

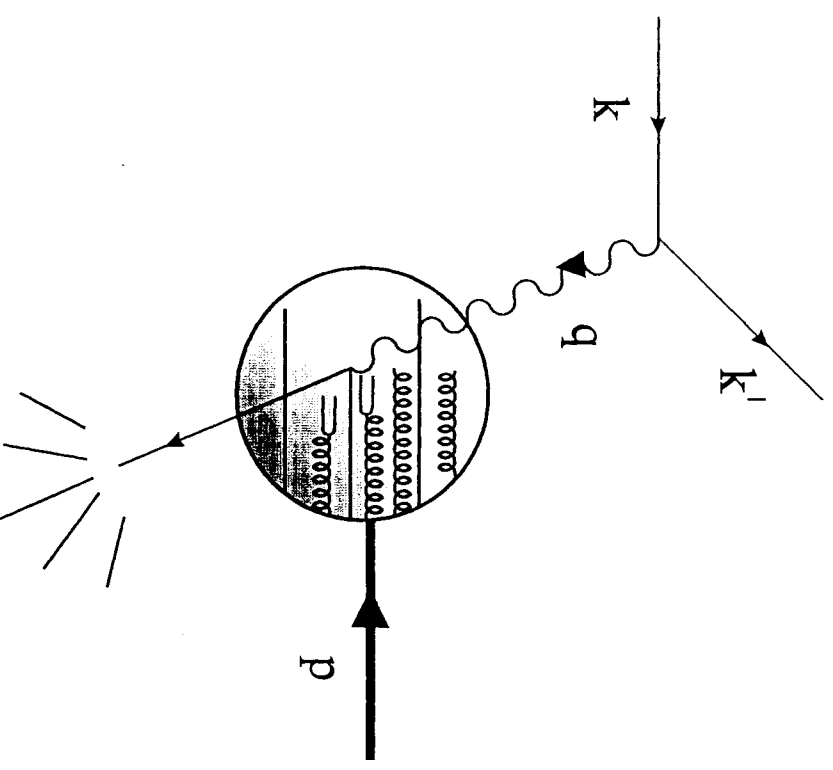
DEEP INELASTIC SCATTERING AND QCD

James Stirling
Durham University

June 2000

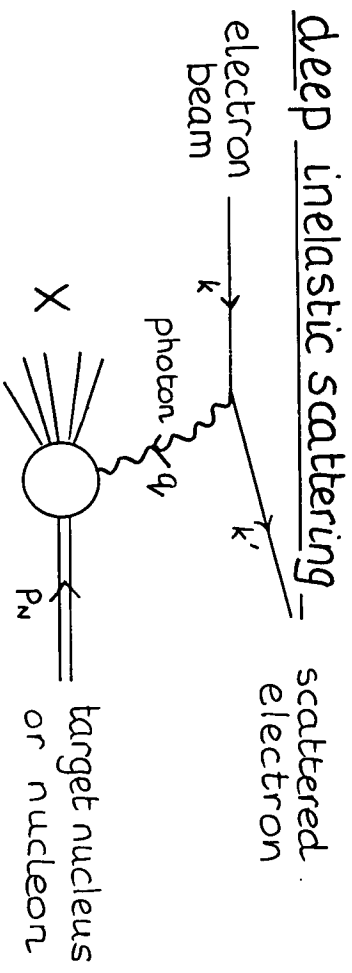
some introductory and background
material for the lecture course

Deep Inelastic Scattering



$$Q^2 = -q^2, \quad X_{Bj} = \frac{Q^2}{2p \cdot q}$$

deep inelastic scattering



momentum transferred into target by photon : $Q^2 = -q^2 > 0$

∴ resolution : $\lambda = \frac{h}{Q}$
 $= \frac{2 \times 10^{-16} \text{ m GeV}}{Q}$

inelasticity: $x = \frac{Q^2}{Q^2 + M_x^2 - M_N^2}$ ($0 < x \leq 1$)

hence deep inelastic scattering
 $Q^2 \rightarrow \infty$ $x < 1$

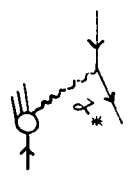
experiments up to $\sqrt{s} = 300 \text{ GeV}$ (HERA)

$\Rightarrow Q^2 \lesssim 10^5 \text{ GeV}^2$
 $\leftrightarrow \lambda \gtrsim 10^{-18} \text{ m} \approx \frac{1}{1000} \times r_{\text{proton}}$

other: $S = (P_i + k)^2$. $U = \frac{Q^2}{s}$ ($0 < U < 1$)

— in general, one can write

$$\frac{d^2\sigma}{dx dQ^2} = \frac{2\pi\alpha^2}{Q^4} \left\{ y^2 F_1 + 2(1-y) F_2 \right\}$$

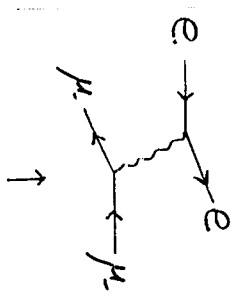


structure functions:
 $F_i(x, Q^2)$

note: need two F_i because of γ_L^*, γ_T^* experimentally:

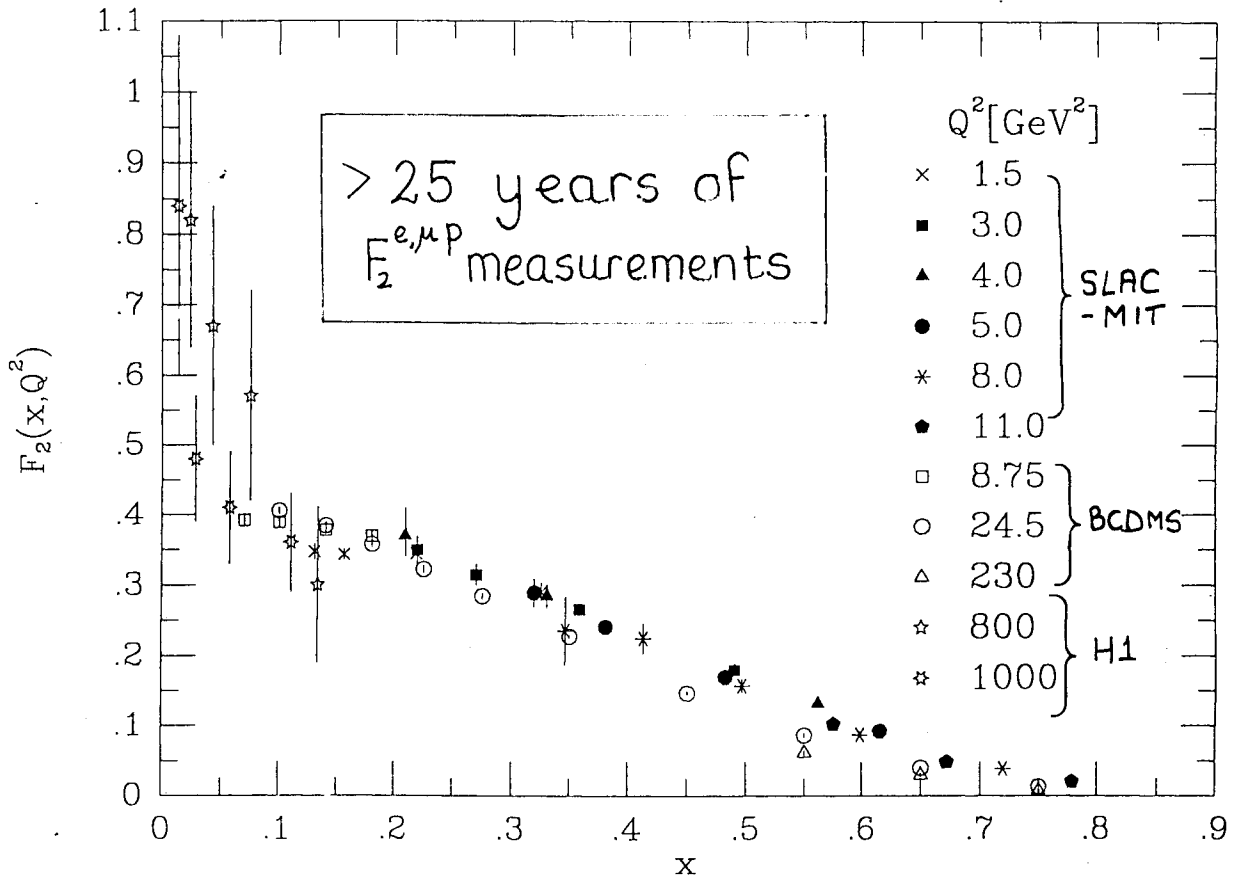
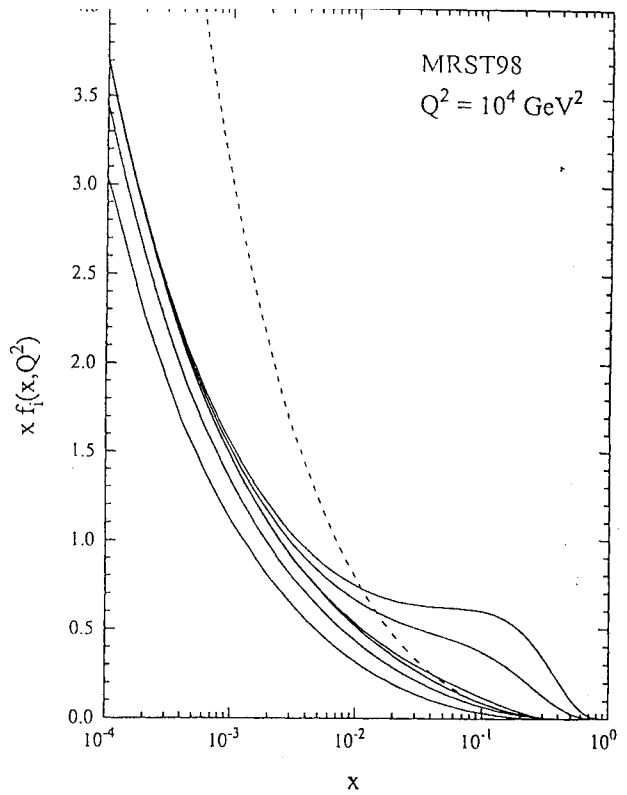
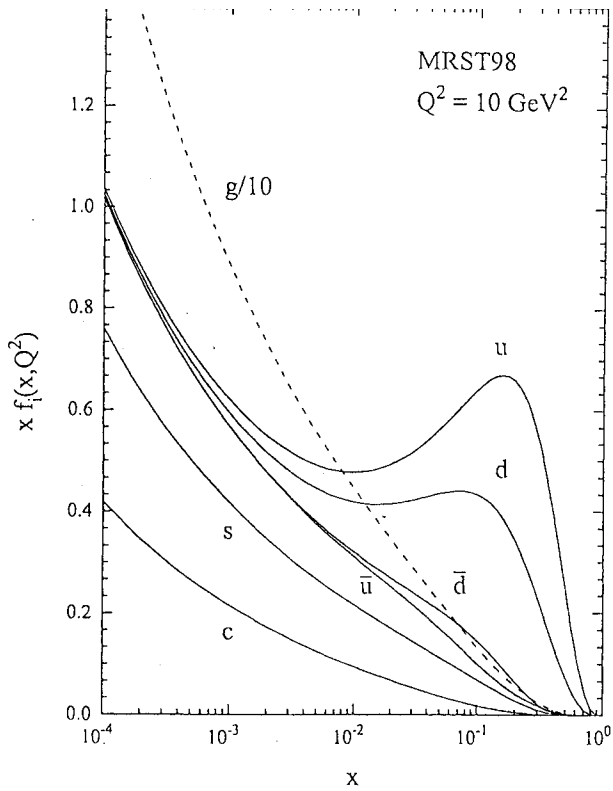
- $F_1(x, Q^2) \xrightarrow{Q^2 \gg 1} F_1(x)$ 'scaling'
- $F_1 \approx F_2$

note



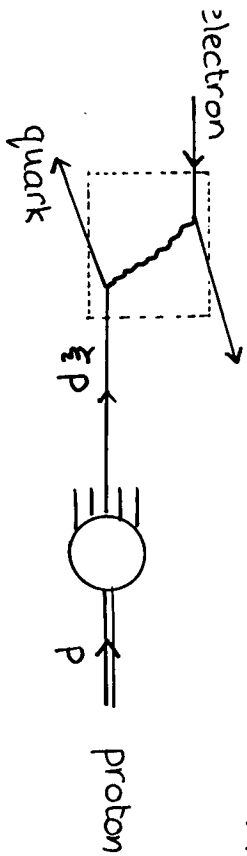
i.e. $F_1 = F_2 = \sigma(1-x)$

$\sum_i |M_i|^2 = 2e^4 \frac{s^2 u^2}{t^2}$
 because of spin = 1/2
 elgs contribution



The parton model

(Feynman) 1969

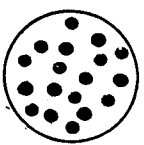
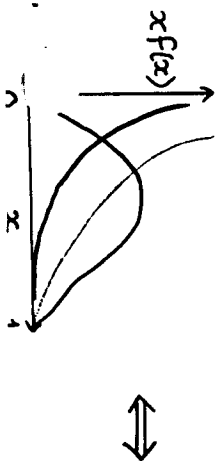


- photon scatters incoherently off pointlike, massless, spin $\frac{1}{2}$ QUARKS
- probability that a quark carries fraction ξ of parent proton's momentum is

$$\text{then } F_2(x) = \sum_q \int_0^1 d\xi q(\xi) e_q^2 \delta(x - \xi) \quad \leftarrow F_2^{eq}$$

$$= \sum_q e_q^2 q(x) = \frac{1}{9} u(x) + \frac{1}{9} d(x) + \dots$$

- the functions $u(x), d(x), s(x), \dots, g(x)$ are called parton distribution functions (pdf's)



- = valence quarks (3)
- = sea quarks (antiquarks)

- different beams, targets measuring different combinations of quark partons

$$\frac{1}{x} F_2^{ep} = \frac{1}{9} u + \frac{1}{9} d + \frac{1}{9} s + \dots$$

$$\frac{1}{x} F_2^{en} = \frac{1}{9} u + \frac{4}{9} d + \frac{1}{9} s + \dots$$

$$\frac{1}{x} F_2^{\nu N} = 2 [d + s + \bar{u} + \bar{c}]$$

$$\frac{1}{x} F_2^{\bar{\nu} N} = 2 [u + c + \bar{d} + \bar{s}]$$

$N = \text{target}$

... thus the individual $q(x)$ can be extracted from measurements of a set of such F_i .

e.g. MIT, CERN, GEM

note

- the measured range is

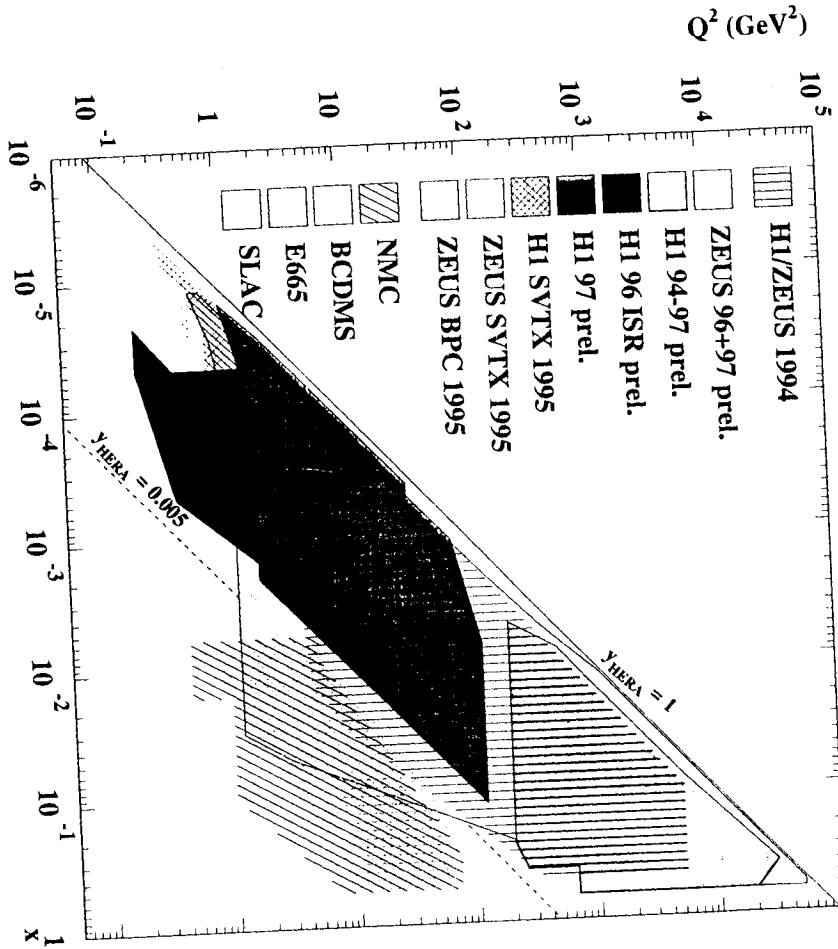
$$10^{-5} \leq x \leq 1$$

→ fig

- don't measure $g(x)$ directly, but

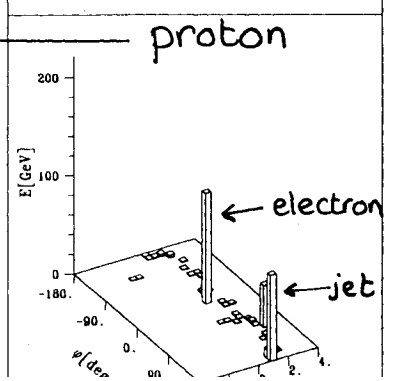
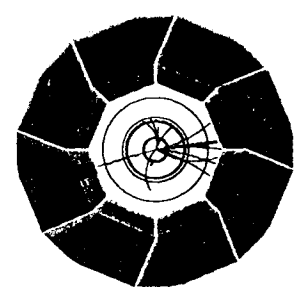
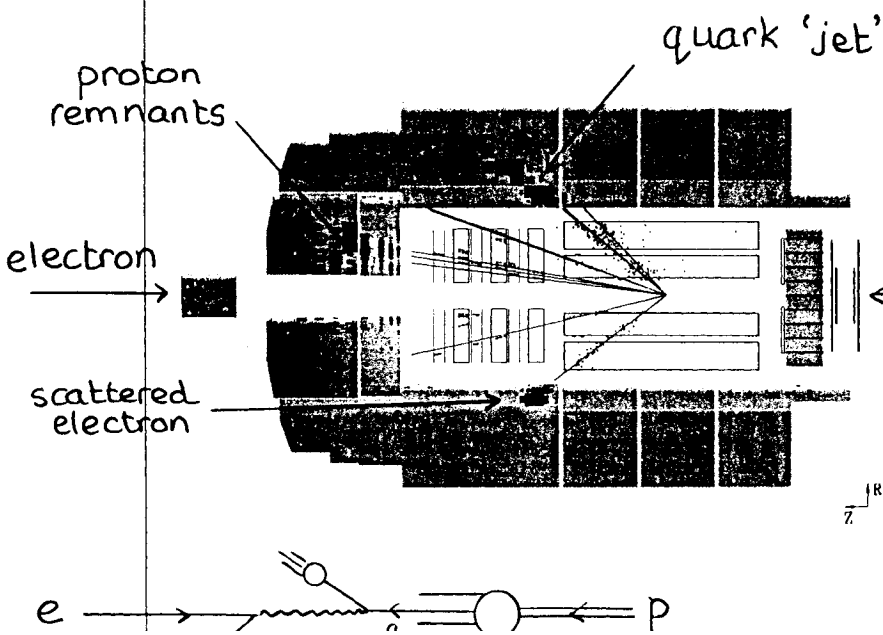
$$\sum_q \int_0^1 dx x q(x) \approx 0.55 \Rightarrow \int_0^1 dx x g(x) \approx C$$

momentum conservation



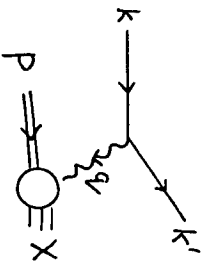
Run 59384 Event 25101 Class: 8 9 12 14 16 20 22 23 Date 1/10/1993

$Q^2 = 10800 \text{ GeV}^2$ $x = 0.23$ $y = 0.54$



† derivation of the structure function

equation



$$\sigma = \frac{1}{4ME} \sum_x \int d\Phi \frac{1}{4} \sum_{\text{spins}} |M|_{ep \rightarrow ex}^2$$

↙ phase space
↑ amplitude squared

$$\text{now } \int d\Phi = \frac{1}{(2\pi)^3} \int \frac{d^3k'}{2E'} d\Phi_x$$

$$\frac{d^3k'}{(2\pi)^3 2E'} = \frac{1}{8\pi^2} ME y dy dx$$

and we can write

$$\frac{1}{4} \sum_x |M|^2 = \frac{e^4}{Q^4} \underbrace{L}_{\text{lepton part}}^{\mu\nu} \underbrace{h_x}_{\text{hadronic part}}^{\mu\nu}$$

↙ photon propagator

$$\text{where } L_{\mu\nu} = \frac{1}{4} \text{Tr}(\not{k} \gamma_\mu \not{k}' \gamma_\nu) = k^\mu k'^\nu + k^\nu k'^\mu - g^{\mu\nu} k \cdot k'$$

so if we define

$$H_{\mu\nu} = \sum_x \int d\Phi_x h_x^{\mu\nu}$$

nc: a function of $q^2, p \cdot q$ ($\equiv x, Q^2$) only

then ...

$$\frac{d^2\sigma}{dx dy} = \frac{1}{4ME} \frac{MEy}{8\pi^2} \frac{(4\pi\alpha)^2}{Q^4} L^{\mu\nu} H_{\mu\nu}$$

$$= \frac{y\alpha^2}{2Q^4} L^{\mu\nu} H_{\mu\nu}$$

— all the information on the had structure "seen" by the virtual f is contained in the tensor $H_{\mu\nu}$:

— now in general we can write

$$H_{\mu\nu} = -g_{\mu\nu} H_1 + \frac{P^\mu P^\nu}{Q^2} H_2 + \dots$$

terms that vanish in the limit $Q^2 \rightarrow \infty$

whence

$$L^{\mu\nu} H_{\mu\nu} = 2 k \cdot k' H_1 + \frac{1}{Q^2} (2p \cdot k p \cdot k' - M^2 k \cdot k') H_2$$

$$= Q^2 H_1 + \frac{Q^2}{2} \left[\frac{1-y}{x^2 y^2} - \frac{M^2}{Q^2} \right] H_2$$

$$\Rightarrow \frac{d^2\sigma}{dx dy} = \frac{y\alpha^2}{2Q^2} \left[H_1 + \frac{1}{2} \left\{ \frac{1-y}{x^2 y^2} - \frac{M^2}{Q^2} \right\} H_2 \right]$$

$$\equiv \frac{4\pi\alpha^2}{Q^2 xy} \left[xy^2 F_1(x, Q^2) + \left\{ 1-y - \frac{M^2}{Q^2} x^2 y^2 \right\} F_2(x, Q^2) \right]$$

where

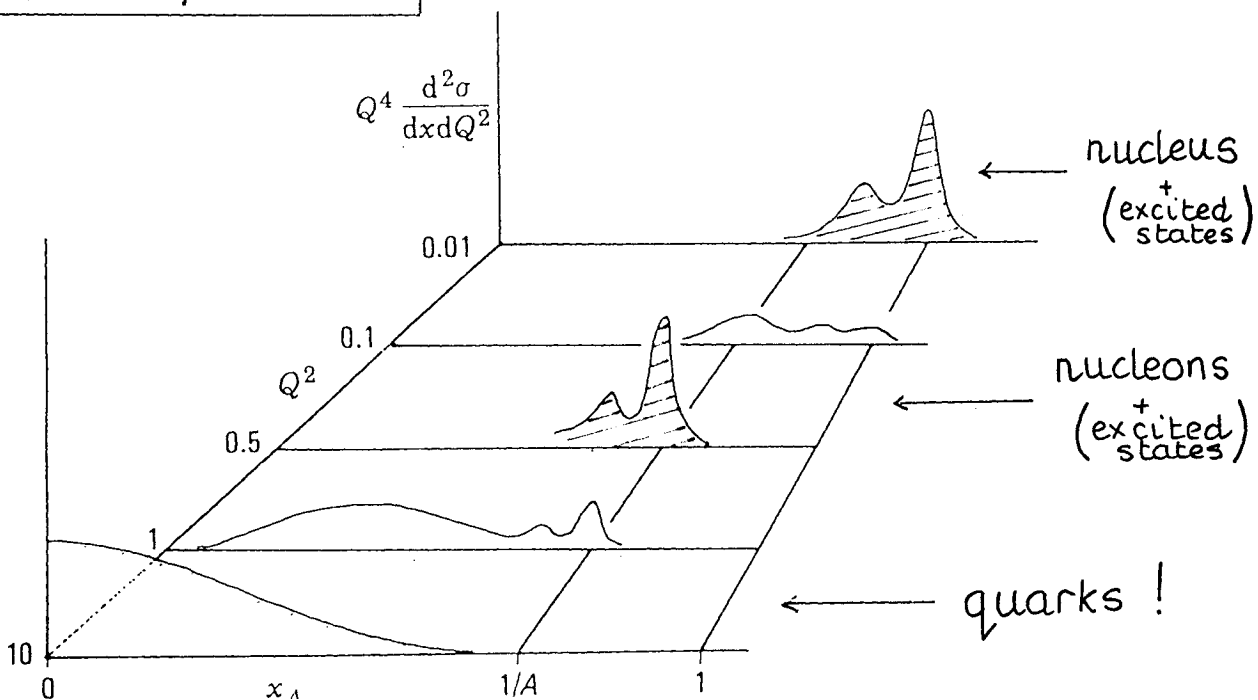
$$F_1 = \frac{1}{8\pi} H_1, \quad F_2 = \frac{1}{16\pi x} H_2$$

— this is the standard form for the two structure functions F_1 and F_2 .

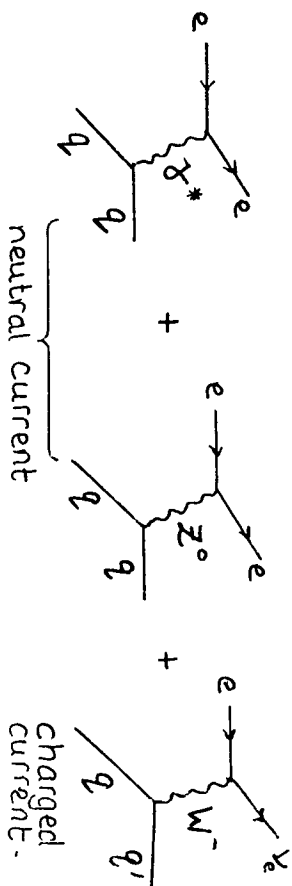
— note that the [] can be rewritten

$$\frac{d^2\sigma}{dx dy} = \frac{4\pi\alpha^2}{Q^2 xy} \left[\frac{1+(1-y)^2}{2} \cdot 2xy F_1 + (1-y) \left(F_2 - 2xy F_1 \right) - \frac{M^2}{Q^2} x^2 y^2 F_2 \right]$$

scattering cross section as a function of x and Q^2

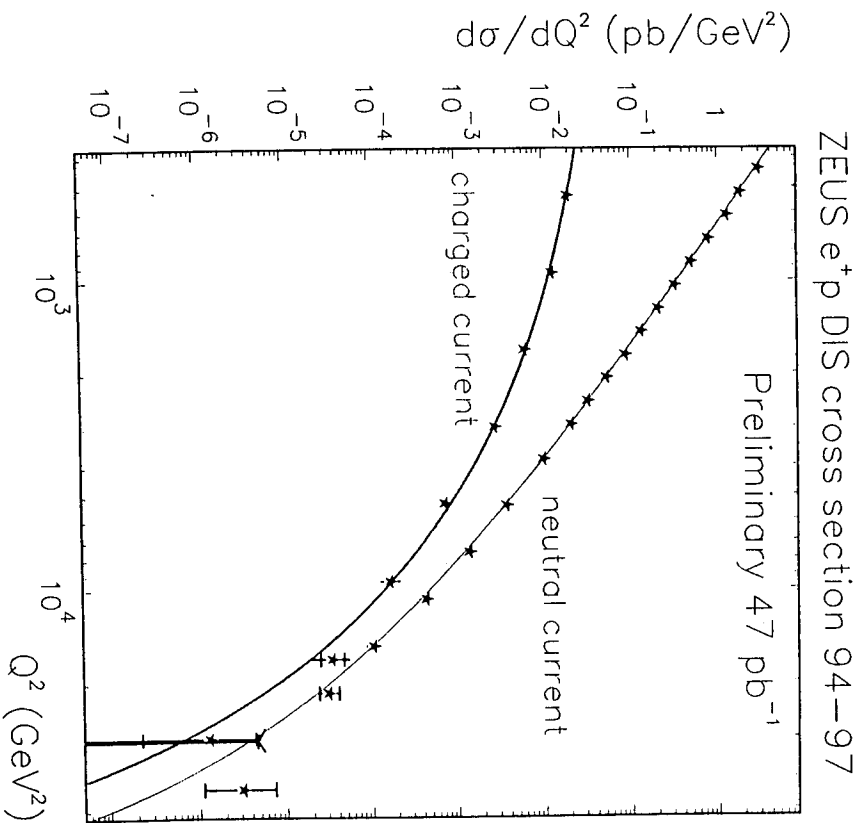


— Note that if $Q^2 \gtrsim 10^4 \text{ GeV}^2$
 (e.g. at HERA) we must
 also include W^\pm and Z^0 exchange
 in DIS ep scattering:



\therefore Which generalizes the result
 for $\frac{d\sigma}{dx dQ^2} \sim [F_1, F_2]$ obtained with
 photon exchange only

$$* \frac{1}{Q^4} \rightarrow \frac{1}{(Q^2 + M_V^2)^2}$$

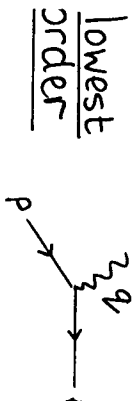


Scaling violations and QCD

— in fact, Bjorken scaling is only approximate... there are systematic 'scaling violations', which, as we shall see, are consistent with the predictions of perturbative QCD.

— first, we compute $\gamma^* q \rightarrow X$ to $O(\alpha_s)$

lowest order



$$M_\alpha = -ie_q \bar{u}(l) \gamma^\alpha u(p)$$

$$d\Phi_1 = 2\pi \delta((p+q)^2)$$

to project out F_2 , we introduce a light-like vector n^μ such that $p^2 = n^2 = 0$, $n \cdot p = 1$, $n \cdot q = 0$ {e.g. $p = (E, 0, 0, E)$, $n = (\frac{1}{2E}, 0, 0, \frac{1}{2E})$ }
whence F_2 is projected out by $n^\alpha n^\beta$ i.e.

