

# Neutrino Physics

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CTEQ Summer School

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# Outline

## Part I: Introduction and Neutrinos as Probes

- ▶ Neutrinos in the SM, brief introduction.
- ▶ Neutrino experiment challenges
- ▶  $\nu$  as Probes : Electroweak
- ▶  $\nu$  as Probes: Nucleon structure and QCD

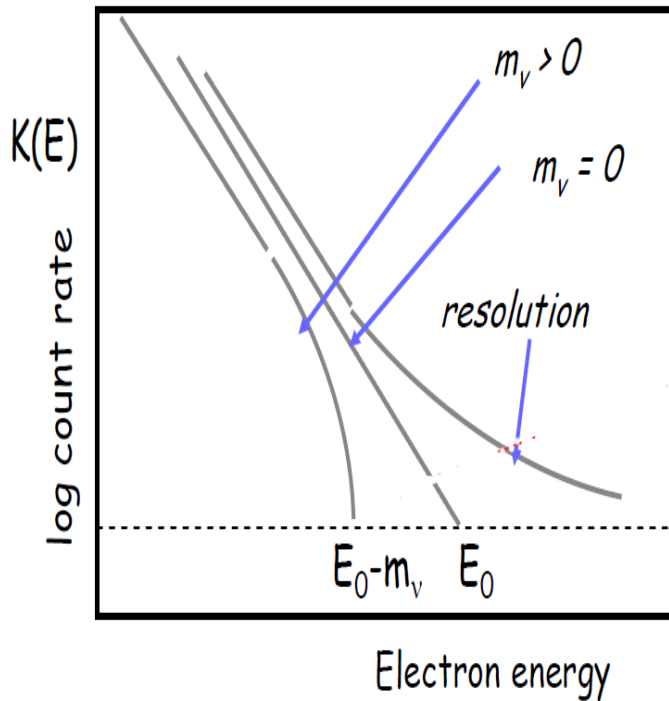
## Part II: Neutrino oscillations beyond the SM

- ▶ Neutrino mass and oscillations.
- ▶ The story of neutrino oscillations.
- ▶ Some remaining questions and future

# Neutrino Mass

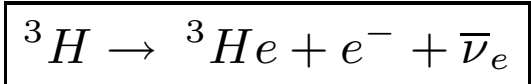
► Direct limits from decay kinematics

$m_{\nu_e}$	$< 2.2 \text{ eV}$	${}^3\text{H } \beta\text{-decay}$
$m_{\nu_\mu}$	$< 0.16 \text{ MeV}$	$\pi^+$ decay
$m_{\nu_\tau}$	$< 18.2 \text{ MeV}$	$\tau$ decay



