

# *Sudakov form factor from Markov property*

*Unitarity*

$$\begin{aligned} P(\text{"some emission"}) + P(\text{"no emission"}) \\ = P(0 < t \leq T) + \bar{P}(0 < t \leq T) = 1 . \end{aligned}$$

*Multiplication law (no memory)*

$$\bar{P}(0 < t \leq T) = \bar{P}(0 < t \leq t_1) \bar{P}(t_1 < t \leq T)$$

# Sudakov form factor from Markov property

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## Multiplication law (no memory)

$$\bar{P}(0 < t \leq T) = \bar{P}(0 < t \leq t_1) \bar{P}(t_1 < t \leq T)$$

Then subdivide into  $n$  pieces:  $t_i = \frac{i}{n}T, 0 \leq i \leq n$ .

$$\begin{aligned} \bar{P}(0 < t \leq T) &= \lim_{n \rightarrow \infty} \prod_{i=0}^{n-1} \bar{P}(t_i < t \leq t_{i+1}) = \lim_{n \rightarrow \infty} \prod_{i=0}^{n-1} (1 - P(t_i < t \leq t_{i+1})) \\ &= \exp \left( - \lim_{n \rightarrow \infty} \sum_{i=0}^{n-1} P(t_i < t \leq t_{i+1}) \right) = \exp \left( - \int_0^T \frac{dP(t)}{dt} dt \right). \end{aligned}$$

## Sudakov form factor

Again, no-emission probability!

$$\bar{P}(0 < t \leq T) = \exp\left(-\int_0^T \frac{dP(t)}{dt} dt\right)$$

So,

$$\begin{aligned} dP(\text{first emission at } T) &= dP(T)\bar{P}(0 < t \leq T) \\ &= dP(T)\exp\left(-\int_0^T \frac{dP(t)}{dt} dt\right) \end{aligned}$$

**That's what we need for our parton shower!** Probability density for next emission at  $t$ :

$$dP(\text{next emission at } t) = \frac{dt}{t} \int_{z_-}^{z_+} \frac{\alpha_S(z, t)}{2\pi} \hat{P}(z, t) dz \exp\left[-\int_{t_0}^t \frac{dt}{t} \int_{z_-}^{z_+} \frac{\alpha_S(z, t)}{2\pi} \hat{P}(z, t) dz\right]$$

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Hence, parton shower very roughly from (HERWIG):

1. Choose flat random number  $0 \leq \rho \leq 1$ .
2. If  $\rho < \Delta(t_{\max})$ : no resolvable emission, stop this branch.
3. Else solve  $\rho = \Delta(t_{\max})/\Delta(t)$   
(= no emission between  $t_{\max}$  and  $t$ ) for  $t$ .  
Reset  $t_{\max} = t$  and goto 1.

Determine  $z$  essentially according to integrand in front of exp.

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Conveniently, the probability distribution is  $\Delta(t)$  itself.

- ▶ That was old HERWIG variant. Relies on (numerical) integration/tabulation for  $\Delta(t)$ .
- ▶ Pythia, now also Herwig++, use the **Veto Algorithm**.
- ▶ Method to sample  $x$  from distribution of the type

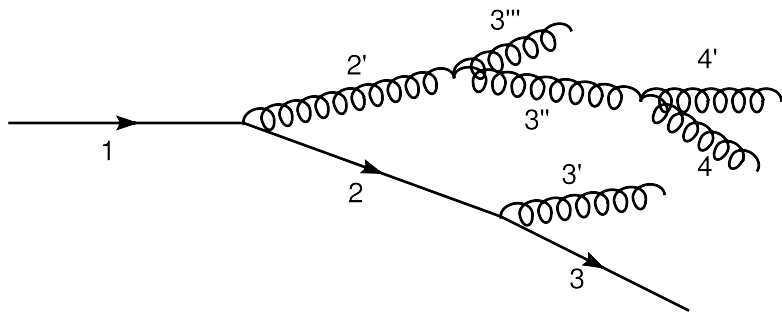
$$dP = F(x) \exp \left[ - \int^x dx' F(x') \right] dx .$$

Simpler, more flexible, but slightly slower.



# Parton cascade

Get tree structure, ordered in evolution variable  $t$ :



Here:  $t_1 > t_2 > t_3; t_2 > t_{3'}$  etc.

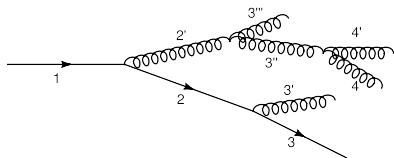
Construct four momenta from  $(t_i, z_i)$  and (random) azimuth  $\phi$ .

Not at all unique!

Many (more or less clever) choices still to be made.



Get tree structure, ordered in evolution variable  $t$ :



- ▶  $t$  can be  $\theta$ ,  $Q^2$ ,  $p_{\perp}$ , ...
- ▶ Choice of hard scale  $t_{\max}$  not fixed. "Some hard scale".
- ▶  $z$  can be light cone momentum fraction, energy fraction, ...
- ▶ Available parton shower phase space.
- ▶ Integration limits.
- ▶ Regularisation of soft singularities.
- ▶ ...

Good choices needed here to describe wealth of data!

# Soft emissions

- ▶ Only *collinear* emissions so far.
- ▶ Including *collinear+soft*.
- ▶ *Large angle+soft* also important.

## Soft emissions

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Soft emission: consider *eikonal factors*,  
here for  $q(p+q) \rightarrow q(p)g(q)$ , soft  $g$ :

$$u(p) \not{\epsilon} \frac{\not{p} + \not{q} + m}{(p+q)^2 - m^2} \longrightarrow u(p) \frac{p \cdot \epsilon}{p \cdot q}$$

soft factorisation. Universal, *i.e.* independent of emitter.  
In general:

$$d\sigma_{n+1} = d\sigma_n \frac{d\omega}{\omega} \frac{d\Omega}{2\pi} \frac{\alpha_S}{2\pi} \sum_{ij} C_{ij} W_{ij} \quad (\text{"QCD-Antenna"})$$

with

$$W_{ij} = \frac{1 - \cos \theta_{ij}}{(1 - \cos \theta_{iq})(1 - \cos \theta_{jq})} .$$

We define

$$W_{ij} = \frac{1 - \cos \theta_{ij}}{(1 - \cos \theta_{iq})(1 - \cos \theta_{qj})} \equiv W_{ij}^{(i)} + W_{ij}^{(j)}$$

with

$$W_{ij}^{(i)} = \frac{1}{2} \left( W_{ij} + \frac{1}{1 - \cos \theta_{iq}} - \frac{1}{1 - \cos \theta_{qj}} \right).$$

$W_{ij}^{(i)}$  is only collinear divergent if  $q \parallel i$  etc .

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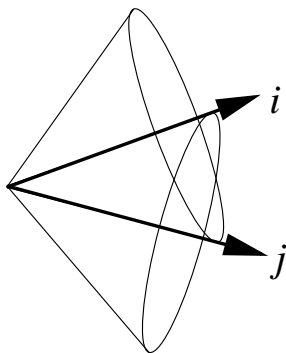
After integrating out the azimuthal angles, we find

$$\int \frac{d\phi_{iq}}{2\pi} W_{ij}^{(i)} = \begin{cases} \frac{1}{1 - \cos \theta_{iq}} & (\theta_{iq} < \theta_{ij}) \\ 0 & \text{otherwise} \end{cases}$$

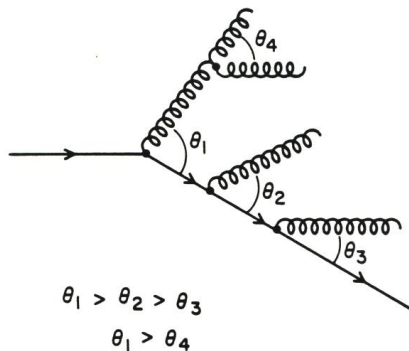
That's angular ordering.

# Angular ordering

Radiation from parton  $i$  is bound to a cone, given by the colour partner parton  $j$ .



Results in angular ordered parton shower and suppresses soft gluons viz. hadrons in a jet.



Events with 2 hard ( $> 100$  GeV) jets and a soft 3rd jet ( $\sim 10$  GeV)

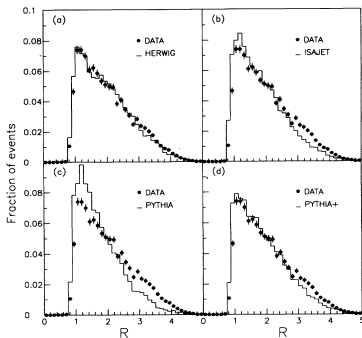


FIG. 14. Observed  $R$  distribution compared to the predictions of (a) HERWIG; (b) ISAJET; (c) PYTHIA; (d) PYTHIA+.

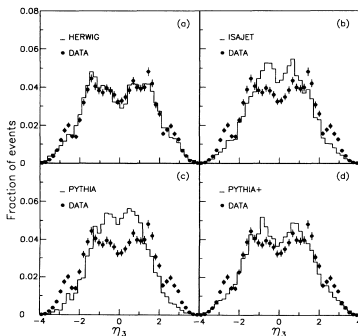


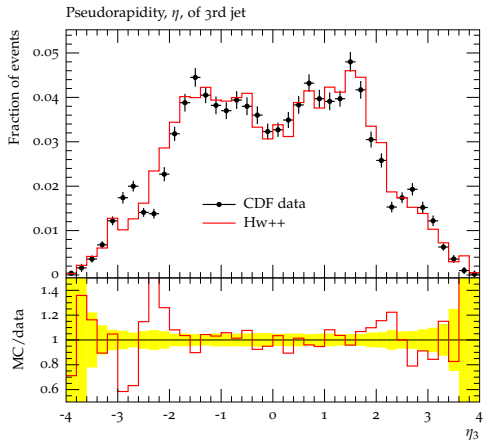
FIG. 13. Observed  $\eta_3$  distribution compared to the predictions of (a) HERWIG; (b) ISAJET; (c) PYTHIA; (d) PYTHIA+.