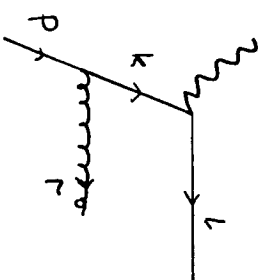
lenobes mark n fn. \rightarrow

$$F_2(x) = \frac{1}{4\pi} \int d\Phi_1 n^\alpha n^\beta \sum_{\alpha\beta} |M|^2$$

\leftarrow "flux"

$$= e_q^2 \delta(1-x)$$

— now consider



phase space: $d\Phi_2 = \frac{1}{4\pi^2} \int d^4k \delta^+(p-k)^2 \delta^+(k+r)$

write $k^\mu = \xi p^\mu + \frac{k_T^2 - k^2}{2\xi} n^\mu + k_T^\mu$

$$\rightarrow d^4k = -\frac{d\xi}{2\xi} dk^2 d^2k_T \quad k^2 =$$

then

$$d\Phi_2 = \frac{1}{16\pi^2} \int d\xi dk^2 dk_T^2 d\theta$$

$$\times \delta(k_T^2 - (1-\xi)k^2)$$

$$\times \delta(\xi - x - \frac{k^2 + 2Q_T^2 \cos\theta}{2x})$$

matrix element: $M^\alpha = -ig_s e_q \bar{u}(l) \gamma^\alpha \not{\xi} T^a u(p)$

\leftarrow coll mat

and use

$$\sum_{\text{pol}} \epsilon_{\mu\nu}(\hat{n}) \epsilon_{\nu\sigma}^*(\hat{n}) = -g_{\mu\nu} + \frac{n_\mu \bar{n}_\nu + n_\nu \bar{n}_\mu}{n \cdot \bar{n}}$$

\leftarrow first - anno online

$$\rightarrow \frac{1}{4\pi} n^{\alpha} n^{\beta} \sum_{\alpha\beta} |M|_{\alpha\beta}^2 = \frac{8e_q^2 \alpha_s}{k^2} \xi P(\xi)$$

where $P(\xi) = C_F \frac{1+\xi^2}{1-\xi}$ note: $\frac{k^2}{k^2}$

and so

$$\hat{F}_2 = e_q^2 \frac{\alpha_s}{2\pi} \int_0^{2\nu} \frac{d^{\nu} k^2}{k^2} \int_{\xi}^{\xi_+} d\xi \frac{\xi P(\xi)}{[(\xi_+ - \xi) X \xi - \xi_-]^{\nu/2}}$$

— introduce lower limit k^2 to regularize divergence at $k^2=0$, then

$$\hat{F}_2 = e_q^2 \frac{\alpha_s}{2\pi} x \left[P(x) \ln \frac{Q^2}{k^2} + C_1(x) \right]$$

— other diagrams? real emission no logarithms, only contribute $C_2(x), C_3(x), \dots$ in this gauge!

— loop diagrams?

$$+ \dots \rightarrow \hat{F}_2^{\text{virt.}} = \alpha_s K \delta(1-x)$$

and the logarithmic piece gives

$$P(x) \rightarrow C_F \left[\frac{1+x^2}{(1-x)_+} + \frac{3}{2} \delta(1-x) \right] \int_0^1 dx \frac{f(x) - f(1)}{(1-x)_+}$$

so finally ...

$$\hat{F}_2(x, Q^2) = e_q^2 x \left[\delta(1-x) + \frac{\alpha_s}{2\pi} \left\{ P(x) \ln \frac{Q^2}{k^2} + C_1(x) \right\} \right]$$

— to obtain F_2 , we fold in the 'bare' quark distribution $q_0(y) \equiv$

$$F_2(x, Q^2) = x \sum_q e_q^2 \left[q_0(x) + \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} q_0(y) \left\{ P\left(\frac{x}{y}\right) \ln \frac{Q^2}{k^2} + C_1\left(\frac{x}{y}\right) \right\} \right]$$

— dependence on k^2 ('collinear divergence' since it comes from $\frac{1}{k^2}$) indicates sensitivity to long-range part of the strong interaction, therefore incalculable in pertⁿ theory

... but can factorize (mass FACTORIZATION) the divergence into a 'renormalized' quark distribution

$$q_0(x) \rightarrow q(x, \mu^2) \quad \text{factorization scale}$$

$$\frac{1}{x} F_2 = \int_0^1 \frac{dy}{y} q(y, \mu^2) \left\{ \delta(1-\frac{x}{y}) + \frac{\alpha_s}{2\pi} \left(P(\frac{x}{y}) \ln \frac{Q^2}{\mu^2} + C_q(\frac{x}{y}) \right) \right\}$$

finite observable finite by construction

where

$$q(x, \mu^2) = q_0(x) + \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} q_0(y) \left\{ P(\frac{x}{y}) \ln \frac{\mu^2}{k_T^2} + \bar{C}(\frac{x}{y}) \right\}$$

$$\bar{C} = C - C_q$$

note: arbitrariness of $C_q \Leftrightarrow$ 'factorization scheme dependence'

the μ^2 dependence of $q(x, \mu^2)$ is calculable in pert. theory:

$$\frac{\partial}{\partial \ln \mu^2} q(x, \mu^2) = \frac{\alpha_s(\mu^2)}{2\pi} \int_x^1 \frac{dy}{y} q(y, \mu^2) P(\frac{x}{y})$$

Dokshitzer

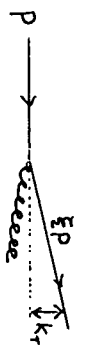
the Gribov-Lipatov-Altarelli-Parisi equation*

... so PQCD predicts scaling violations, i.e. $\frac{\partial F_2}{\partial \ln Q^2} \neq 0$

* DGLAP evolution, 'Altarelli-Parisi evolution'

DGLAP equation - physical picture

a fast-moving quark loses momentum by emitting a gluon



$$dP \approx \frac{\alpha_s(k_T^2)}{2\pi} \frac{dk_T^2}{k_T^2} P(\xi)$$

'splitting function'

with phase space $k_T^2 \leq Q^2$, hence

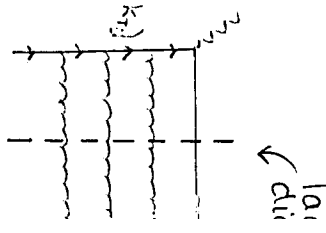
$$dP \approx \frac{\alpha_s}{2\pi} \ln Q^2 P(\xi) d\xi$$

\Rightarrow scaling violations

multigluon emission?

can calculate and resum leading logarithms to all orders ...

(note: axial gauge \rightarrow ladder diagrams)

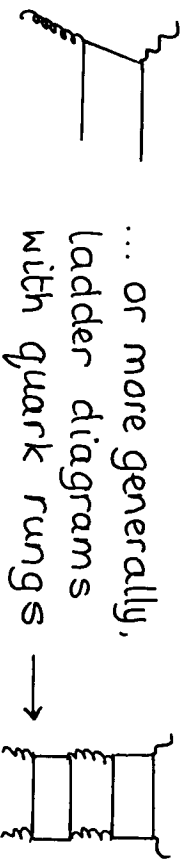


$$q(x, Q^2) = \delta(1-x) + \sum_{n=1}^{\infty} \int_{k_T^2}^{k_T^2} \frac{dk_T^2}{k_T^2} \frac{\alpha_s(k_T^2)}{2\pi} \dots \int_{k_T^2}^{Q^2} \frac{dk_T^2}{k_T^2} P(\frac{x}{\xi_1}) P(\frac{\xi_1}{\xi_2}) \dots P(\frac{\xi_{n-1}}{\xi_n}) P(\frac{\xi_n}{x})$$

strongly ordered transverse momenta

then $\frac{\partial}{\partial \ln Q^2}$ gives the DGLAP equation

... other $O(\alpha_s)$ contributions:



... or more generally, ladder diagrams with quark rungs \rightarrow

$$\begin{aligned} t \frac{\partial}{\partial t} q_i(x, t) &= \frac{\alpha_s(t)}{2\pi} \int_x^1 \frac{dy}{y} \left\{ P_{ij}^{qq} \left(\frac{x}{y}\right) q_j(y, t) + P_{ij}^{qg} \left(\frac{x}{y}\right) g(y, t) \right\} \\ t \frac{\partial}{\partial t} g(x, t) &= \frac{\alpha_s(t)}{2\pi} \int_x^1 \frac{dy}{y} \left\{ P_{ij}^{gq} \left(\frac{x}{y}\right) \sum_i q_i(y, t) + P_{ij}^{gg} \left(\frac{x}{y}\right) g(y, t) \right\} \end{aligned}$$

ie. $(2n_f + 1)$ equations for $\{q_i, \bar{q}_i, g\}$
 \rightarrow 3 generic types, for

$$\begin{aligned} Q_{NS} &= q_i - \bar{q}_i \quad (i \neq j) \\ Q_S &= \sum_i (q_i + \bar{q}_i) \end{aligned}$$

then

$$t \frac{\partial}{\partial t} \begin{pmatrix} Q_{NS} \\ Q_S \\ g \end{pmatrix} = \frac{\alpha_s(t)}{2\pi} \begin{pmatrix} P^{qq} & 0 & 0 \\ 0 & P^{qq} & 0 \\ 0 & P^{gq} & P^{gg} \end{pmatrix} \otimes \begin{pmatrix} Q_{NS} \\ Q_S \\ g \end{pmatrix}$$

convolution: $\int_x^1 \frac{dy}{y} f(\frac{x}{y}) g(y)$

splitting functions (leading order)

$$P^{qq} = C_F \left[\frac{1+x^2}{(1-x)_+} + \frac{3}{2} \delta(1-x) \right] \quad P^{qg} = \frac{1}{2} [x^2 + (1-x)^2]$$

$$P^{gq} = C_F \frac{1 + (1-x)^2}{x} \quad P^{gg} = 2C_A \left[\frac{x}{(1-x)_+} + \frac{1-x}{x} + \delta(1-x) \right] \left(\frac{11C_A - 2}{6} \right)$$

with properties...

$$\begin{aligned} \int_0^1 dx x P^{qq}(x) &= 0 && \text{conservation of quark (baryon) number} \\ \int_0^1 dx x [P^{qq}(x) + P^{gq}(x)] &= 0 && \text{momentum conservation} \\ \int_0^1 dx x [P^{qg}(x) + P^{gg}(x)] &= 0 && \end{aligned}$$

Solution of the DGLAP equations

(A) numerical

boundary conditions: $U(x, Q_0^2), \bar{U}(x, Q_0^2), \dots, g(x, Q_0^2)$, ... , $g(x, Q_0^2)$
 'starting scale': $\alpha_s(Q_0^2) \ll 1$
 typically: $A x^\alpha (1-x)^\beta [1 + \epsilon \sqrt{x} + \delta x]$

B semi-analytic (moments)

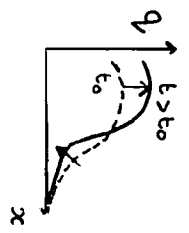
define $F(n, Q^2) = \int_0^1 dx x^{n-1} f(x, Q^2)$, $f = q, g$
 $\chi_n^{ab} = \int_0^1 dx x^{n-1} P^{ab}(x)$

then $t \frac{\partial}{\partial t} Q_{NS}(n, t) = \frac{\alpha_s(t)}{2\pi} \chi_n^{qg} Q_{NS}(n, t)$

$\Rightarrow Q_{NS}(n, t) = Q_{NS}(n, t_0) \left[\frac{\alpha_s(t_0)}{\alpha_s(t)} \right]^{d_n^{qg}}$

then inverse transform : $Q_{NS}(x, t) = \frac{1}{2\pi i} \oint dn x^{-n} Q_{NS}(n, t)$

note
 (i) $\left. \begin{aligned} d_1^{qg} &= 0 \\ d_{n \geq 2}^{qg} &< 0 \end{aligned} \right\}$



(ii) $t \frac{\partial}{\partial t} \begin{pmatrix} q_s^{(2)} \\ g^{(2)} \end{pmatrix} = \frac{\alpha_s(t)}{2\pi} \begin{pmatrix} -\frac{4}{3} C_F & \frac{1}{3} n_f \\ \frac{4}{3} C_F & -\frac{1}{3} n_f \end{pmatrix} \begin{pmatrix} q_s^{(2)} \\ g^{(2)} \end{pmatrix}$

$t \frac{\partial}{\partial t} \begin{pmatrix} q_s^{(2)} + g^{(2)} \\ q_s^{(2)} - \frac{n_f}{4 C_F} g^{(2)} \end{pmatrix} = \frac{\alpha_s(t)}{2\pi} \begin{pmatrix} 0 & 0 \\ 0 & -(\frac{4}{3} C_F + \frac{n_f}{3}) \end{pmatrix} \begin{pmatrix} q_s^{(2)} + g^{(2)} \\ q_s^{(2)} - \frac{n_f}{4 C_F} g^{(2)} \end{pmatrix}$

and asymptotically ($t \rightarrow \infty$) ...

$\frac{q_s^{(2)}}{g^{(2)}} = \frac{n_f}{4 C_F} = \frac{3}{16} n_f$ { momentum shared between quarks and gluons

Beyond leading order ...

(1) leading order (leading log approx^m)

$\rightarrow \sum_n \alpha_s^n \log^n Q^2 f_n(x) \rightarrow \begin{cases} F(x, Q^2) = \sum_i C_i \\ \frac{\partial^2}{\partial Q^2} q = \frac{\alpha_s}{2\pi} P \otimes \end{cases}$

(ii) next-to-leading order

$\rightarrow \sum_n \alpha_s^n \{ \log^n Q^2 f_n(x) + \log^{n-1} Q^2 \bar{f}_n(x) + \dots \}$ ← lose one log from non-e

now $\frac{1}{x} F_2(x, Q^2) = \sum_q e_q^2 \int_x^1 \frac{dy}{y} \left[q\left(\frac{x}{y}, Q^2\right) \right] \delta(1-y) + \frac{\alpha_s}{2\pi} + \frac{\alpha_s(Q^2)}{2\pi} g\left(\frac{x}{y}, Q^2\right) C_g$ (coeff func)

and $P^{ab}(x) \rightarrow P^{(0)ab}(x) + \frac{\alpha_s}{2\pi} P^{(1)ab}(x)$

... where now the coefficient functions and NLO splitting functions carry factorization scheme and renormalisation scheme labels

e.g. DIS, \overline{MS} , ... \uparrow most widely used in p.r.

note

— in the limit $x \rightarrow 0$, $Q^2 \rightarrow \infty$ the behaviour of F_2 can be calculated analytically:

$$Q^2 \frac{\partial}{\partial Q^2} (g) \approx \frac{\alpha_s}{\pi} \begin{pmatrix} 0 & 0 \\ 4/3 & 3 \end{pmatrix} \otimes (g)$$

$$\Rightarrow F_2 \sim x \Sigma_1 q \sim \exp \left[2 \sqrt{\frac{3\alpha_s}{\pi} \ln \frac{Q^2}{Q_0^2} \ln \frac{1}{x}} \right] \quad \text{fixed } \alpha_s$$

double leading log approximation (DLIA) \rightarrow De Rujula et al. (1974)

... which is a reasonable approximation to the HERA measurements

developed as 'double asymptotic scaling' + corrections by Ball and Forte \rightarrow fig.

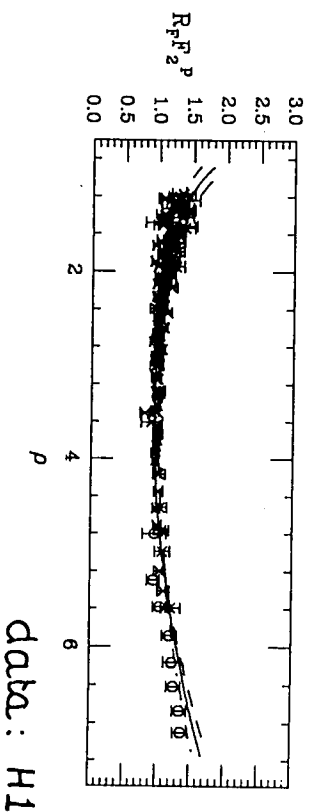
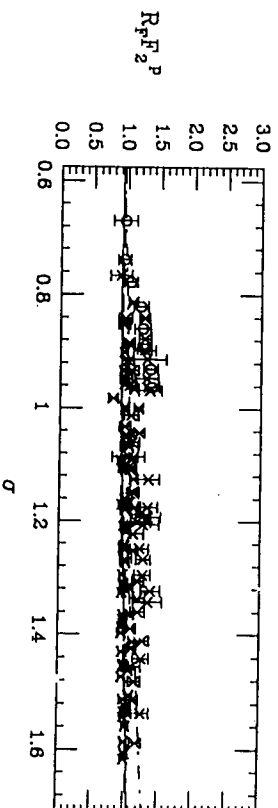
double asymptotic scaling

$$\sigma = \left[\ln \frac{t}{\epsilon_0} \ln \frac{x_0}{x} \right]^{\frac{1}{2}}$$

$$\rho = \left[\ln \frac{x_0}{x} / \ln \frac{t}{\epsilon_0} \right]^{\frac{1}{2}}$$

$$(t = \ln Q^2/\Lambda^2)$$

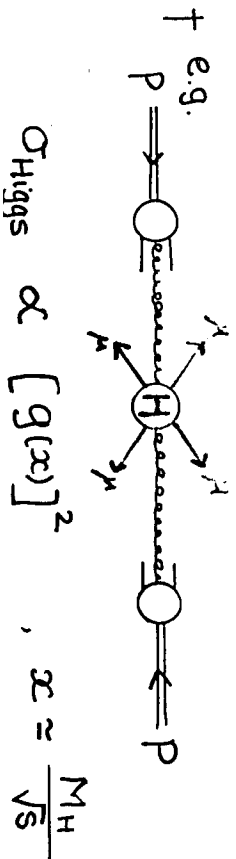
Ball, Forte



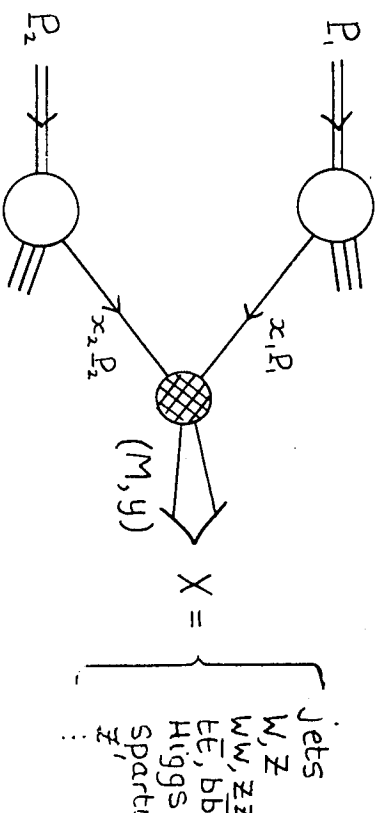
Parton Distribution Functions (pdf's)

- the bulk of the information on pdf's comes from fitting DIS structure functions
- . . . although other processes can also be useful e.g. the Drell-Yan process for constraining the sea (anti)quarks

- the pdf's are useful in two ways:
 - they are important for predicting hadron-collider cross sections[†]
 - they give us detailed information on the Flavour content of the nucleon



hadron-hadron collisions



$$d\sigma = \sum_{ij} \int dx_1 dx_2 f_i(x_1, \mu^2) f_j(x_2, \mu^2)$$

$$\times d\hat{\sigma}_{ij}(p_1, p_2, x_S(\mu^2), \frac{M^2}{\mu^2})$$

where

$$x_{1,2} \approx \frac{M}{\sqrt{s}} e^{\pm y}$$

$$\mu \approx M$$

† "factorize the note: $M_F^2 = M_R^2 = M_{as}$

parton structure: f_i

tests of PQCD: $d\hat{\sigma}_{ij}, f_i$

— instead of having to laboriously integrate the Altarelli-Parisi equations each time a distribution (e.g. $u(x, Q^2 = M_W^2)$) is needed, analytic and numerical approximations are provided in the literature, e.g.

- Duke and Owens (DO), 1984
- Gluck et al. (GHR),
- Eichten et al. (EHLG)
- Tung et al. (CTEQ)
- Martin et al. (MRS)
- ⋮

1999

— thus, for example,

```
SUBROUTINE MRST(inputX, Q, UV, DV, USER, DSEA,
                STR, CHM, BOT, GLU)
```

- the MRS series of fits (1987 →)

— at $Q_0 = 1$ GeV parameterise

$$f_i(x, Q_0^2) = A_i x^{\alpha_i - 1} [1 + \epsilon_i \sqrt{x} + \gamma_i x]^{(1-\epsilon)}$$

with $i = u, d, \dots, \bar{c}, \bar{b}, g$, then evolve using NLO-DGLAP and for $\{A_i, \dots, \alpha_i, \epsilon_i, \gamma_i\}$

— as the data improve, the fits are fine-tuned

- most recent MRS analysis

A. D. Martin
 R. G. Roberts
 R. S. Thorne
 W. T. Stirling

⇒ MRST (1998) sets
 [hep-ph/9803445]

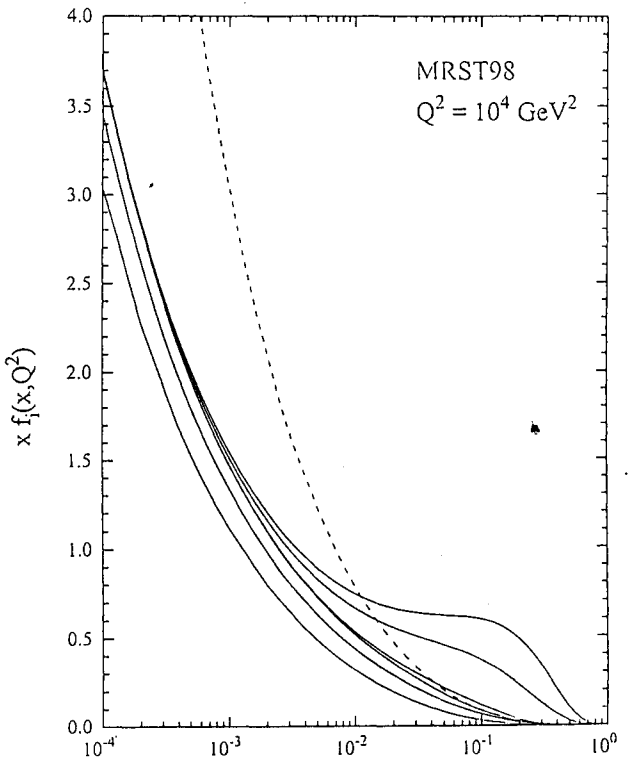
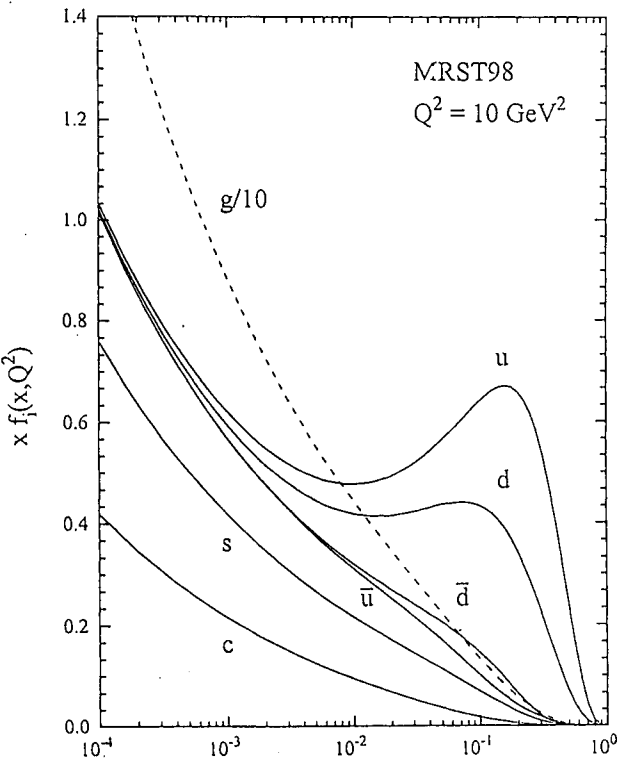
[code from : <http://durpdg.dur.ac.uk/HEPDATA>]

Martin Roberts,
 Stirling Thorne

- features

- new and updated data sets
- improved treatment of heavy flavour and prompt photon production
- default + 4 sets:
 - variation in $\alpha_s \uparrow \downarrow$
 - variation in gluon $\uparrow \downarrow$

* small bug found and corrected: \rightarrow MRST99
 see: hep-ph/9907231



experimental data and errors

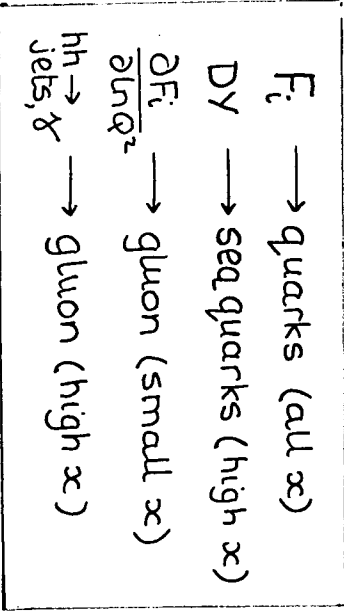
theoretical framework

theoretical assumptions and prejudices

$$f_i(x, Q^2) \quad Q^2 > Q_0^2$$

$i = u, d, \dots, g$

summary -



note { MRST (1998)
CTEQ5 (1999)

... broadly similar

DIS str. fns + hadron-hadron

e.g. NLO-DGLAP MS scheme

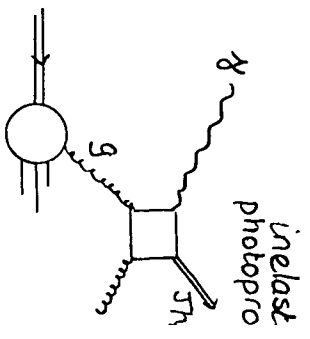
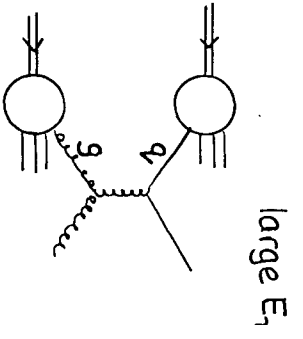
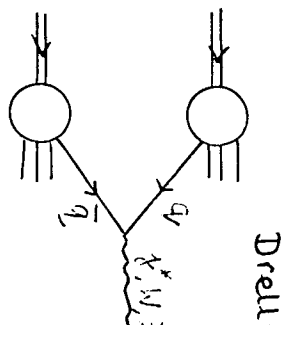
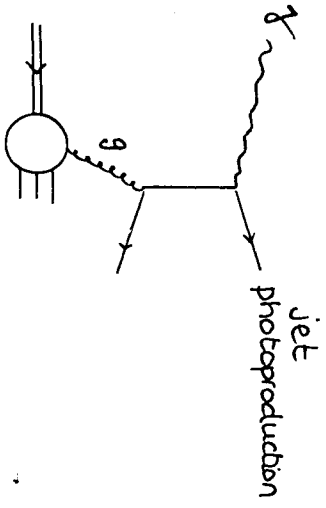
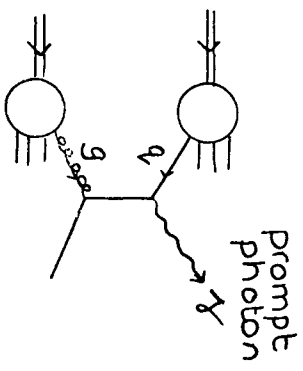
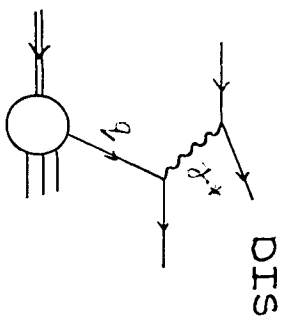
e.g. Q^2, W^2 cuts, omit datasets, npQCD effects, ...

+

$$\alpha_s$$

2 fig.

2 fig.



NEWS

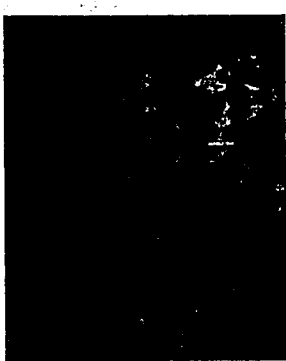
Each time physicists probe the teeming interior of the proton, an swarm with short-lived particles, they seem to turn up more surprises

Exploring the Proton Sea

In the Dr. Seuss story "Horton Hears a Who!," Horton the elephant insists to his fellow animals all deeply skeptical, that a speck of dust is teeming with life. With his sensitive ears, Horton can hear the chatter and buzz of its microscopic inhabitants—whole cities of them. Physicists studying the humble proton will understand his fascination. To most researchers, the proton is a workaday particle: the stuff that gives every atomic nucleus its positive charge, and the heart of the ubiquitous hydrogen atom. But recent studies probing deep into the proton are revealing a society as complex as the one on Horton's dust mote: a churning and bubbling sea of "virtual" particles that pop into existence for an instant, then disappear again, beaking more enduring components of the proton in a quantum flux.

The ephemeral nature of the sea's inhabitants, mass- and charge-carrying particles called quarks and force-carrying particles called gluons, belies their importance. "This virtual sea is responsible for many of the proton's properties, such as its mass, its structure, and its interaction with other particles and fields," says Michael Litch of the Los Alamos National Laboratory in New Mexico. Charting the sea is also important for future experiments: The world's most powerful particle accelerator, the Large Hadron Collider now being built at the CERN particle-physics lab near Geneva, will slam protons together at enormous energies. One aim is to create the Higgs boson, the particle thought to endow all others with mass, which has been on physicists' "most wanted" list for 3 decades. Knowing what is in the proton is essential for calculating what will come out of those collisions. "If new physics is to be discovered, we need to understand the predictions from the old physics with some precision," says Arne Böck of the University of Rochester in New York.

Yet the normal theoretical apparatus used to describe the subatomic landscape can make few predictions at the energies found in the proton's interior. As a result, physicists found themselves in uncharted waters as they began exploring the interior of the proton by probing it with beams of other particles. Lately, a series of experiments at accelerators



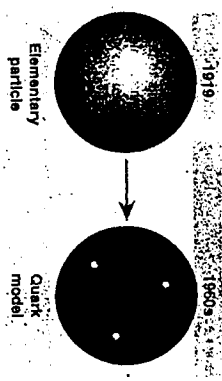
Ernest Rutherford in 1919, it was thought to be an indivisible basic building block of matter. But that fundamental status did not last long. Early proton-proton collision experiments in the 1930s revealed that the proton was more than an infinitesimally small "point-charge." It had a finite size and presumably some kind of structure. Further experiments revealed a bewildering array of particles related to the proton, whose properties fell into patterns that cried out for an explanation in terms of more fundamental building blocks. A breakthrough came in 1960s, when theoreticians Murray Gell-Mann of the California Institute of Technology in Pasadena and his co-student George Zweig at CERN proposed that familiar particles called quarks make up protons, and the short-lived particles, mesons. Protons and neutrons contain quarks each, and mesons a quark and a antiquark. In 1969, electron-proton collisions at the Stanford Linear Accelerator Center found the existence of pointlike magnets inside the proton, which had to be quarks. The new picture painted by Gell-Mann and Zweig was simple: The proton is made of two so-called "up" quarks and a "down" quark—the "valence" quarks combination in the proton adds up to its simple positive charge. In the neutrons, the combination of two ups and one down carries a net-zero charge. The theory later found to govern these quark interactions was dubbed quantum chromodynamics (QCD), now part of the Standard Model by which physicists seek the subnuclear world. QCD predicts quarks carry a "color charge," muck familiar electrical charge, which is the of the force binding them together and held by gluons, force particles analog to the photons of electromagnetism.

But even this tidy model of three quarks and a buzz of gluons holding together proved to be far from the story. Experiments at CERN in the 1970s probing protons with ghostly particles called neutrinos revealed the presence of quarks along with the three valence quarks and soon researchers' image of the began to change. A proton "is not thing with three balls in it all hooked

The Evolving Proton

Probing ever deeper, physicists' increasing complex view of the proton.