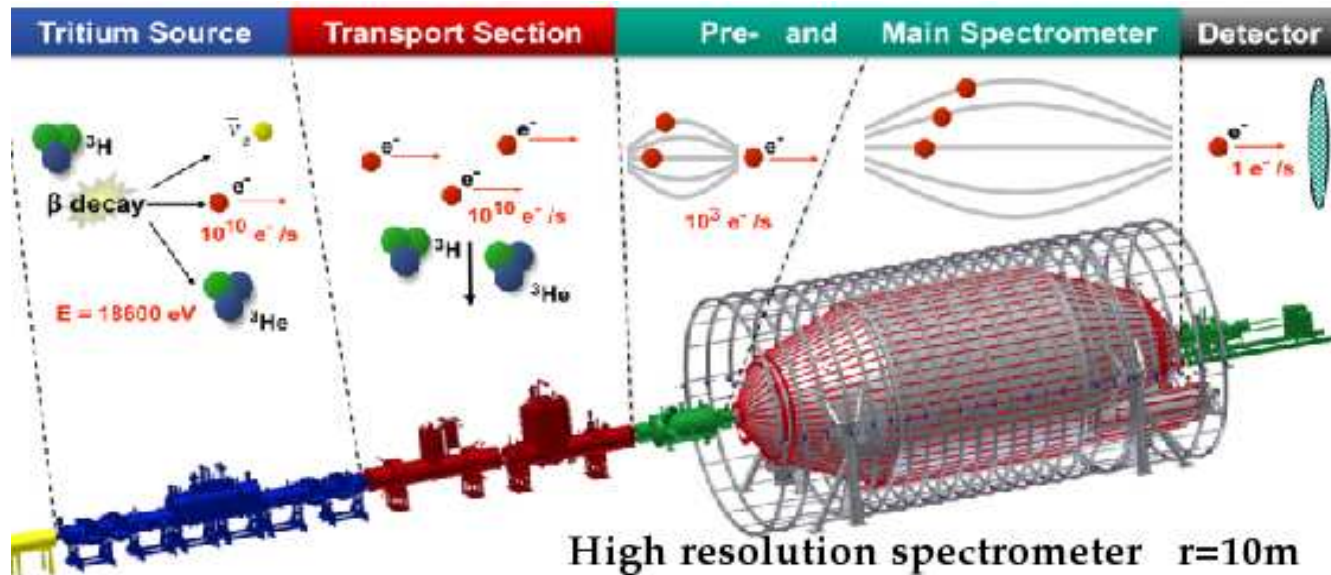
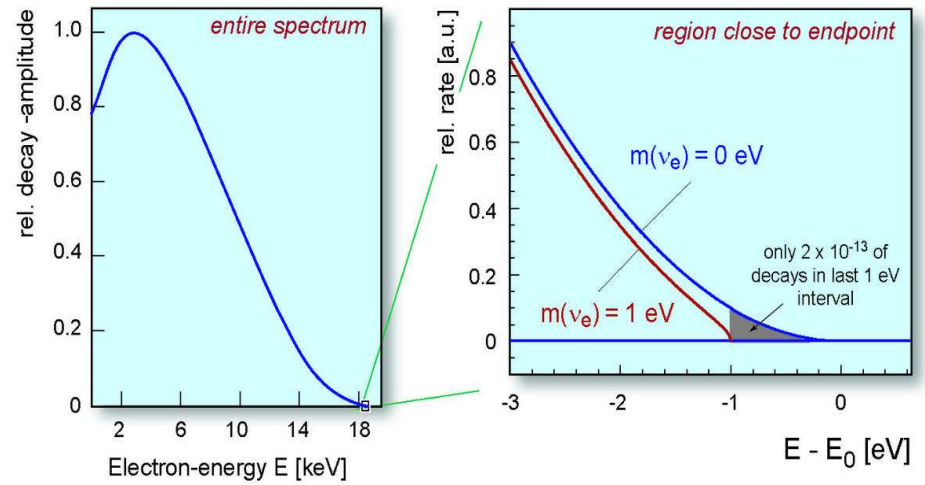
Most sensitive

