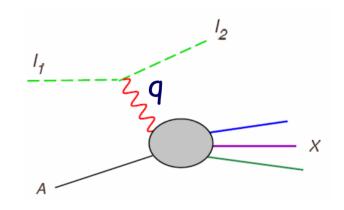
## III: PQCD at Work: Deep Inelastic Scattering

- Cross Sections and Structure Functions;
- Order  $\alpha_s^0$  (LO) processes and the Parton Model;
  - Parton Distributions; Sum Rules.
- Order  $\alpha_s^1$  (NLO) QCD corrections:
  - Colinear (*mass*) Singularity from a different perspective;
  - Separation of long- and short-distance physics in the PQCD calculation of the Structure Functions: Physical origin of the universal Parton Distributions;
- Factorization in the NLO calculation.

### Deep Inelastic Scattering

$$\ell_1(\ell_1) + N(P) \rightarrow \ell_2(\ell_2) + X(P_X)$$

$$\nu = \frac{P \cdot q}{\sqrt{P \cdot P}} = E_1 - E_2$$
$$x = \frac{-q^2}{2P \cdot q} = \frac{Q^2}{2M\nu}$$
$$y = \frac{P \cdot q}{P \cdot \ell_1} = \frac{\nu}{E_1}$$



where  $q = \ell_1 - \ell_2$ , and  $E_1$  and  $E_2$  are the laboratory energies of the incoming and outgoing leptons

$$d\sigma = \frac{1}{2\Delta(s, m_{\ell_1}^2, M^2)} \overline{\sum_{spin}} |M^2| d\Gamma$$
$$\Delta(a, b, c) = \sqrt{a^2 + b^2 + c^2 - 2(ab + bc + ca)} = \text{flux factor}$$

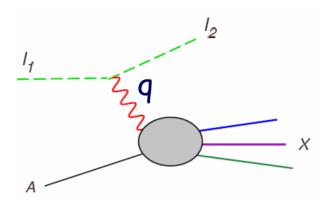
To leading order in EW coupling (one vector boson exchange):

and  $G^{\mu}{}_{\nu} = g^{\mu}{}_{\nu} - q^{\mu}q_{\nu}/M_B^2$ .

 $q_{\rm R}$ : EW gauge coupling

$$\mathcal{M} = J^{*}_{\mu}(P,q) \frac{g^{2}_{B} G^{\mu}{}_{\nu}}{Q^{2} + M^{2}_{B}} j^{\nu}(q,\ell)$$

$$q = \ell_1 - \ell_2, \ \ell = \ell_1 + \ell_2, \ Q^2 = -q^2 > 0,$$



В	$\gamma$	$W^{\pm}$	Ζ
$g_B$	-e	$\frac{g}{2\sqrt{2}}$	$\frac{g}{2\cos\theta_W}$

The lepton current is calculable:  $j^{\mu}(q, \ell) = \langle \ell_2 | j^{\mu} | \ell_1 \rangle =$  $\overline{u}(\ell_2) \gamma^{\mu} [g_R(1+\gamma^5) + g_L(1-\gamma^5)] u(\ell_1)$ 

lepton chiral couplings:

	$\gamma$	Ζ	$W^{\pm}$
$g_V$	$Q_i$	$T^i_{3L} - 2Q_i \sin^2 \theta_W$	$1 \cdot V_{ij}$
$g_A$	0	$T^i_{3L}$	$1 \cdot V_{ij}$
$g_R$	$\frac{Q_i}{2}$	$-Q_i \sin^2 \theta_W$	0
$g_L$	$\frac{Q_i}{2}$	$T_{3L}^i - Q_i \sin^2 \theta_W$	$1 \cdot V_{ij}$