F. Abe *et al.* [CDF Collaboration], Phys. Rev. D **50** (1994) 5562.

Best description with angular ordering.

# Colour coherence from CDF

Events with 2 hard ( $> 100$  GeV) jets and a soft 3rd jet ( $\sim 10$  GeV)

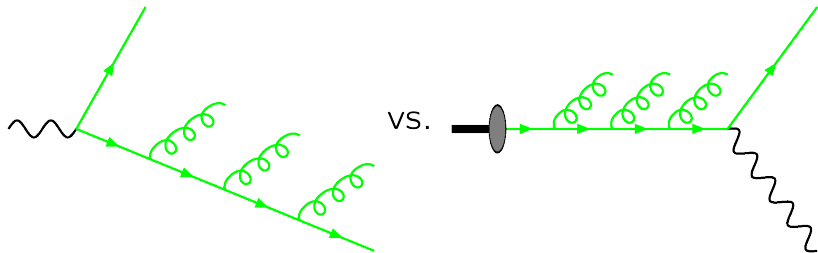


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Best description with angular ordering.



## Initial state radiation



Similar to final state radiation. Sudakov form factor ( $x' = x/z$ )

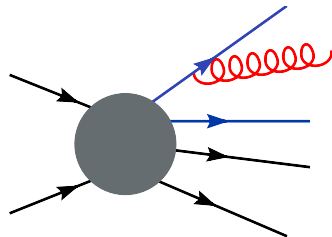
$$\Delta(t, t_{\max}) = \exp \left[ - \sum_b \int_t^{t_{\max}} \frac{dt}{t} \int_{z_-}^{z_+} dz \frac{\alpha_S(z, t)}{2\pi} \frac{x' f_b(x', t)}{x f_a(x, t)} \hat{P}_{ba}(z, t) \right]$$

Have to **divide out the pdfs**.



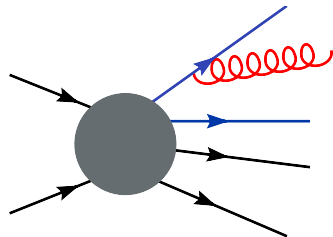
Exact kinematics when recoil is taken by `spectator(s)`.

- ▶ Dipole showers.
- ▶ Ariadne.
- ▶ Recoils in Pythia.



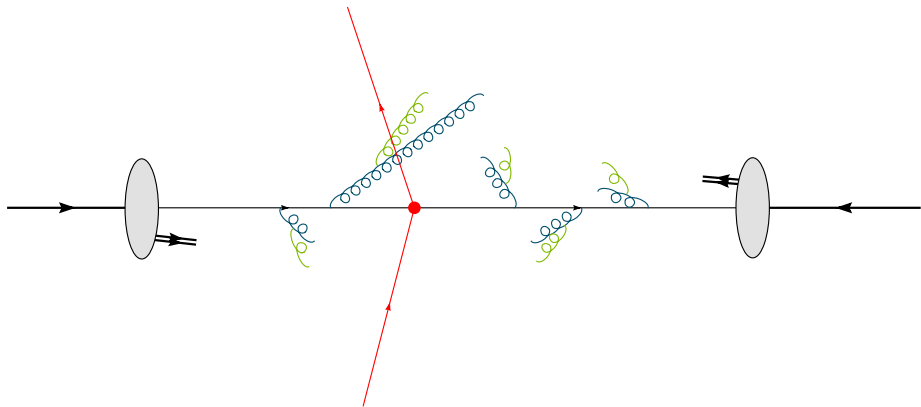
Exact kinematics when recoil is taken by *spectator(s)*.

- ▶ Dipole showers.
- ▶ Ariadne.
- ▶ Recoils in Pythia.
- ▶ New dipole showers, based on
  - ▶ Catani Seymour dipoles.
  - ▶ QCD Antennae.
  - ▶ Goal: matching with NLO.
- ▶ Generalized to IS–IS, IS–FS.

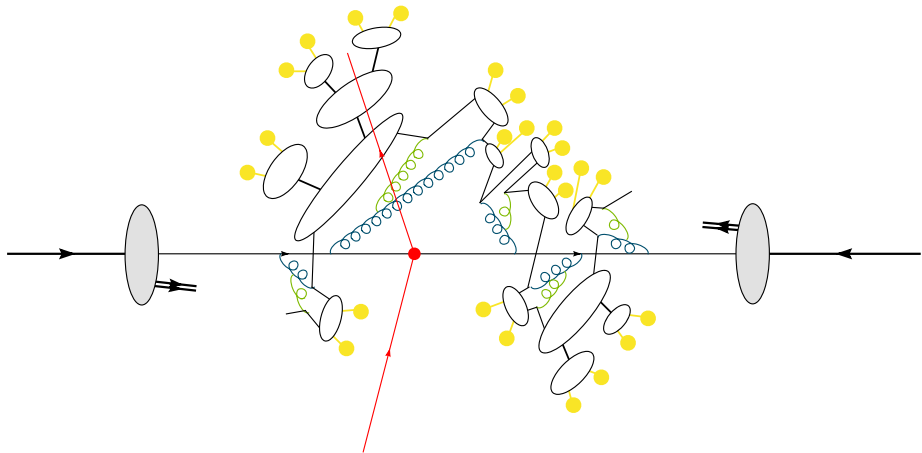


# Hadronization

# Parton shower



# Parton shower $\longrightarrow$ hadrons



## Parton shower $\longrightarrow$ hadrons

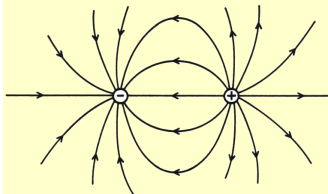
- ▶ Parton shower terminated at  $t_0 =$  lower end of PT.
- ▶ Can't measure quarks and gluons.
- ▶ Degrees of freedom in the detector are **hadrons**.
- ▶ Need a description of **confinement**.



# Physical input

Self coupling of gluons  
 $\leftrightarrow$  “attractive field lines”

QED FIELD LINES

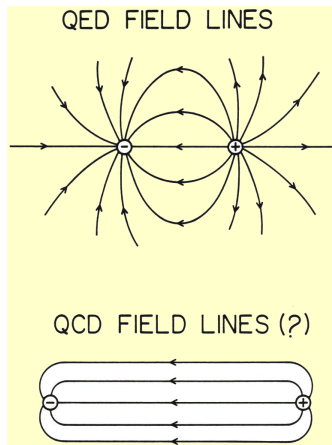


QCD FIELD LINES (?)

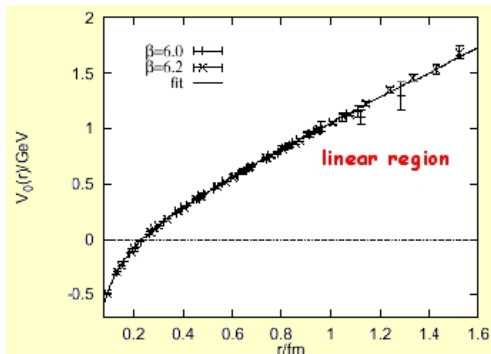


# Physical input

Self coupling of gluons  
 $\leftrightarrow$  “attractive field lines”



Linear static potential  $V(r) \approx \kappa r$ .



Supported by lattice QCD,  
hadron spectroscopy.

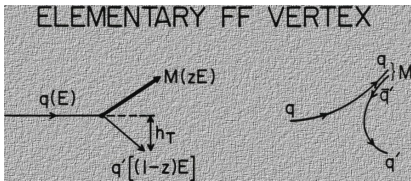
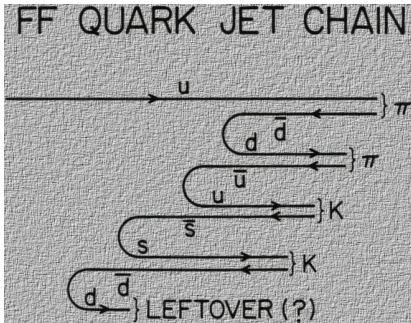
Older models:

- ▶ Flux tube model.
- ▶ Independent fragmentation.

Today's models.

- ▶ Lund string model (Pythia).
- ▶ Cluster model (Herwig).

# Independent fragmentation



Feynman–Field fragmentation ('78).

- ▶  $q\bar{q}$  pairs created from vacuum to dress bare quarks.
- ▶ Fragmentation function  $f_{q \rightarrow h}(z)$  = density of momentum fraction  $z$  carried away by hadron  $h$  from quark  $q$ .
- ▶ Gaussian  $p_{\perp}$  distribution.

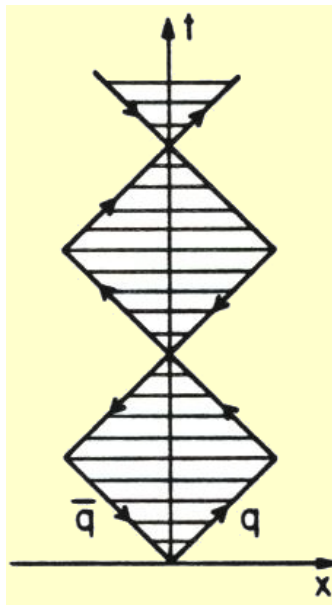


# Lund string model

String model of mesons.

$L = 0$  mesons move in yoyo modes.

Area law:  $m^2 \sim \text{area}$ .



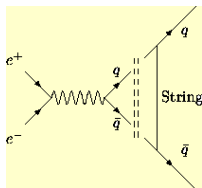
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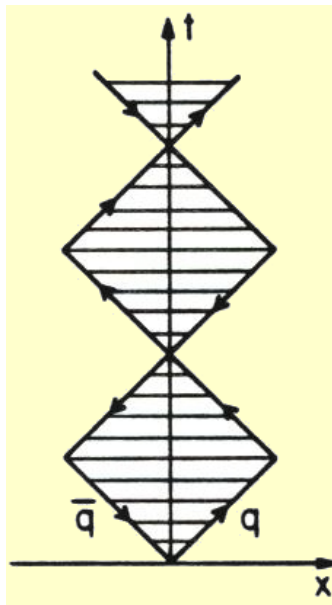
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Simple model for particle production  
in  $e^+e^-$  annihilation:



$q\bar{q}$  pair as pointlike source of string.