- Measure the end point energy of the electron in Tritium  $\beta$ -decay



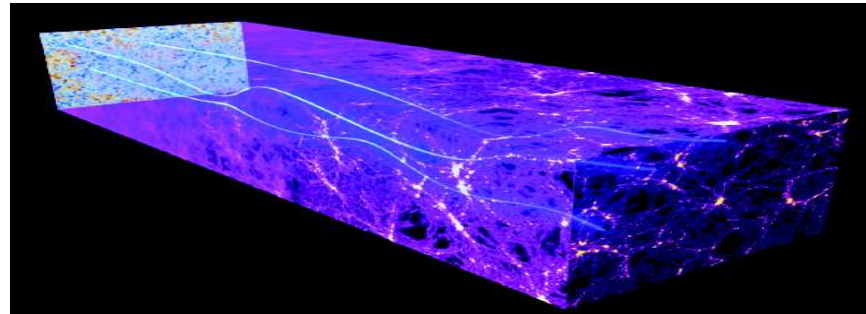
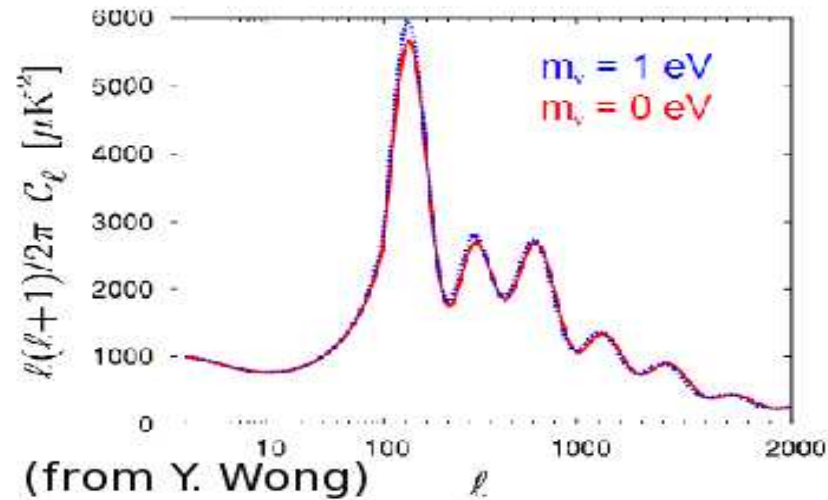
# State of the Art (Katrin)

- ▶ Katrin experiment (starts 2014)
- ▶ Same technique  
→ sensitivity  $\sim 0.2$  eV
- ▶ Discovery potential if  $m_{\nu_e} > 0.35$  eV.  
( $m_e = (\sum_i |U_{ei}|^2 m_i^2)^{1/2}$ )
- ▶ Reaching the sensitivity limit of this technique.



# Neutrino Mass from Cosmology

- ▶ Neutrinos contribute to the (hot) dark matter of the universe and affect structure formation.
- ▶ Cosmological observables (CMB, lensing, galaxy and cluster distributions, etc.) are sensitive to total neutrino mass sum (3 active + sterile)



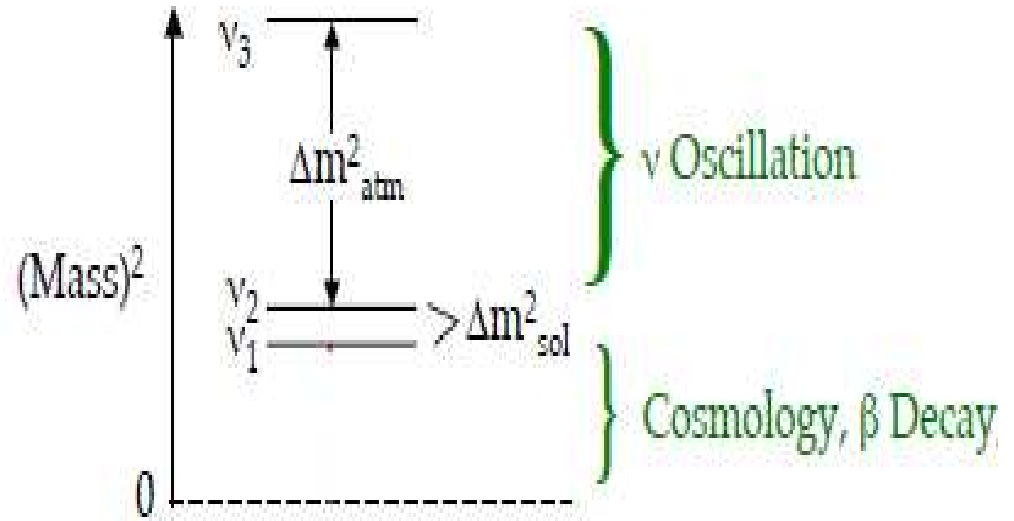
**Current upper bound from cosmology**  
 $\Sigma m_\nu < 0.23 \text{ eV at 95\% C.L. (Planck+WP+highL+BAO)}$

- ▶ Many model assumptions needed extract neutrino mass sum from observables.