### Cross Section and Structure Functions

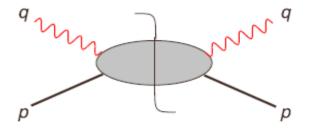
$$\frac{d\sigma}{dx\,dy} = \frac{yQ^2}{8\pi} G_1 G_2 L \cdot W$$

where

$$\begin{split} L^{\mu}{}_{\nu} &= \frac{1}{Q^{2}} \sum_{\text{spin}} \langle \ell_{1} | j^{\dagger}_{\nu} | \ell_{2} \rangle \langle \ell_{2} | j^{\mu} | \ell_{1} \rangle \\ L^{\mu\nu} &= \frac{8}{Q^{2}} \frac{1}{n_{\ell}} \left\{ g^{2}_{+\ell} \left[ \ell^{\mu}_{1} \ell^{\nu}_{2} + \ell^{\mu}_{2} \ell^{\nu}_{1} - g^{\mu\nu} \frac{Q^{2}}{2} \right] - g^{2}_{-\ell} \left[ i \epsilon^{\mu\nu\rho\sigma} \ell_{1\rho} \ell_{2\sigma} \right] \right\} \\ W^{\mu}{}_{\nu} &= \frac{1}{4\pi} \overline{\sum_{\text{spin}}} (2\pi)^{4} \delta^{4} (P + q - P_{X}) \langle P | J^{\mu} | P_{X} \rangle \langle P_{X} | J^{\dagger}_{\nu} | P \rangle \\ &= -g^{\mu}{}_{\nu} W_{1} + \frac{P^{\mu} P_{\nu}}{M^{2}} W_{2} - i \frac{\epsilon^{Pq\mu}{2M^{2}}}{2M^{2}} W_{3} + \end{split}$$

By the optical theorem (unitarity) the hadronic component is the Forward Compton Amplitude:

$$\gamma^*(q,\lambda) + A(P) \longrightarrow \gamma^*(q,\lambda) + A(P)$$



Cross Section and Structure Functions

 $d\sigma$ 

 $ME_1y$ 

 $d\sigma$ 

$$\frac{d\sigma}{dx\,dy} = 2ME_1x\frac{d\sigma}{dx\,dQ^2} = 2ME_1^2y\frac{d\sigma}{dQ^2\,d\nu} = \frac{ME_1y}{E_2}\frac{d\sigma}{dE_2\,d\cos\theta}$$

$$3 \text{ equivalent sets of Structure Functions:}$$

$$Historical (cf. Rosenbluth formula for elastic scattering):$$

$$\frac{d\sigma}{dE_2\,d\cos\theta} = \frac{2E_2^2}{\pi M}\frac{G_1G_2}{n_\ell}\left\{g_{+\ell}^2\left[2W_1\sin^2\frac{\theta}{2} + W_2\cos^2\frac{\theta}{2}\right] \pm g_{-\ell}^2\left[\frac{E_1 + E_2}{M}W_3\sin^2\frac{\theta}{2}\right]\right\}$$

#### Modern (scaling structure functions):

 $d\sigma$ 

$$\frac{d\sigma}{dxdy} = \frac{2ME_1}{\pi} \frac{G_1 G_2}{n_\ell} \left\{ g_{+\ell}^2 \left[ xF_1 y^2 + F_2 \left[ (1-y) - \left(\frac{Mxy}{2E_1}\right) \right] \right] \pm g_{-\ell}^2 \left[ xF_3 y(1-y/2) \right] \right\}$$

Helicity (scaling helicity structure functions):

 $d\sigma$ 

$$\frac{d\sigma}{dxdy} = N \left\{ g_{+\ell}^2 \left[ F_T (1 + \cosh^2 \psi) + F_L \sinh^2 \psi \right] \mp g_{-\ell}^2 \left[ F_{PV} \cosh \psi \right] \right\}$$
analogues of
for space-like (vs. time-like) vector bosons
$$\cosh \psi = \frac{E_1 + E_2}{\sqrt{Q^2 + \nu^2}} \quad \frac{m_A \to 0}{y} \quad \frac{(2 - y)}{y}$$

## Structure Functions

Scaling S.F.'s

$$F_1(x,Q) = W_1$$
  

$$F_2(x,Q) = \frac{\nu}{M}W_2$$
  

$$F_3(x,Q) = \frac{\nu}{M}W_3$$

$$a^{\lambda}$$
  $\lambda' q$   
 $p$   $p$   $p$   $p$   $p$ 

Helicity S.F.'s

$$F_{\lambda} = \epsilon_{\mu}^{\lambda*}(P,q) W^{\mu}{}_{\nu}(P,q) \epsilon_{\lambda}^{\nu}(P,q)$$