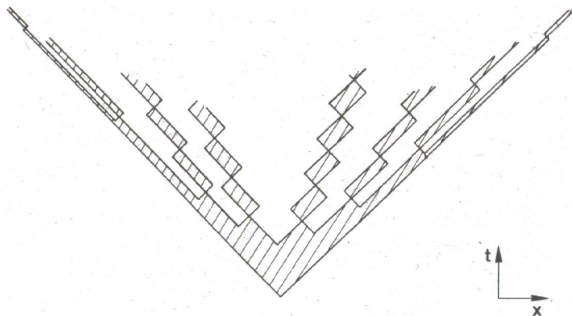


# Lund string model

String energy  $\sim$  intense chromomagnetic field.

$\rightarrow$  Additional  $q\bar{q}$  pairs created by QM tunneling.

$$\frac{d\text{Prob}}{dxdt} \sim \exp\left(-\pi m_q^2 / \kappa\right) \quad \kappa \sim 1 \text{ GeV}.$$

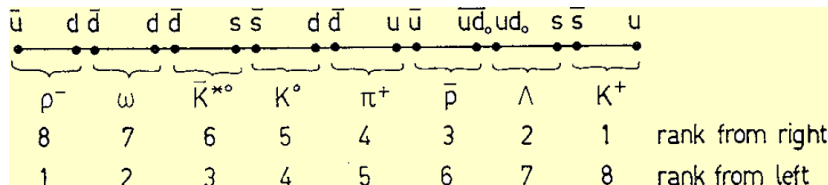


String breaking expected long before yoyo point.



# Lund string model

Ajacent breaks form hadrons.



Works in both directions (symmetry).

Lund symmetric fragmentation function

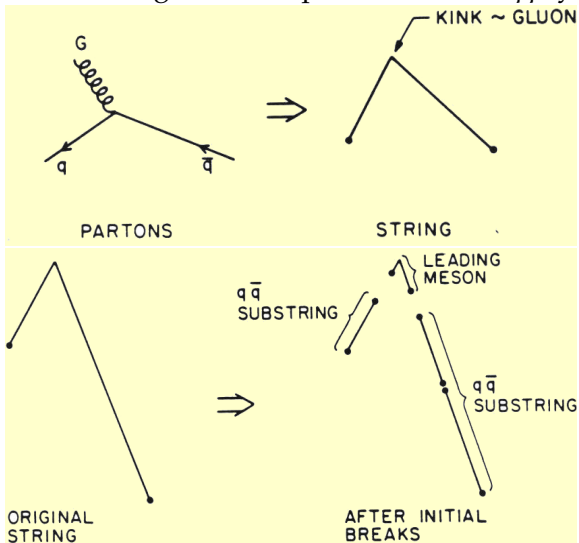
$$f(z, p_{\perp}) \sim \frac{1}{z} (1-z)^a \exp\left(-\frac{b(m_h^2 + p_{\perp}^2)}{z}\right)$$

$a, b, m_h^2$  main adjustable parameters.

Note: diquarks  $\rightarrow$  baryons.

# Lund string model

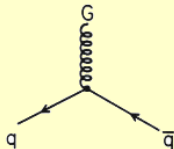
gluon = kink on string = motion pushed into the  $q\bar{q}$  system.



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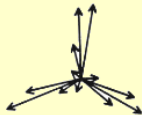
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SYMMETRIC PARTON CONFIGURATION



HADRONIZATION

INDEPENDENT  
FRAGMENTATION

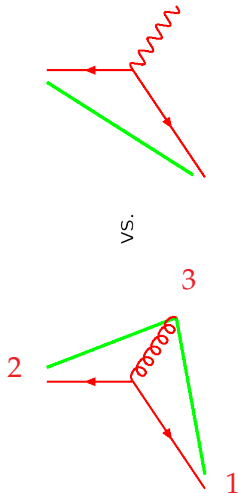


LUND  
PICTURE

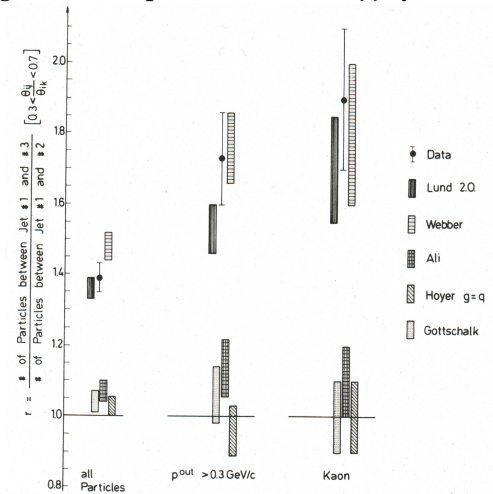


# Lund string model

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*"String effect"*



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- ▶ Strong physical motivation.
- ▶ Very successful description of data.
- ▶ Universal description of data  
(fit at  $e^+e^-$ , transfer to hadron-hadron).
- ▶ Many parameters,  $\sim 1$  per hadron.
- ▶ Too easy to hide errors in perturbative description?

Some remarks:

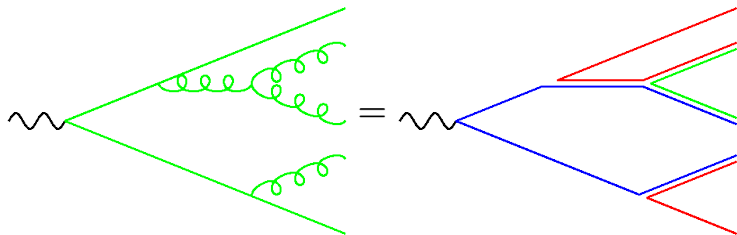
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→ try to use more QCD information/intuition.

# Colour preconfinement

Large  $N_C$  limit  $\rightarrow$  planar graphs dominate.

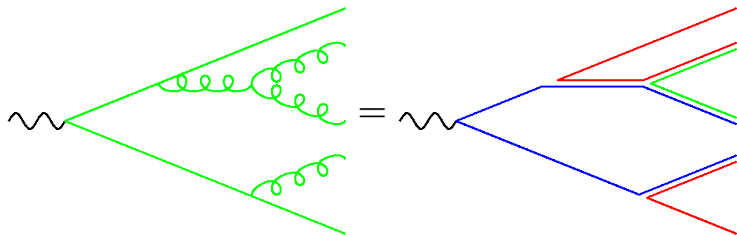
Gluon = colour — anticolourpair





# Colour preconfinement

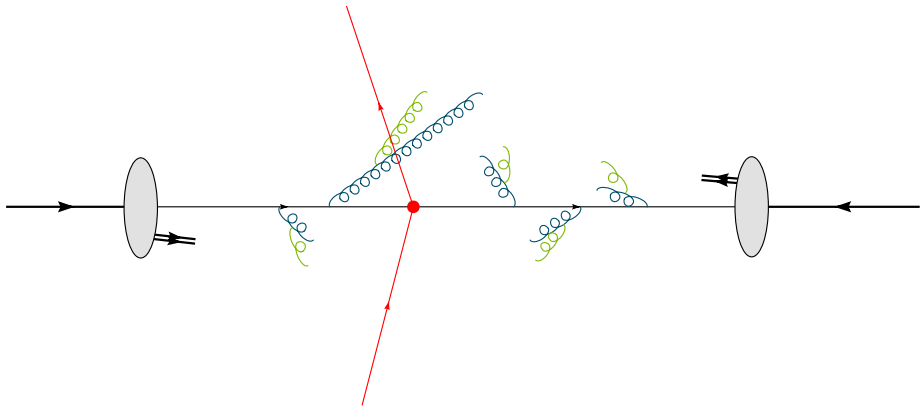
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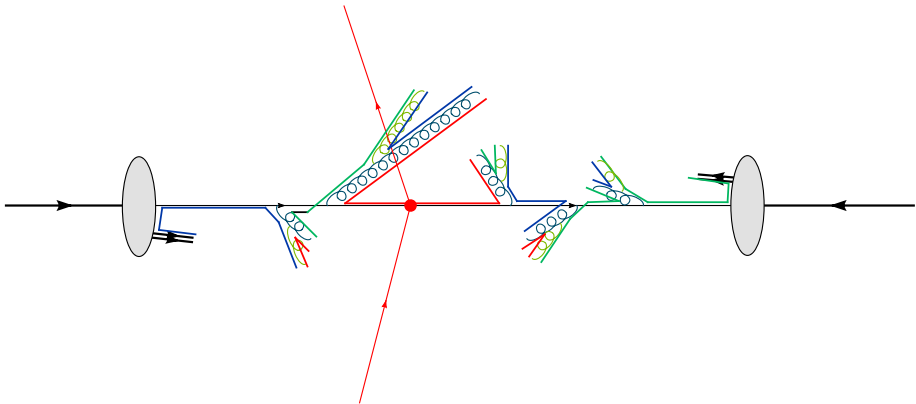
Parton shower organises partons in colour space. Colour partners (=colour singlet pairs) end up close in phase space.