Neutrino mass and cosmology Y.Y.Y. Wong, Ann. Rev. Nucl. Part. Sci.(2011) 61:69-98.

# Neutrino Mass from Oscillation

- ▶ Absolute mass scale
  - ▷ cosmology and  $\beta$ -decay (so far only upper limits)
- ▶ How do we know neutrinos mass is non-zero?
  - ▷ Lower limits from oscillation experiments for two of three active neutrinos.
  - ▷  $\Delta m_{\text{atm}}^2 \Rightarrow$  heaviest neutrino  $m \geq 0.04 \text{ eV}$



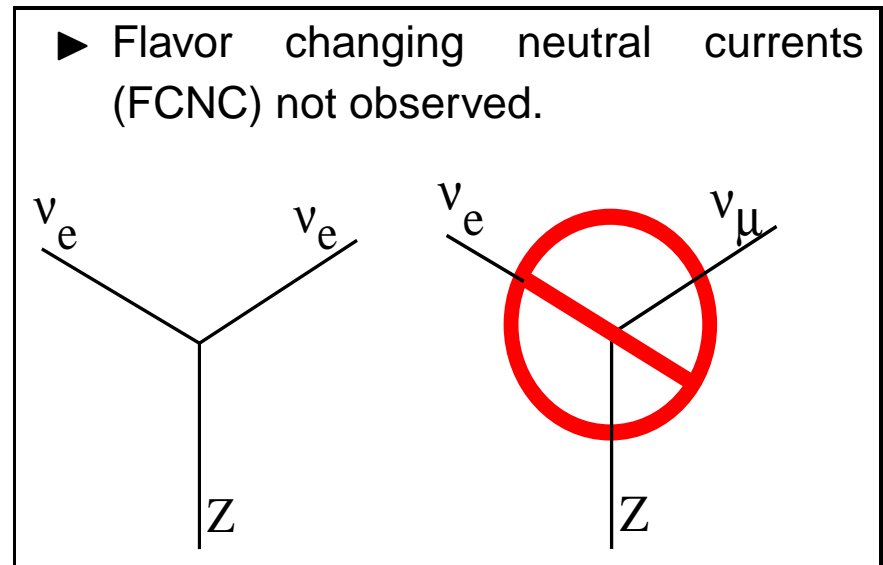
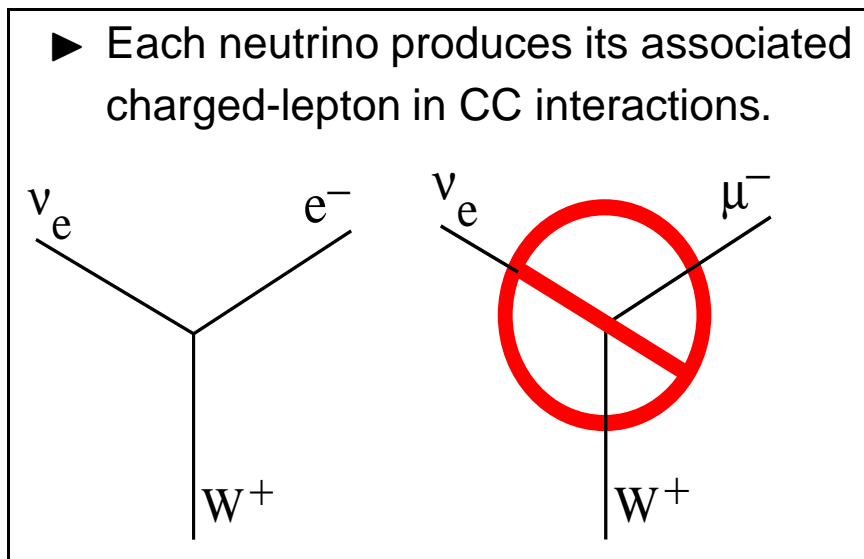
- ▶ Currently the most sensitive way to study neutrino mass is to catch one in the act of *oscillating*...

