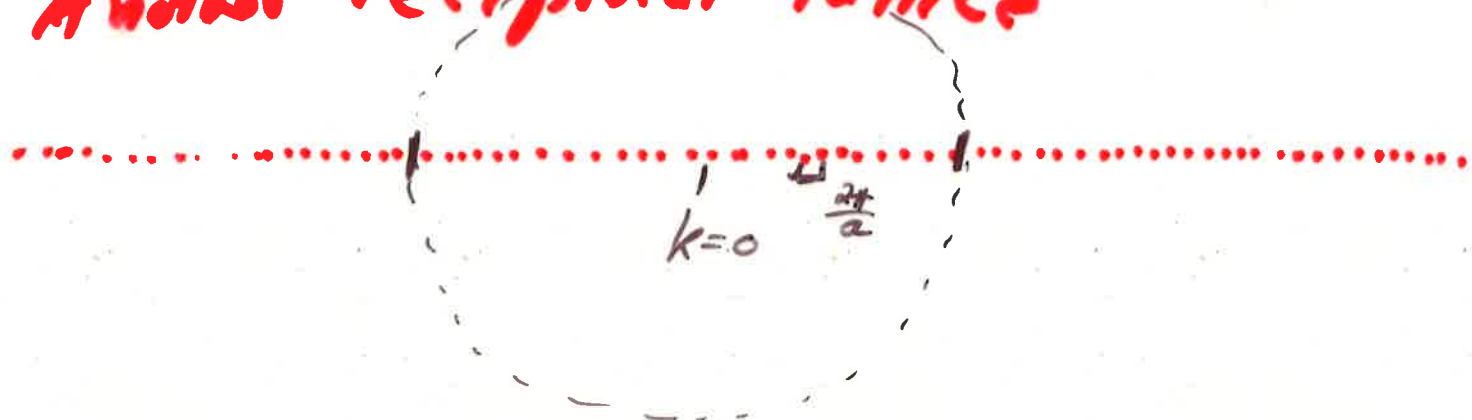
one dimension
direct lattice



Reciprocal lattice



Another reciprocal lattice



$N(k)$ = # of dots (wavenumbers) in the interval.

$$N = \frac{L}{a} = \frac{Lk}{\pi} = \frac{2Lk}{2\pi} = 2k \left(\frac{L}{2\pi} \right)$$

$$\frac{dN}{dk} = 2 \left(\frac{L}{2\pi} \right)$$

$$D(\omega) = \frac{dN}{dk} \cdot \frac{1}{v_g} = 2 \left(\frac{L}{2\pi} \right) \frac{1}{v_g}$$

Two dimensions

Direct lattice



Reciprocal lattice