- Valence quark
- Valence antiquark
- Sea quark
- Sea antiquark



Process/Experiment	Leading order subprocess	Parton determination
DIS ($\mu N \rightarrow \mu X$) $F_2^{HP}, F_2^{Hd}, F_2^{He}, F_2^{HeN} / F_2^{HP}$ (SLAC, BCDMS, NMC, E665)	$\gamma^* q \rightarrow q$ $W^+ q \rightarrow q'$	Four structure functions \rightarrow $u + \bar{u}$ $d + \bar{d}$ $\bar{u} + \bar{d}$ s (assumed $= \bar{s}$), but only $\int xq(x, Q_0^2)dx \approx 0.35$ and $\int (\bar{d} - \bar{u})dx \approx 0.1$
DIS (HERA) F_2^{EP} (H1, ZEUS)	$\gamma^*(Z^*)q \rightarrow q$	λ $(x\bar{q} \sim x^{-\lambda_s}, xq \sim x^{-\lambda_s})$
$EN \rightarrow eEX$ F_2^E (EMC, H1, ZEUS)	$\gamma^* c \rightarrow c$	c $(x \gtrsim 0.01, x \lesssim 0.01)$
$\nu N \rightarrow \mu^+ \mu^- X$ (CCFR)	$W^* s \rightarrow c$ $\rightarrow \mu^+$	$s \approx \frac{1}{2}(\bar{u} + \bar{d})$
$pN \rightarrow \gamma X$ (WA70, UA6, E706, ...)	$qg \rightarrow \gamma q$	g at $x \approx 2p_T/\sqrt{s} \rightarrow$ $x \approx 0.2 - 0.6$
$pN \rightarrow \mu^+ \mu^- X$ (E605, E772)	$q\bar{q} \rightarrow \gamma^*$	$\bar{q} = \dots(1 - x)^{1/s}$
$pp, pn \rightarrow \mu^+ \mu^- X$ (E866, NA51)	$u\bar{u}, d\bar{d} \rightarrow \gamma^*$ $u\bar{d}, d\bar{u} \rightarrow \gamma^*$	\bar{d}/\bar{u} at $x \approx 0.04 - 0.3$
$p\bar{p} \rightarrow WX(ZX)$ (UA1, UA2, CDF, D0) $\rightarrow \ell^\pm$ asym (CDF)	$u\bar{d} \rightarrow W$	u, d at $x \approx M_W/\sqrt{s} \rightarrow$ $x \approx 0.13, 0.05$ slope of u/d at $x \approx 0.05 - 0.1$
$p\bar{p} \rightarrow \text{jet} + X$ (CDF, D0)	$gg, qg, qg \rightarrow 2j$	q, g at $x \approx 2E_T/\sqrt{s} \rightarrow$ $x \approx 0.05 - 0.5$

MRST
1998

DIS ←

electron-
proton →

— nothing unusual at high Q^2 ...

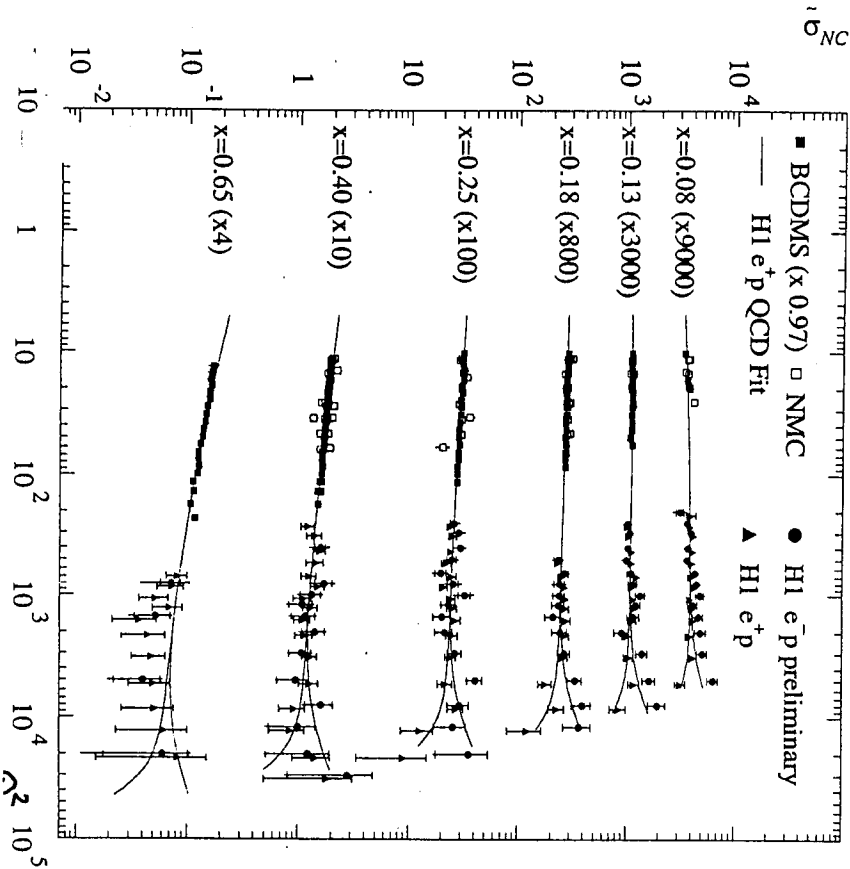
- quarks still pointlike

- DGLAP works

- electroweak effects visible.

($Q^2 \geq M_Z^2$)

(H1)



DESY double offers high hopes for new physics

Particle physicists are eagerly awaiting new data from the HERA collider in Germany to confirm if they have detected a new particle known as a leptoquark, evidence for substructure in quarks, both leptoquarks and quark substructure or, possibly, none of these

From James Stirling in the Department of Mathematical Sciences and Physics, University of Durham, UK

Ask any particle physicist to bet on which new particle will be discovered next and the most popular answer, at least until recently, would have been the Higgs boson. A less well known particle - the leptoquark - would have been well down most people's list. However, dramatic new results from HERA, the high-energy collider at the DESY Laboratory in Hamburg, could be the first evidence for these unusual new particles. Alternatively, the data might indicate that quarks, previously thought to be elementary particles, have substructure.

If the results are confirmed by further data from HERA, the cherished Standard Model of elementary particles and their interactions will have been stood on its head. The holy grail of late 20th century particle physics - experimental evidence for new physics beyond the Standard Model - will finally have been found.

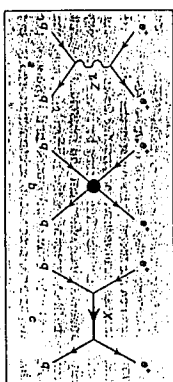
The excitement at HERA centres on a handful of unusual events seen by both the ZEUS and H1 experiments. The two groups have submitted their results to *Zeitschrift für Physik* in late February and their papers, plus a wealth of information on the HERA collider and the two experiments, are available on the DESY World Wide Web site at <http://info.desy.de/>. Both experiments are run by large international collaborations: H1 by 400 physicists from 12 countries and ZEUS by 430 physicists from 13 countries.

The two experiments were studying what happens when a 27.5 GeV positron beam collides with a 820 GeV proton beam (HERA can also collide electron beams with proton beams). Such high-energy collisions have traditionally provided an enormous amount of information on fundamental particles and their interactions. In particular, when a positron scatters off a proton at a large angle, the energy transferred to the proton causes it to break up, revealing details of its inner-most structure. This type of "deep inelastic scattering"

Physics in action

Physics World
April 1997

experiment was first performed, using electron beams at much lower collision energies, at the Stanford Linear Accelerator Center (SLAC) more than 25 years ago. The SLAC experiment showed for the first time that the proton was made up of quark constituents. Quarks are believed to be the fundamental building blocks of strongly interacting or "hadronic" matter, particles such as protons, neutrons and pions. In the 1970s theorists developed a quantum field theory for the strong interactions of quarks called quantum chromodynamics (QCD), which nowadays is part of the Standard Model.



1 Feynman diagrams illustrate how particles interact. In most collisions (a) a positron scatters off a quark inside the proton by exchanging a "virtual" photon or a neutral Z boson, as predicted by the Standard Model. In (b), a new type of interaction between leptons and quarks, perhaps indicating further substructure, gives an additional contribution to the scattering. In the leptoquark scenario (c), the positron and quark annihilate to make a new heavy particle, X, which subsequently decays back to a positron-quark pair.

According to the Standard Model, a high-energy positron scatters off a proton by exchanging a virtual (i.e. short-lived) photon. This photon has a wavelength λ/Q , where λ is Planck's constant divided by 2π and Q is the momentum transferred from the positron, and the proton's substructure can be resolved on this scale. In the HERA experiments this wavelength can be several orders of magnitude smaller than the overall size of the proton (which is about 10^{-16} m). The virtual photon therefore travels deep inside the proton and scatters off a quark (figure 1a). The quark is knocked out of the proton, emerging not as a free particle (the strong force always confines quarks in hadrons) but rather as a "jet" of pions, kaons and other hadrons.

The number of deep inelastic scattering events seen in the detectors varies with the

energy loss of the scattered positron and the angle through which it is scattered. These two measured quantities determine two variables that are useful for making the connection with theory - the square of the momentum transfer, Q^2 , and the fraction of the proton's momentum, x , that is carried by the quark struck by the virtual photon. The dependence of the event rate on x provides important information on how the quarks share the momentum of the proton.

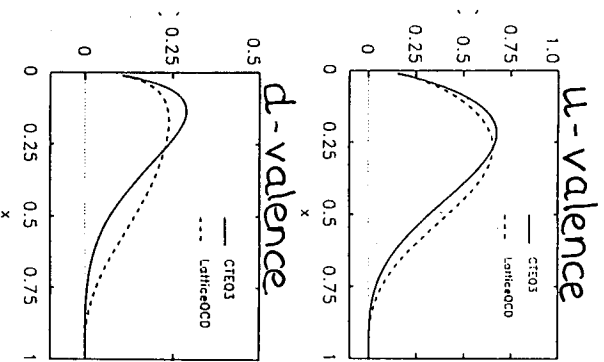
On the other hand, the dependence on Q^2 is precisely predicted by the theory under two important assumptions: that the interactions of the quarks are the Standard Model, and that the quarks are pointlike - that is they have no resolvable size on the scale of the resolution λ/Q . If both these assumptions are satisfied, the event rate is predicted to fall like $1/Q^4$ (to fixed x), subject to small calculational corrections. One such correction comes from the possibility of the positron and the quark exchanging a heavy neutral Z boson rather than a photon (figure 1b).

Over the last two years the H1 and ZEUS experiments have collected a large number of deep inelastic scattering events over a wide range in Q^2 and x . The number of small and medium Q^2 events agrees beautifully with the Standard Model predictions. However, at high Q^2 there is an apparent excess of events instead of falling off rapidly as predicted by theory; the event rate seems to level off. The H1 experiment observes 12 events ($Q^2 > 15000 \text{ GeV}^2$) where 4.71 ± 0.76 are expected in the Standard Model, while the ZEUS experiment observes 2 events ($Q^2 > 3500 \text{ GeV}^2$) where 0.145 ± 0.01 events are expected.

The high Q^2 events have a very distinctive signature, each containing a very energetic positron and jet of hadrons (see figure 2). Because the number of these events is not particularly large, the effect could be a statistical fluctuation. However, the experiments estimate the probabilities of such a fluctuation to be very small - 1% for H1 and 6% for ZEUS. Taken together, this is about as likely as getting

— pdfs from npQCD (lattice)

... lattice calculations give first few moments of q and Δq for p, π, ρ, \dots



Manjivic

+ $x \rightarrow 0, 1$ assumptions

non-singlet, leading twist + QUENCHED approx.

↑ polarised pdfs

proton moments

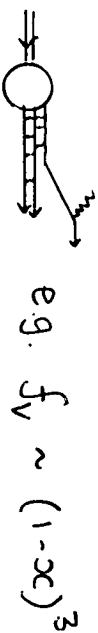
Moment	Lattice (quenched, $\mu^2 \approx 3 \text{ GeV}^2$)	Experiment ($\mu^2 = 4 \text{ GeV}^2$)
$\langle z \rangle^{(u)}$	0.410(34)	0.284
$\langle z \rangle^{(d)}$	0.180(16)	0.102
$\langle z \rangle^{(u)} - \langle z \rangle^{(d)}$	0.230(38)	0.182
$\langle z^2 \rangle^{(u)}$	0.108(16)	0.083
$\langle z^2 \rangle^{(d)}$	0.036(8)	0.025
$\langle z^2 \rangle^{(u)} - \langle z^2 \rangle^{(d)}$	0.070(10)	0.058
$\langle z^3 \rangle^{(u)}$	0.000(6)	0.008
$\langle z^3 \rangle^{(d)}$	0.537(23)	0.441

Schienholz et al. (1997)

other non-perturbative models

dimensional counting ($x \rightarrow 1$)

• $f_i(x) \sim (1-x)^{2n_i-1}$



eg. $f_V \sim (1-x)^3$

- Regge ($x \rightarrow 0$) $f(x) \sim x^{-\alpha_R(\rho)}$
 - $x^{-1/2}$ valence
 - x^{-1} sea, glue

- 2DQCD valence quark structure of Baryon soliton
- Krishnas Rajeev

- dynamical partons evolve from pure 3-quark valence state at very small $Q^2 = \mu_0^2 (= 0.3 \text{ GeV}^2 !)$
- Glui Rey Vog

problem: at what Q^2 do these npQCD models apply?



Scaling violations — summary —

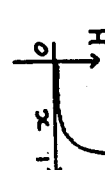
$$\frac{\partial F_2}{\partial \log \alpha^2} = \frac{\alpha_s(\alpha^2)}{2\pi} \int_0^1 dy P_{ff}^{(1)}(y) F_2\left(\frac{x}{y}, \alpha^2\right) + \sum_i e_i^2 P_{qg}^{(1)}(y) \frac{x}{y} g(\frac{x}{y})$$

James Stirling

Lecture II

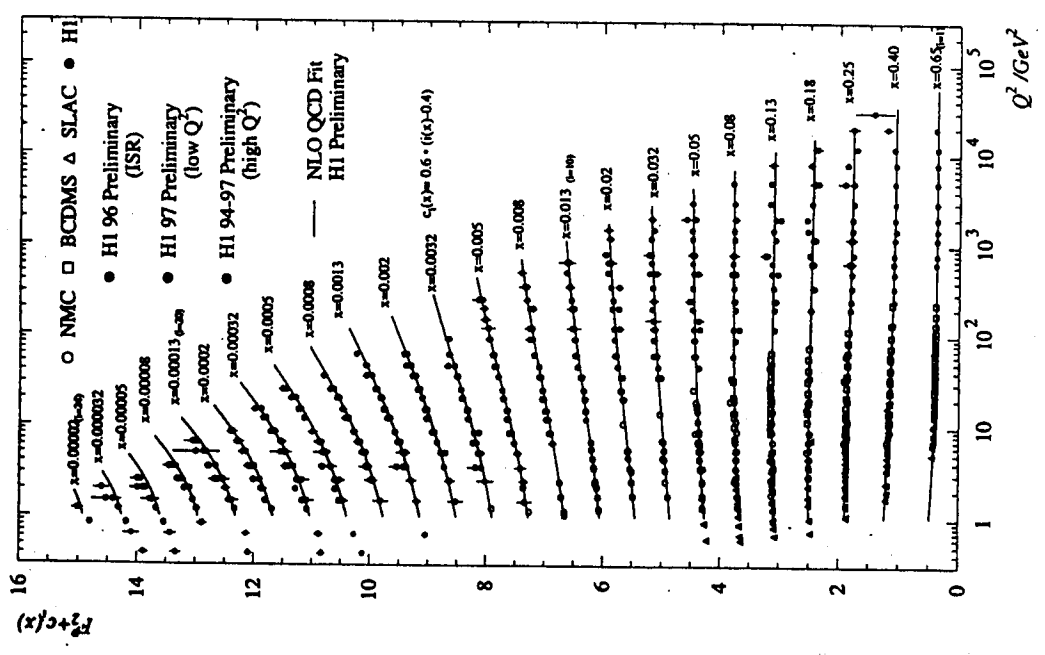
CTEQ SUMMER SCHOOL 2000

→ large x : $F_2 \gg xg$ \therefore 1st term dominates
⇒ precision α_s measurement \approx
(..., BCDMS, CCFR, ...)

{ careful! "higher twist" contribution
 $F_2 \rightarrow F_2 \left(1 + \frac{H(x^2)}{Q^2} \right)$ 

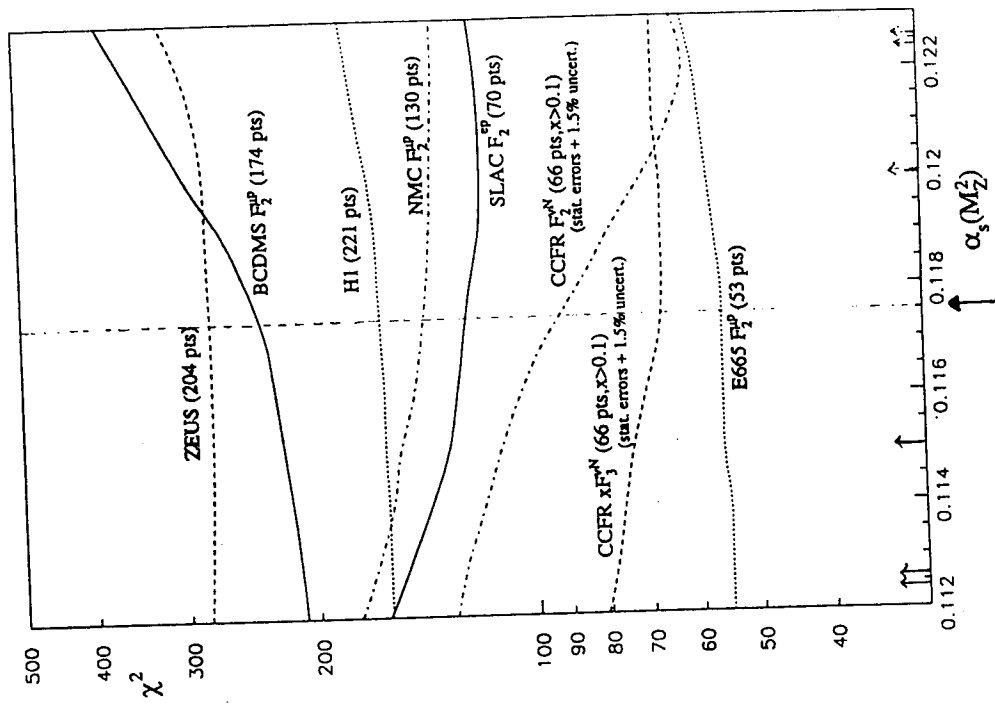
see e.g. Alekhin, Kataev (1999) }

→ small x : $F_2 \ll xg$ \therefore 2nd term dominates
⇒ precision gluon measurement
(H1, ZEUS, NMC)



α_s from global fit

Deep Inelastic Data



global fit: $\alpha_s = 0.1175$

cf. world average: $\alpha_s = 0.118 \pm 0.004$

CCFR
1997

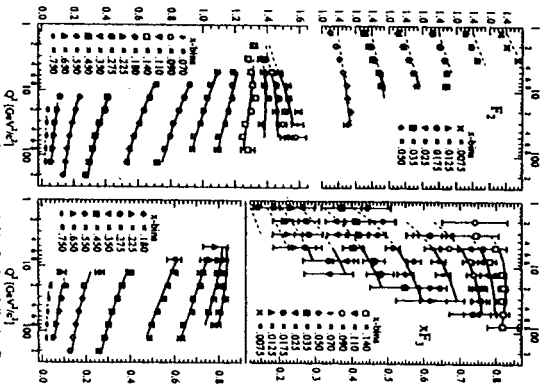


FIG. 1. The F_2 and xF_3 data (statistical errors) and the best QCD fit (solid line). Cuts of $Q^2 > 5 \text{ GeV}^2$, $W^2 > 10 \text{ GeV}^2$, and $x < 0.7$ were applied for the NLO-QCD fit which include target mass corrections. The dashed line extrapolates the QCD fit into the data regions excluded by the cuts. Deviations of the data from the extrapolated fit are partly due to non-perturbative effects.

1997 CCFR analysis

$$\alpha_s(M_Z^2) = 0.119 \pm 0.002 \pm 0.001 \pm 0.004$$

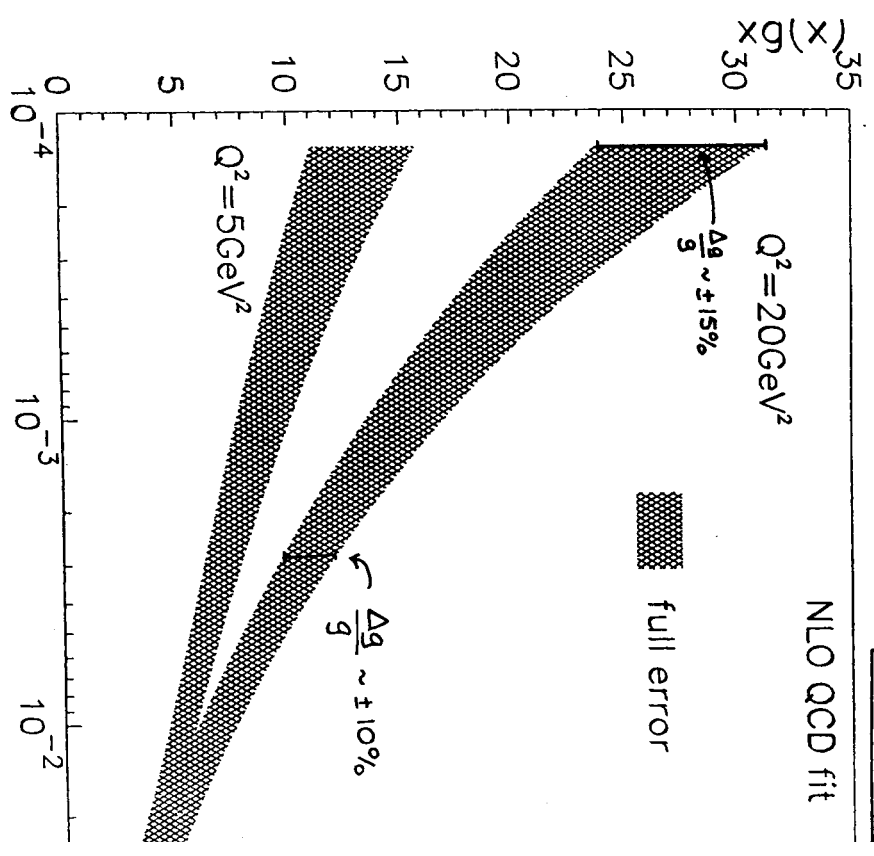
exp. \rightarrow HT \rightarrow thy. scale
 F_2, xF_3 ($\sim \frac{1}{Q^2}$) ($M_Z^2/\bar{Q}^2 = \frac{1}{3} \rightarrow 3$)

cf. 1993 analysis:

$$\alpha_s = 0.111 \pm 0.002(\text{stat.}) \pm 0.003(\text{sys.})$$

" new energy calibrations "

H1, 1994



be

in the limit $x \rightarrow 0$, $Q^2 \rightarrow \infty$ the behaviour of F_2 can be calculated analytically:

$$i^2 \frac{\partial}{\partial Q^2} (g) \approx \frac{\alpha_s}{\pi} \begin{pmatrix} 0 & 0 \\ 4/3 & 3 \\ x & x \end{pmatrix} \otimes (g)$$

$$F_2 \sim x \sum_i q_i \sim \exp \left[2 \sqrt{\frac{\alpha_s}{\pi} \ln \frac{Q^2}{Q_0^2} \ln \frac{1}{x}} \right] \text{ fixed } \alpha_s$$

double leading log approximation (DLLA) \rightarrow De Rujula et al (1974)

.. which is a reasonable approximation to the HERA measurements

[developed as 'double asymptotic scaling' + corrections by Ball and Forte \rightarrow fig.]

Method 1 — moments

• note $P^{gg} = \frac{6}{x} \Rightarrow \langle P^{gg} \rangle_n = \frac{6}{n-1}$

$$t \frac{\partial}{\partial t} g(n, t) = \frac{\alpha_s}{2\pi} \frac{6}{n-1} g(n, t)$$

$$\Rightarrow g(n, t) = g(n, t_0) \left[\frac{t}{t_0} \right]^{\frac{3\alpha_s}{\pi(n-1)}} \quad t_0 = Q_0^2$$

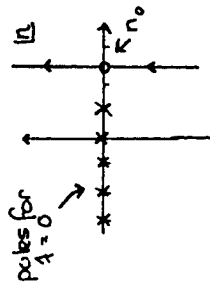
$$\Rightarrow xg(x, t) = \frac{1}{2\pi i} \oint dn g(n, t_0) x^{-(n-1)} \left[\frac{t}{t_0} \right]^{\frac{3\alpha_s}{\pi(n-1)}} \\ \equiv \frac{1}{2\pi i} \oint dn g(n, t_0) e^{J(n)}$$

$$J(n) = (n-1) \ln \frac{1}{x} + \frac{1}{n-1} \frac{3\alpha_s}{\pi} \ln \frac{t}{t_0}$$

• when $\ln \frac{1}{x}, \ln \frac{t}{t_0} \gg 1$, can estimate the integral using a saddle-point approximation

$$J'(n) = \ln \frac{1}{x} - \frac{1}{(n-1)^2} \frac{3\alpha_s}{\pi} \ln \frac{t}{t_0} \\ = 0 \text{ for } n = 1 + \sqrt{\frac{3\alpha_s}{\pi} \frac{\ln(t/t_0)}{\ln(1/x)}} \equiv n_0$$

What about $g(n, t_0)$? If $g(x, t_0) \sim x^{-1-\lambda}(1-x)^m$ then $g(n, t_0)$ has poles at $n = 1 + \lambda, \lambda, -1 + \lambda, \dots$



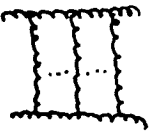
... so if $\lambda \leq 0$, behaviour is dominated by the saddle point

$$xg(x, t) \sim e^{J(n_0)} \sim \exp \left\{ 2 \left[\frac{3\alpha_s}{\pi} \ln \frac{1}{x} \ln \frac{t}{t_0} \right]^{\frac{1}{2}} \right\}$$

ie. as $x \rightarrow 0$, xg rises faster than any power of $\ln \frac{t}{x}$ but slower than any power of x (De Rijula et al., 1974)

Method 2 - diagrams

- recall ladder diagrams for leading \log^2 contributions to structure function.



now $g(x,t) = \delta(1-x) + \sum_{n=1}^{\infty} \int_{k_1^2}^{k_n^2} \frac{dk_1^2 \alpha_s(k_1^2)}{2\pi} \dots \int_{k_{n-1}^2}^{k_n^2} \frac{dk_n^2 \alpha_s(k_n^2)}{2\pi}$

$\times \int_x^1 \frac{d\xi}{\xi_{n+1}} P(\frac{x}{\xi_{n+1}}) \dots \int_{\xi_2}^1 \frac{d\xi_1}{\xi_1} P(\frac{\xi_2}{\xi_1}) P(\xi_1)$

set $P^{99}(x) = \frac{6}{x}$ (small x approxⁿ) and take k_s fixed ...

$g(x,t) \approx \delta(1-x) + \sum_{n=1}^{\infty} (\frac{\alpha_s}{2\pi})^n \frac{\log^n t}{n!} \frac{6^n}{x} \frac{\log^n x}{(n-1)!}$

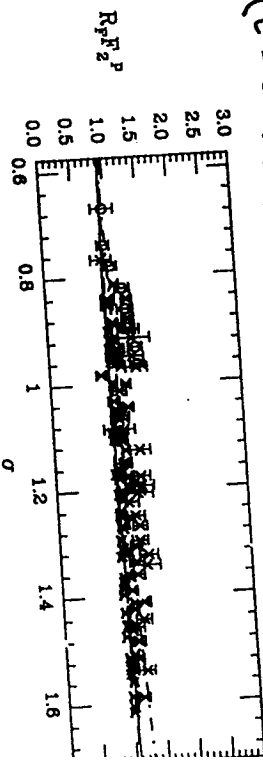
... set $k^2 = Q_0^2$ and fold with $g(y, Q_0^2)$

$xg(x,t) \approx G_0 \sum_{n=0}^{\infty} (\frac{3\alpha_s}{\pi})^n \frac{1}{n!} \log^n \frac{t}{\epsilon_0} \log^n x$
 $= G_0 I_0(x) \leftarrow$ modified Bessel function with $\frac{3\alpha_s}{\pi} \log \frac{t}{\epsilon_0} \log x$
 $\sim G_0 \frac{e^{\frac{t}{x}}}{\sqrt{2\pi x}}$ as $x \rightarrow \infty$, as before!

double asymptotic scalling

$\sigma = [\ln \frac{t}{\epsilon_0} \ln \frac{x_0}{x}]^{\frac{1}{2}}$
 $\rho = [\ln \frac{x_0}{x} / \ln \frac{t}{\epsilon_0}]^{\frac{1}{2}}$
 $(t = \ln Q^2/\Lambda^2)$

Ball, Fortu



data:

each gluon emission in the ladder generates two large logarithms, hence "double leading logarithm approximation" (DLLA)

refinements

$$\begin{aligned} \text{winning } \alpha_s & \rightarrow \int_{Q_0^2}^{Q^2} \frac{dQ^2}{Q^2} \frac{\alpha_s(Q^2)}{2\pi} \\ & = \frac{1}{2\pi\beta_0} \log \frac{\log \frac{Q^2}{\Lambda^2}}{\log \frac{Q_0^2}{\Lambda^2}} \end{aligned}$$

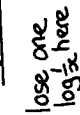
subleading terms from saddlepoint approx

replace $\log \frac{1}{x}$ by $\log \frac{x_0}{x}$ and restrict to $x < x_0 \ll 1$

subleading term in splitting function

$$\langle P^{gg} \rangle_n \simeq \frac{a}{n-1} + b \uparrow$$

include quarks



note: only P^{gg} and P^{gq} have $\frac{1}{x}$ singularity

$$\Rightarrow xq_c \sim xq \sim e^{2\sqrt{}}$$

High- Q^2 Structure Functions for HERA

• neutral current

$$\frac{d^2 \sigma_{NC}(e^\pm p)}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} \left[[1 + (1-y)^2] F_2(x, Q^2) - y^2 F_L(x, Q^2) \right. \\ \left. \mp 2y(1-y) x F_3(x, Q^2) \right]$$

$$F_2(x, Q^2) = \sum_q [xq(x, Q^2) + x\bar{q}(x, Q^2)] A_q(Q^2)$$

$$xF_3(x, Q^2) = \sum_q [xq(x, Q^2) - x\bar{q}(x, Q^2)] B_q(Q^2)$$

$$A_q(Q^2) = e_q^2 - 2e_q v_e v_q P_Z + (v_e^2 + a_e^2)(v_q^2 + a_q^2) P_Z^2$$

$$B_q(Q^2) = -2e_q a_e a_q P_Z + 4v_e a_e v_q a_q P_Z^2$$

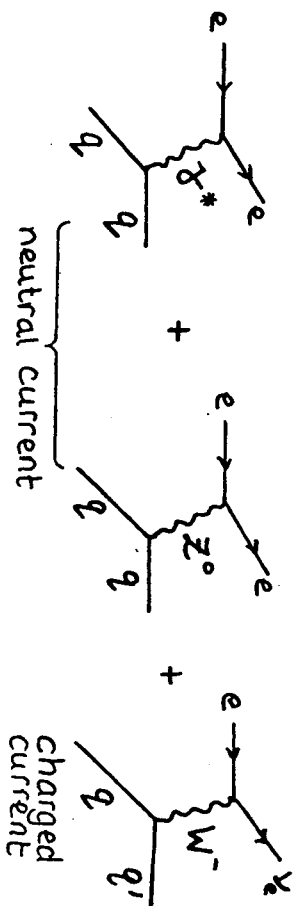
$$P_Z = \frac{Q^2}{Q^2 + M_Z^2} \frac{\sqrt{2} G_\mu M_Z^2}{4\pi\alpha}$$