# Neutrino Flavor and the SM

- ▶ In SM there are three flavors of neutrinos and each has a corresponding charged-lepton.

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix} \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix} \begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}$$

Flavor change does not occur in SM interactions of leptons.



- ▶ Conservation of *lepton flavor number* also observed for charged-leptons

$$\text{Br}(\mu \rightarrow e\gamma) < 6 \times 10^{-13}$$

# Neutrino Oscillation and the SM

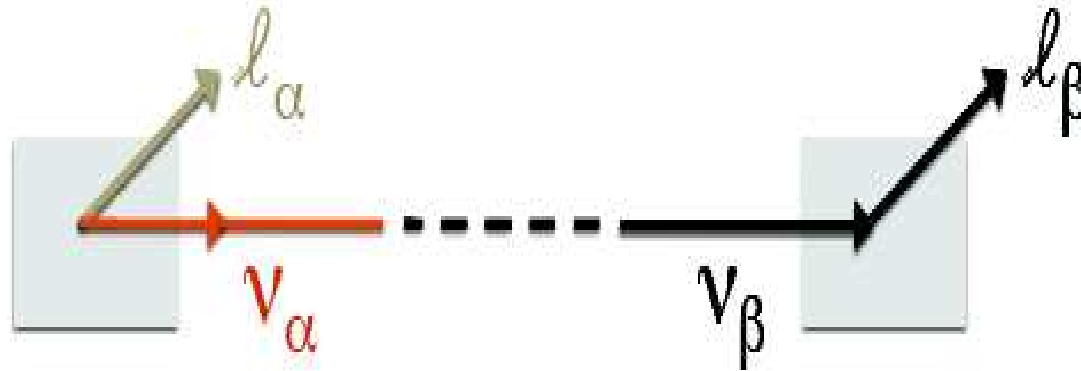
- ▶ Neutrino oscillation is a mechanism for flavor change which requires that masses are not zero and not degenerate.

## Standard model neutrinos

- ▶ are massless  $m_\nu = 0$
- ▶ Leptons don't mix flavors  
 $\Delta L_e = \Delta L_\mu = \Delta L_\tau = 0$

## Neutrino oscillation requires

- ▶  $m_\nu \neq 0$  and  $m_i \neq m_j$
- ▶ Lepton flavor mixing.



- ▶ Discovery of neutrino oscillation was the first confirmed physics beyond the Standard Model (Nobel Prize 2002, Ray Davis and Masatoshi Koshiba).



# Neutrino Oscillation

Mixing between 2 generations:

$$\text{Weak interaction eigenstates} \begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_i \\ \nu_j \end{pmatrix} \text{Mass eigenstates}$$

- ▶ Produce a neutrino in a pure flavor eigenstate ( $\alpha$ ), it is a mixture of mass eigenstates.
- ▶ Mass eigenstates evolve in time  $\nu_i(t) = \nu_i(0) \cdot e^{-iE_i t}$ .
- ▶ The relative phases of the mass states induce “flavor oscillation” as the state propagates over a time  $t$  (or distance  $L = ct$ ).
- ▶ Probability of observing the state ( $\beta$ ) at a later time  $t$  ( or after propagating through path length  $L$  from the production point) is