Relations between invariant and helicity S.F.s

$$\begin{array}{rcl} F_{right} = & F_{+}(x,Q) & = & F_{1} & - & \frac{1}{2}\kappa \ F_{3} \\ F_{left} = & F_{-}(x,Q) & = & F_{1} & + & \frac{1}{2}\kappa \ F_{3} \\ F_{long} = & F_{0}(x,Q) & = & -F_{1} & + & \frac{1}{2x}\kappa^{2} \ F_{2} \end{array} \begin{array}{l} \text{At high} \\ \text{energies} \end{array} \qquad \kappa = \sqrt{1 + \frac{Q^{2}}{\nu^{2}}} \approx 1 \\ \end{array}$$

Conversely,  

$$F_{1} = \frac{1}{2}(F_{+} + F_{2}) = \frac{1}{2}(F_{right} + F_{left}) = F_{T}$$

$$F_{2} = 2x(F_{T} + F_{L}) \frac{1}{\kappa^{2}}$$

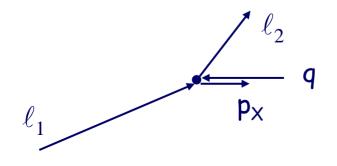
$$F_{3} = (F_{right} - F_{left}) \frac{1}{\kappa} \text{ (parity-violating)}$$

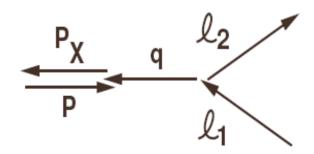
## Space-time structure of DIS

Use light-cone components  $(k^+, k^-, \mathbf{k}_T)$ . Two useful frames, related by  $k_B^{\pm} = k_A^{\pm} (\frac{Q}{mx_{bi}})^{\pm 1}$ :

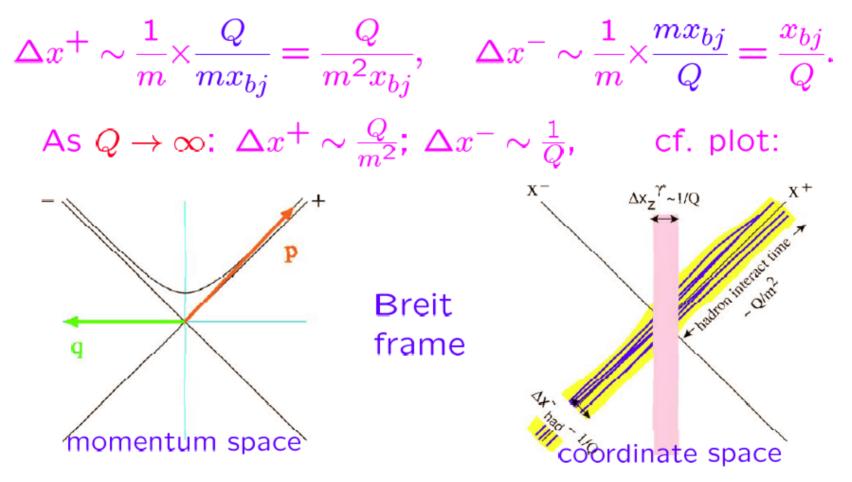
4 – vector	rest frame of A $\vec{p} = 0$ ; $p^0 = m$	Breit frame $q^0 = q_T = 0; \ q_z = Q$
$(p^+, p^-, \mathbf{p})$ :	$rac{1}{\sqrt{2}}~(m_A,m_A,0)$	$rac{1}{\sqrt{2}}~(rac{Q}{x_{bj}},rac{x_{bj}m_A^2}{Q},oldsymbol{0})$
$(q^+, q^-, \mathbf{q})$ :	$\frac{1}{\sqrt{2}}\left(-m_A x_{bj}, \frac{Q^2}{m_A x_{bj}}, 0\right)$	$rac{1}{\sqrt{2}}$ (-Q,Q,0)

In its *rest frame*, constituents of hadron A interact at space-time distance  $\sim$  the Compton wavelength:  $\Delta x^+ \sim \Delta x^- \sim \frac{1}{m}$ .