$\rightarrow$  Cluster hadronization model

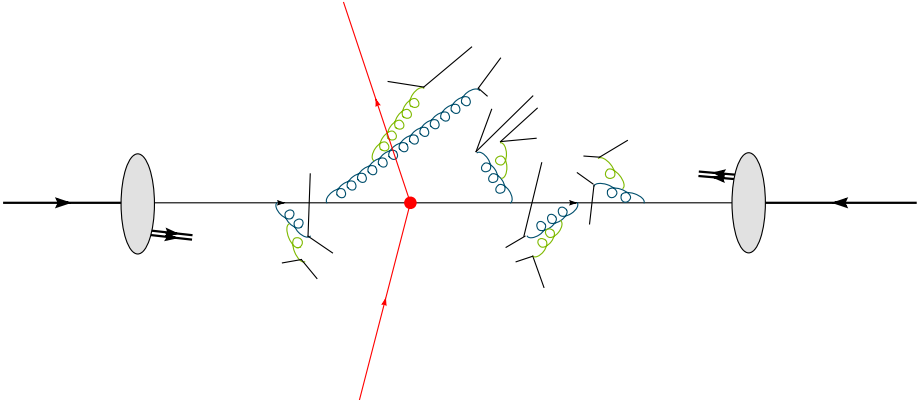
# Cluster hadronization



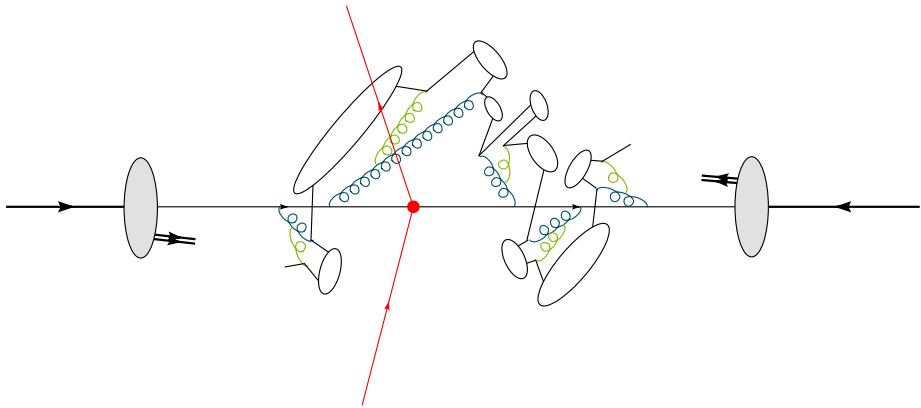
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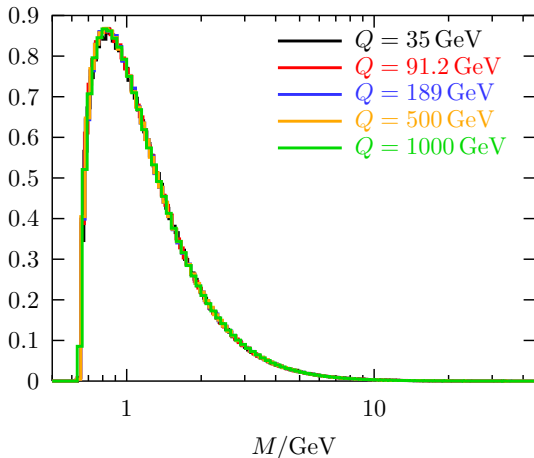
# Cluster hadronization



# Cluster hadronization

Primary cluster mass spectrum independent of production mechanism. Peaked at some low mass.

Primary Light Clusters



# Cluster hadronization

Primary cluster mass spectrum independent of production mechanism. Peaked at some low mass.

Cluster = continuum of high mass resonances.

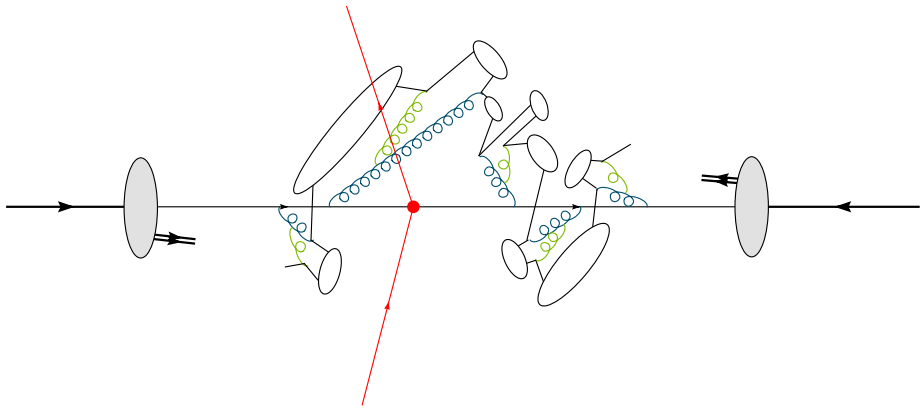
Decay into well-known lighter mass resonances  
= discrete spectrum of hadrons.

No spin information carried over, i.e. only phase space.

Suppression of heavier particles  
(particularly baryons, can be problematic).

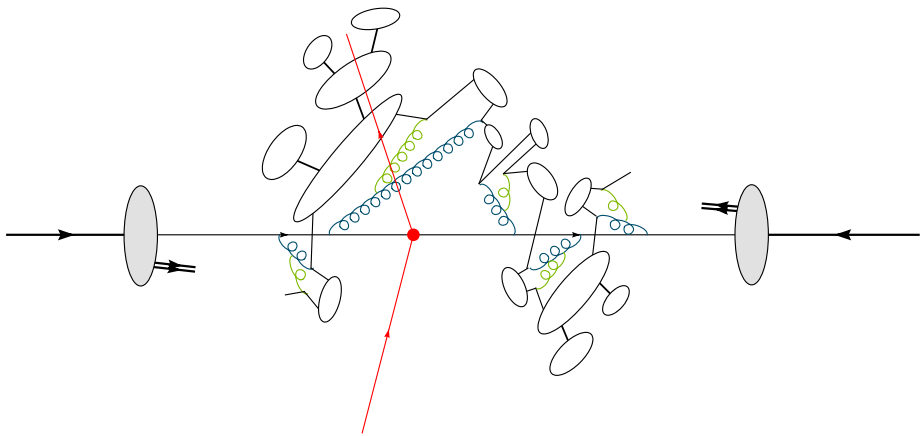
Cluster spectrum determined entirely by parton shower,  
i.e. perturbation theory. Hence,  $t_0$  crucial parameter.

# Cluster hadronization

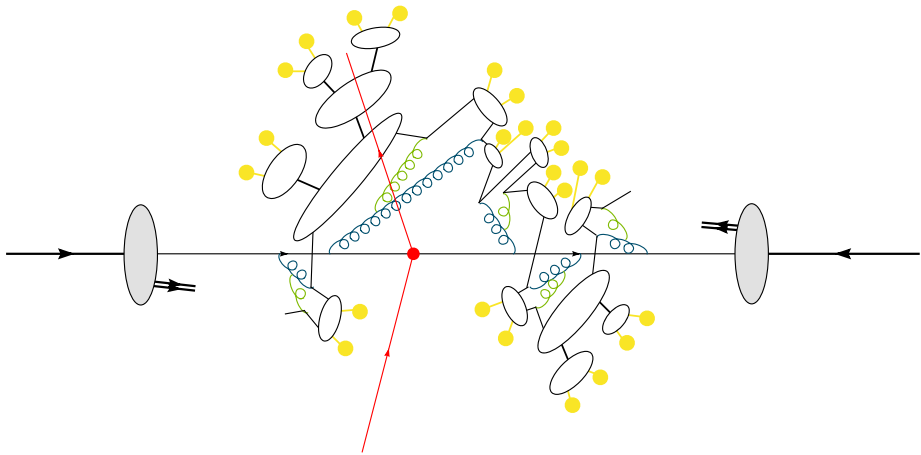




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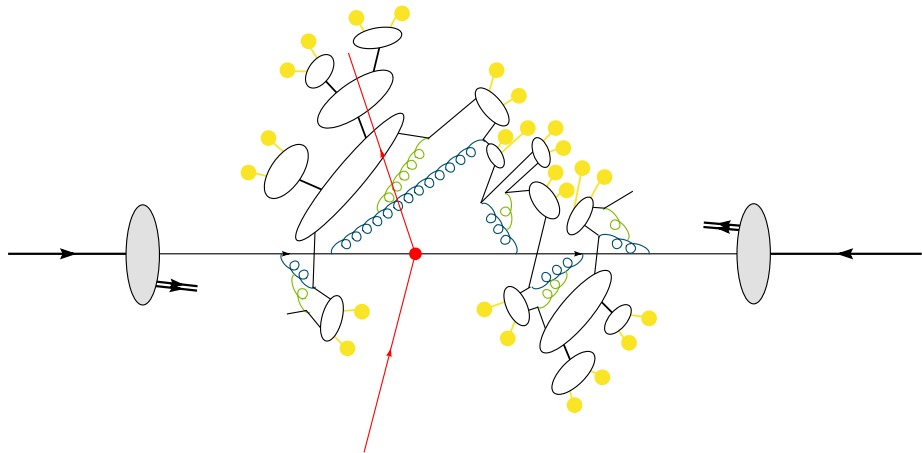
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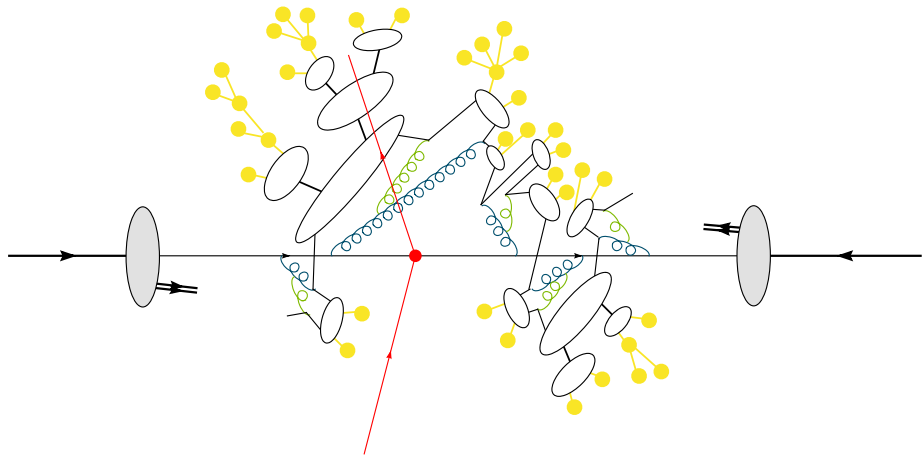
- ▶ Only string and cluster models used in recent MC programs.  
Independent fragmentation only for inclusive observables.
- ▶ Strings started non-perturbatively, improved by parton shower.
- ▶ Cluster model started mostly on perturbative side, improved by string like cluster fission.