Transition probability

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 (1.27 \Delta m^2 L/E)$$

$\theta$  is the mixing angle

$\Delta m^2 = (m_i^2 - m_j^2)$  in  $\text{eV}^2$

$E$  is the neutrino energy in GeV

$L$  is the distance traveled in km

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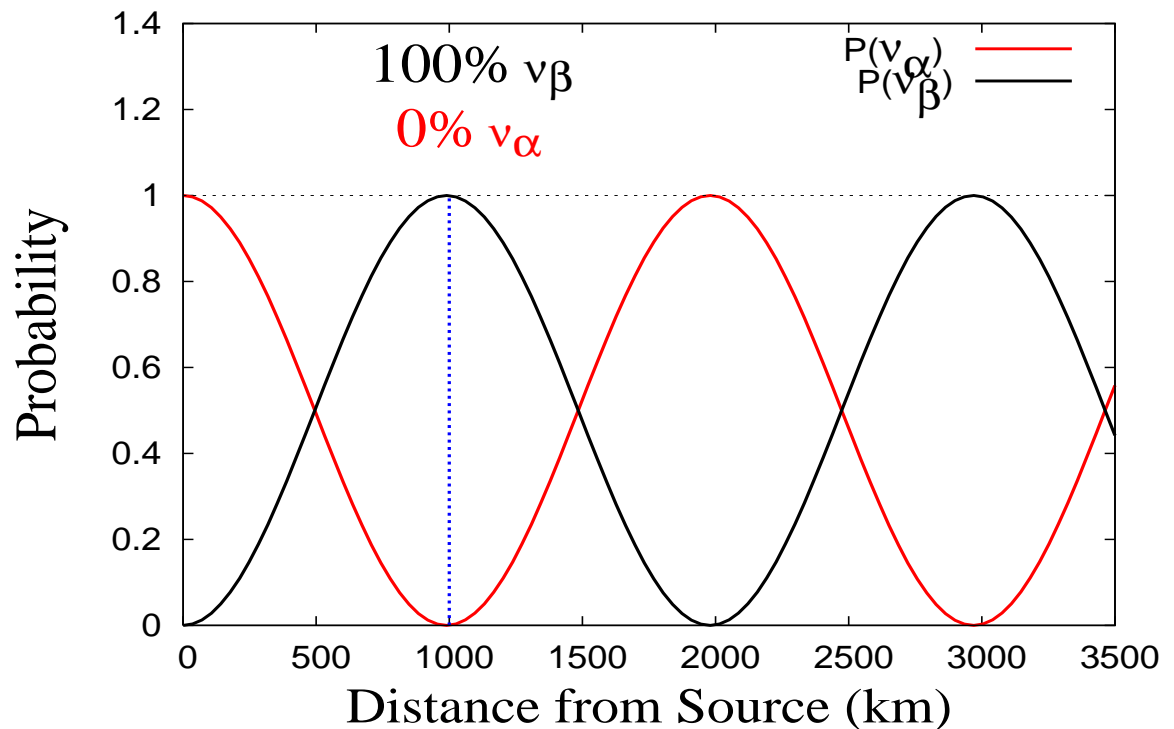
$L$  is the distance traveled in km

Flavor composition 'oscillates' between the two states as it propagates.

► Pure  $\nu_\alpha$  beam with  
 $E_\nu = 2 \text{ GeV}$ .

$$\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$$

$$\sin^2 2\theta = 1$$



# Three Flavor Oscillation

$$\text{Weak eigenstates} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \quad \text{Mass eigenstates}$$

*Pontecorvo-Maki-Nakagawa-Sakata Mixing Matrix (PMNS),*

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{-i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Oscillation Experiments measure 6 parameters.

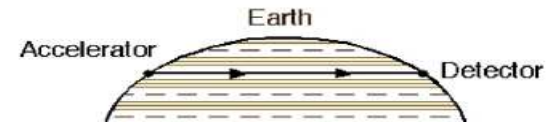
- ▶ Three mixing angles  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$  & phase  $\delta$ .
- ▶ Two independent mass differences:  $\Delta m_{23}^2$ ,  $\Delta m_{12}^2$

Third comes from constraint:  $\Delta m_{23}^2 + \Delta m_{12}^2 + \Delta m_{31}^2 = 0$

# Matter Effects

- ▶ What if neutrinos are not propagating in vacuum?