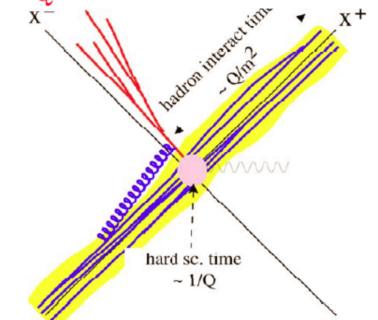




In the *Breit frame:* Lorentz transformation spreads out interactions: fast moving hadron has



The virtual photon  $(q_z = Q)$  probes the Breit frame hadron wavefunction with  $\Delta x_z \sim 1/Q \Rightarrow$ Hard scattering takes place only within the short dist.  $\Delta x^{\pm} \sim \frac{1}{Q}$  of the interaction point.



Thus, quark- and gluon- "partons" are effectively free in a DIS scattering event. At a given  $x^+$ , one finds partons with an amp.  $\psi(p_1^+, \vec{p}_1; p_2^+, \vec{p}_2; \cdots); \ 0 < p_i^+ \sim Q; \ \vec{p}_i \ll p_i^+.$ 

For  $p_i^+$ , use momentum fractions  $\xi_i = p_i^+/p^+$ instead, where  $0 < \xi_i < 1$ .

 $\Rightarrow$  Hadron is like a collection of free, collinear, massless partons with fractional momenta  $\{\xi_i\}$ .

**Note:** The space-time picture suggests the possibility of separation of long- and short- distance physics; it *does not* provide a *proof* of factorization in QCD theory.

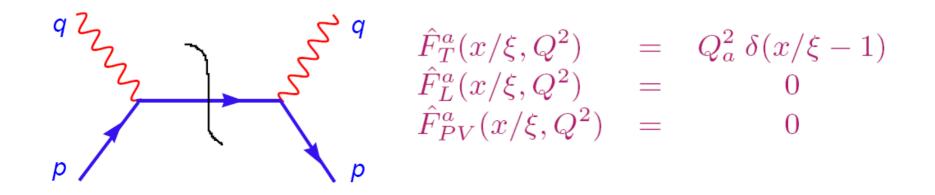
Parton Model results on Structure Functions

$$F_{\lambda}(x,Q^2) \sim \int_0^1 \frac{d\xi}{\xi} \sum_a f_A^a(\xi) \hat{F}_{\lambda}^a(x/\xi,Q^2) + \frac{g_A^2}{\xi} \int_0^1 \frac{d\xi}{\xi} \sum_a \frac{d\xi}{\xi} \int_0^1 \frac{d\xi}{\xi} \sum_a \frac{d\xi}{\xi} \int_0^1 \frac{d\xi}{\xi} \sum_a \frac{d\xi}{\xi} \int_0^1 \frac{d\xi}{\xi} \sum_a \frac{d\xi}{\xi} \int_0^1 \frac{d\xi}{\xi} \int_0^1 \frac{d\xi}{\xi} \sum_a \frac{d\xi}{\xi} \int_0^1 \frac{$$

Х

where  $\hat{F}_{\lambda}^{a}(z,Q^{2})$  is the "partonic structure function" for DIS on the parton target *a*.

The Feynman diagram contributing to this elementary quantity and the result of a straight-forward calculation are (for electro-magnetic coupling case):



#### $\implies$ the simple scaling parton model results:

$$F_{\text{Trans}}(x,Q^2) = \sum_a Q_a^2 f_A^a(x) \quad \text{(Bjorken. - Feynman)} \\ F_{\text{Long}}(x,Q^2) = 0 \quad \text{(Callan - Gross)} \\ F_{\text{P-V}}(x,Q^2) = 0 \quad \text{(EM Parity - cons.)}$$

In terms of 
$$F_{1,2}$$
  $F_1(x, Q^2) = \frac{1}{2} \sum_a Q_a^2 f_A^a(x)$   
 $F_2(x, Q^2) = x \sum_a Q_a^2 f_A^a(x)$   
 $F_3(x, Q^2) = 0$ 

The helicity version of these results is simpler and more physical, e.g. the commonly known C-G relation in terms of  $F_{1,2}$  is:  $F_2(x, Q^2) = 2xF_1(x, Q^2)$ , which has no obvious physical meaning.