# Hadronic Decays

# Hadronic decays



# Hadronic decays



Many aspects:

$$B^{*0} \rightarrow \gamma B^0$$

$$\hookrightarrow \bar{B}^0$$

$$\hookrightarrow e^- \bar{\nu}_e D^{*+}$$

$$\hookrightarrow \pi^+ D^0$$

$$\hookrightarrow K^- \rho^+$$

$$\hookrightarrow \pi^+ \pi^0$$

$$\hookrightarrow e^+ e^- \gamma$$

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EM decay.



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Weak mixing.

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$$B^{*0} \rightarrow \gamma B^0$$

$$\hookrightarrow \bar{B}^0$$

$$\hookrightarrow e^- \bar{\nu}_e D^{*+}$$

$$\hookrightarrow \pi^+ D^0$$

$$\hookrightarrow K^- \rho^+$$

$$\hookrightarrow \pi^+ \pi^0$$

$$\hookrightarrow e^+ e^- \gamma$$

Strong decay.

Many aspects:

$$B^{*0} \rightarrow \gamma B^0$$

$$\hookrightarrow \bar{B}^0$$

$$\hookrightarrow e^- \bar{\nu}_e D^{*+}$$

$$\hookrightarrow \pi^+ D^0$$

$$\hookrightarrow K^- \rho^+$$

$$\hookrightarrow \pi^+ \pi^0$$

$$\hookrightarrow e^+ e^- \gamma$$

Weak decay,  $\rho^+$  mass smeared.

Many aspects:

$$B^{*0} \rightarrow \gamma B^0$$

$$\hookrightarrow \bar{B}^0$$

$$\hookrightarrow e^- \bar{\nu}_e D^{*+}$$

$$\hookrightarrow \pi^+ D^0$$

$$\hookrightarrow K^- \rho^+$$

$$\hookrightarrow \pi^+ \pi^0$$

$$\hookrightarrow e^+ e^- \gamma$$

$\rho^+$  polarized, angular correlations.

Many aspects:

$$B^{*0} \rightarrow \gamma B^0$$

$$\hookrightarrow \bar{B}^0$$

$$\hookrightarrow e^- \bar{\nu}_e D^{*+}$$

$$\hookrightarrow \pi^+ D^0$$

$$\hookrightarrow K^- \rho^+$$

$$\hookrightarrow \pi^+ \pi^0$$

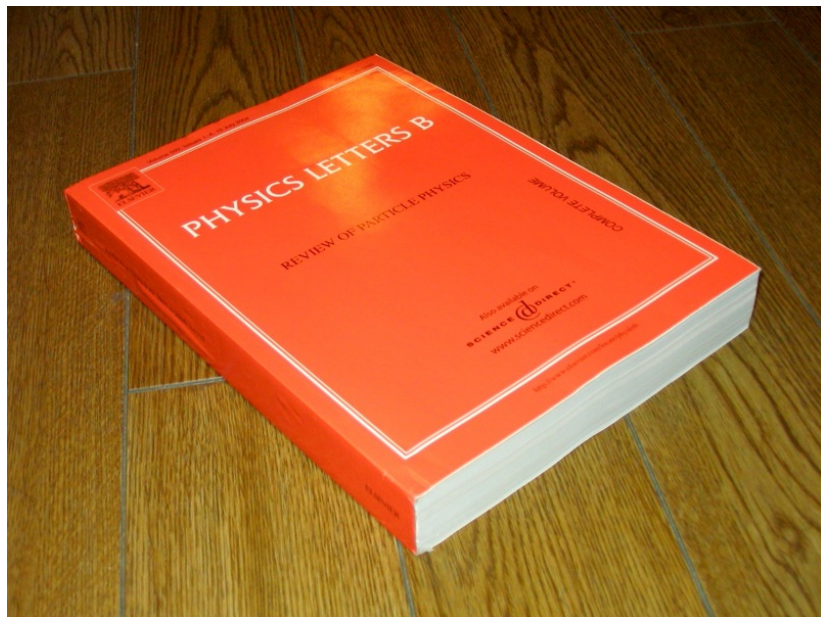
$$\hookrightarrow e^+ e^- \gamma$$

Dalitz decay,  $m_{ee}$  peaked.

Tedious.

100s of different particles, 1000s of decay modes,  
phenomenological matrix elements with parametrized form  
factors...

# Hadronic decays





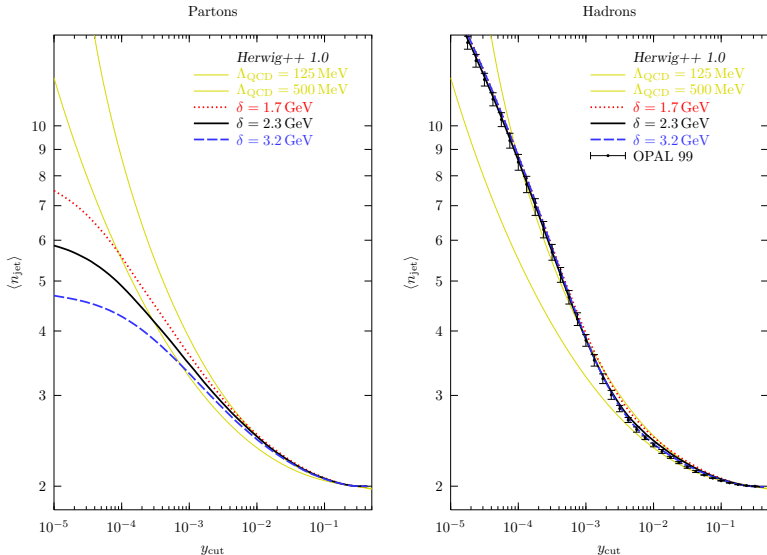
# A few plots

## How well does it work?

- ▶  $e^+e^- \rightarrow$  hadrons, mostly at LEP.
- ▶ Jet shapes, jet rates, event shapes, identified particles...
- ▶ 'Tuning' of parameters.
- ▶ Want to get *everything* right with *one* parameter set.
- ▶ Compare to literally 100s of plots.

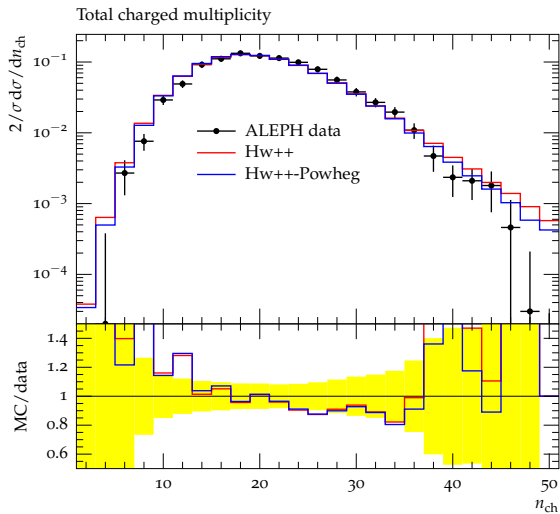
# How well does it work?

Smooth interplay between shower and hadronization.



# How well does it work?

$N_{\text{ch}}$  at LEP. Crucial for  $t_0$  (Herwig++ 2.5.2)



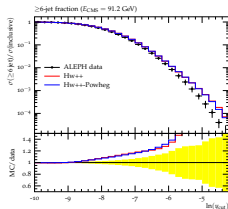
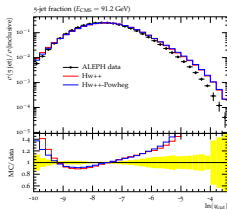
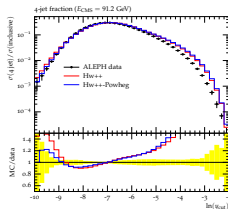
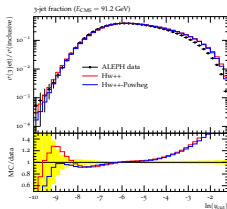
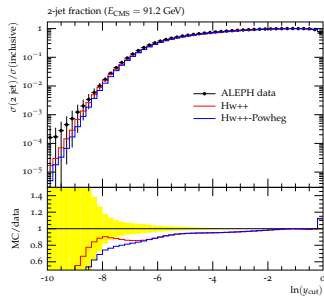
# How well does it work?

## Jet rates at LEP.

$$R_n = \sigma(n\text{-jets})/\sigma(\text{jets})$$

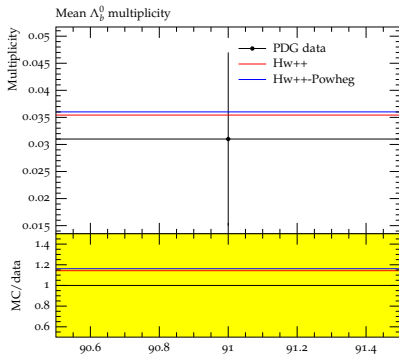
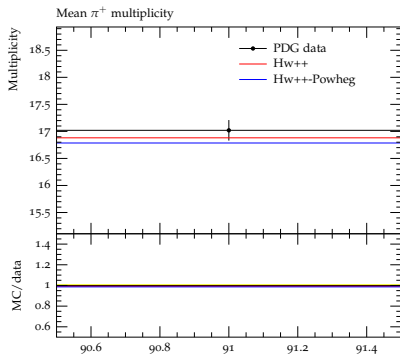
$$R_6 = \sigma(> 5\text{-jets})/\sigma(\text{jets})$$

(Herwig++ 2.5.2)



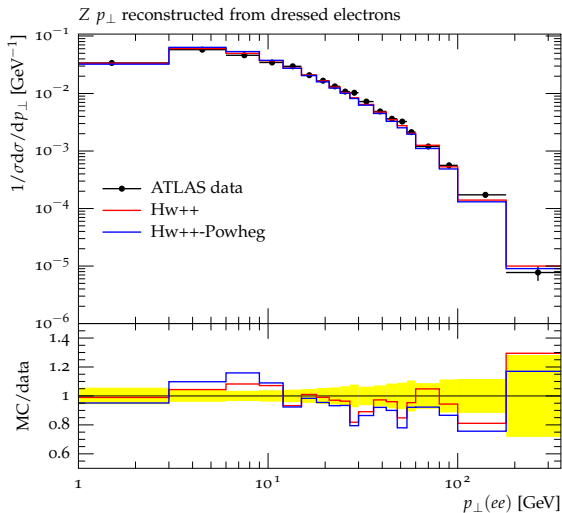
# How well does it work?