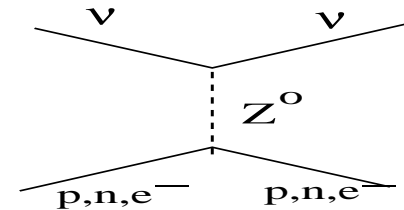
$\nu$ 's interact with  $n, p, e^-$



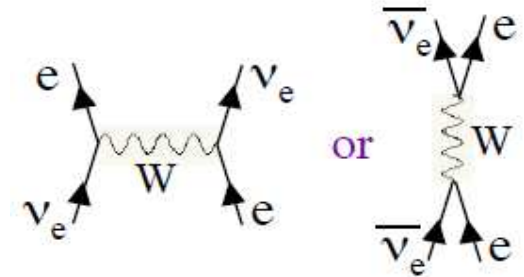
## Relevant interactions

- ▶ **Coherent forward elastic scattering** - preserves coherence of  $\nu$  states, (doesn't change momenta, spins, etc).
- ▶ **Only alter oscillations if they differ among  $\nu_e, \nu_\mu,$  and  $\nu_\tau$ .**

same  $\nu_e, \nu_\mu, \nu_\tau$



only  $\nu_e$



## Matter Effect

- ▶ Alter energy levels of propagating eigenstates (*changes the effective mass*).
  - ▷ raises effective mass of  $\nu_e$
  - ▷ lowers effective mass of  $\bar{\nu}_e$ .
- ▶ Increases with neutrino energy.
- ▶ Sensitive to the sign of  $\Delta m^2$ .

## Interaction potential energy term

$$V = \pm \sqrt{2} G_F N_e$$

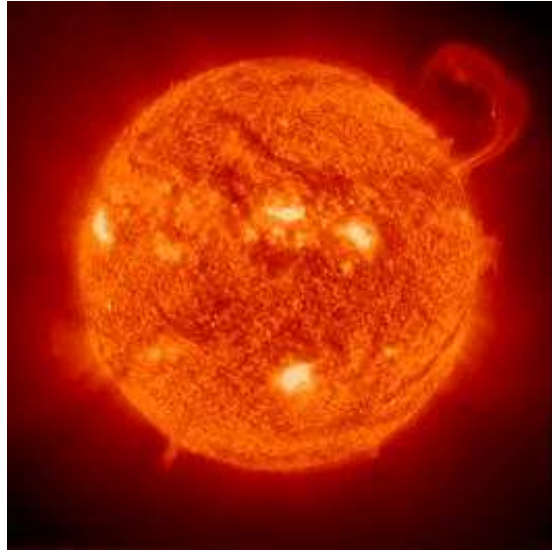
+ for  $\nu_e$ , - for  $\bar{\nu}_e$

$N_e$  is electron density.

Analogous to the effect of medium on propagation of light  $\rightarrow$  index of refraction.

# The Story of $\nu$ Oscillations

Astrophysical sources: First early hints and discoveries.

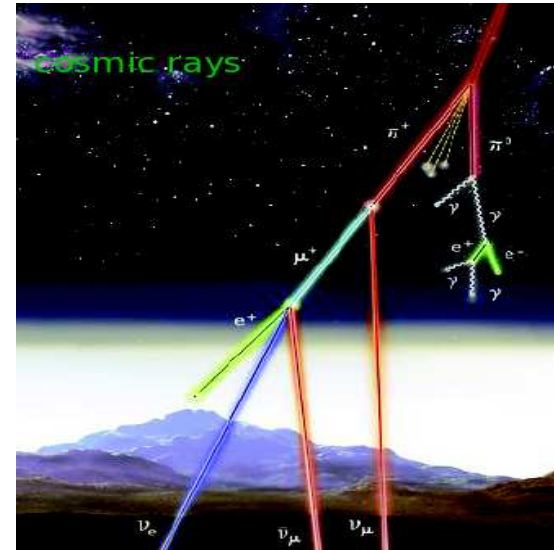


← **Solar**

- ▶  $\nu_e < \text{few MeV}$

**Atmospheric** →

- ▶ few GeV  $\nu_\mu$  &  $\nu_e$



Manmade Sources: Confirmation + precision measurements.