Simple Parton Model Formulas embody a lot of Physics of the SM

(Again, the helicity S.F.s provide the simplest and clearest results.)

Neutrino-proton Sc.

Anti-neutrino-proton Sc.



where D(x) represents a generic weak isospin  $T_3 = -\frac{1}{2}$  down quark distribution, U(x) a  $T_3 = \frac{1}{2}$  up quark distribution, and  $\overline{D}(x) \& \overline{U}(x)$  the corresponding antiquark distributions.

For a neutron target,

$$F_{\lambda}^{\nu n} = F_{\lambda}^{\nu p}(u \leftrightarrow d)$$
  
$$F_{\lambda}^{\bar{\nu}n} = F_{\lambda}^{\bar{\nu}p}(u \leftrightarrow d)$$

and for iso-scalar targets, denoted by  $F_{\lambda}^{\nu N}$ :

$$F_{\lambda}^{\nu N} = (F_{\lambda}^{\nu p} + F_{\lambda}^{\nu n})/2$$
  
$$F_{\lambda}^{\bar{\nu}N} = (F_{\lambda}^{\bar{\nu}p} + F_{\lambda}^{\bar{\nu}n})/2$$

One of the well-known, and useful, result is

 $F_{\text{trans}}^{(\nu+\bar{\nu})N} \propto x \sum (U+D+\bar{U}+\bar{D}) = \text{tot. quark mom. frac.}$ 

Parton Model Sum Rules

$$\int (u(x) - \bar{u}(x)) dx = 2$$

Quark Number Sum Rules:

$$\int \left( d(x) - \bar{d}(x) \right) dx = 1$$
$$\int \left( s(x) - \bar{s}(x) \right) dx = 0$$

Various linear combinations of the left-hand side can be formed to correspond to integrals of measurable structure functions.

Momentum Sum Rule: 
$$\int x \left[ g(x) + \sum (q(x) + \bar{q}(x)) \right] dx = 1$$

These sum rules remain valid even when QCD interaction is taken into account.

- The space-time picture is useful to guide our thinking. However, the order-by-order analytic calculation in momentum space is needed for quantitative applications of PQCD.
- PQCD is not equipped to calculate *Hadronic S.F.'s F<sup>A</sup><sub>λ</sub>(x,Q)*, since hadronic wave functions are dominated by long-dist. physics.
- But, we can study the *Partonic S.F.'s in PQCD*  $F_{\lambda}^{a}(x, Q)$ , and establish the following important results:
  - $\Rightarrow$  prove the factorization theorems;
  - $\Rightarrow$  derive the scale dependence of the universal PDF's the QCD evolution;
  - $\Rightarrow$  derive the "hard cross-sections" ...
  - These results can then be applied to the physical world involving hadrons.

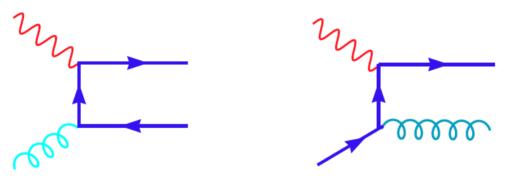
Order by order calculation of Partonic S.F.'s  $F_{\lambda}^{a}(x,Q)$ 

Leading-order (LO) PQCD Calculation: The lowest order in which lepton-hadron scattering can take place is  $\alpha_s^0$ ; the scattering process is  $\gamma^*q \rightarrow q$ :

The calculation of  ${}^{0}F^{a}_{\lambda}(x,Q)$  reduce to the same as that of the parton-model  $\widehat{F}^{a}_{\lambda}(x)$ .

Next-to-leading (NLO) Calculation:

To order  $\alpha_s^1$ , two partonic processes contribute: *gluon-fusion*:  $\gamma^*g \to q\bar{q}$  *quark-sc*.:  $\gamma^*q \to gq$ 



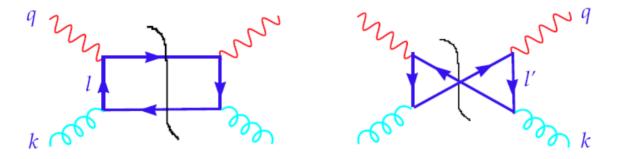
The two subprocesses do not interfere.