• charged current

$$\frac{d^2 \sigma_{CC}(e^- p)}{dx dQ^2} = [1 - P_e] \frac{G_\mu^2}{2\pi} \left(\frac{M_W^2}{Q^2 + M_W^2} \right)^2 \\ \times \sum_{i,j} [|V_{ui,dj}|^2 u_i(x, Q^2) + (1-y)^2 |V_{uj,di}|^2 \bar{d}_i(x, Q^2)]$$

$$\frac{d^2 \sigma_{CC}(e^+ p)}{dx dQ^2} = [1 + P_e] \frac{G_\mu^2}{2\pi} \left(\frac{M_W^2}{Q^2 + M_W^2} \right)^2 \\ \times \sum_{i,j} [|V_{ui,dj}|^2 \bar{u}_i(x, Q^2) + (1-y)^2 |V_{uj,di}|^2 d_i(x, Q^2)]$$

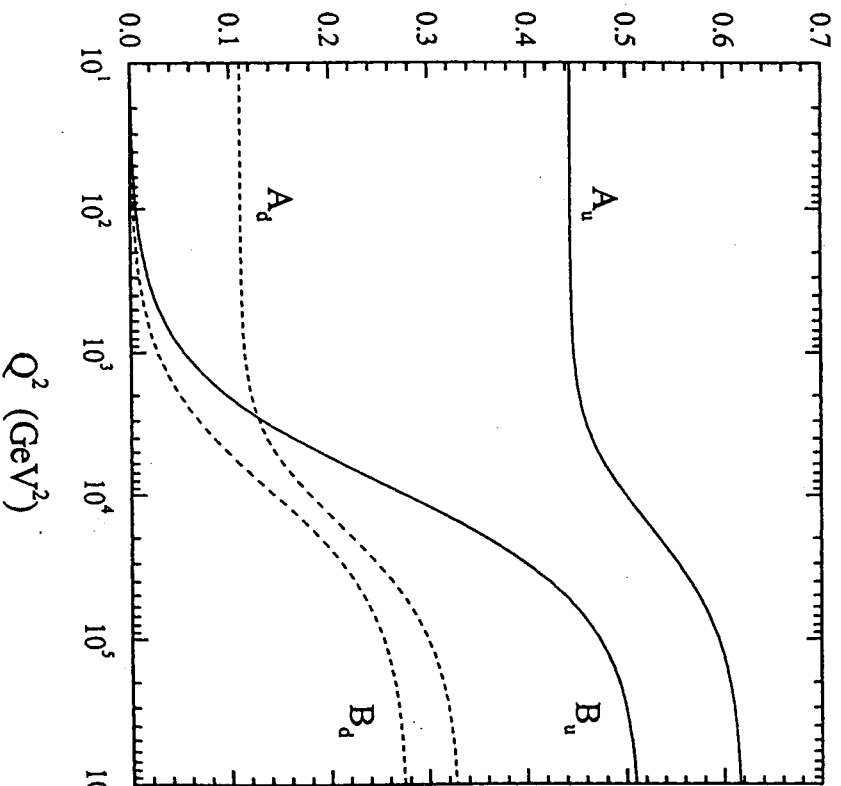
— Note that if $Q^2 \gtrsim 10^4 \text{ GeV}^2$
 (e.g. at HERA) we must
 also include W^\pm and Z^0 exchange
 in DIS ep scattering:



∴ Which generalizes the result
 for $\frac{d\sigma}{dx dQ^2} \sim [F_1, F_2]$ obtained with
 photon exchange only $\approx \text{fig.}$

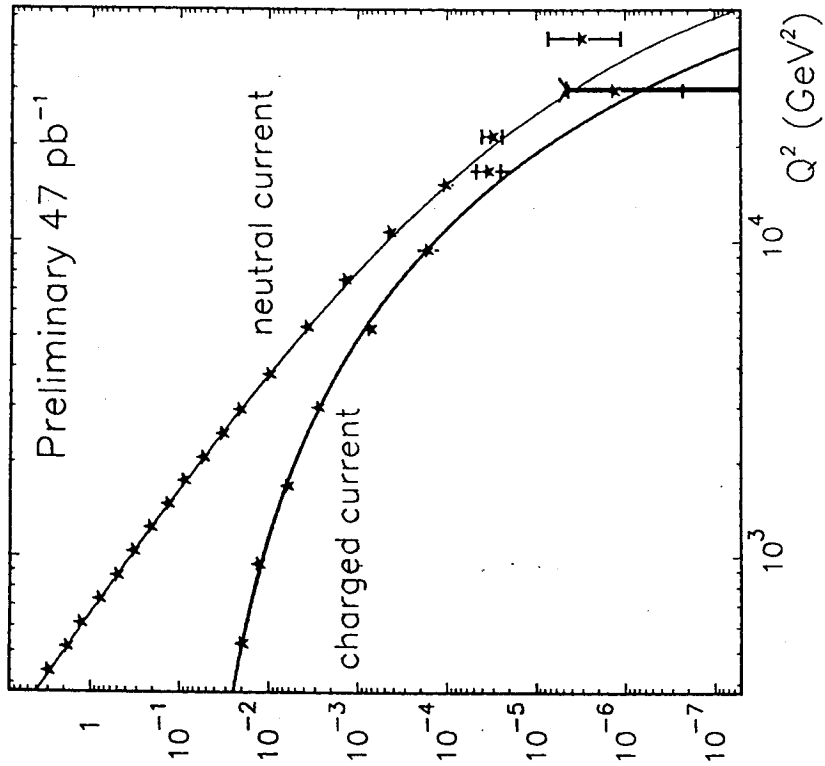
$$\frac{1}{Q^4} \rightarrow \frac{1}{(Q^2 + M_V^2)^2}$$

NC pdf coefficients



14

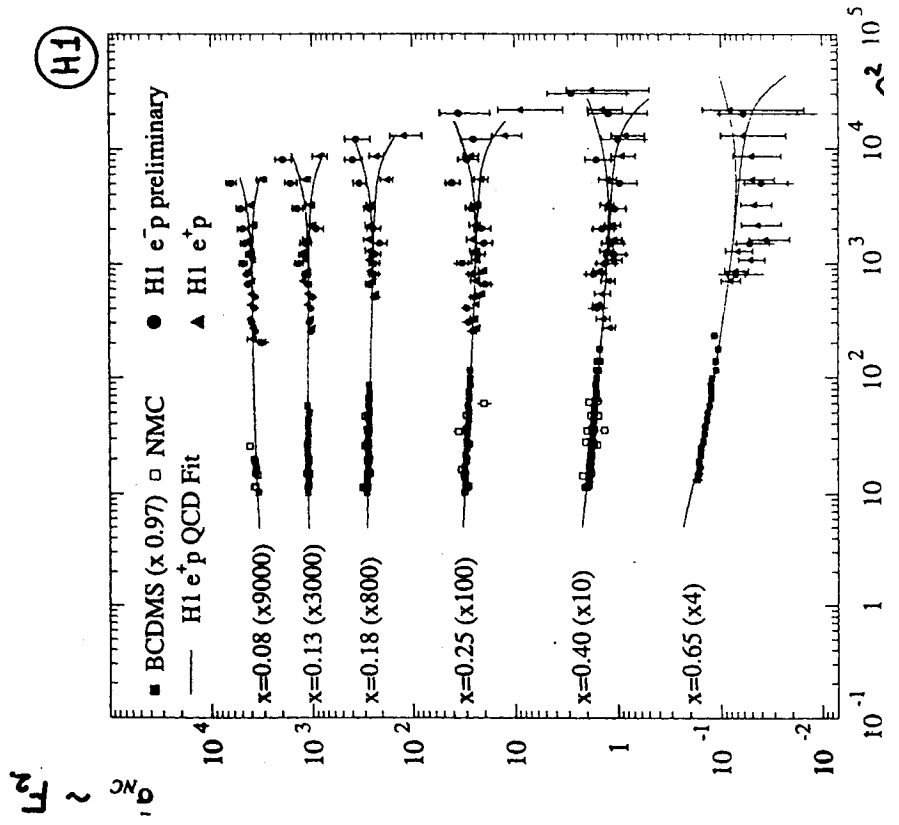
ZEUS e^+p DIS cross section 94-97



15

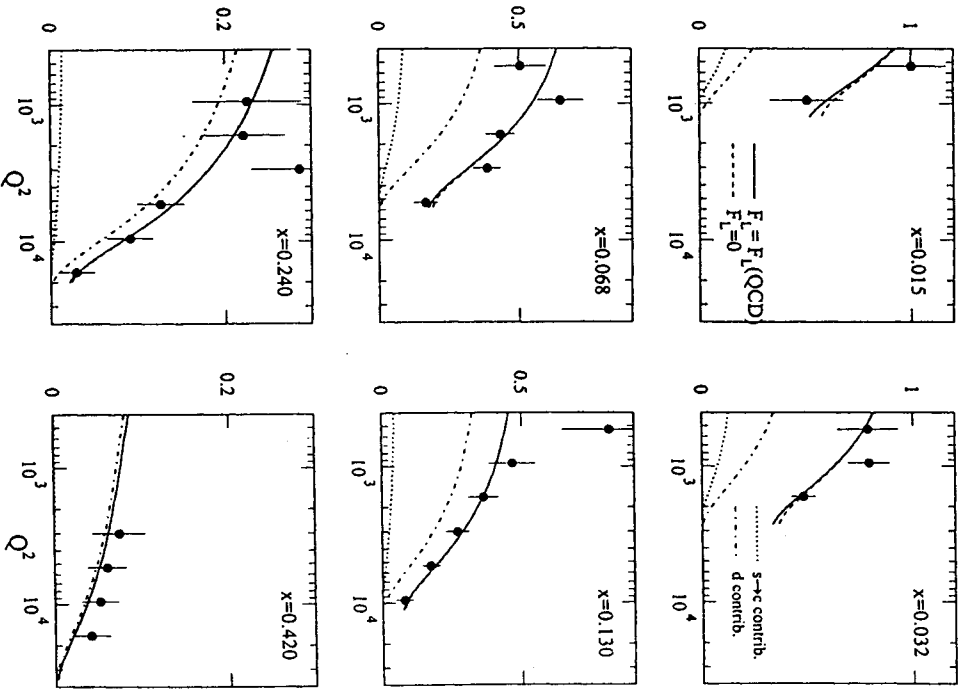
— nothing unusual at high Q^2 ...

- quarks still pointlike
- DGLAP works
- electroweak effects visible ($Q^2 \gtrsim M_Z^2$)



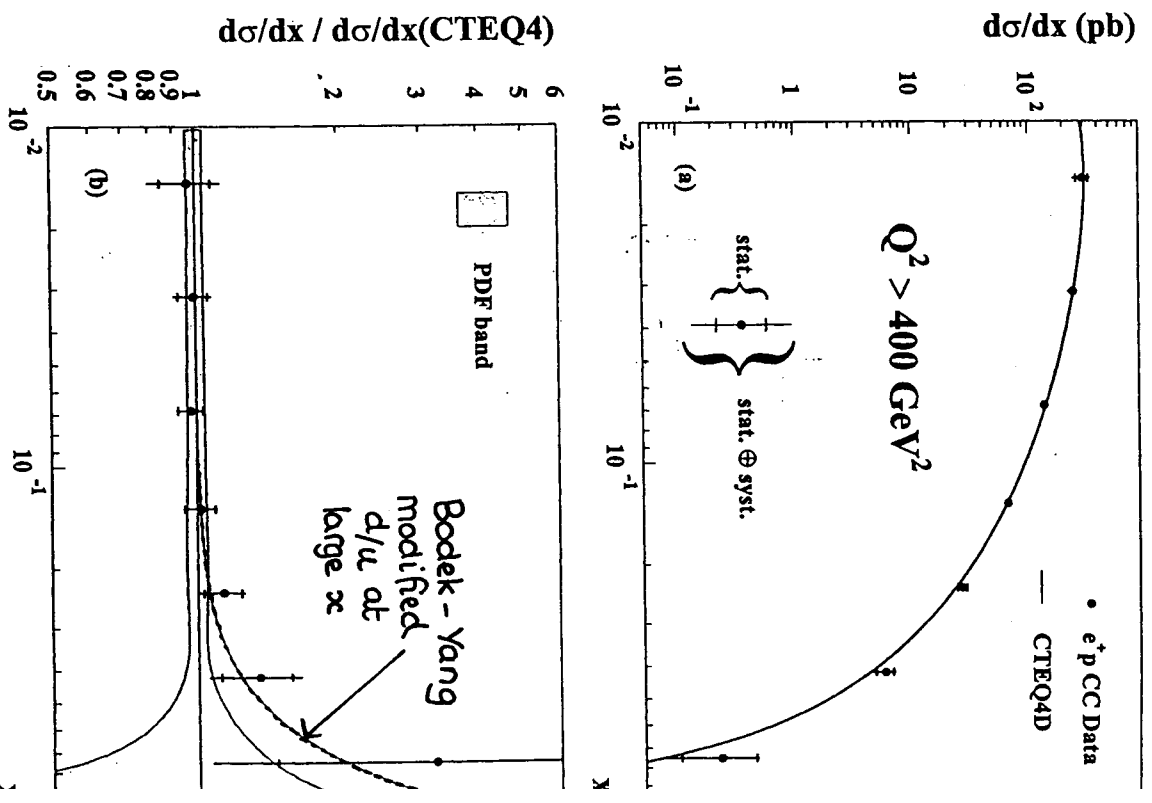
→ influence of F_L
 → d,s contributions

e^+p CC and MRST (ZEUS prelim. data)



MRST

ZEUS CC Preliminary 1994-97



Physics in action

DESY double offers high hopes for new physics

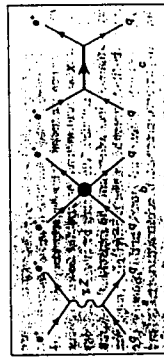
Particle physicists are eagerly awaiting new data from the HERA collider in Germany to confirm if they have detected a new particle known as a leptoquark, evidence for structure in quarks, both leptoquarks and quark substructure or, possibly, none of these

James Stirling in the Departments of Medical Sciences and Physics, University of York, UK

any particle physicist to bet on which particle will be discovered next and most people favour, at least until July, the Higgs boson. The Higgs boson is the only particle that would have been well down the list. However, dramatic results from HERA, the high-energy particle physics laboratory in Hamburg, could be the first evidence for unusual new particles. Alternatively, it may indicate that quarks, which are thought to be elementary particles, have substructure. This would be the first discovery since the Standard Model of elementary particles and their interactions have been based on its bedrock. The holy grail of the 20th century particle physics experimenters was to find a particle beyond the Standard Model - will finally have been found.

The experiment at HERA centres on the collision of an electron with a proton. The two particles are accelerated to high energies in the HERA collider and their subsequent interactions are studied. The HERA collider and the DESY World Wide Web site at <http://info.desy.de/>. Both experiments are run by large international collaborations. H1 by 109 physicists from 12 countries, and ZEUS by 430 physicists from 12 countries. The two experiments were studying what happens when a 27.5 GeV positron collides with a 820 GeV electron (HERA can also collide electron-proton collisions). Such high-energy collisions have traditionally produced an enormous amount of information on fundamental particles and their interactions. In particular, when a positron hits a proton at a large angle, the energy transferred to the proton causes it to break up, revealing details of its internal structure. This type of "deep inelastic scattering"

experiment was first performed, using electron beams at much lower collision energies, at the Stanford Linear Accelerator Center (SLAC) more than 25 years ago. The SLAC experiments showed for the first time that the proton was made up of quark constituents. Quarks are believed to be the fundamental building blocks of particles such as protons, neutrons and strongly interacting or "hadronic" matter. In the 1970s theorists developed a quantum field theory for the strong interactions of quarks called quantum chromodynamics (QCD), which nowadays is part of the Standard Model.



Feynman diagrams illustrate how particles interact. In most collisions, a virtual photon (gamma*) interacts with a quark inside the proton (p) or a gluon (g). In (b), a new type of interaction between leptons and quarks, perhaps indicating further substructure, gives an additional contribution to the scattering. In the leptoquark scenario (c), the positron and quark annihilate to make a new heavy particle, which subsequently decays back to a positron-quark pair.

According to the Standard Model, a high-energy positron scatters off a proton by exchanging a virtual (i.e. short-lived) photon. This photon has a wavelength of $\lambda \sim 10^{-14}$ m, where λ is Planck's constant divided by the photon's momentum transferred from the positron, and the proton's substructure can be resolved on this scale. In the HERA experiments this wavelength is the several orders of magnitude smaller than the overall size of the proton (which is about 10^{-14} m). The virtual photon therefore travels deep inside the proton and scatters off a quark (figure 1a). The quark is knocked out of the proton, emerging not as a free particle (the strong force always confines quarks in hadrons) but rather as a "jet" of pions, kaons and other particles. The number of deep inelastic scattering events seen in the detectors varies with the

Physics World
April 1997

18

19

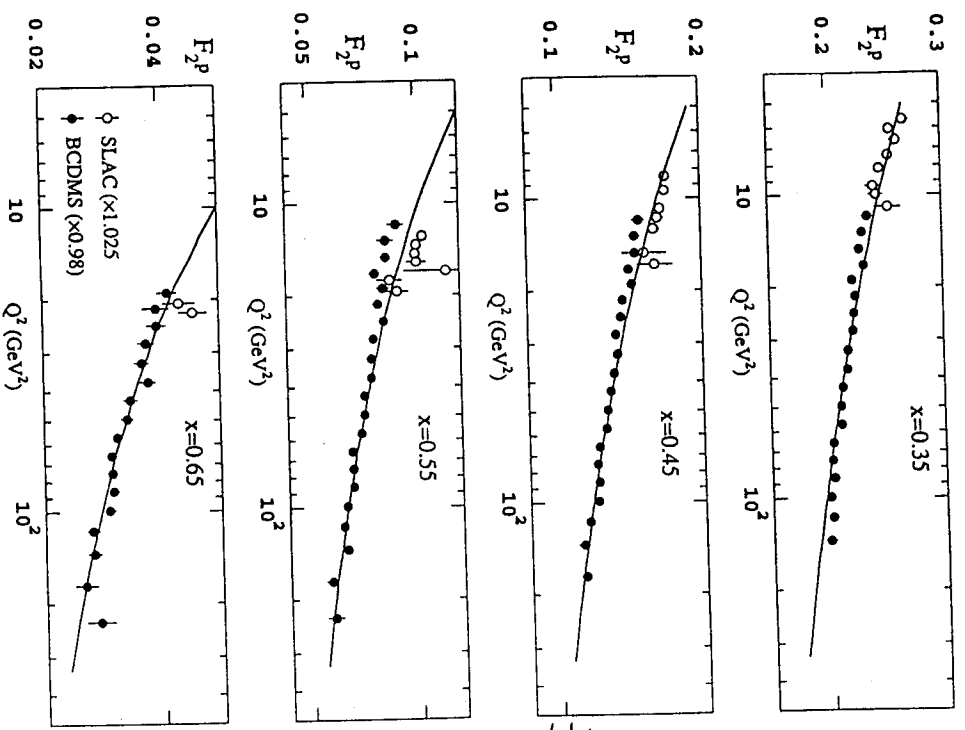
Quality of NLO DGLAP fit

— overall, excellent agreement with DIS data: $Q^2 \gtrsim 2 \text{ GeV}^2$, $x \gtrsim 10^{-5}$, $\alpha_s = 0.118$ however ~~not~~ α_s

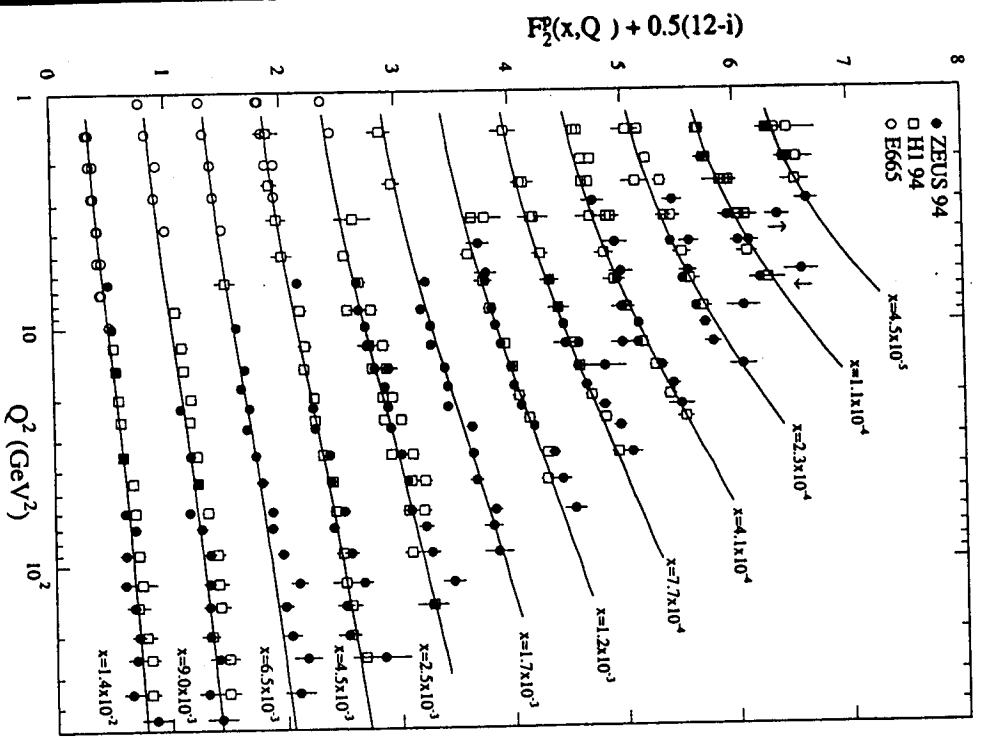
- BC DMS $\alpha_s = 0.113 \pm 0.005$ cf. world average (inc. CCFR) $\alpha_s = 0.118$
- very small x HERA data \Rightarrow "valence" gluon at Q_0^2 3 figs.
- note: not "cured" by HT contributions
- small x , high Q^2 (new) HERA data \Rightarrow DGLAP undershoots high Q^2 points
- $\frac{dF_2}{d \log Q^2} \Big|_{NMC}$ too large at $x \sim 0.05$

note: cannot add much more glue here because of $\int x g dx$ constraint

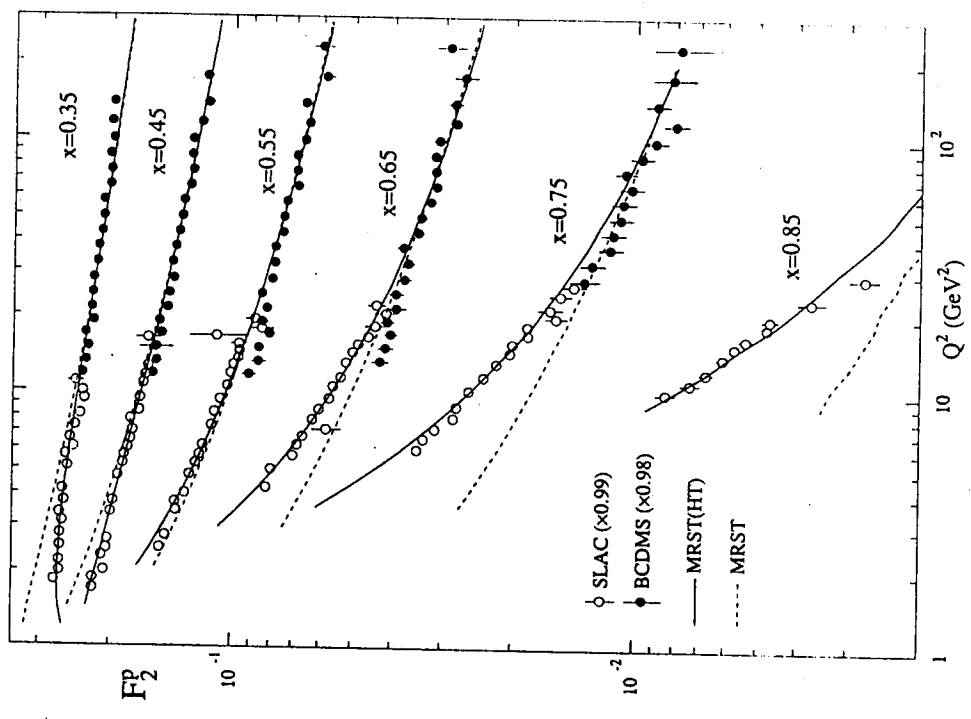
- ? \Rightarrow evidence for ...
- higher twist contributions?
 - log x resummation? \hookrightarrow later



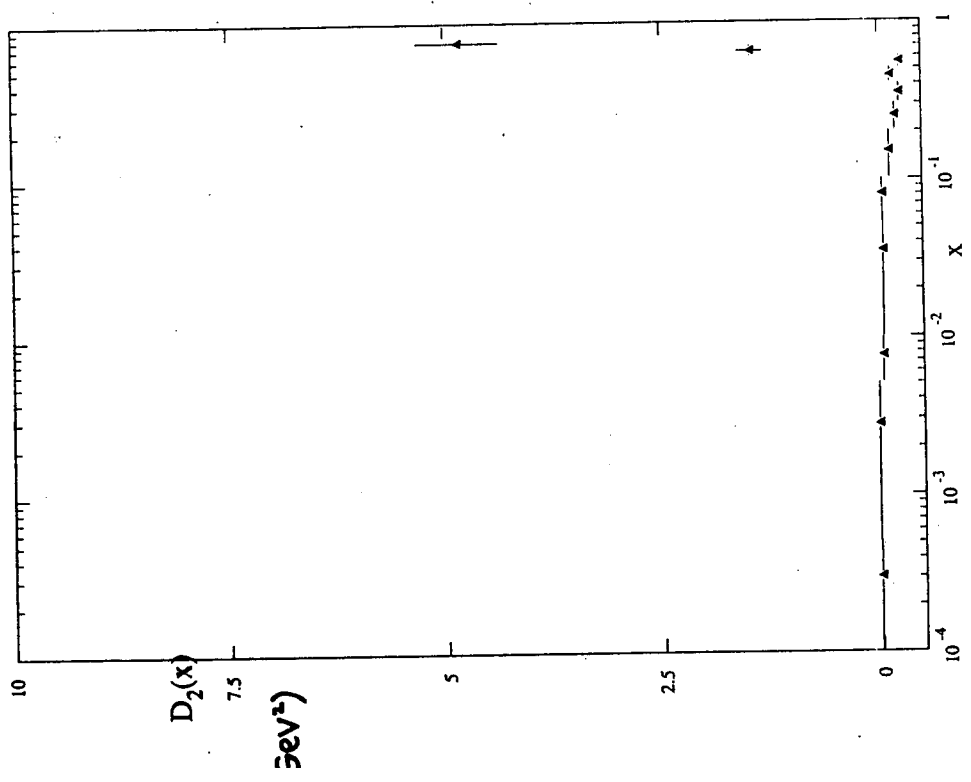
* extrapolation to $Q^2 \sim 10^5 \text{ GeV}^2$ (HERA)
 error due to: $\delta a_s = \pm 0.005$
 combine with $\delta F_2|_{\text{exp}} \lesssim 3\%$



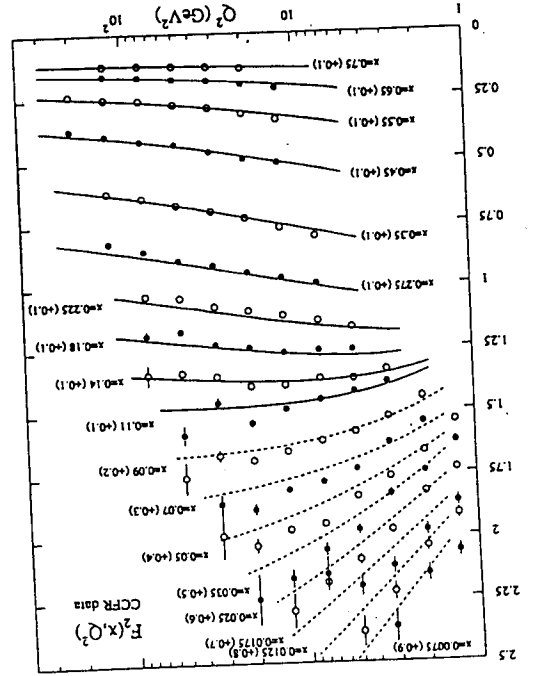
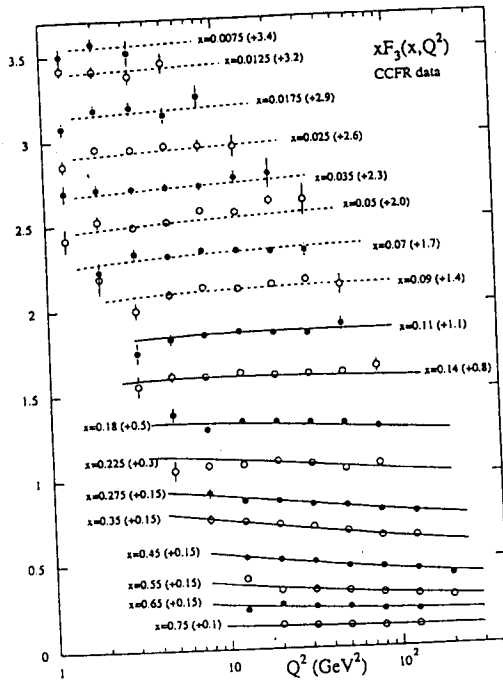
— NLO - DGLAP "works" down
 to $x \gtrsim 10^{-5}$, $Q^2 \gtrsim 2 \text{ GeV}^2$
 \Rightarrow constraints on — BFKL physics
 — higher twist



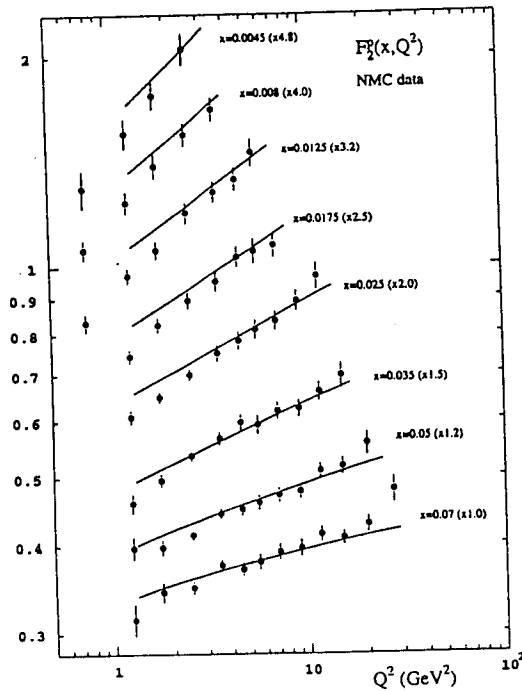
$$F_2 = F_2^{\text{LT}} \left[1 + \frac{D_2(x)}{Q^2} \right]$$