## Hadron Multiplicities at LEP (e.g. $\pi^+$ , $\Lambda_b^0$ ).

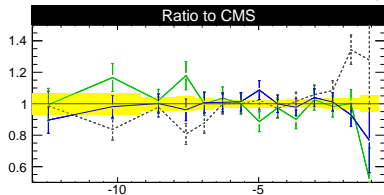
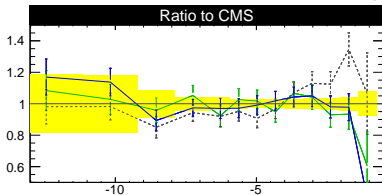
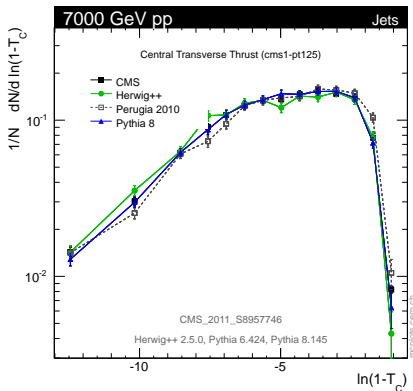
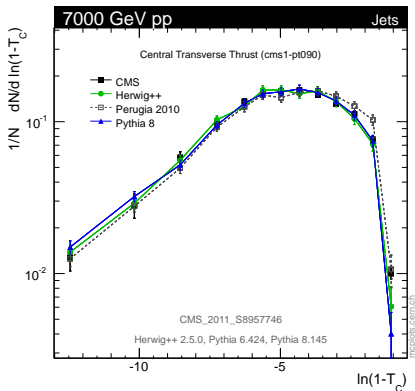


# How well does it work?

$p_{\perp}(Z^0) \rightarrow$  intrinsic  $k_{\perp}$  (LHC 7 TeV).

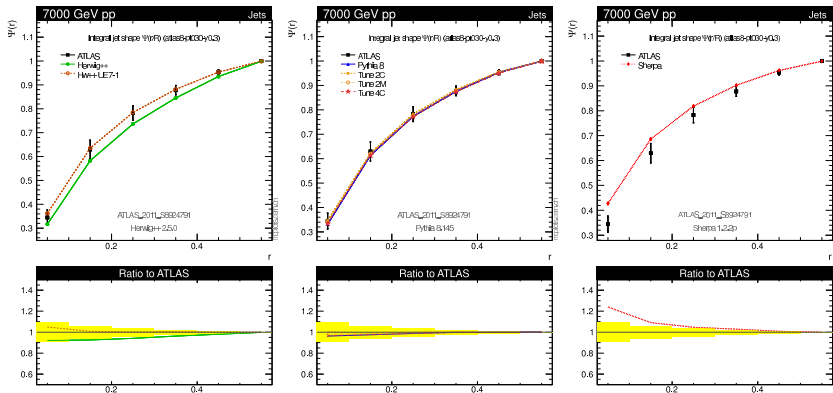


# Transverse thrust

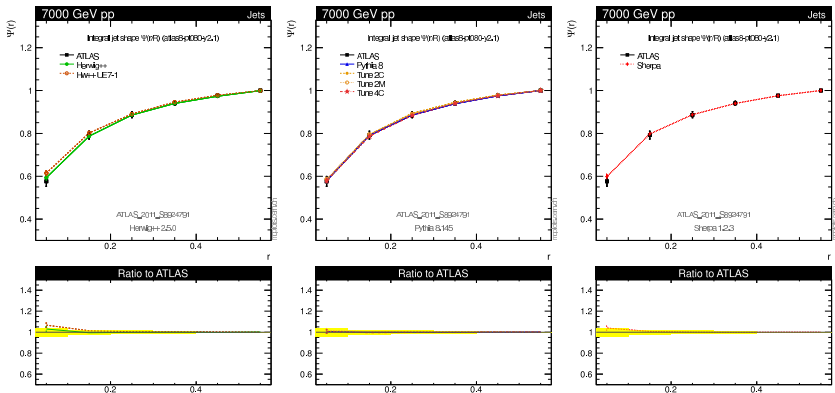




not too hard, central ( $30 < p_T/\text{GeV} < 40; 0 < |y| < 0.3$ )

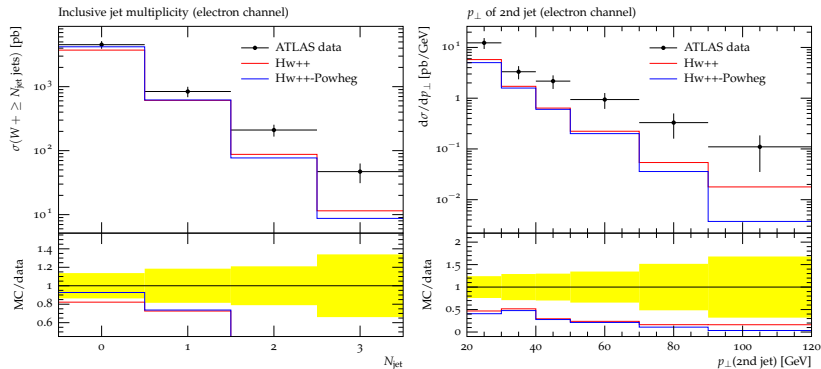


harder, more forward ( $80 < p_T/\text{GeV} < 110; 1.2 < |y| < 2.1$ )



# Limits of parton shower

## W + jets, LHC 7 TeV.

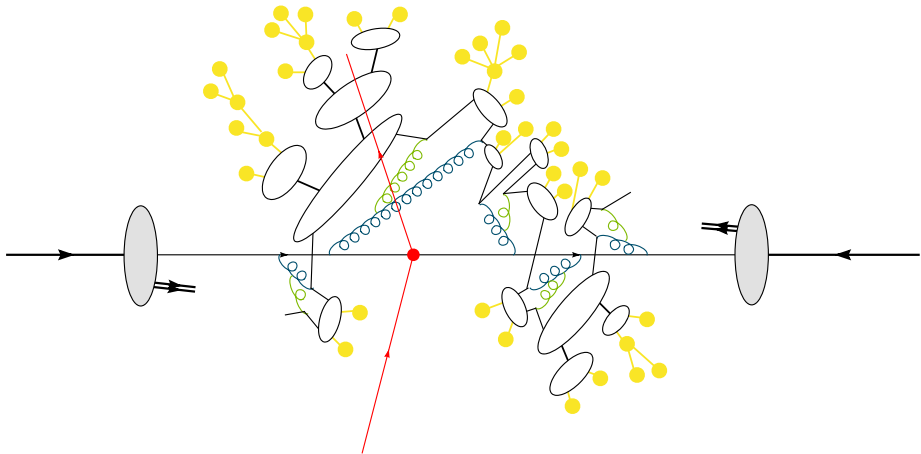


Higher jets not covered by parton shower only  $\rightarrow$  matching.

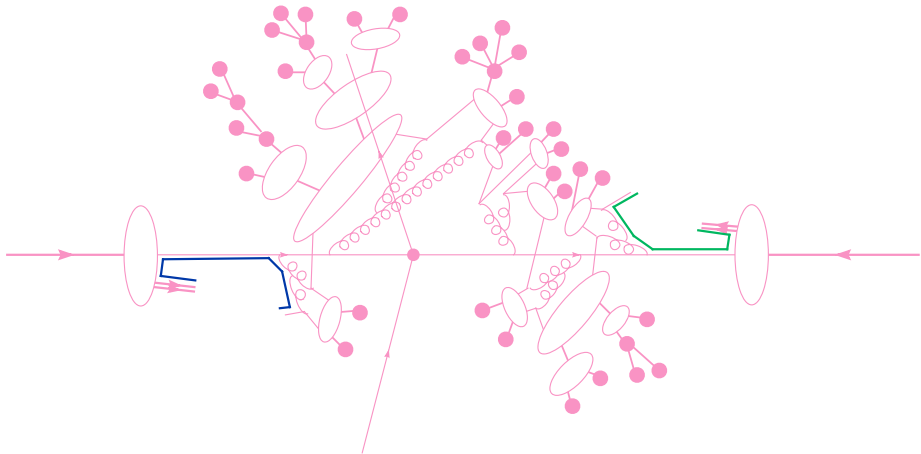
# Multiple Partonic Interactions

(very sketchy)

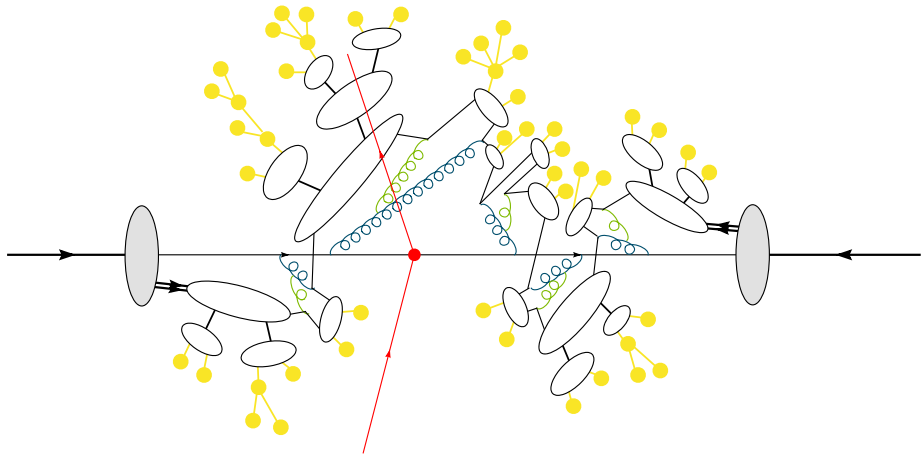
# What about the remnants?