We shall study  $\gamma^* g \rightarrow q\bar{q}$  in detail, since it is simpler. Much physics can be learnt from it without a lot of technical complications.

Will summarize the main results about  $\gamma^* q \rightarrow gq$  afterwards.

$$\gamma^* g \to q \bar{q}$$

• The (partonic) S.F. calculation (squared amplitude) consists of two "cut-diagrams":

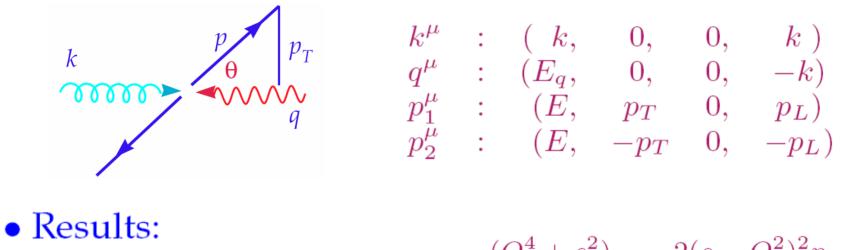


- We shall keep the ("physical") quark mass (e.g. *m<sub>R</sub>*) in the calculation for good reasons to be seen.
- The calculation is straightforward (entry-level QED text-book case—same as  $\sigma_{tot}$  calculation for  $\gamma \gamma \rightarrow e^+e^-$ , or  $\gamma e^- \rightarrow \gamma e^-$ , or  $e^+e^- \rightarrow \gamma \gamma$ .)
- *The result is finite!* There is no ultra-violet or infra-red divergences to distract us.

Outline of Calculation:

 $B(q) + g(k) \rightarrow \overline{q}_1(p_1) + q_2(p_2)$ 

• Kinematics in the CM frame:



$$F_g^T(Q^2, s, m^2) = L \frac{(Q^2 + s^2)}{(Q^2 + s)^2} - \frac{2(s - Q^2)^2 p}{(Q^2 + s)^2 \sqrt{s}}$$
$$F_g^L(Q^2, s, m^2) = \frac{8(Q^2 - m^2)p}{(Q^2 + s)^2 \sqrt{s}} + \mathcal{O}(\frac{m^2}{Q^2}L)$$
where  $L = 2\log\left[\frac{\sqrt{s} + \sqrt{s - 4m^2}}{2m}\right]$ 

**Fun part:** physics in the Bjorken limit ...

The Bjorken limit:

$$Q^2 \gg m^2$$
,  $s \gg m^2$ ,  $x = \frac{Q^2}{2k \cdot q} = \frac{Q^2}{s + Q^2} \approx O(1)$ .

The "finite" partonic structure functions, e.g.  $F_g^T(x, Q^2, m/Q)$ , contains the large logarithm

$$L = 2\log\left[\frac{\sqrt{s} + \sqrt{s - 4m^2}}{2m}\right] \longrightarrow \log\frac{s}{m^2} = \log\frac{Q^2}{m^2}(\frac{1}{x} - 1)$$

Since  $\alpha_s L \sim 1$ , this will render the perturbative expansion useless for sufficiently large Q/m!

# Crucial Question: Can this problem be isolated and controlled?

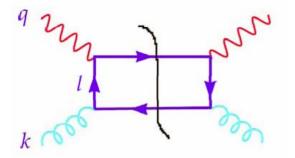
# Answer: yes!

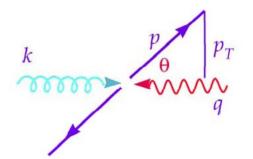
Key ideas:

- The large logarithm is due to the collinear region of the momentum phase space ⇔ long-distance physics in coordinate space;
- The long- and short- distance physics can be systematically separated (factorized);
- The short distance part will be kept. The long-distance part is "universal"; it can be resummed into parton distributions.

This is how it works ...