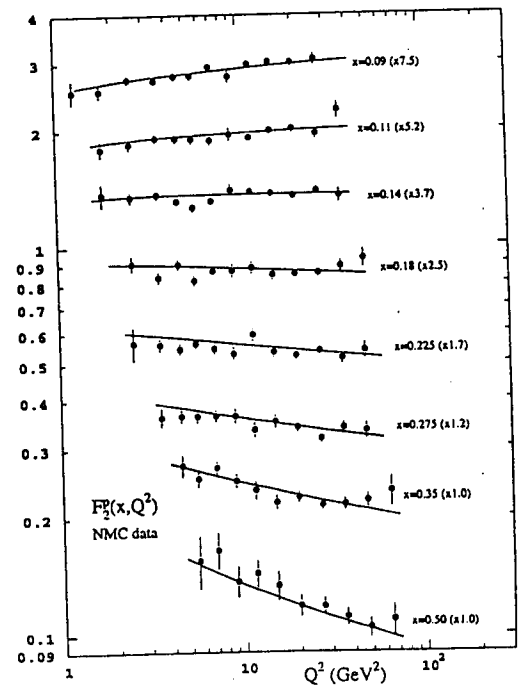
MRST

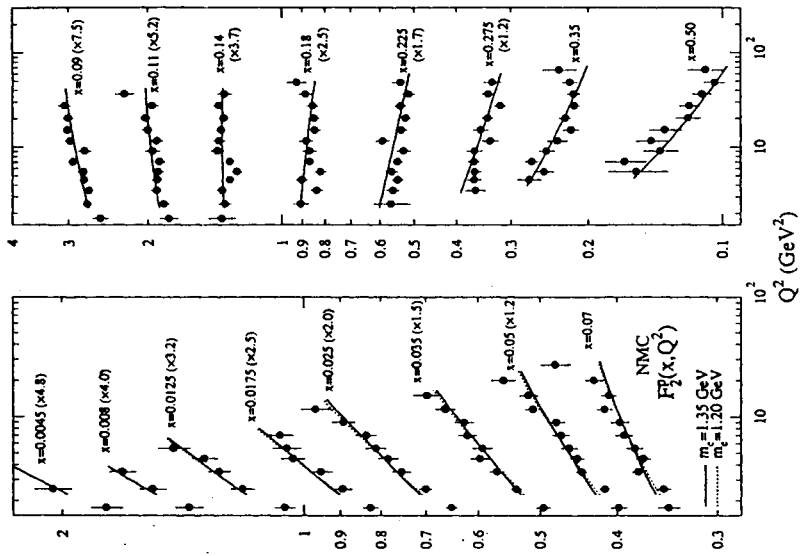
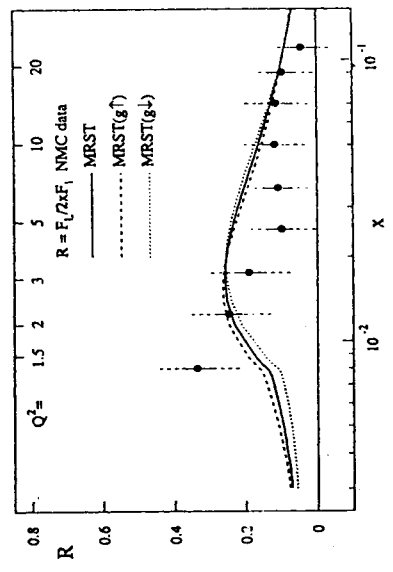
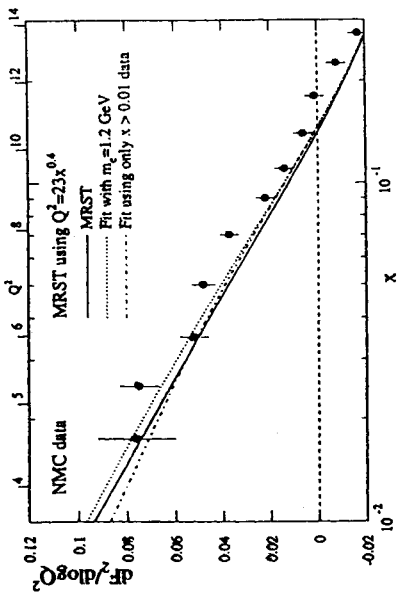


NMC proton data and MRST



NMC proton data and MRST

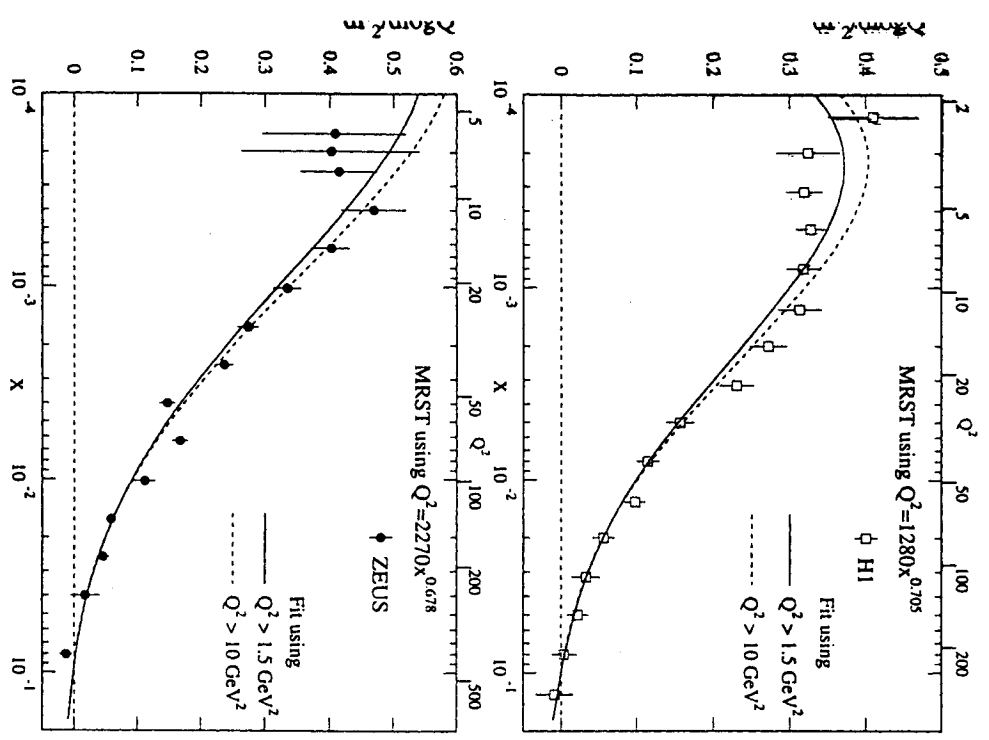
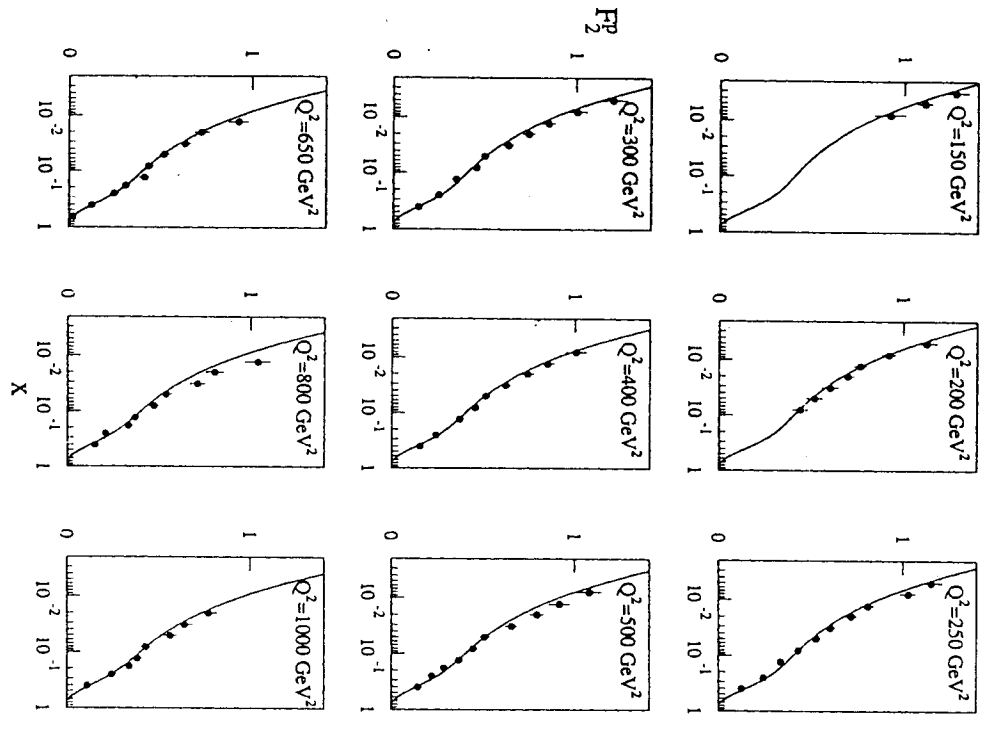




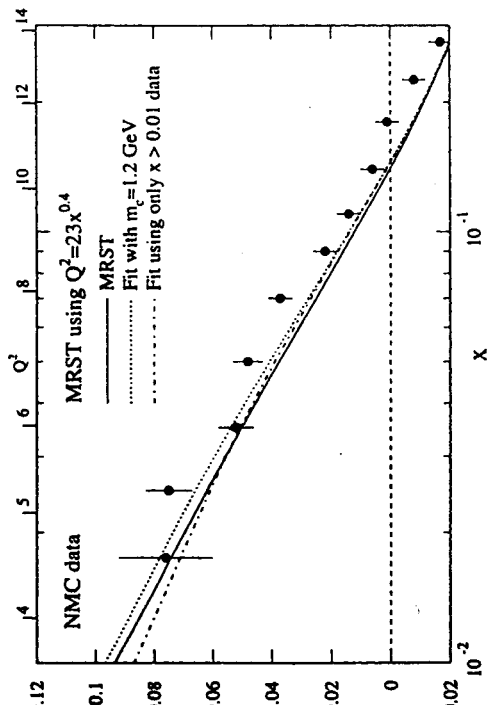
Description of the NMC F_2 data [13] by the MRST partons. The effect of lowering the charm quark mass from 1.35 to 1.20 GeV is shown by the dotted curve. For display purposes we have multiplied F_2 by the numbers shown in brackets.

$\frac{\partial F_2}{\partial \log Q^2}$ vs. x at HERA

H1 94-97 data and MRST99

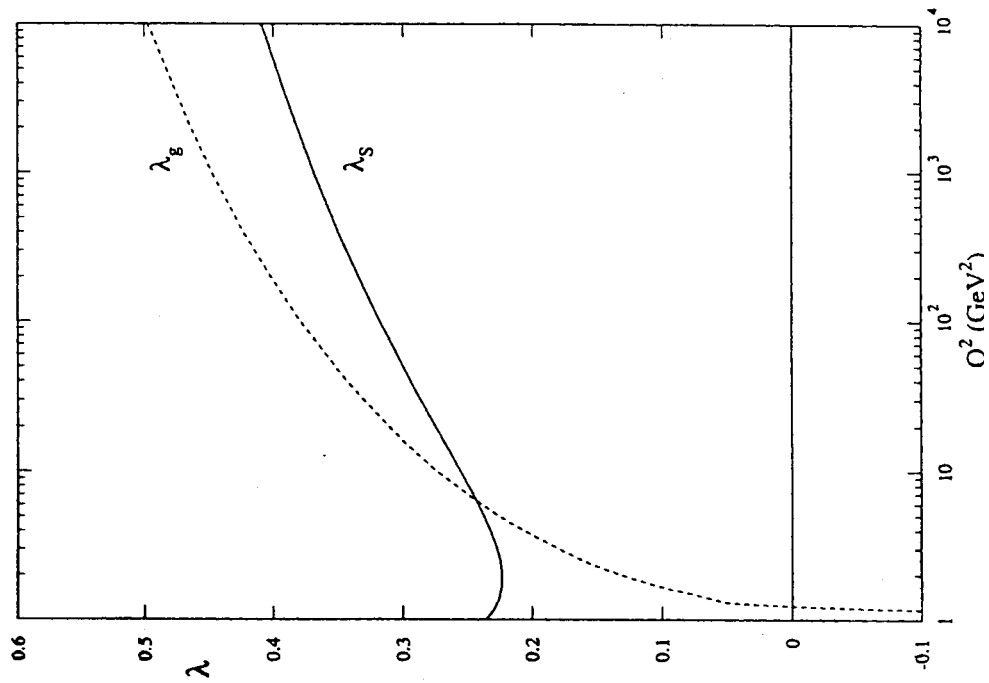


$$\frac{\partial F_2}{\partial \log Q^2} \text{ vs. } x \text{ (NMC)}$$



$$xg \sim x^{-\lambda_g}$$

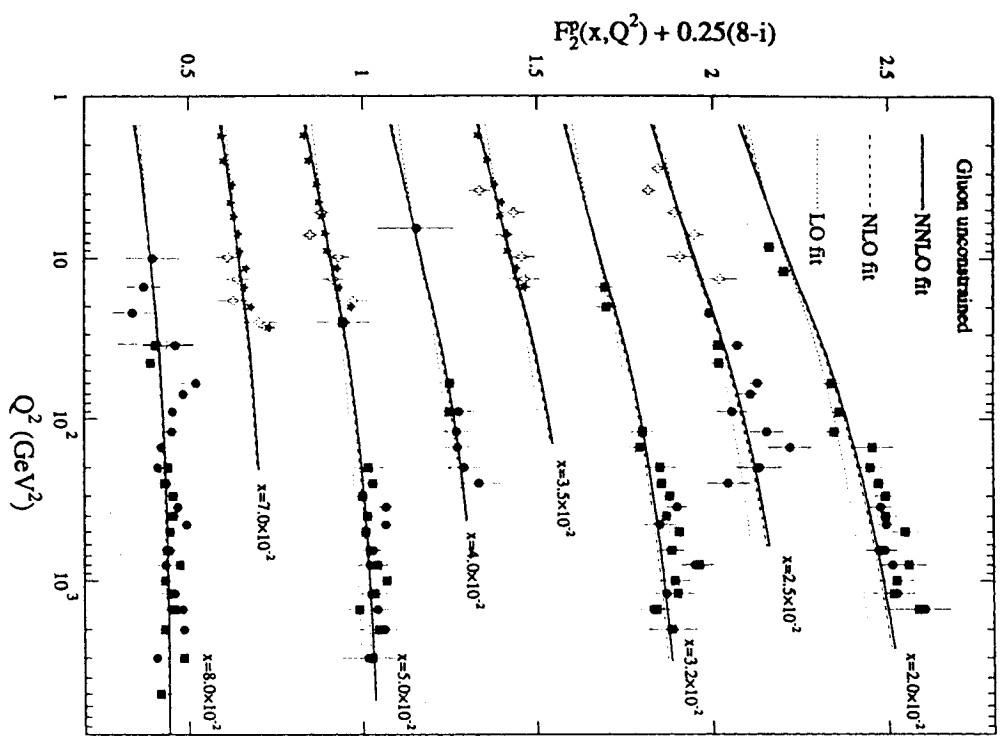
$$xq_s \sim x^{-\lambda_s}$$



3)

3)

MRST NNLO and NLO fits, $x=0.02 - 0.08$



the DGLAP perturbation series

recall

$$P_{ab}(x, \alpha_s) = P_{ab}^{(0)}(x) + \alpha_s P_{ab}^{(1)}(x) + \alpha_s^2 P_{ab}^{(2)}(x) + \alpha_s^3 P_{ab}^{(3)}(x) + \dots$$

We can now begin to see how the series converges and how the quality of the fit changes:

$$\left[\chi_{LO}^2 > \chi_{NLO}^2 > \chi_{NNLO}^2 \dots \right]$$

- LO vs. NLO

main difference is at small x

$$\frac{\partial F_2}{\partial \log \alpha^2} \approx \sum_i e_i^2 \frac{\alpha_s}{2\pi} x \int \frac{dy}{y} P_{qg}(y) g\left(\frac{x}{y}, \alpha_s^2\right)$$

$$\left. \begin{array}{l} y \geq 0 \\ \frac{1}{2} + \frac{\alpha_s}{2\pi} \cdot \frac{20}{3} \cdot \frac{1}{y} \uparrow n \end{array} \right\}$$

$$\therefore \left. \frac{1}{F_2} \frac{\partial F_2}{\partial \log \alpha^2} \right|_{LO} < \left. \frac{1}{F_2} \frac{\partial F_2}{\partial \log \alpha^2} \right|_{NLO}$$

NLO vs NNLO

- NNLO splitting functions not yet fully calculated, however...

(2) $\left\{ \begin{array}{l} N = 2, 4, 6, 8, 10 \text{ moments } \text{Larin \& Etal.} \\ x \rightarrow 0, 1 \text{ leading logarithms} \\ \int P \text{ sum rules, symmetry relations} \end{array} \right.$

- Vogt and van Neerven have derived approximate $P^{(2)}(x)$ \leadsto

• improved $\alpha_s|_{DIS}$ determination

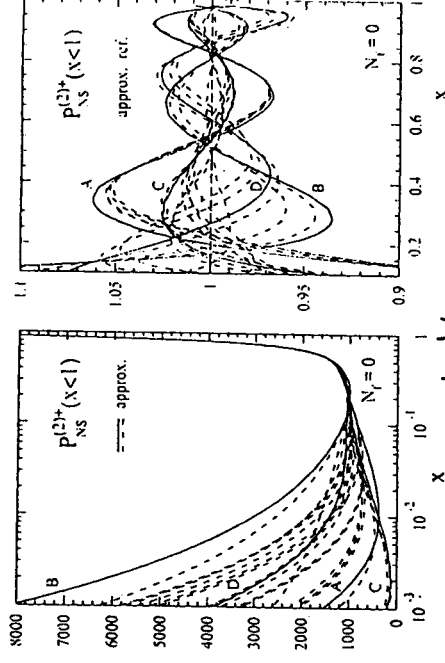
$$\Delta\alpha_s(M_Z) \Big|_{NLO \rightarrow NNLO} \approx -0.002$$

and $\delta\alpha_s|_{scale} \downarrow$

• small- x fit improves

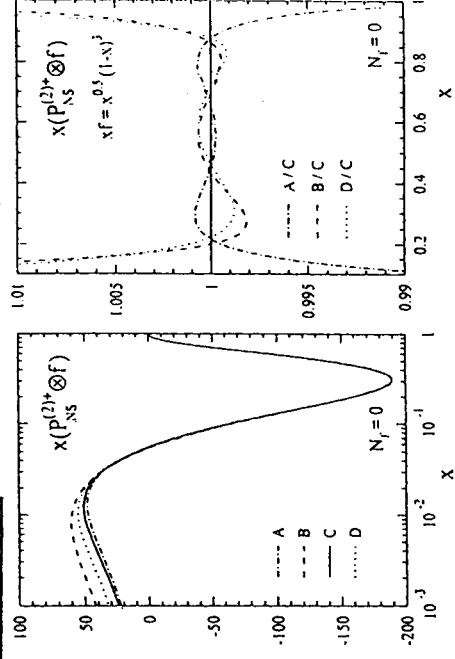
• can now do $\sigma_{pp \rightarrow W+...}$ consistently at NNLO \leadsto

35/299
Vogt
Van Neerven



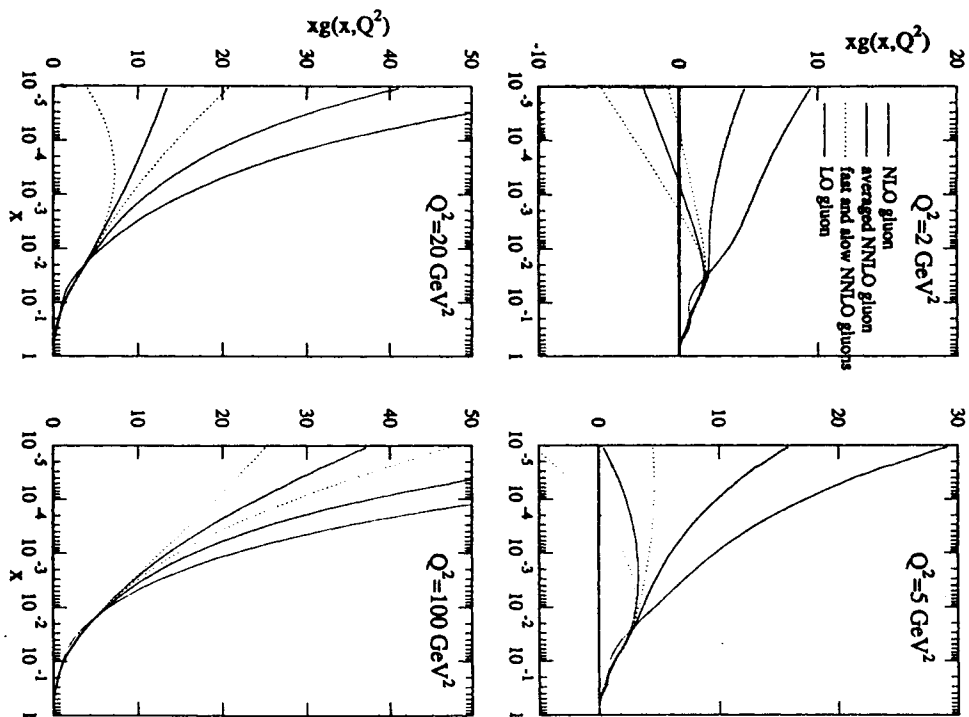
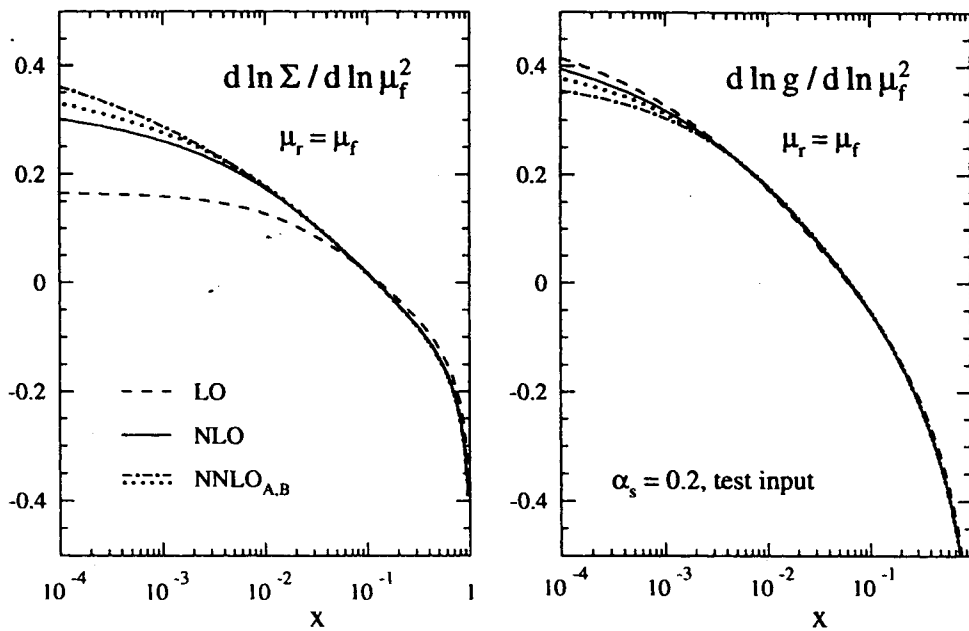
non-singlet

approximating/NNLO splitting functions using known (moments, logarithmic) behaviour



singlet evolution at "NNLO"

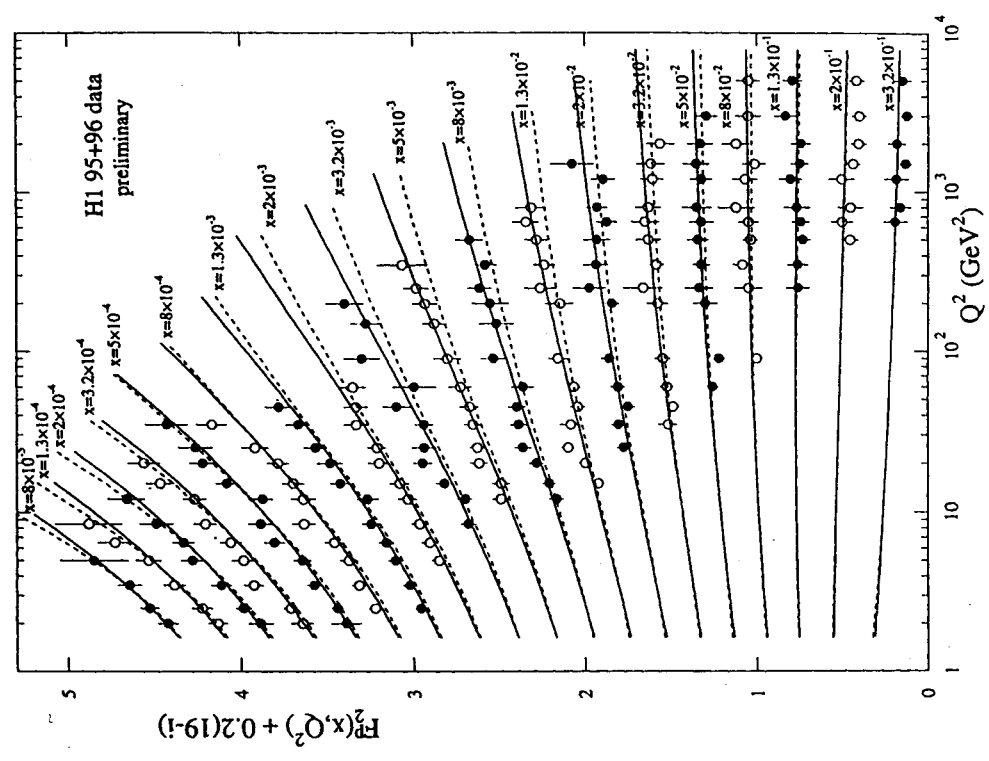
Vogt, van Neerven
(presentation at
LHC Workshop, Oct. '99)



NNLO gluons (fast and slow evolution at small x)

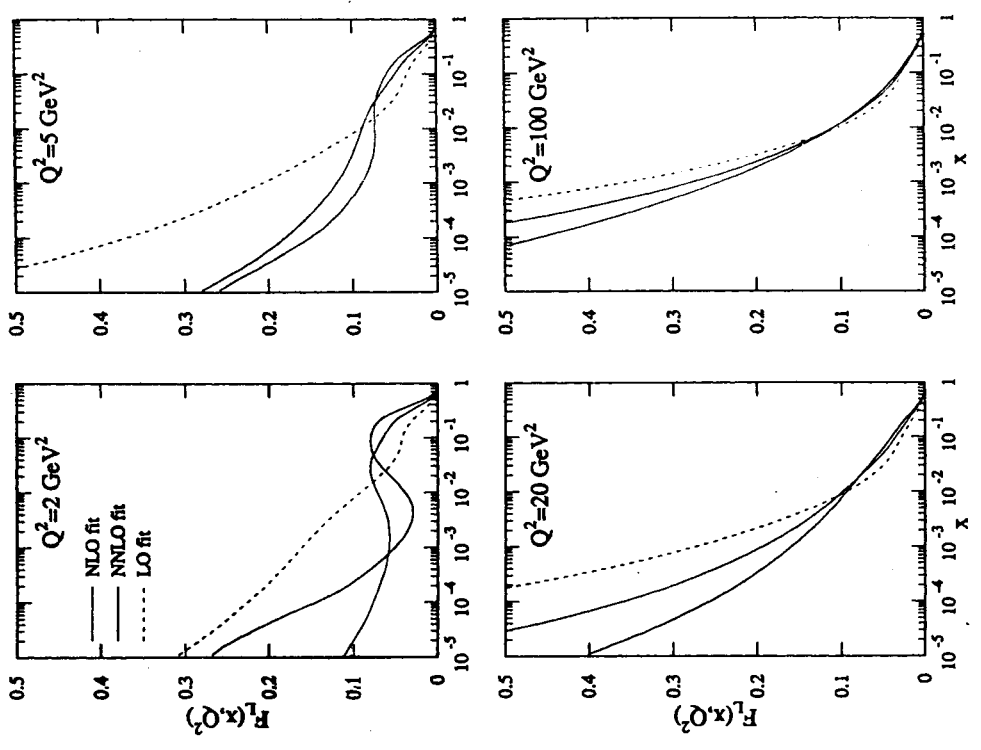
----- standard MRST NLO-DGLAP fit
 —— "L_x improved" DGLAP fit (Thorne)

$$\chi^2_{\text{improved}} < \chi^2_{\text{standard}}$$



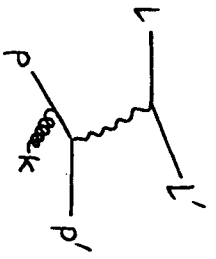
§

F₁ LO, NLO and NNLO



QED-corrected DGLAP

- $eq \rightarrow eqg$



$$|M|^2 = 8e^4 g_s^2 e_q^2 \frac{s^2 + s'^2 + u^2 + u'^2}{Et'}$$

$$\times \left[\frac{p \cdot p'}{p \cdot k p' \cdot k} \right]$$

collinear singularity
 \hookrightarrow DGLAP: $P_{qq} \otimes q$

- $eq \rightarrow eq\gamma$



$$|M|^2 = 2e^6 e_q^2 \frac{s^2 + s'^2 + u^2 + u'^2}{Et'}$$

$$\times \left[e_q^2 \frac{p \cdot p'}{p \cdot k p' \cdot k} + \frac{l \cdot l'}{l \cdot k l' \cdot k} \right]$$

— lepton corrections, large near edge of phase space $\sim \alpha \log^2 \frac{Q^2}{m_e^2}$

— quark corrections: additional QED contribution to DGLAP evolution

$$\sim e_q^2 \left(\frac{1+x^2}{1-x} \right)_+ \otimes q$$

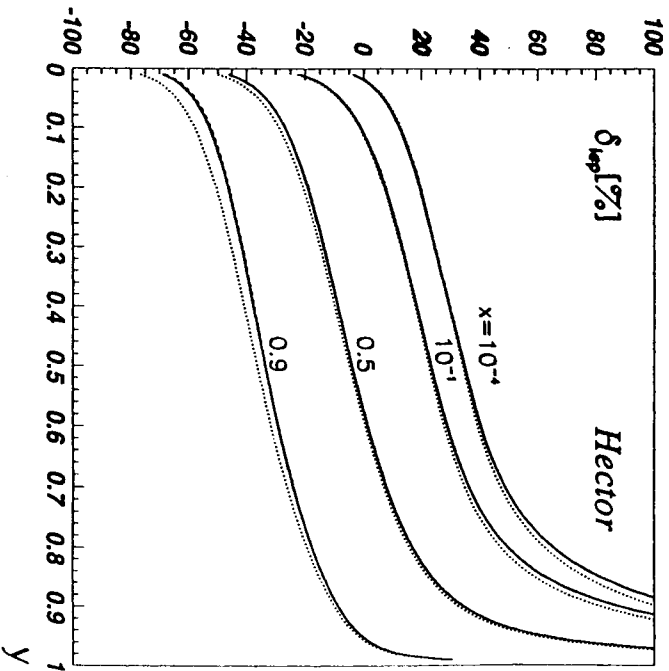


Figure 12: Radiative corrections in leptonic variables for the default settings in percent. Dotted lines: $O(\alpha)$, dashed lines: $O(\alpha^2)$, solid lines: in addition soft photon exponentiation.

H. Spiesberger,
1994

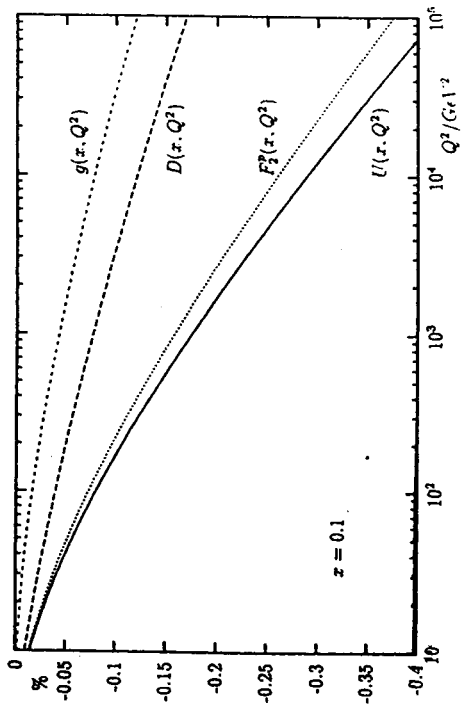


Figure 1: Q^2 dependence of the QED corrections (in per cent, see text) to parton distributions and the structure function F_2^p for deep inelastic lepton-proton scattering at $x = 0.1$. Input parton distributions were taken from [12].