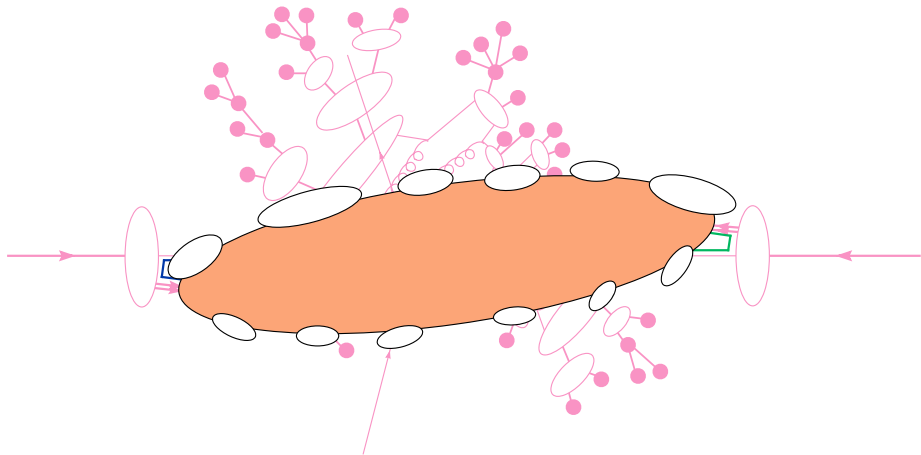
# What about the remnants?



# What about the remnants?

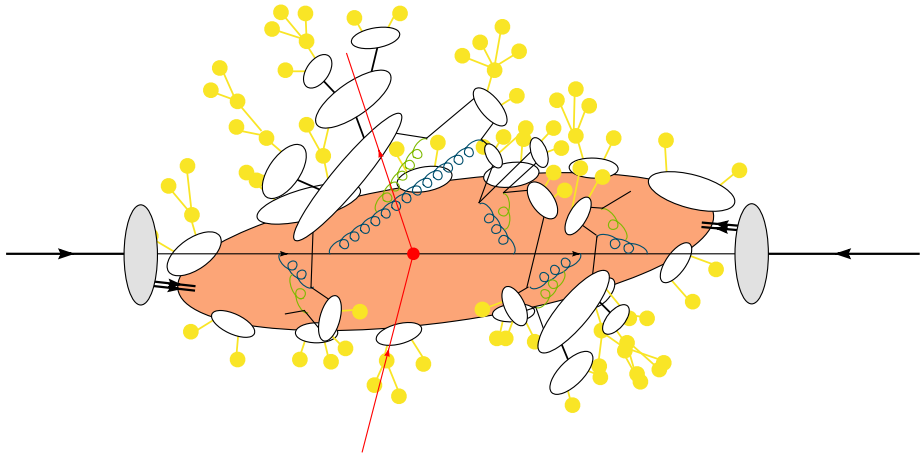


# What about the remnants?

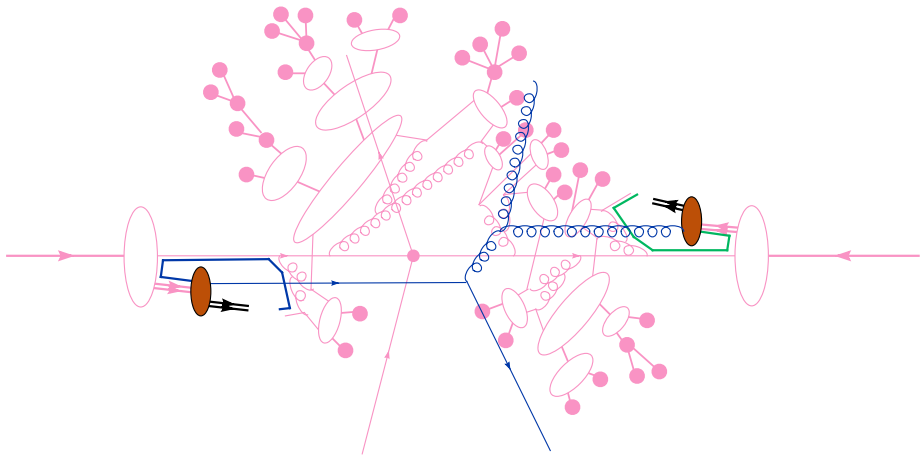




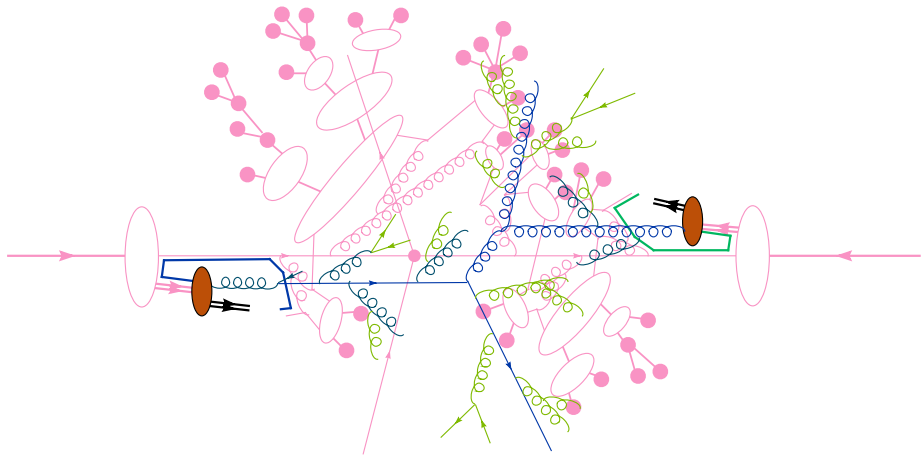
# What about the remnants?



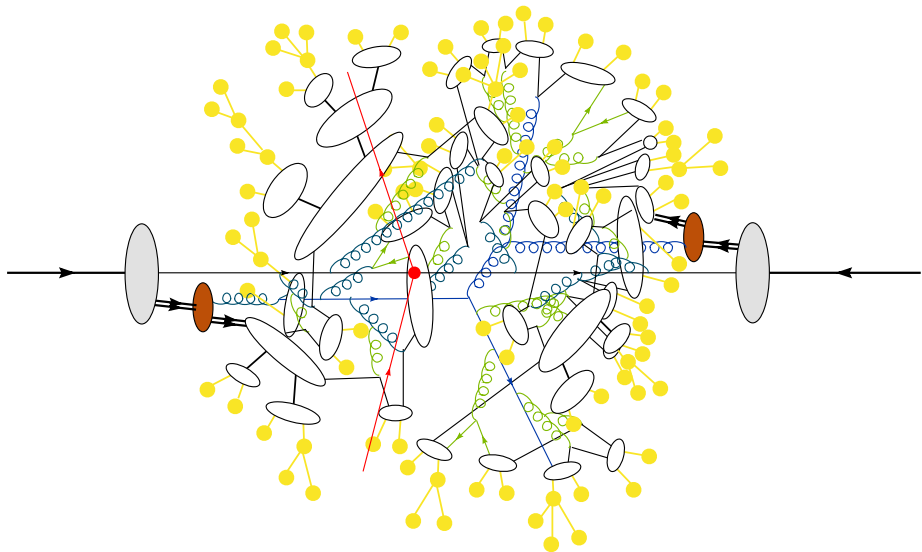
# What about the remnants?



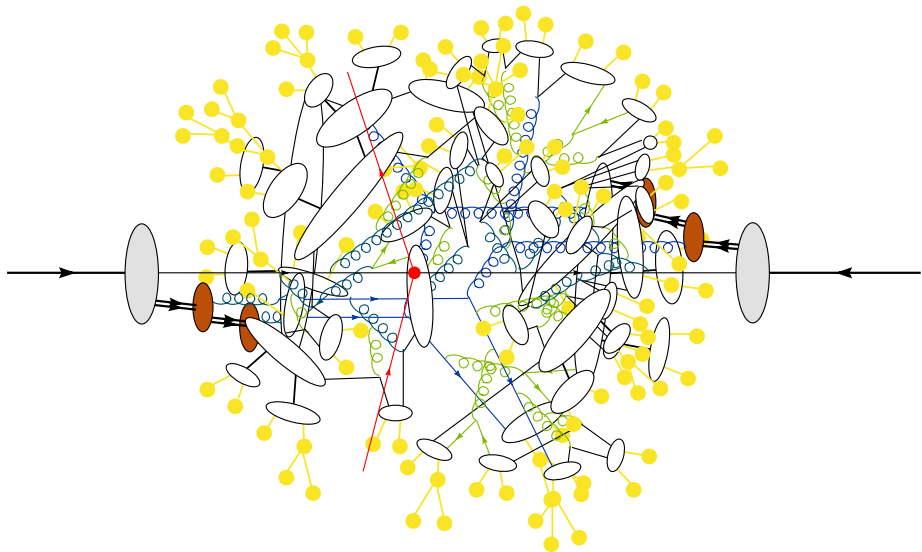
# What about the remnants?



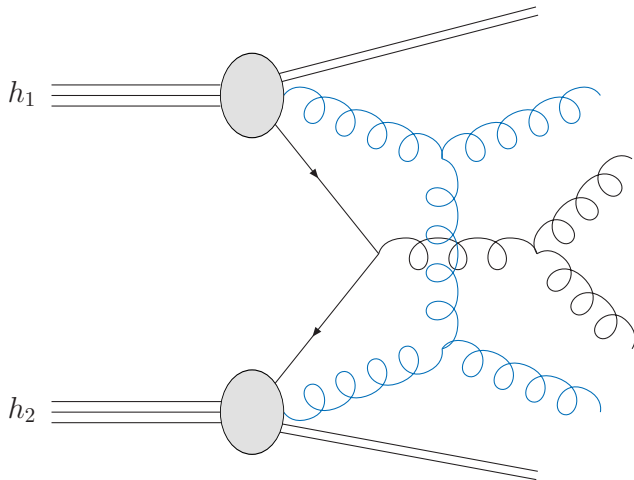
# What about the remnants?