Physical Picture of the collinear/mass logarithm Step back to examine the Feynman integral



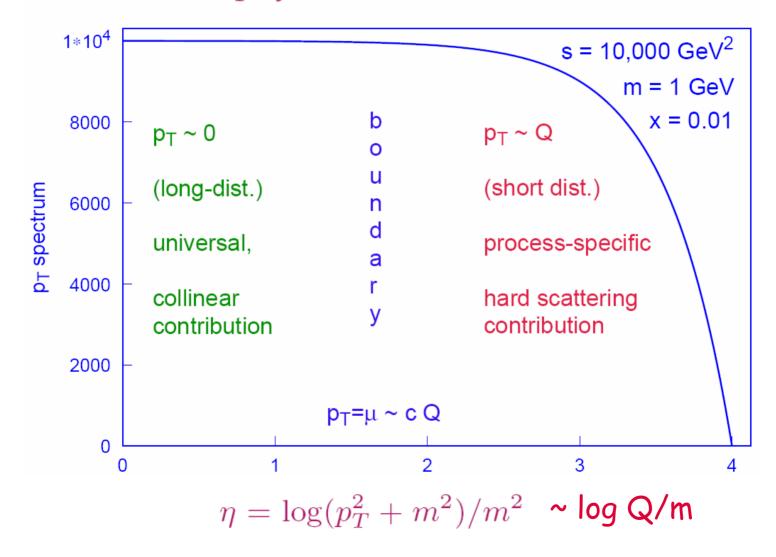


$$F_T(x, \frac{m}{Q}) = \int d\Gamma_2 \frac{N}{(\ell_1^2 - m^2)(\ell_2^2 - m^2)} = \int dp_T^2 \frac{N}{(2k \cdot p_1)(2k \cdot p_2)}$$
  
$$= \int dp_T^2 \frac{N'}{(E - p_L)(E + p_L)} = \int dp_T^2 \frac{1}{E^2 - p_L^2} N'$$
  
$$= \int_0^p \frac{dp_T^2}{p_T^2 + m^2} N'(p_T, x...) = \int_0^{\log(s/4m^2)} d\eta N'(\eta, x...)$$

where  $\eta = \log(p_T^2 + m^2)/m^2$ , and N' is well behaved in the limits  $p_T, \eta \to 0$  and  $m \to 0$ .

# Separation of long/short distance physics

#### - physical, intuitive ideas



• The boundary,  $p_T = \mu_F$  (the factorization scale), is "arbitrary", provided it lies near the upper end of the flat region which separates long/short distance physics.

• A shift of the value of  $\mu_F$  results in shifting a finite term between the long/short distance pieces; the sum (the "physical" structure function) is independent of the choice of  $\mu_F$ .

• Important: the separated (long/short) pieces have quite distinct properties, as listed on the plot.

## These results can be realized analytically ....

## Analytic separation of long/short dist. pieces

• The separation of the long- and short-distance physics can be achieved by introducing an (arbitrary) intermediate scale  $\mu_{(F)}$ , cf. the  $\eta$ -plot.

$$F_T(x, \frac{m}{Q}) = P(x) \log \frac{\mu^2}{m^2} + P(x) \log \frac{s}{4\mu^2} + \tilde{F}(x, \frac{m}{Q})$$
$$= P(x) \log \frac{\mu^2}{m^2} + \hat{F}(x, \frac{Q}{\mu}, \frac{m}{\mu})$$

The first term is manifestly isolated from the hard sc.– it consists of the long dist. log factor  $\log \frac{\mu^2}{m^2}$ , a universal function P(x), the famous "splitting function" for  $g \rightarrow q\bar{q}$ , and the degenerate  $\gamma q \rightarrow q$  partonic S.F..

The second term,  $\hat{F}(x, \frac{Q}{\mu}, \frac{m}{\mu})$ , is "infra-red safe", i.e. it is finite in the limit  $m \to 0$ ; and it contains all the hard scattering physics.