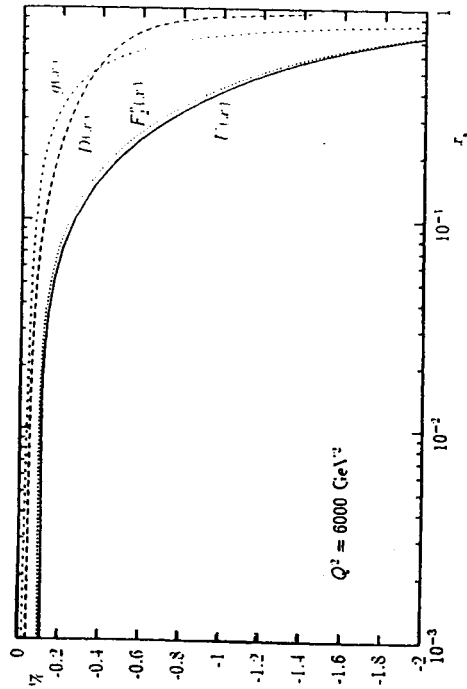
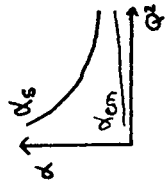


Figure 2: x dependence of the QED corrections (in per cent, see text) to parton distributions and the structure function F_2^p for deep inelastic lepton-proton scattering at $Q^2 = 6 \times 10^3 \text{ GeV}^2$. Input parton distributions were taken from [12].

$$\frac{\partial q_i}{\partial \ln Q^2} = \frac{\alpha_s(Q^2)}{2\pi} [P_{qq} \otimes q_i + P_{qg} \otimes g] + \frac{\alpha_{em}(Q^2)}{2\pi} P_{q\gamma} \otimes q_i$$

$$\frac{\partial g}{\partial \ln Q^2} = \frac{\alpha_s(Q^2)}{2\pi} [P_{gq} \otimes q + P_{gg} \otimes g]$$

where $P_{q\gamma}^{\gamma} = \frac{e_q^2}{C_F} P_{q\gamma}$

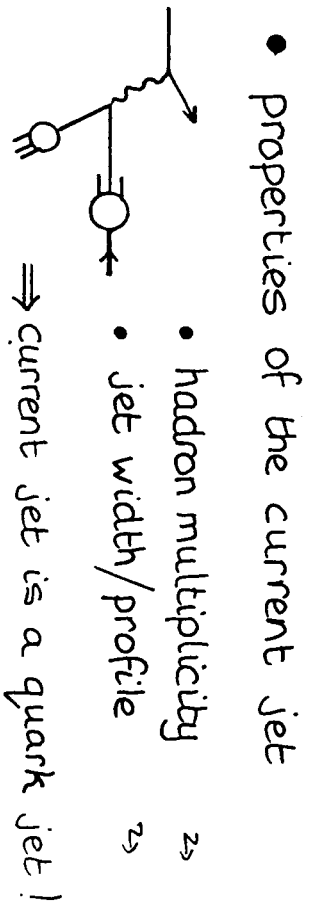


- in principle $O(\alpha_{em}) \sim O(\alpha_s^2)$
- in practice, negligible except at very large x

beyond LLA

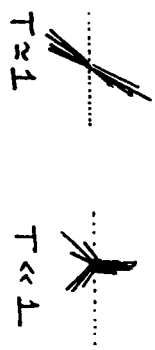
- non-factorizable $O(\alpha)$ corrections
- factorization scheme dependence
- photon + jet events

The DIS final state

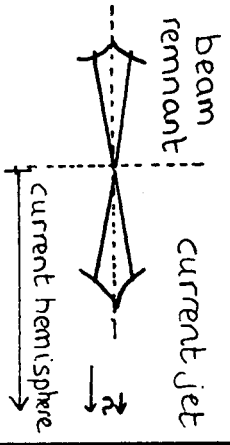
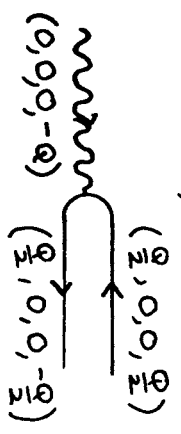


- event shapes

of thrust in e^+e^-



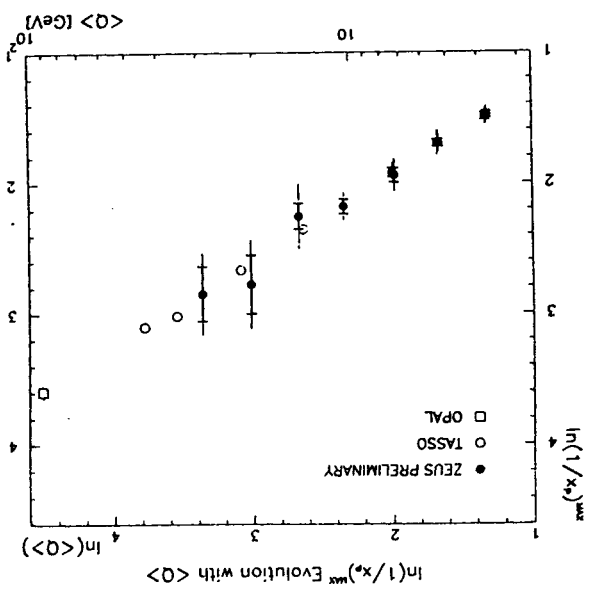
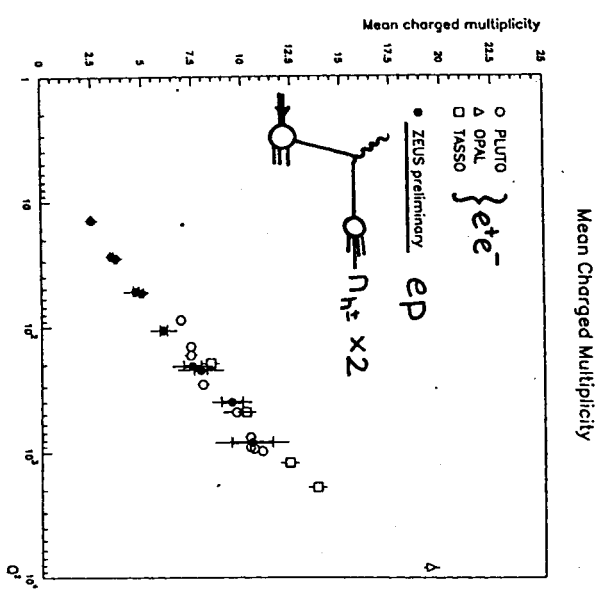
in DIS, introduce the BREIT frame



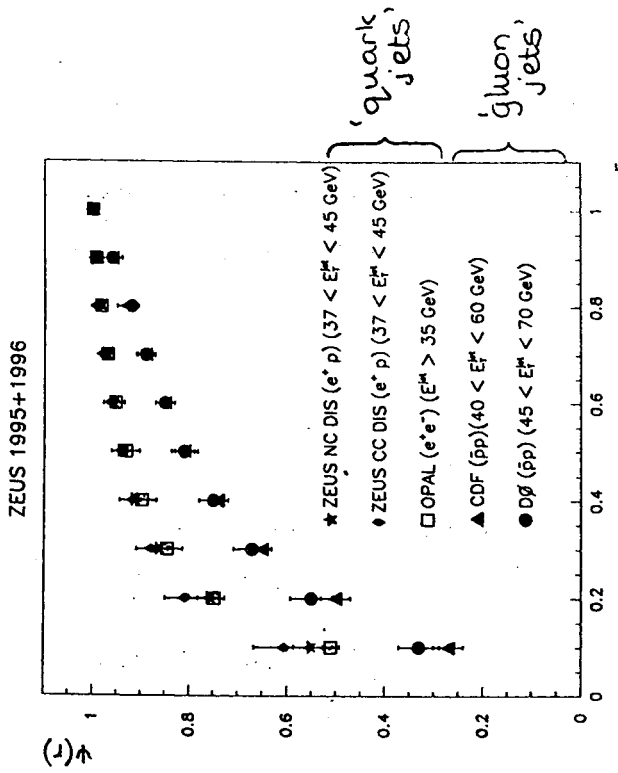
then

$$T = \frac{\sum_{\text{hech}} |\vec{p}_h \cdot \vec{n}|}{\sum_{\text{hech}} |\vec{p}_h|}$$

etc.



comparison of jet profiles in
 e^+e^- , e^+p , $p\bar{p}$



$\psi(r)$ = (average) fraction of jet energy inside an 'inner cone' of radius $r < R$

- PQCD NLO calculations:
 - MEPJET (Mirkes, Zeppenfeld)
 - DISENT (Catani, Seymour)
 - DISASTER (Graudenz)

i.e. etc.

$$\frac{1}{\sigma} \frac{d\sigma}{dT} = \frac{\alpha_s}{2\pi} A_1(T) + \left(\frac{\alpha_s}{2\pi}\right)^2 A_2(T)$$

→ good agreement with data at high Q^2 ...

... at lower Q^2 , evidence for (approximately) universal power corrections $\sim \frac{1}{Q^p}$, $p=1,2$

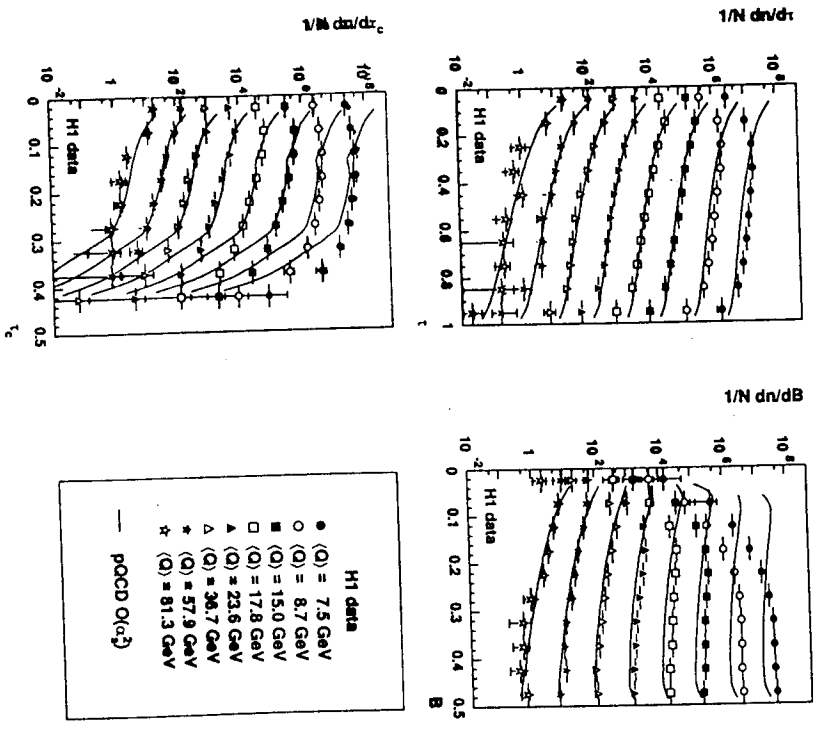
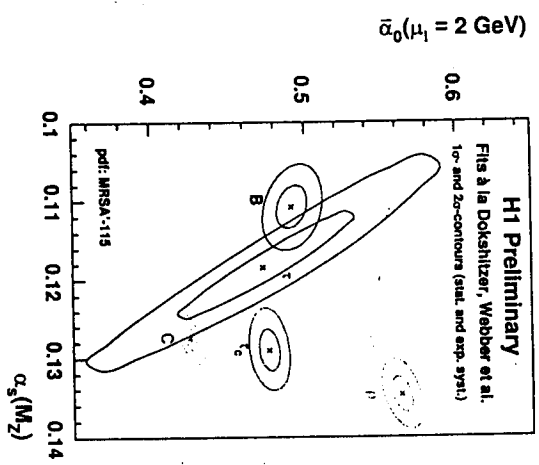
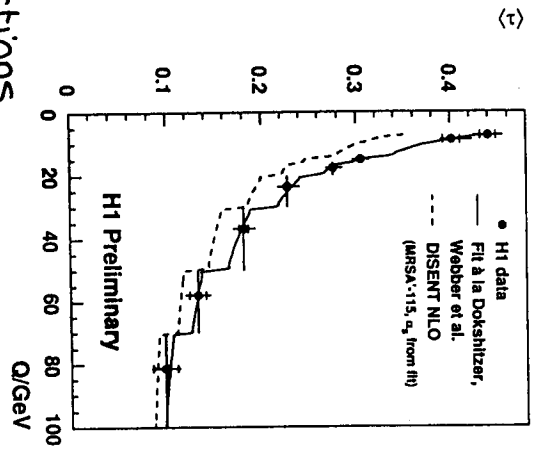


Figure 2: Normalized differential distributions of the event shapes τ , B and τ_c . H1 data (symbols) are compared with DISERT NLO calculations (curves) using the MRSA' parton density functions with $\alpha_s(M_Z) = 0.115$. The error bars represent statistical and systematic uncertainties. The spectra given at (Q) = 7.5 GeV, 8.7 GeV, 15.0 GeV, 17.8 GeV, 23.6 GeV, 36.7 GeV, 57.7 GeV and 81.3 GeV (from top to bottom) are multiplied by factors of 10^n ($n = 7, \dots, 0$).

power corrections
in DIS event shapes
(H1)





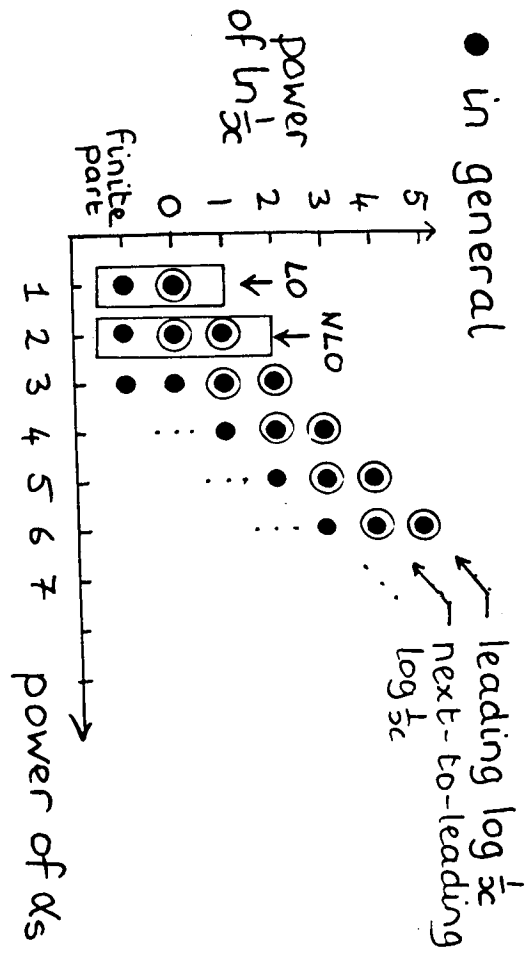
log x resummation as x → 0

- for x ≪ 1, large logarithms ln(1/x) appear in the splitting function and coefficient function series, generically

$$\alpha P(\alpha_s, \alpha_s) \sim \sum_{n=0}^{\infty} \left(\frac{\alpha_s}{2\pi} \right)^{n+1} A_n (\ln \frac{1}{x})^n + \dots$$

e.g. for P_{gg}: A₀ = 6, A₁ = A₂ = 0, A₃ = 518.4, ...

- in general



- DGLAP equation

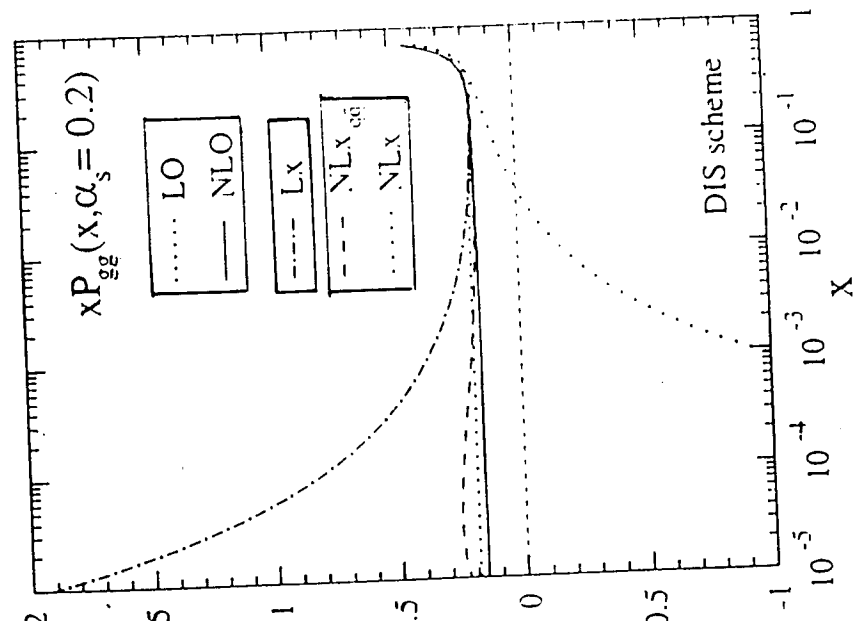
$$\frac{\partial}{\partial t} \left(\Sigma \right) = \begin{pmatrix} P_{qq} & P_{qg} \\ P_{gq} & P_{gg} \end{pmatrix} \otimes \left(\Sigma \right)$$

$$\left(\begin{array}{cc} NL_{\alpha_s} + \dots & NL_{5\alpha_s} + \dots \\ L_{\alpha_s} + NL_{\alpha_s} + \dots & L_{\alpha_s} + NL_{5\alpha_s} + \dots \end{array} \right)$$

- the L_x, NL_x, ... contributions can be resummed using the BFKL equation
- ... but the resummation leads to highly unstable results
- this is a very hot theoretical topic!

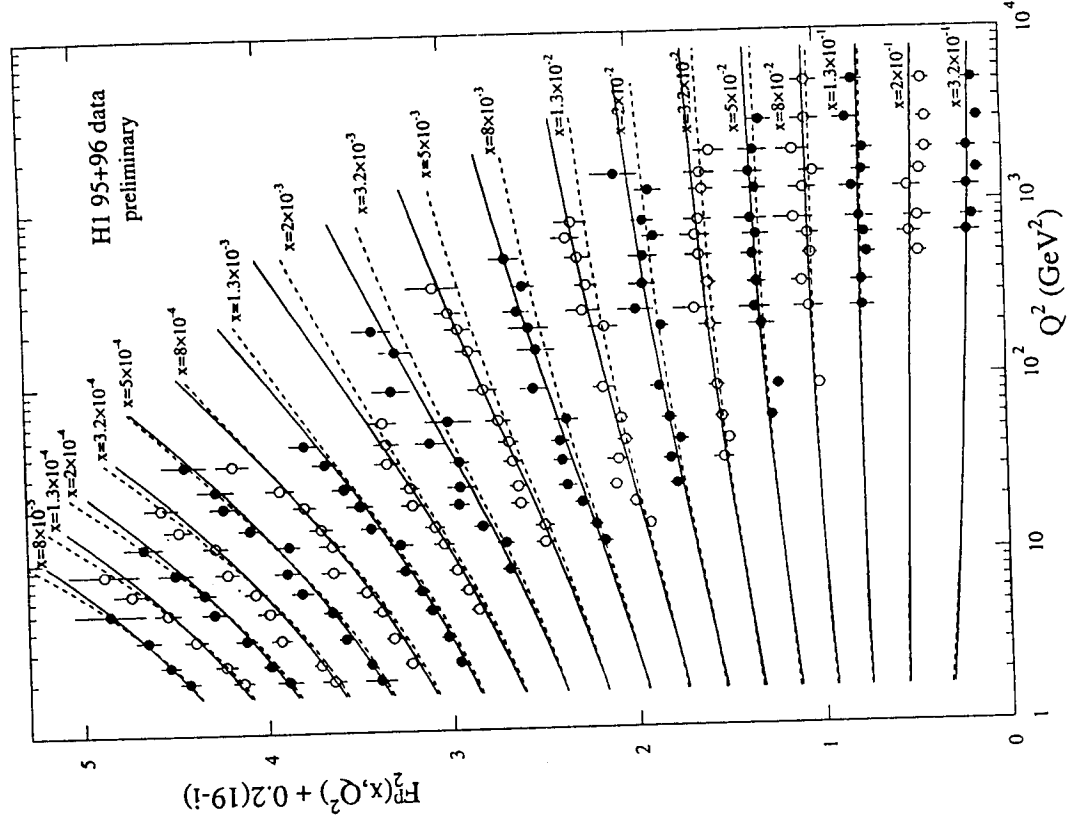
Bal
Fa
Ku
Liq

Blümlein et al.
 hep-ph/9806368



--- standard MRST NLO-DGLAP fit
 — “L_x improved” DGLAP fit (Thome)

$$\chi^2_{\text{improved}} < \chi^2_{\text{standard}}$$



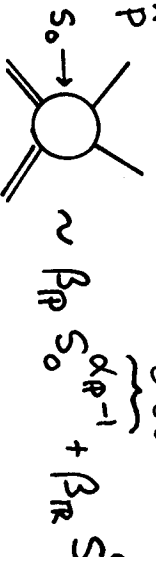
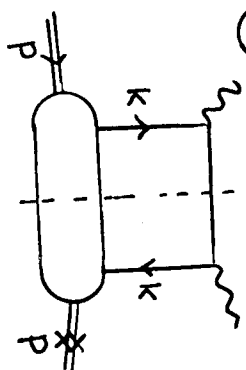
-parton distribution functions

- first-principles calculations
- theoretical models
- extracted directly from data

theoretical expectations for the F

① 'first principles' calculation
e.g. from Lattice QCD —

② $x \rightarrow 0$: Regge theory —



$$S_0 = (p-k)^2 = \frac{-k^2(1-x)-k^2}{x}$$

$$\rightarrow \partial \alpha_S \quad x \rightarrow 0$$

0.08

$$\Rightarrow x q_S(xg) \quad \tilde{x} \rightarrow 0 \quad x^{-0.08}$$

$$x q_V \quad \tilde{x} \rightarrow 0 \quad x^{+0.45}$$

OK, but : — at which Q^2 ? (~ 10)
— in which scheme?
(LO, NLO, DIS,

note

• F. data ($Q^2 > 1 \text{ GeV}^2$) — for qua

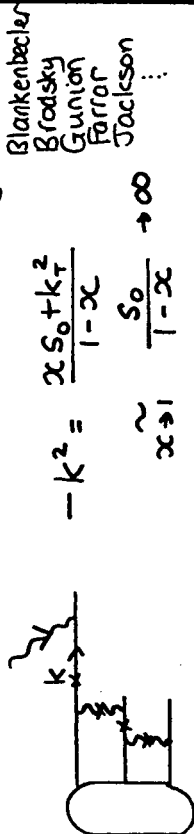
F₂ data (Q² ≤ 1 GeV²)

↔ REGGE + 'higher twist'

i.g. Donnachie-Landshoff fit

$$\frac{dL}{dz} \sim A x^{-0.08} \frac{Q^2}{Q^2 + a^2} + B x^{0.45} \frac{Q^2}{Q^2 + b^2} \rightarrow f_2$$

x → 1 : dimensional counting



lines x off-shell by O(1-x)

$$f(x) \sim (1-x)^{2n_s-1}$$

↑ number of spectator partons

$$u_v, d_v \sim (1-x)^3$$

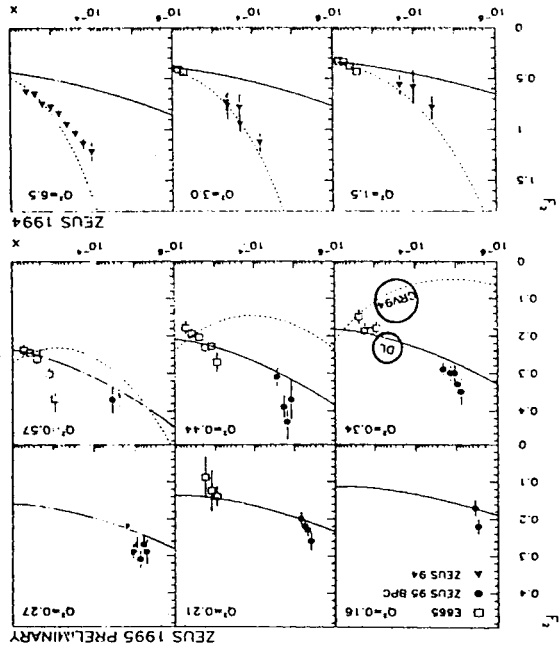
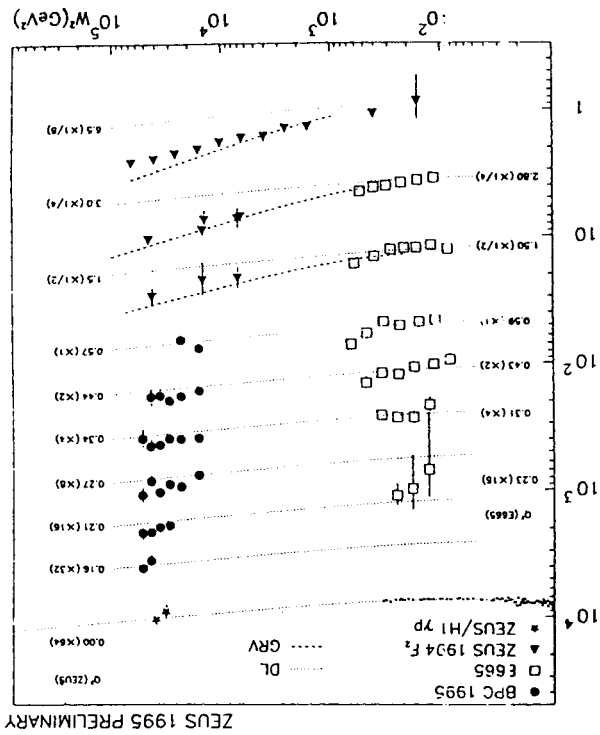
↪ also $\frac{d}{dx} \rightarrow \frac{1}{5}$ Farrar Jackson

$$g \sim (1-x)^7$$

$$g \sim (1-x)^5$$

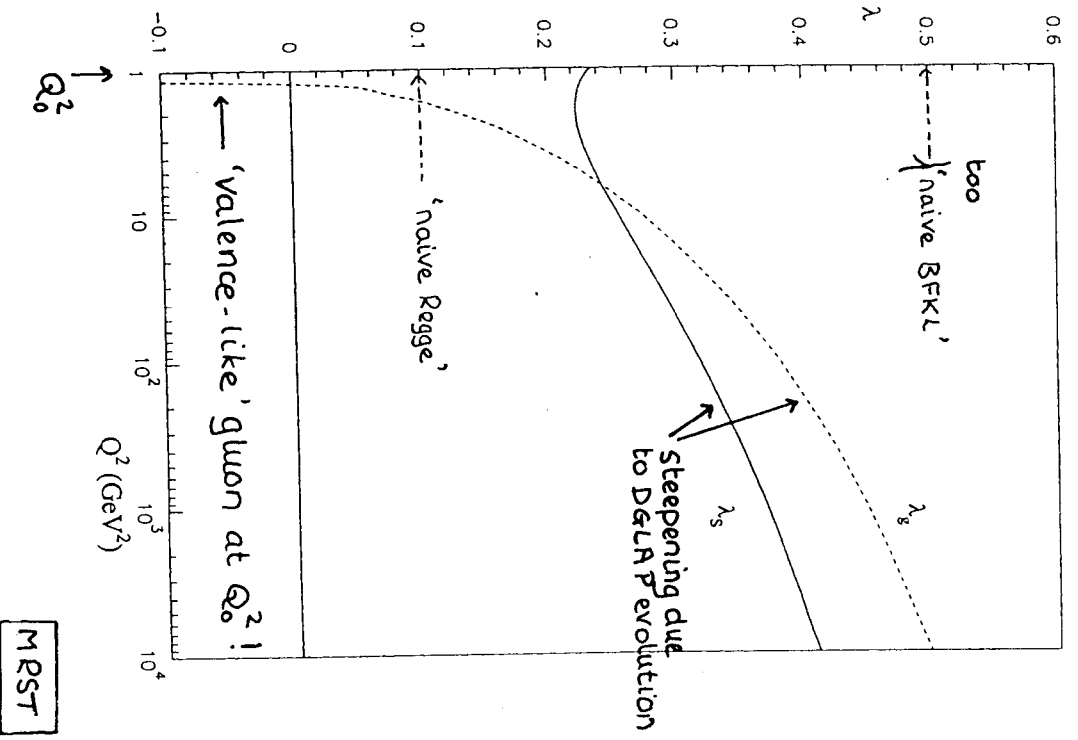
- qualitative agreement with pdf's fitted to data (Q²? scheme? ...)

structure functions at low Q²



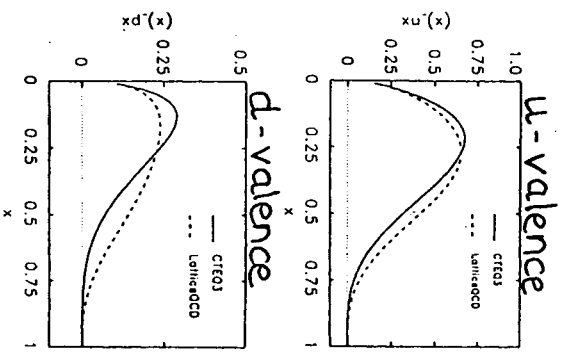
Small x behaviour of quarks, gluons

$xq_s \approx A_s x^{-\lambda_s}$; $xg \approx A_g x^{-\lambda_g}$



— pdfs from npQCD (Lattice)

... Lattice calculations give first few moments of q and Δq for P, π, ρ, \dots



Markiewicz & Weigl

+ $x \rightarrow 0, 1$ assumptions

non-singlet, leading + QUENCHED approx.

proton moments

Moment	Lattice (quenched, $\mu^2 \approx 5 \text{ GeV}^2$)	Experim ($\mu^2 = 4 \text{ GeV}^2$)
$\langle x \rangle^{(u)}$	0.410(34)	0.28
$\langle x \rangle^{(d)}$	0.180(16)	0.10
$\langle x \rangle^{(u)} - \langle x \rangle^{(d)}$	0.230(38)	0.18
$\langle x^2 \rangle^{(u)}$	0.108(16)	0.08
$\langle x^2 \rangle^{(d)}$	0.036(8)	0.02
$\langle x^2 \rangle^{(u)} - \langle x^2 \rangle^{(d)}$	0.072(10)	0.03
$\langle x^3 \rangle^{(u)}$	0.000(6)	0.00
$\langle x^3 \rangle^{(d)}$	0.53(23)	0.44

Schiehholz et al (1997)

'dynamical partons'

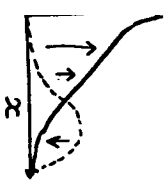
- Altarelli
- Cabibbo
- Maiani
- Petronzio
- Gluck, Reya

NLO - DGLAP evolution from

$$M_0^2 = 0.34 \text{ GeV}^2$$

- Gluck
- Reya
- Vogt

... where q_s, g are valence-like,
 $xq_s, xg \rightarrow 0$ as $x \rightarrow 0$



problem
 size of q_s, g predicted
 at small x :

$$F_2 \leftarrow q_s \leftrightarrow g \rightarrow \frac{\partial F_2}{\partial \ln Q^2}$$

i.e. large $F_2 \leftrightarrow$ large $\frac{\partial F_2}{\partial \ln Q^2}$

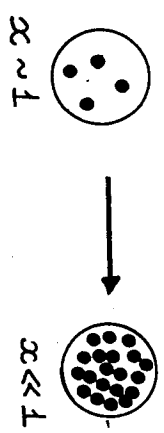
... recent HERA F_2 data indicate

$$\frac{F_2}{\frac{\partial F_2}{\partial \ln Q^2}} \text{ overestimated by GRV partons}$$

- ? higher twists?

parton saturation?