# What about the remnants?



## Multiple hard interactions

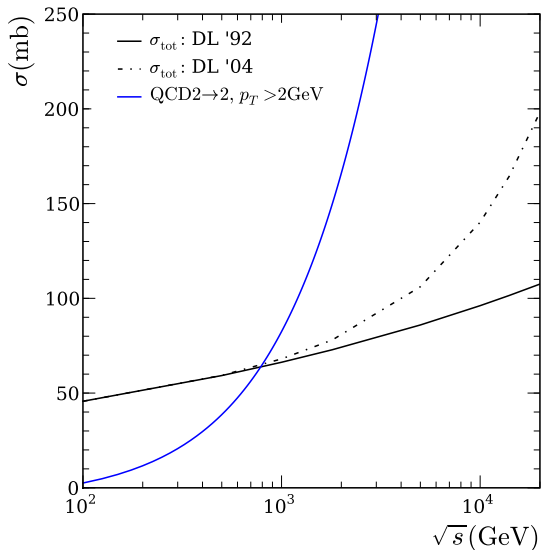


Starting point: hard inclusive jet cross section.

$$\sigma^{\text{inc}}(s; p_t^{\text{min}}) = \sum_{i,j} \int_{p_t^{\text{min}^2}^2} dp_t^2 f_{i/h_1}(x_1, \mu^2) \otimes \frac{d\hat{\sigma}_{i,j}}{dp_t^2} \otimes f_{j/h_2}(x_2, \mu^2),$$

$\sigma^{\text{inc}} > \sigma_{\text{tot}}$  eventually (for moderately small  $p_t^{\text{min}}$ ).

# Eikonal model basics





Starting point: hard inclusive jet cross section.

$$\sigma^{\text{inc}}(s; p_t^{\text{min}}) = \sum_{i,j} \int_{p_t^{\text{min}2} p_t^2} dp_t^2 f_{i/h_1}(x_1, \mu^2) \otimes \frac{d\hat{\sigma}_{i,j}}{dp_t^2} \otimes f_{j/h_2}(x_2, \mu^2),$$

$\sigma^{\text{inc}} > \sigma_{\text{tot}}$  eventually (for moderately small  $p_t^{\text{min}}$ ).

Interpretation:  $\sigma^{\text{inc}}$  counts *all* partonic scatters that happen during a single  $pp$  collision  $\Rightarrow$  more than a single interaction.

$$\sigma^{\text{inc}} = \bar{n} \sigma_{\text{inel}}.$$

Use eikonal approximation (= independent scatters). Leads to Poisson distribution of number  $m$  of additional scatters,

$$P_m(\vec{b}, s) = \frac{\bar{n}(\vec{b}, s)^m}{m!} e^{-\bar{n}(\vec{b}, s)} .$$

Then we get  $\sigma_{\text{inel}}$ :

$$\sigma_{\text{inel}} = \int d^2\vec{b} \sum_{m=1}^{\infty} P_m(\vec{b}, s) = \int d^2\vec{b} \left( 1 - e^{-\bar{n}(\vec{b}, s)} \right) .$$

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Cf.  $\sigma_{\text{inel}}$  from scattering theory in eikonal approx. with scattering amplitude  $a(\vec{b}, s) = \frac{1}{2i} (e^{-\chi(\vec{b}, s)} - 1)$

$$\sigma_{\text{inel}} = \int d^2\vec{b} \left(1 - e^{-2\chi(\vec{b}, s)}\right) \quad \Rightarrow \quad \chi(\vec{b}, s) = \frac{1}{2} \bar{n}(\vec{b}, s) .$$

$\chi(\vec{b}, s)$  is called *eikonal* function.

Calculation of  $\bar{n}(\vec{b}, s)$  from parton model assumptions:

$$\begin{aligned}\bar{n}(\vec{b}, s) &= L_{\text{partons}}(x_1, x_2, \vec{b}) \otimes \sum_{ij} \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\ &= \sum_{ij} \frac{1}{1 + \delta_{ij}} \int dx_1 dx_2 \int d^2\vec{b}' \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\ &\quad \times D_{i/A}(x_1, p_t^2, |\vec{b}'|) D_{j/B}(x_2, p_t^2, |\vec{b} - \vec{b}'|)\end{aligned}$$

Calculation of  $\bar{n}(\vec{b}, s)$  from parton model assumptions:

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$$\Rightarrow \chi(\vec{b}, s) = \frac{1}{2} \bar{n}(\vec{b}, s) = \frac{1}{2} A(\vec{b}) \sigma^{\text{inc}}(s; p_t^{\text{min}}) .$$

# Overlap function

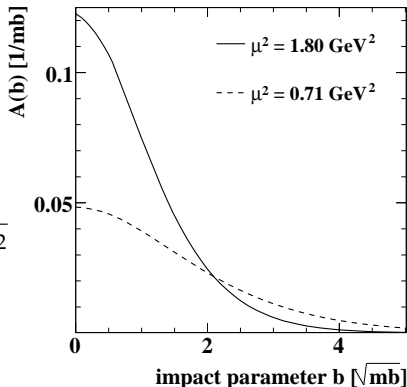
$$A(b) = \int d^2\vec{b}' G_A(|\vec{b}'|) G_B(|\vec{b} - \vec{b}'|)$$

$G(\vec{b})$  from electromagnetic FF:

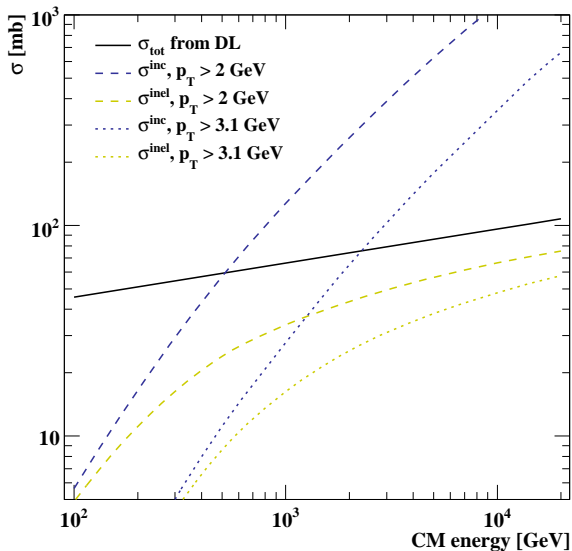
$$G_p(\vec{b}) = G_{\bar{p}}(\vec{b}) = \int \frac{d^2\vec{k}}{(2\pi)^2} \frac{e^{i\vec{k}\cdot\vec{b}}}{(1 + \vec{k}^2/\mu^2)^2}$$

But  $\mu^2$  *not fixed* to the  
electromagnetic  $0.71 \text{ GeV}^2$ .  
Free for colour charges.

$\Rightarrow$  Two main parameters:  $\mu^2, p_t^{\text{min}}$ .

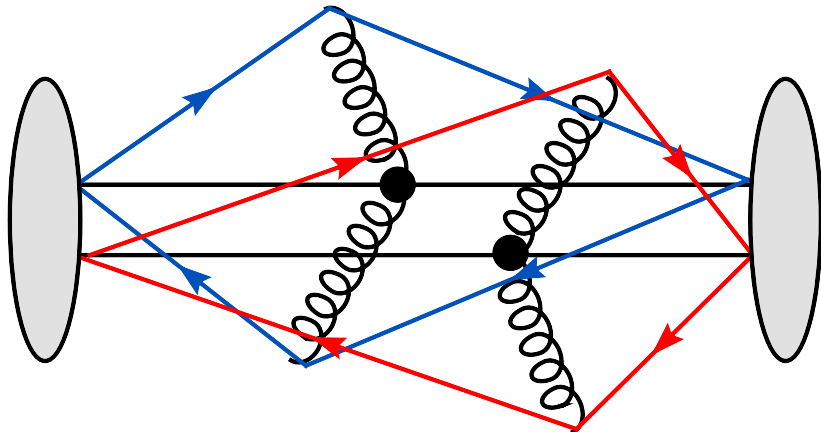


# Unitarized cross sections



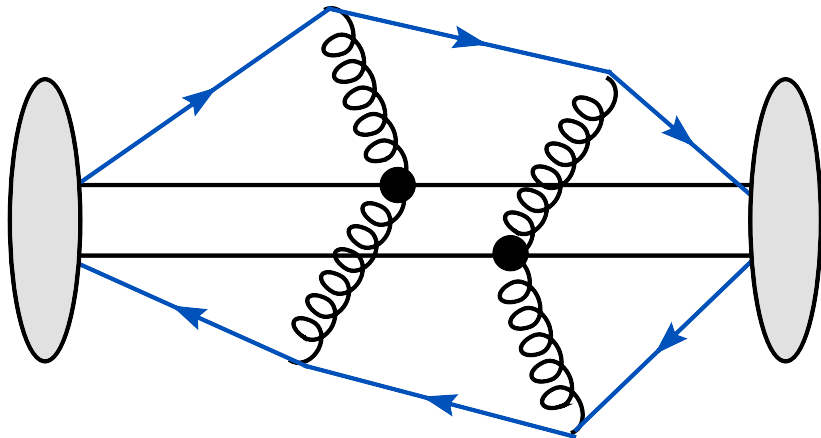


## Colour reconnection at hadron colliders



- ▶ Colour preconfinement
- ▶ Shorten colour string/lower mass clusters.

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- ▶ Colour preconfinement
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# Monte Carlo

## training studentships



**3-6 month** fully funded studentships for current PhD students at one of the MCnet nodes. An excellent opportunity to really understand the Monte Carlos you use!

**Application rounds every 3 months.**



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