The Emergence of Factorization

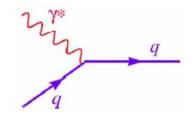
Rewrite our results on Partonic Str. Fns. as:

LO:

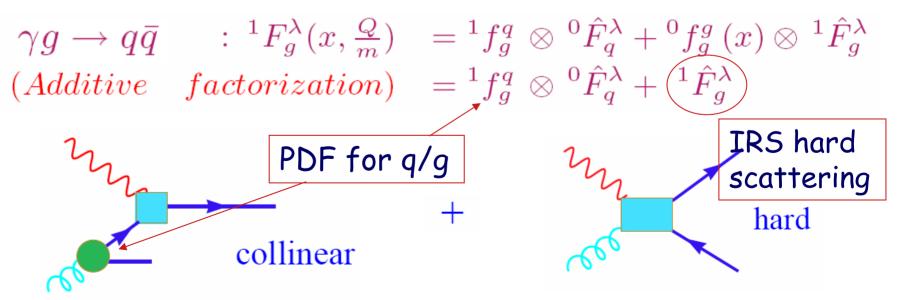
 $: {}^{0}F_{q}^{\lambda}(x, \frac{Q}{m}) = {}^{0}f_{q}^{q'} \otimes {}^{0}\hat{F}_{q'}^{\lambda}$ 

 $\gamma q \rightarrow q$ 

(No gluon term at LO.)



## NLO (gluon fusion term)



## Perturbative PDFs and Hard Cross Sections

perturbative Parton distribution functions:

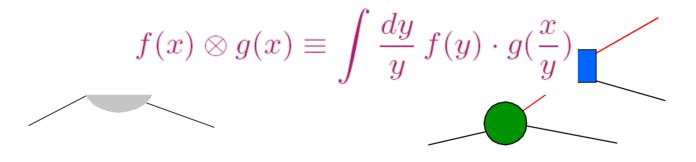
*Hard cross-sections* (Wilson coefficients):

$${}^{0}\hat{F}_{q}^{\lambda}(x,\frac{Q}{m}) = {}^{0}F_{q}^{\lambda}(x,Q) = e_{q}^{2} \,\delta(x-1) \quad cf. \text{ parton sec.}$$
  
$${}^{1}\hat{F}_{g}^{\lambda}(x,\frac{Q}{\mu},\frac{m}{Q}) = {}^{1}F_{g}^{\lambda}(x,\frac{Q}{m}) - {}^{1}f_{g}^{q} \otimes {}^{0}\hat{F}_{q}^{\lambda} \quad cf. \text{ cal. this sec.}$$

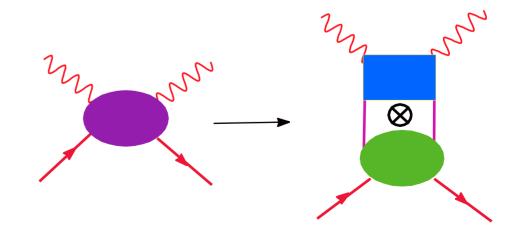
These results can be interpreted as the order  $\alpha_{s}(\mu)$ expansion of a multiplicative factorization formula ... Statement of Factorization Theorem for DIS

$$F_a^{\lambda}(x,\frac{Q}{m}) = \sum_{a'} f_a^{a'}(x,\frac{m}{\mu},\alpha_s) \otimes \hat{F}_{a'}^{\lambda}(x,\frac{Q}{\mu},\frac{m}{Q},\alpha_s)$$

where the convolution  $\otimes$  is defined as: version:



Pictorial representation, full (more accurate) version:



#### From the Illustrative Example to the Real World . . . What issues need to be addressed?

- What happens when we consider the other NLO subprocess  $\gamma q \rightarrow gq$ ?
- What happens when we go to higher and higher orders in  $\alpha_s(\mu)$ ; and to other processes?
- What is the meaning and use of the perturbative "parton distribution functions" encountered in the calculations? (They are associated with long-distance physics and contain colinear and soft singularities, hence are infra-red unsafe.)
- What does factorization of *partonic* cross-sections have to do with *hadronic* X-sections?

- Operationally, how does one calculate the IRS hard cross-sections which are needed in the hadronic factorization formulas?
- How are the universal hadronic parton distribution functions defined and used?
- Where does the scale-dependence of PDF's come from? — Origin of the QCD evolution (DGLAP) equation.
- What about the scale- and scheme-dependence of PQCD predictions on physical X-sections?