- if \ast of gluons (equiv. $g(x)$) becomes too large, they can saturate the proton and interact \Rightarrow breakdown of parton model



rough estimate:

$$N_g \cdot \hat{\sigma}_{gg} = xg \cdot \frac{\alpha_s(Q^2)}{Q^2} = \pi R^2$$

\uparrow # gluons/unit rapidity section \uparrow gq cross section \uparrow Proton radius $R \approx 5 \text{ GeV}^{-1}$

$$\Rightarrow xg_{\text{crit}} \sim \frac{\pi R^2 Q^2}{\alpha_s(Q^2)}$$

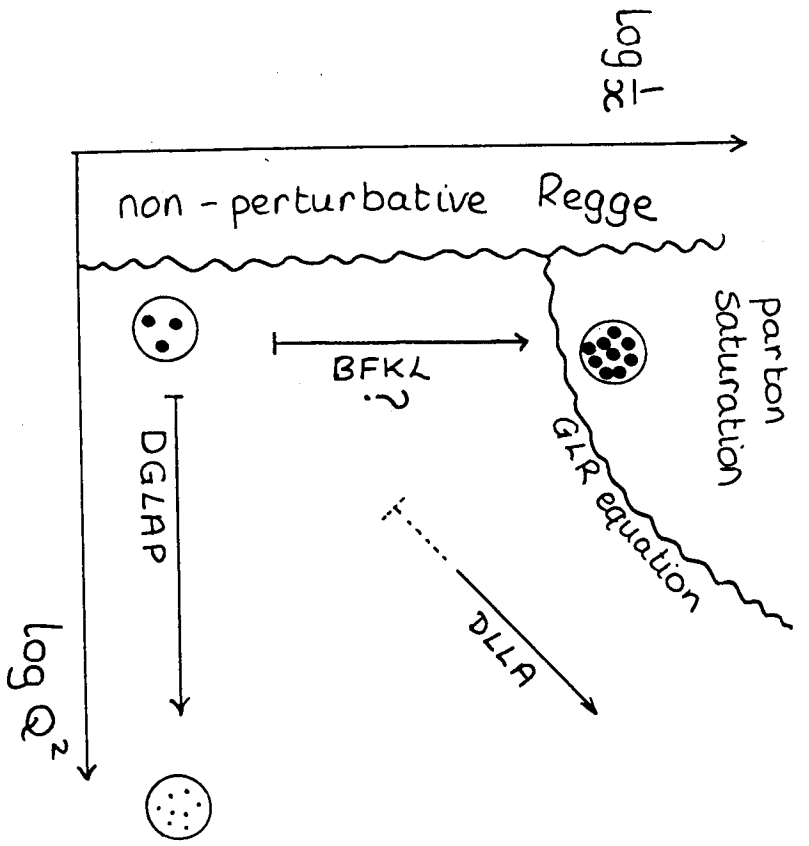
- more rigorous implementation:
 Gribov - Levin - Ryskin (GLR) equation

$$\frac{\partial g}{\partial \ln Q^2} = P^{gg} \otimes g - \frac{8\alpha_s^2}{16R^2 Q^2} \int dx' [g(x')]^2$$

$$\Rightarrow xg^{\text{sat}} \approx \frac{16}{27\pi} \frac{R^2 Q^2}{\alpha_s(Q^2)} \approx 500 \text{ for } Q^2 \approx 20 \text{ GeV}^2 \text{ at HERA}$$

BUT $xg_{\text{measured}} \lesssim 30$

\therefore saturation probably irrelevant at HERA (unless $R^{\text{eff}} \ll R \Rightarrow$ 'hot spots')



7

- + experimental data and errors DIS str. fr + hadron-hx
- + theoretical framework e.g. NLO-D_e MS sch
- + theoretical assumptions and prejudices e.g. Q²W²ci
omit data;
npQCD eff
!

$$= f_i(x, Q^2) \quad Q^2 > Q_0^2$$

$i = u, d, \dots, g$

summary-

F_i	→ quarks (all x)
DY	→ sea quarks (high x)
$\frac{\partial F_i}{\partial \ln Q^2}$	→ gluon (small x)
$hh \rightarrow$ jets, γ	→ gluon (high x)

note { MRST (1998)
CTEQ5 (1999)
... broadly similar

note

— instead of having to laboriously integrate the 'Altarelli-Parisi' equations each time a distribution (e.g. $u(x, \bar{Q}^2 = M_W^2)$) is needed, analytic and numerical approximations are provided in the literature, e.g.

- Duke and Owens (DO), 1984
- Gluck et al. (GHR),
- Eichten et al. (EHLG)
- Tung et al. (CTEQ)
- Martin et al. (MRS)
- ⋮

— thus, for example,

```

SUBROUTINE MRST(X,Q,inputUV,DV,USEA,DSEA,
                STR,CHM,BOT,GLU)

```

- the MRS series of fits (1987 →)

Martin
Roberts
WJS
+

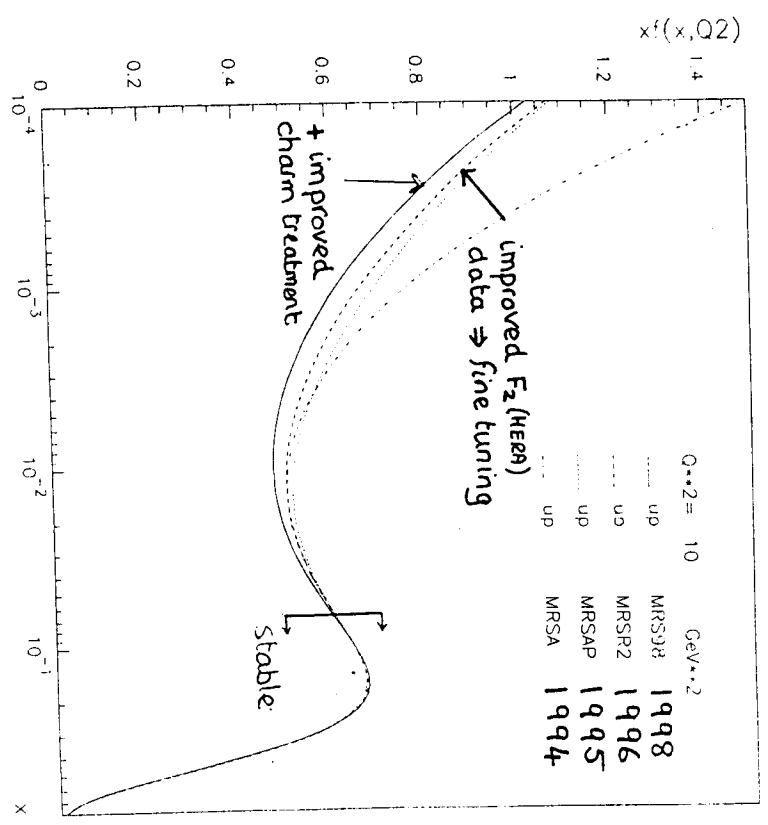
— at $Q_0 = 1 \text{ GeV}$ parameterise as

$$f_i(x, Q_0^2) = A_i x^{\alpha_i - 1} [1 + \epsilon_i \sqrt{x} + \gamma_i x]^{\beta_i} (1-x)^{\eta_i}$$

with $i = u, d, \dots, \bar{c}, \bar{b}, g$, then evolve using NLO-DGLAP and fit for $\{A_i, \dots, \eta_i, \alpha_i\}$

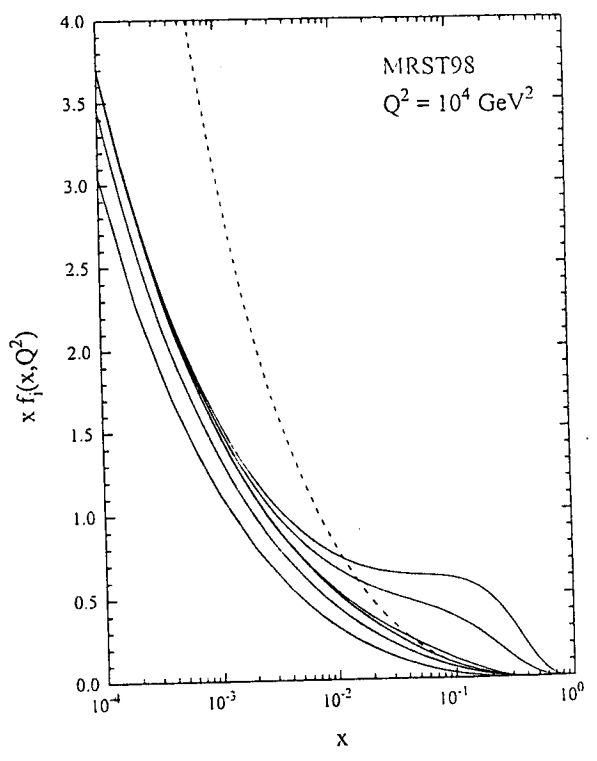
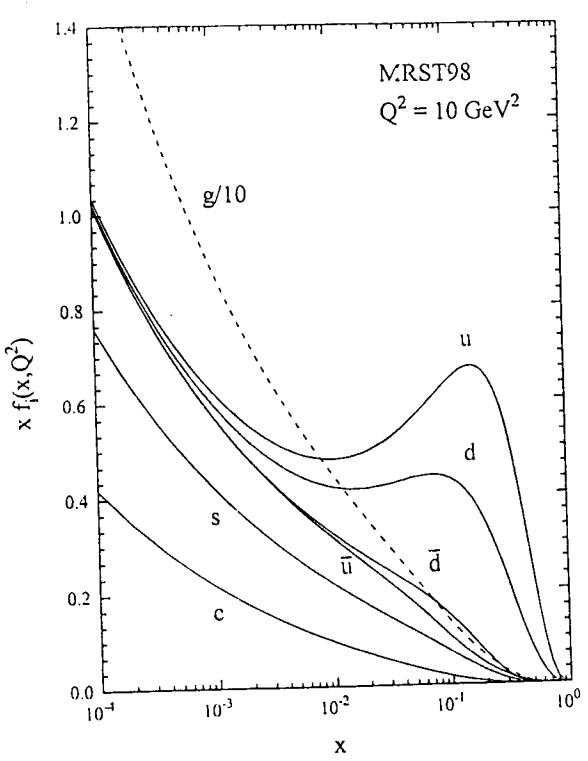
— as the data improve, the fits are fine-tuned

evolution of up quark distribution with time



13

Martin Roberts
Stirling Thorne



most recent MRS analysis

1. D. Martin }
2. G. Roberts } \Rightarrow MRST (1998) sets*
3. S. Thorne }
W. J. Stirling } [hep-ph/9803445]

[code from: <http://durpdg.dur.ac.uk/HEPDATA>]

features

- new and updated data sets
- improved treatment of heavy flavour and prompt photon production
- default + 4 sets:
 - variation in $\alpha_s \uparrow \downarrow$
 - variation in gluon $\uparrow \downarrow$

• small bug found and corrected: \rightarrow MRST99
see: hep-ph/9907231

Morfin
Tung
Lai
Huston
Kuhlmann
Olness
Owens
Pumplin
 \uparrow
CTEQ5

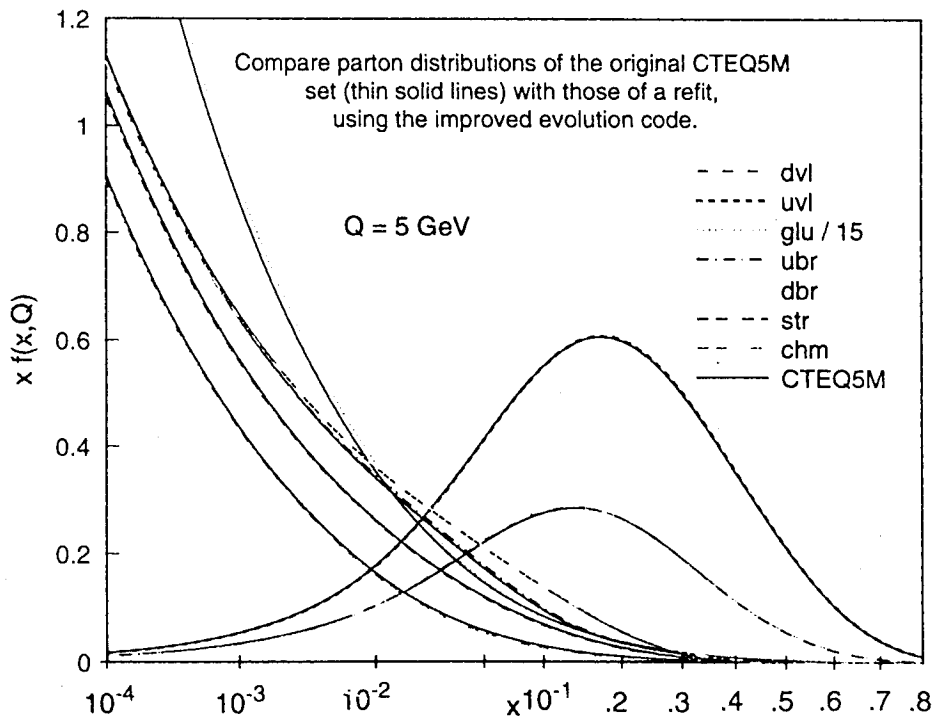
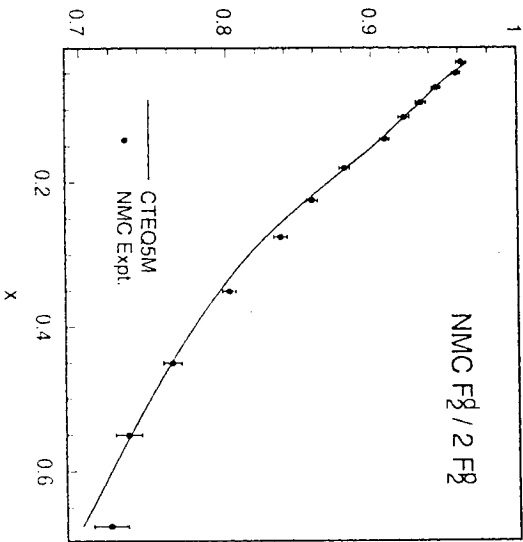
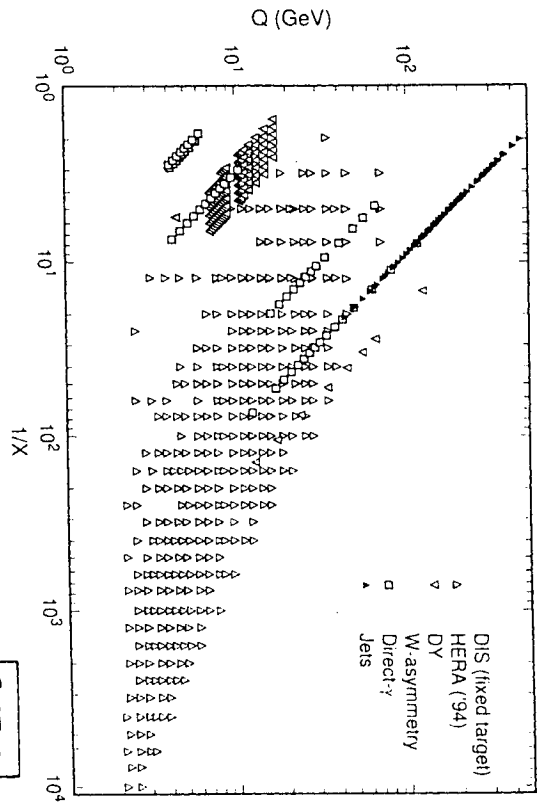
- the CTEQ series of fits (1993 \rightarrow)

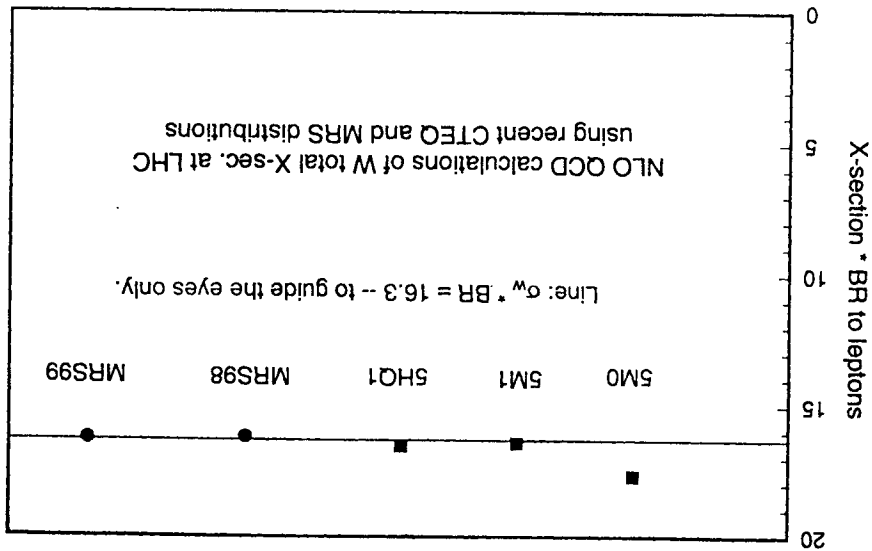
- parametrised at $Q_0 = 1 \text{ GeV}$, uses NLO-DGLAP
- latest version:

CTEQ5 : hep-ph/9903282

- various sets:

5M	$\overline{\text{MS}}$ scheme	} zero mass partons
5D	DIS scheme	
5L	lowest-order	
5HJ	large- α gluon enhanced	
5HQ	$\overline{\text{MS}}$ ACOT scheme	} on-shell heavy quarks
5F3	fixed 3 flavors	
5F4	fixed 4 flavors	



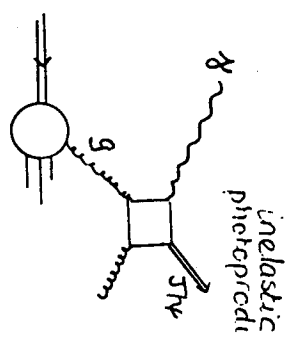
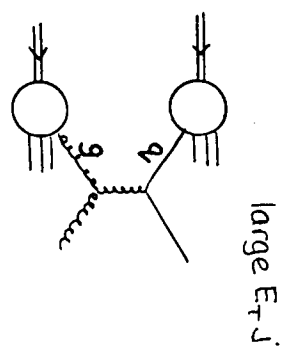
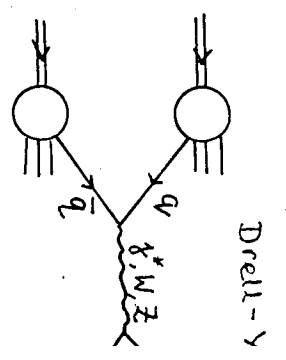
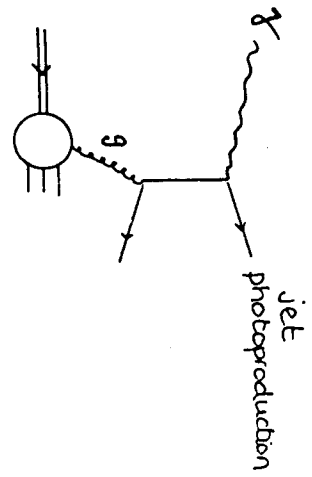
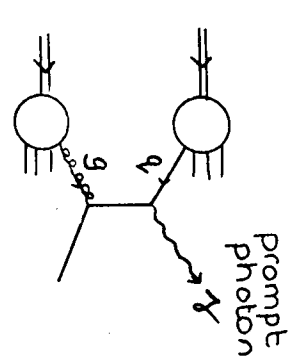
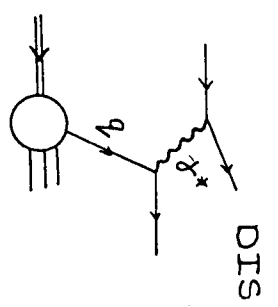
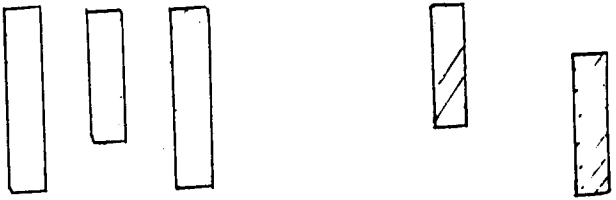


Process/ Experiment	Leading order subprocess	Parton determination
DIS ($\mu N \rightarrow \mu X$) $F_2^{ep}, F_2^{\mu d}, F_2^{\mu n}, F_2^{\mu p}$ (SLAC, BCDMS, NMC, E665)	$\gamma^* q \rightarrow q$	Four structure functions \rightarrow $u + \bar{u}$ $d + \bar{d}$ $\bar{u} + \bar{d}$ s (assumed = 5), but only $\int xg(x, Q_0^2)dx \approx 0.35$ and $\int (d - \bar{u})/dx \approx 0.1$
DIS ($\nu N \rightarrow \mu X$) $F_2^{\nu N}, xF_3^{\nu N}$ (CCFR)	$W^+ q \rightarrow j$	λ ($x\bar{q} \sim x^{-\lambda}, xg \sim x^{-\lambda}$)
DIS (HERA) F_2^p (H1, ZEUS)	$\gamma^*(Z^*)q \rightarrow q$	c ($x \gtrsim 0.01, x \lesssim 0.01$)
$eN \rightarrow e\bar{e}X$ F_2^e (EMC, H1, ZEUS)	$\gamma^* c \rightarrow c$	$s \approx \frac{1}{2}(\bar{u} + \bar{d})$
$\nu N \rightarrow \mu^+ \mu^- X$ (CCFR)	$W^+ s \rightarrow c \rightarrow \mu^+$	g at $x \approx 2p_T^2/\sqrt{s} \rightarrow$ $x \approx 0.2 - 0.6$
$pN \rightarrow \gamma X$ (WA70, UA6, E706, ...)	$qg \rightarrow \gamma q$	$\bar{q} = \dots(1-x)^{ps}$
$pN \rightarrow \mu^+ \mu^- X$ (E605, E772)	$q\bar{q} \rightarrow \gamma^*$	d/\bar{u} at $x \approx 0.04 - 0.3$
$p\bar{p}, p\bar{n} \rightarrow \mu^+ \mu^- X$ (E866, NA51)	$u\bar{u}, d\bar{d} \rightarrow \gamma^*$ $u\bar{d}, d\bar{u} \rightarrow \gamma^*$	u, d at $x \approx M_W/\sqrt{s} \rightarrow$ $x \approx 0.13, 0.05$ slope of u/d at $x \approx 0.05 - 0.1$
$p\bar{p} \rightarrow W X (ZX)$ (UA1, UA2, CDF, D0) $\rightarrow \ell^\pm$ asym (CDF)	$ud \rightarrow W$	q, g at $x \approx 2E_T/\sqrt{s} \rightarrow$ $x \approx 0.05 - 0.5$
$p\bar{p} \rightarrow \text{jet} + X$ (CDF, D0)	$gg, qg, qq \rightarrow 2j$	

DIS \leftarrow

hadron-hadron \leftarrow

processes for which
 theoretical uncertainties
 are not precisely known

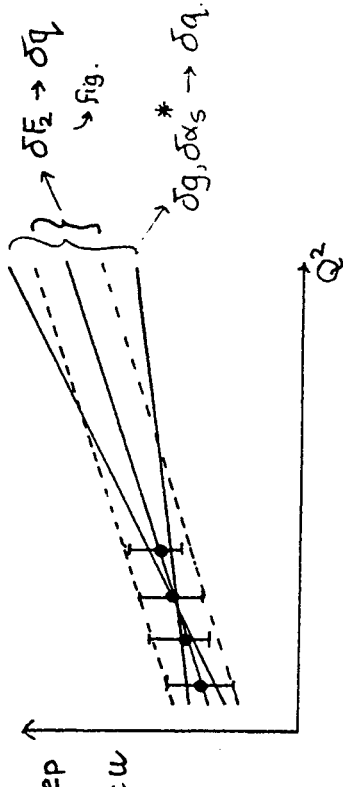


uarks

[u, d] measured directly by DIS structure functions at 'low' Q^2 , then evolved to high Q^2 by DGLAP

$$\frac{\partial}{\partial \ln Q^2} (q) = \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{dy}{y} [P]^{*}(q)$$

be ^{significant} no evidence for breakdown of NLO DGLAP for $Q^2 \gtrsim 1-2 \text{ GeV}^2$



be global parton analyses entirely consistent with 'world average' $\alpha_s(M_Z) = 0.118 \pm 0.004$ values

arning!

$$\delta q \neq |q, \dots - q$$

flavour asymmetry of quark sea

- [c, b, ...] calculated perturbatively
 e.g., scheme $\left\{ \begin{array}{l} RT \rightarrow MRST \\ ACOT \rightarrow CTEQ5 \\ FFN \rightarrow GRV \end{array} \right\}$ BGF & MPE
 ... and dependent on $m_{c,b}, \dots$

- [s] from $\nu N \rightarrow \mu \mu X$ (CCFR, ...)
 $\approx \frac{1}{2} \langle \bar{u} + \bar{d} \rangle$ at $Q_0^2 \sim 1 \text{ GeV}^2$

note: $s(x) = \bar{s}(x)$?

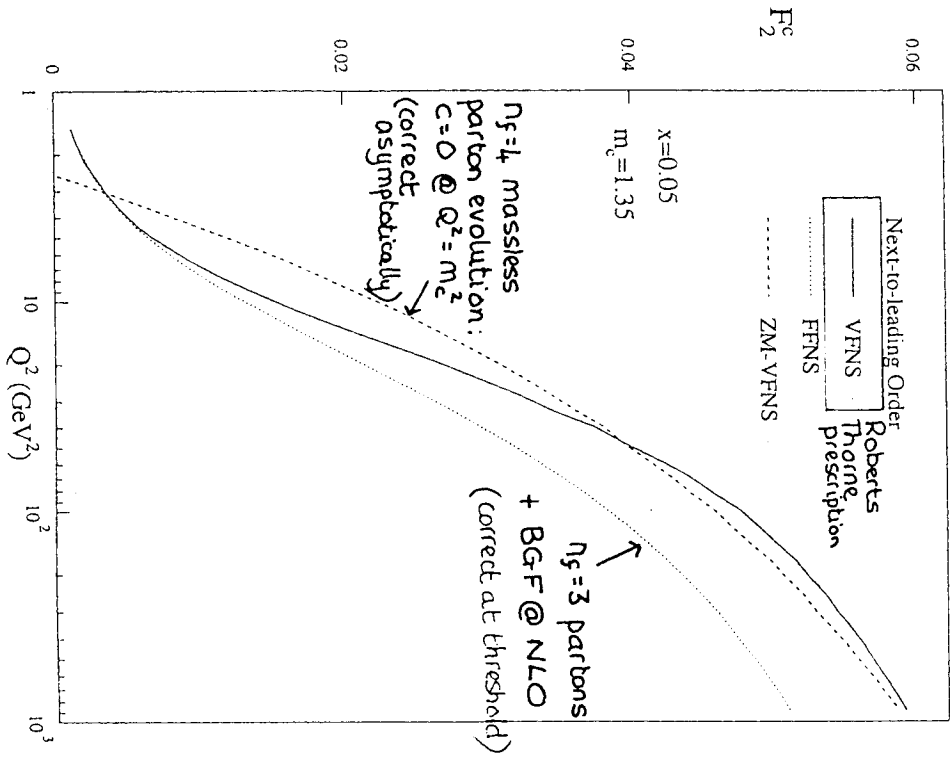
- [\bar{u}, \bar{d}] - at v. small $x \leftarrow F_2^{\text{HERA}}$
 - at medium/high x :
 Drell Yan $pN \rightarrow \mu \mu X \rightarrow \bar{u} + \bar{d}$

note: $\left\{ \begin{array}{l} \text{GSR} : \int_0^1 (\bar{d} - \bar{u}) dx \approx 0.1 \text{ NMC} \end{array} \right.$

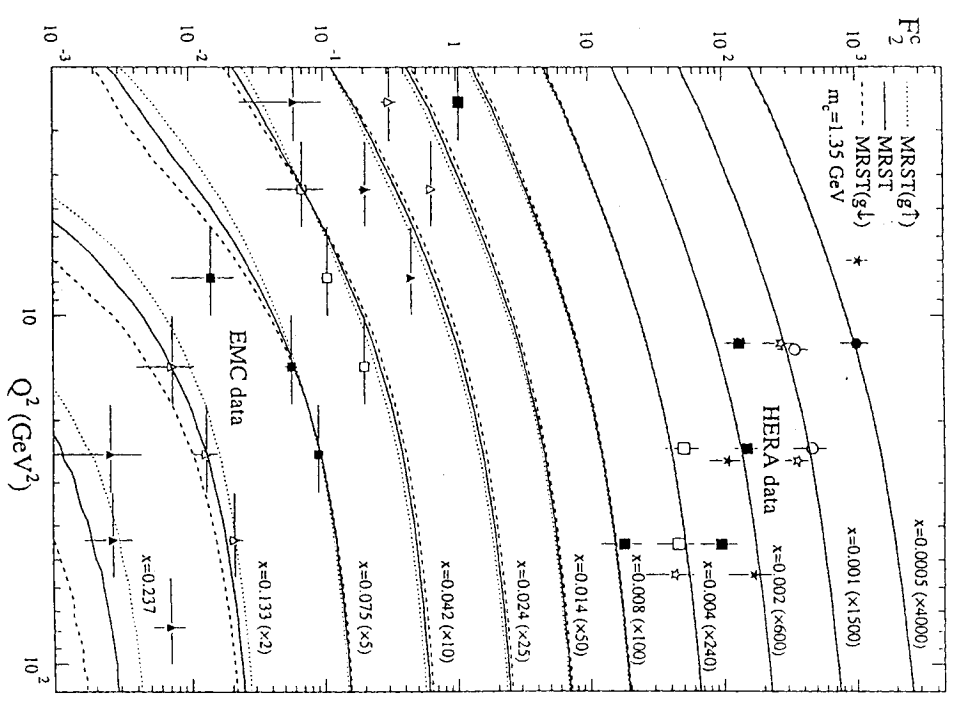
PP/pn DY: $\bar{d}(x) \neq \bar{u}(x)$

NA51
E866

$$|P\rangle = |p\rangle + |\pi^0 n\rangle + |\pi^+ \Delta^{++}\rangle + \dots$$

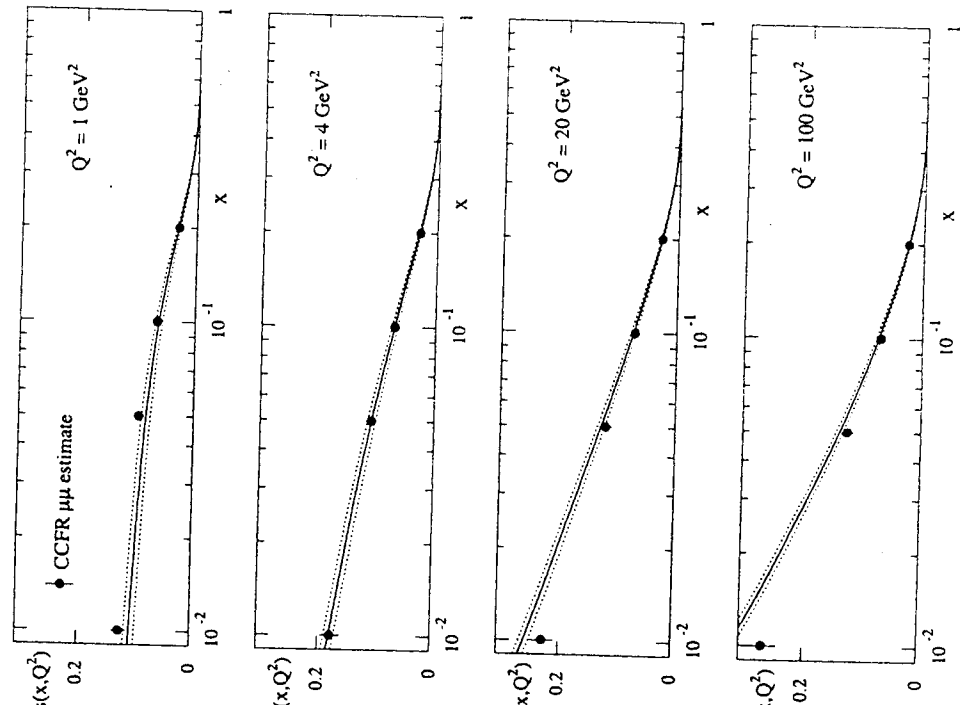
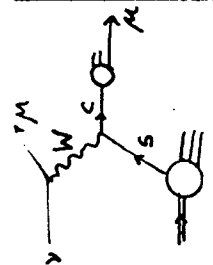


MRST



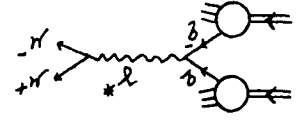
determining the strange quark distribution

$0.5(\bar{u} + \bar{d}) @ Q_0^2 = 1 \text{ GeV}^2$

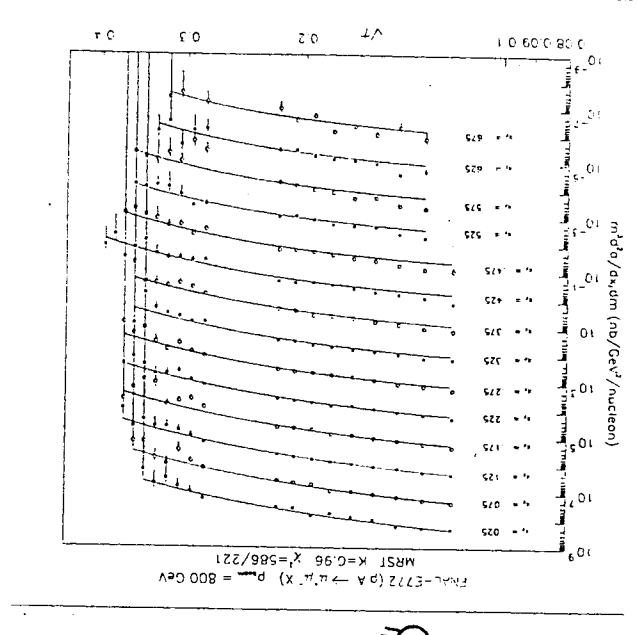
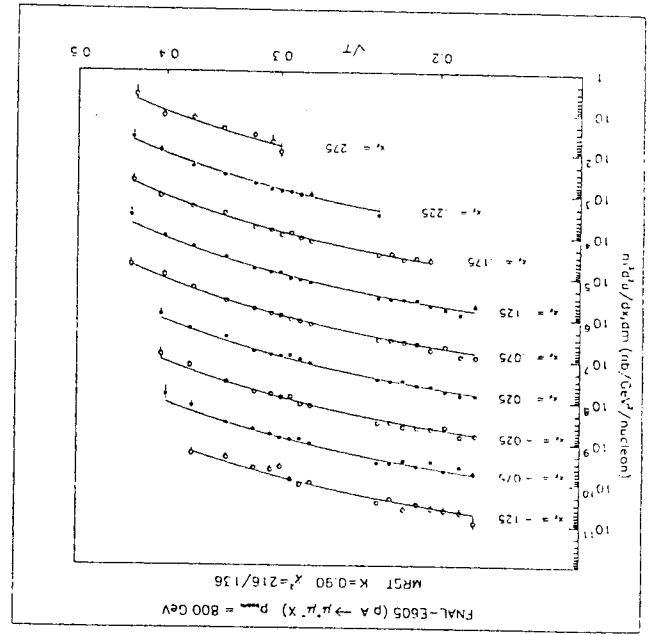


MRST '99

constraining $\bar{q}(x)$ ($x \lesssim 0.4$) using fixed-target Drell-Yan data



MRST



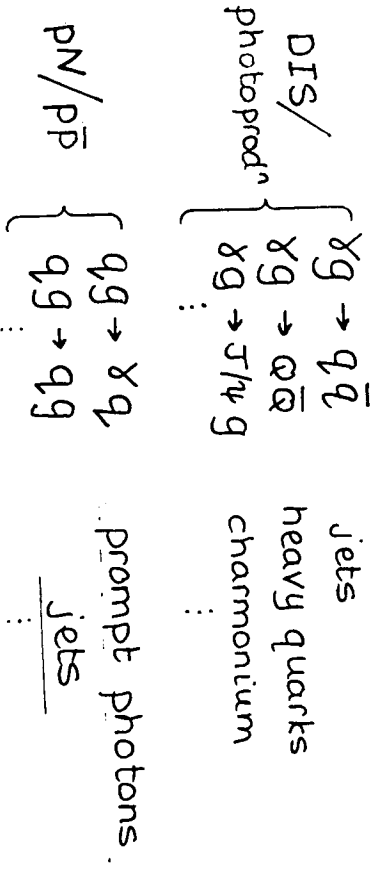
high x valence quark
low x sea quark

Gluon distribution

① small x

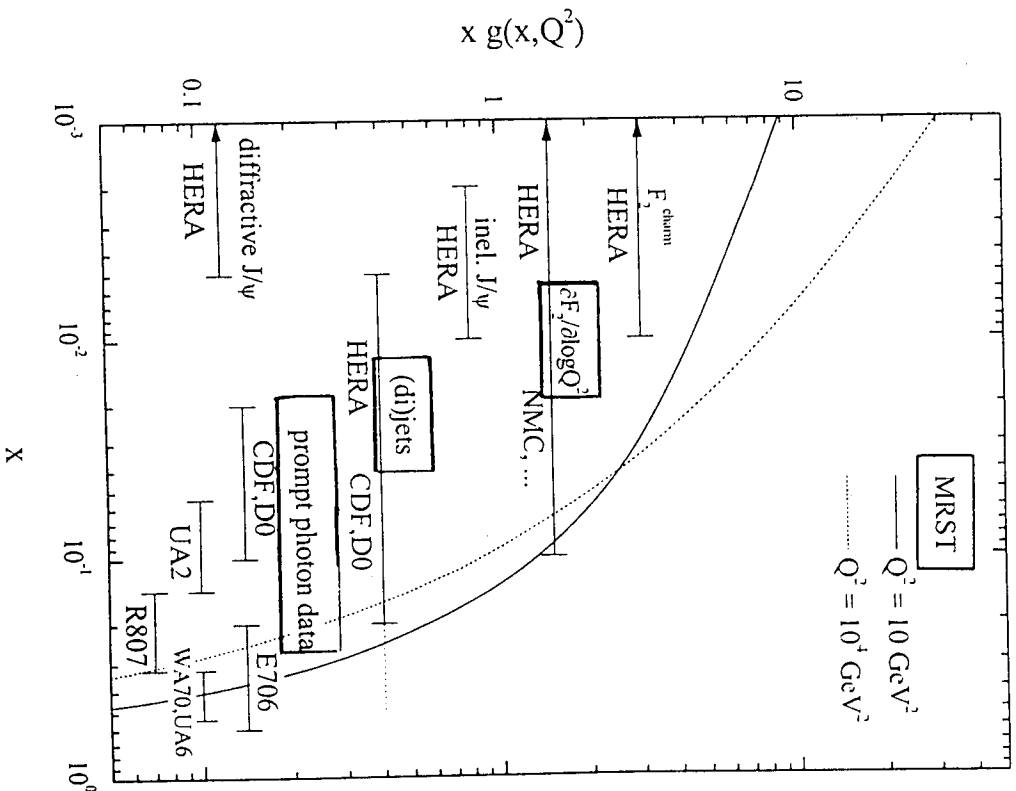
$$\frac{\partial F_2}{\partial \log \alpha^2} \approx \frac{\alpha_s(\alpha^2)}{\pi} \sum e_q^2 x \int_x^1 \frac{dy}{y} P_{qg}(\frac{x}{y}) g(y, \alpha^2) + \dots$$

② medium/high x
 above + scattering cross sections
 hadronic hard

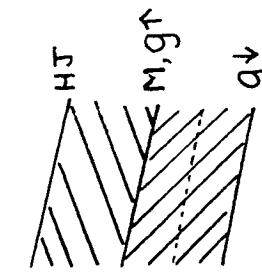
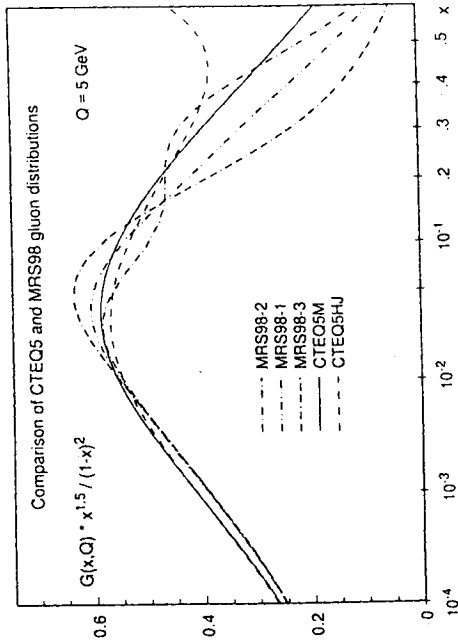


→ fig.

information on the gluon distribution



MRST vs CTEQ5 gluons



- CTEQ5
- fit to high E_T jets
 - no prompt γ in fits
- MRST
- fit to prompt γ
 - needs normalisation \uparrow to describe TeV jet data

e^- J: follows 'enhancement' of highest E_T (CDF) jets

$\left. \begin{matrix} \uparrow \\ \text{ST} \\ \downarrow \end{matrix} \right\}$ fit prompt with different assumptions on $\langle k_T \rangle$ smearing

— to explore this, we introduce

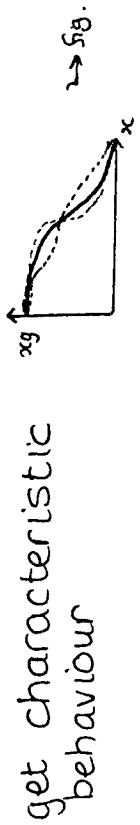
MRST — 'standard' gluon \uparrow

g^\uparrow — 'larger at large x ', gluon

g^\downarrow — 'smaller at large x ', gluon

then because of

- functional form $[Ax^2(1+\gamma x + \epsilon(x)(1-x)^2)]$
- momentum 'sum rule' $\langle xg \rangle = 1 - \langle x \sum q \rangle$
- strong constraint of HERA data at small x



— see also [J. Huston et al. hep-ph/9801447]

... abandon large P_T photons, jets and study variation allowed by DIS + DY data only —

+ fit to W/F0 } with $\langle k_T \rangle_{int} = \begin{cases} 280 \text{ MeV} \\ E706 \end{cases} = \begin{cases} 280 \text{ MeV} \\ 700 \text{ MeV} \end{cases}$

and scale choice $M_F = M_R = P_T/2$

— supplement the 'gluon sets' $g \uparrow$ with 'variable α_s sets'

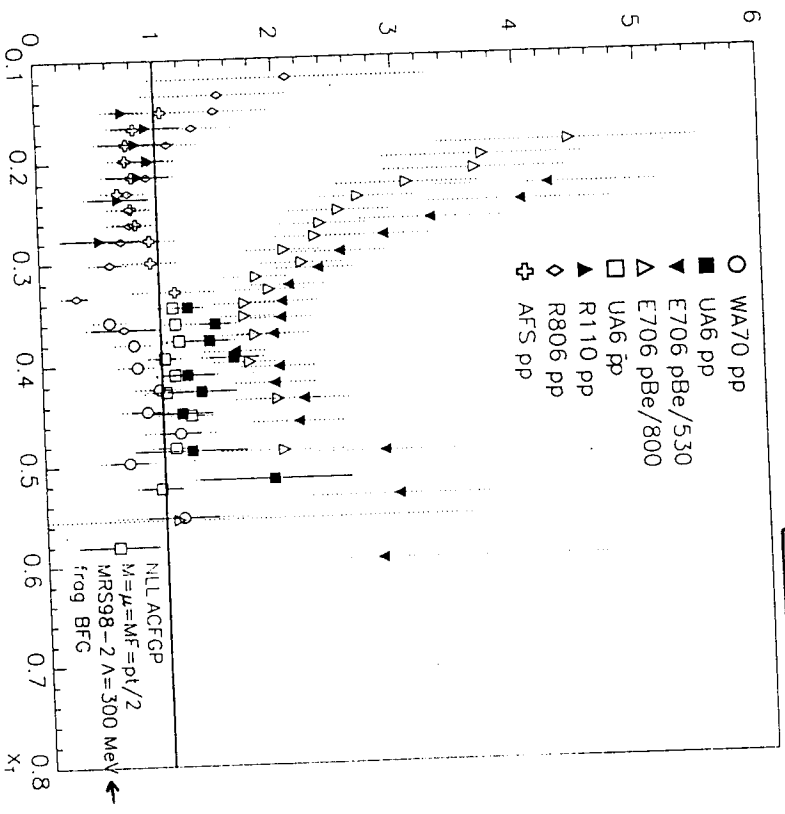
	$\alpha_s(M_Z)$	
MRST	0.1175	← best fit
$\alpha_s \downarrow$	0.1125	
$\alpha_s \downarrow$	0.1150	
$\alpha_s \uparrow$	0.1200	
$\alpha_s \uparrow$	0.1225	

... to represent a (conservative) 'world average' range

note the implicit α_s dependence of the $f_i(\alpha_s, Q^2)$ evolved to high Q^2 can compete with the explicit dependence in $\hat{\sigma}$

there are a lot of prompt photon data!

Aurenche et al



- the issues:
- np ' k_T ' smearing
 - isolation, fragmenta
 - scale dependence
 - resummation

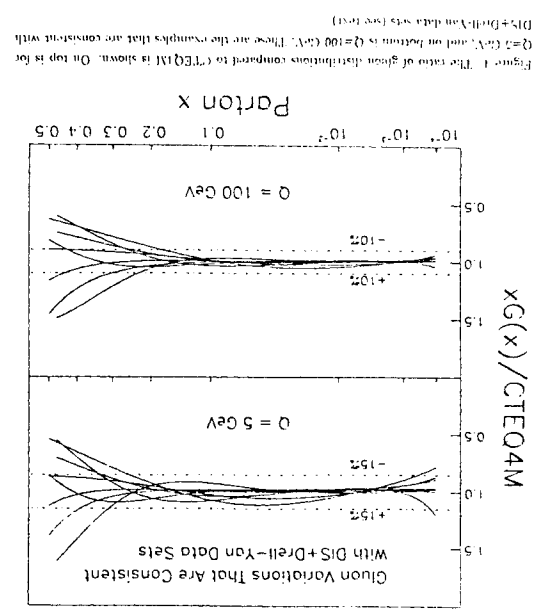
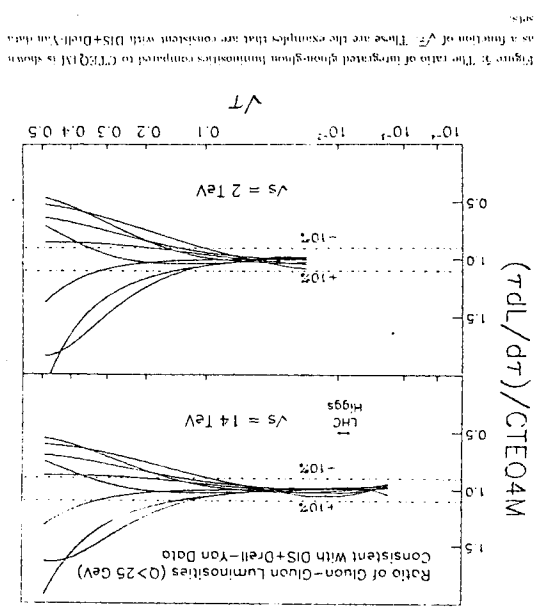
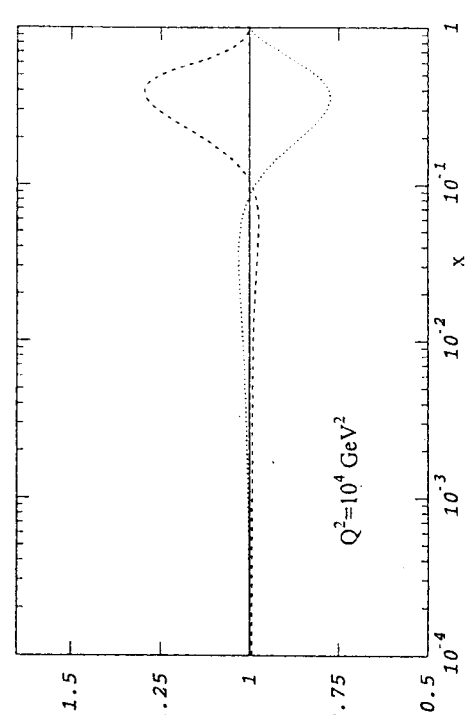
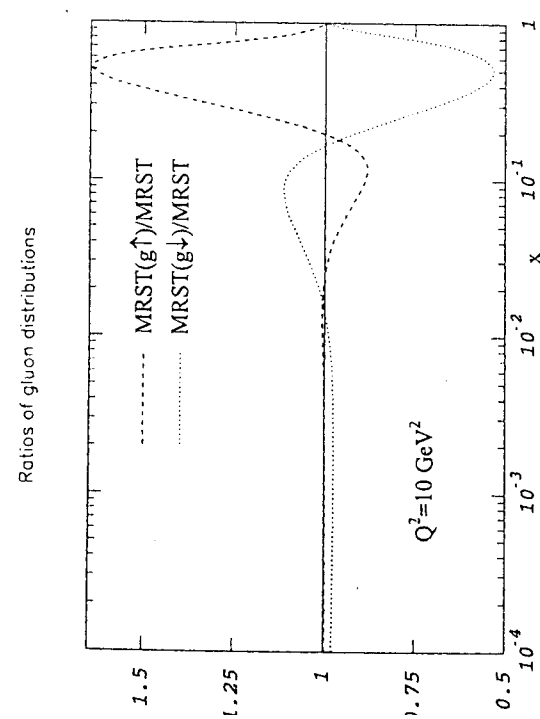


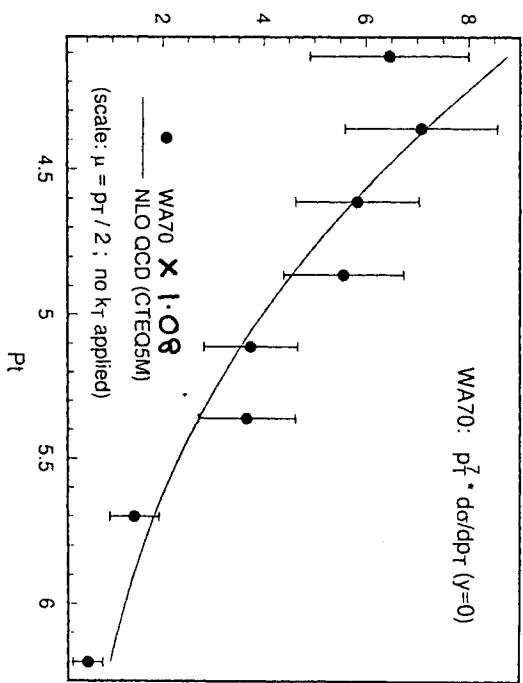
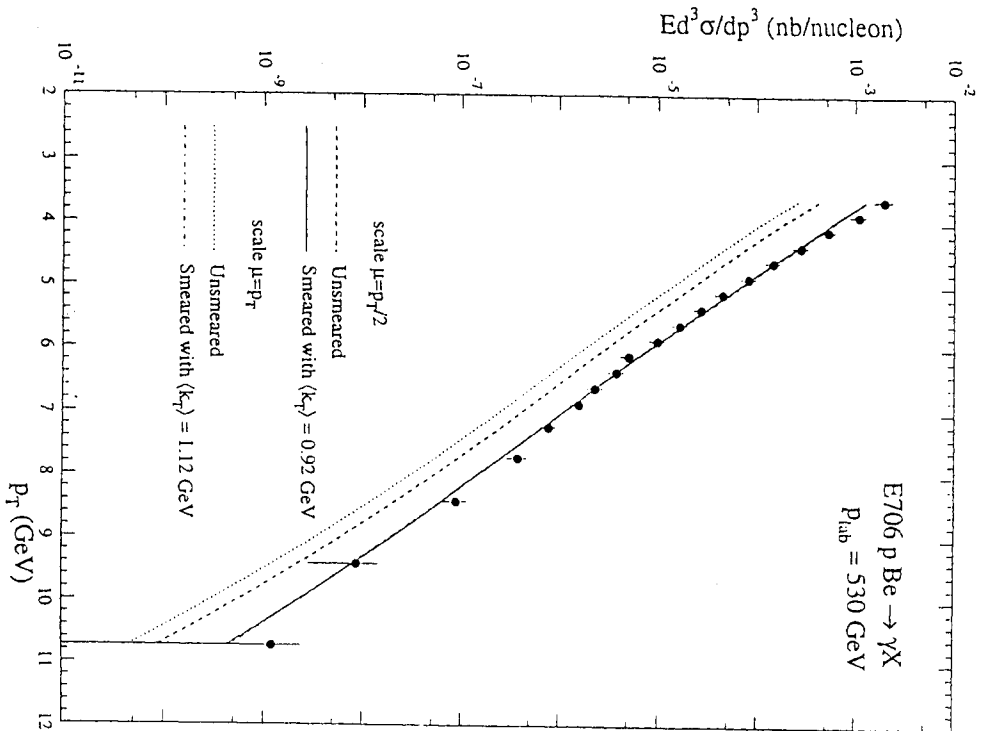
Figure 1: The ratio of gluon distributions compared to CTEQ4M is shown as a function of \sqrt{s} . These are the examples that are consistent with DIS+Drell-Yon data sets (see text).

Figure 2: The ratio of integrated gluon luminosities compared to CTEQ4M is shown as a function of \sqrt{s} . These are the examples that are consistent with DIS+Drell-Yon data sets.

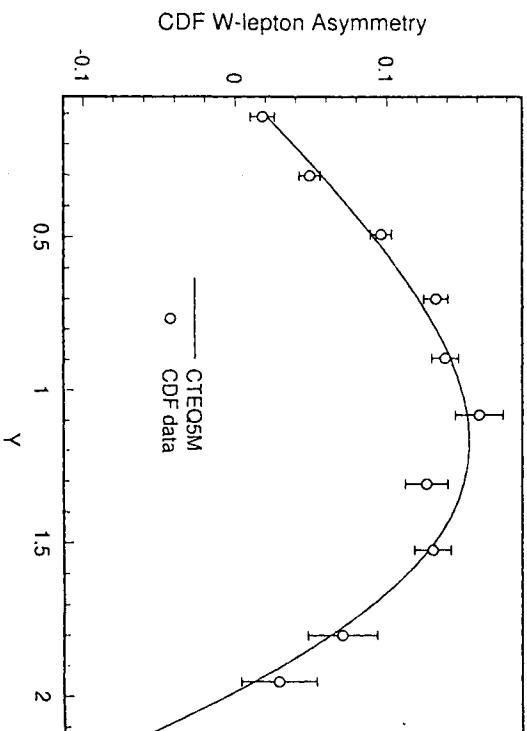
J. Huston et al.
 hep-ph/9801444



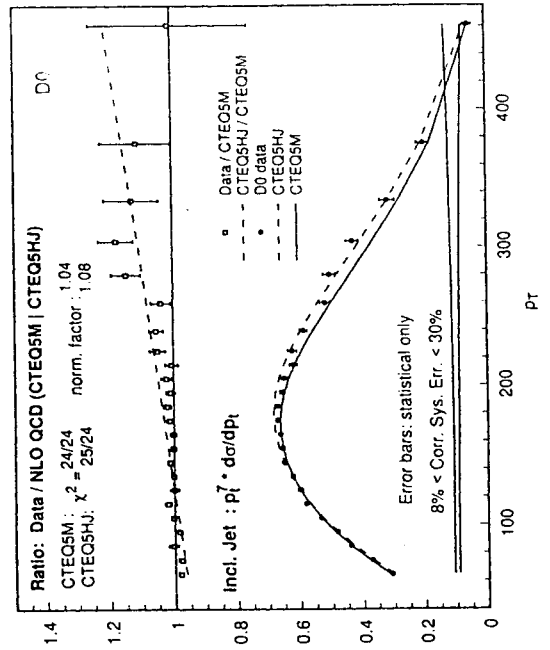
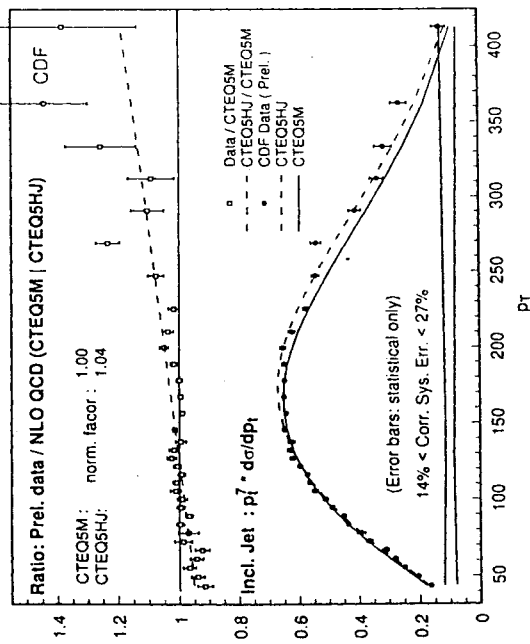
MRST
1998



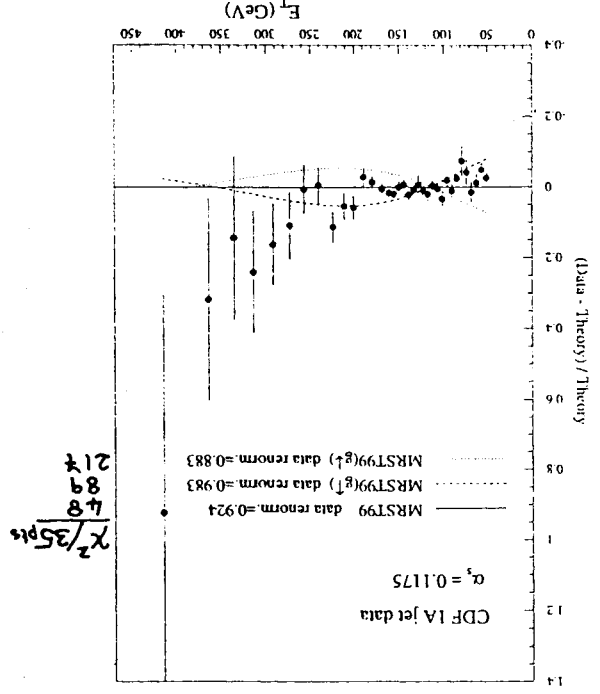
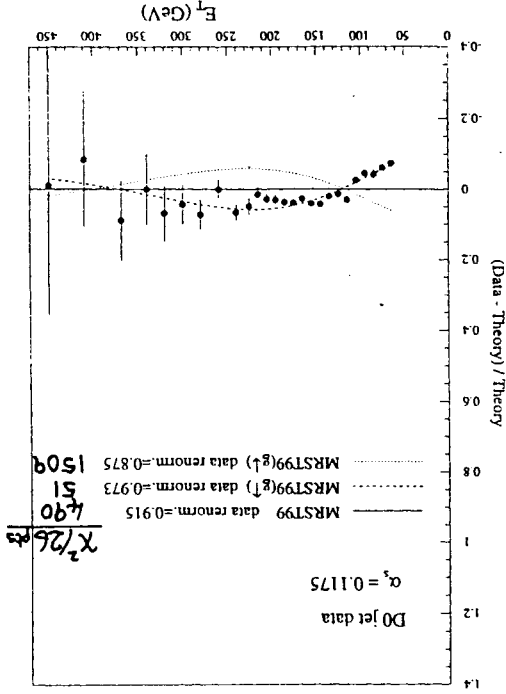
CTEQ



EQ5 description of CDF, DØ jet data



MRST comparison with Tevatron inclusive jet data

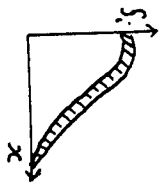


note: statistical errors only

pdf uncertainties

- goal?

$$\left\{ f_i \pm \delta f_i, \alpha_s \pm \delta \alpha_s \right\}$$



- however ...

- strong correlations between different f_i and different α regions
- influence of parametric forms: crossover points, end points
- many data uncertainties are not "true statistical"
- much of the theoretical input is largely (educated) guesswork
 - scale choices
 - heavy target, deuteron binding corrections
 - "intrinsic k_T "
 - ...

Pragmatic approach

~~$f_i \pm \delta f_i$~~ $\rightarrow \sigma \pm \delta \sigma_{PDF}$

identify dominant $\{x, Q^2, f_i\}$ contribute to σ , then traceback to global fit constraint

e.g. $\sigma_W : \{x \sim \sqrt{s}^{M_W}, Q \sim M_W, u\bar{d} + d\bar{u}\}$

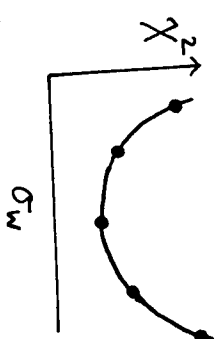
+ DELAP evolution \leftarrow

simple, no new technology, avoids parametrization dependence, exposes theoretical uncertainty (if any)

requires separate analysis for each process, ignores correlations between effects (overestimates uncertainty)*

* Lagrange Multiplier Method (CTE)

$$\chi^2(\alpha) = \chi^2_{global} + \lambda \sigma_W \Rightarrow$$



- problem: $\Delta \chi^2 \rightarrow \Delta \sigma$

statistical" approaches

e.g.

Griele Keller Kosover

3

- ensemble of pdf sets labelled by parameter set $\{\lambda\}$, each with probability $P(\{\lambda\})$, then

$$M_o = \sum_{\{\lambda\}} O(\{\lambda\}) P(\{\lambda\}), \quad \sigma_o^2 = \sum_{\{\lambda\}} [O(\{\lambda\}) - M_o]^2 P(\{\lambda\})$$

observable

- in practice, unweighted set of \mathcal{N}_{pdf} pdfs

$$M_o = \frac{1}{\mathcal{N}_{pdf}} \sum_{i=1}^{\mathcal{N}_{pdf}} O(\{\lambda_i\})$$

- can incorporate full information about measurements and their errors correlations and distributions in calculation of $P(\{\lambda\})$

- still in relatively primitive state: limited data sets avoiding 'difficult' uncertainty issues

[problem: α_s comes out too low, because $\alpha_s|_{DIS}$ dominated by CCFR νN]

≈ 0.118 $\left\{ \begin{array}{l} \text{heavy} \\ \text{fragment} \end{array} \right.$

Current fit

1. Draconian measures needed to restart from scratch and re-evaluate each issue
2. Fixed renormalization and factorisation scale
3. Data affected by nuclear binding effects are excluded.
4. Use a MRS-style parameterisation
5. Evolution by Mellin transform method
6. Massless quarks
7. Positivity constraint on F2

At the moment we are using H1 and BCDMS(proton) for our core set. With their full correlation matrix and assuming Gaussian distribution we can calculate the $\chi^2(\{\lambda\})$ and $P(\{\lambda\}) \approx \exp(-\chi^2/2)$.

→ generate 50000 unweighted PDFs according to the probability function (an overnight project on a pc).
 (note: 532 data points, minimum $\chi^2 = 530$ for 23 parameters)

Correlation of σ_w vs σ_z