← **Reactors**

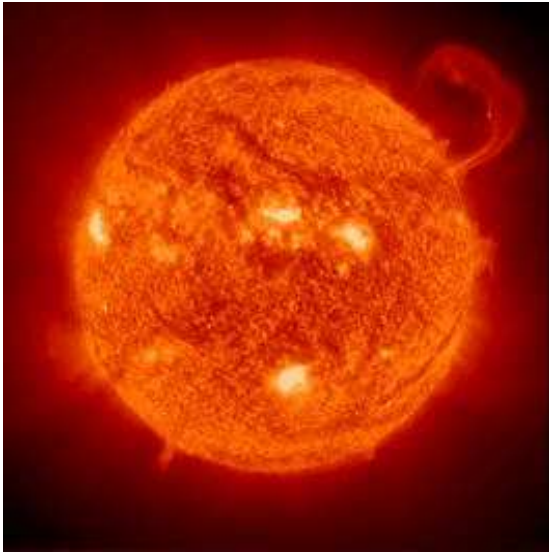
- ▶ Point source  $< 10 \text{ MeV } \bar{\nu}_e$ .
- ▶ First neutrino detection (1956).

**Accelerator** →

- ▶ Collimated beam (mainly  $\nu_\mu$ )
- ▶ Energies 0.5-500 GeV.



# Solar Neutrinos



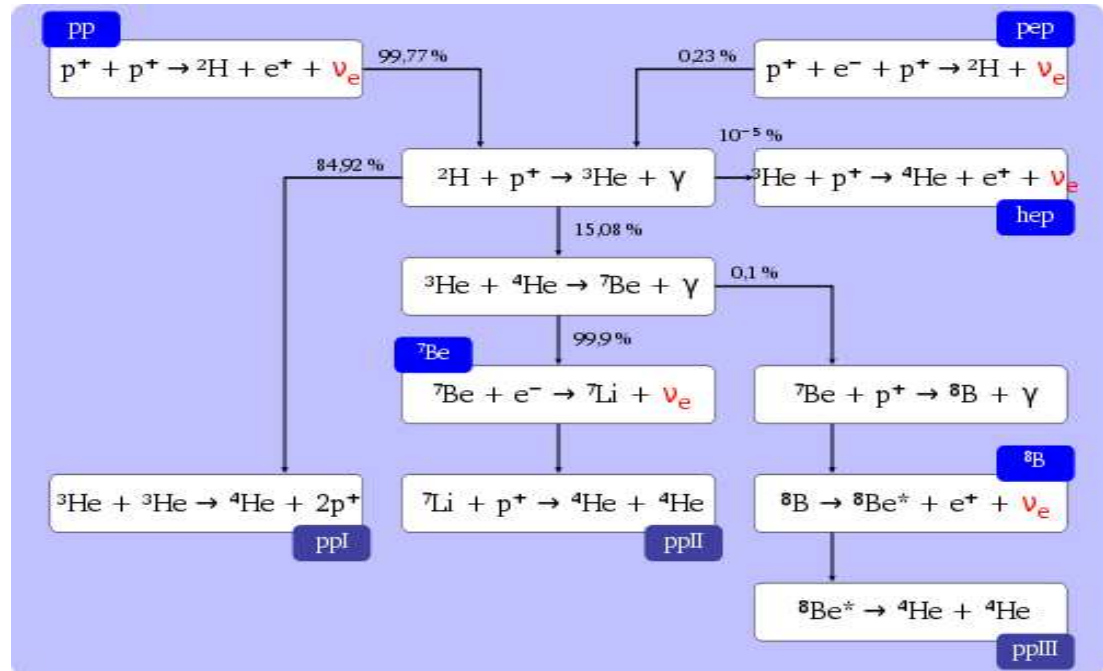
What is the Sun's energy source???

- ★ Mid-1800's: Chemical process
  - Sun would burn out in a few thousand years.
- ★ Late 1800's: Kelvin-Helmholtz propose gravitational collapse.
  - Energy supply for ~25 million years...
- ▶ 1929 Atkinson and Houtermans propose **fusion**.

What do Neutrinos have to do with this?

$\nu_e$ 's are a fusion by-product.

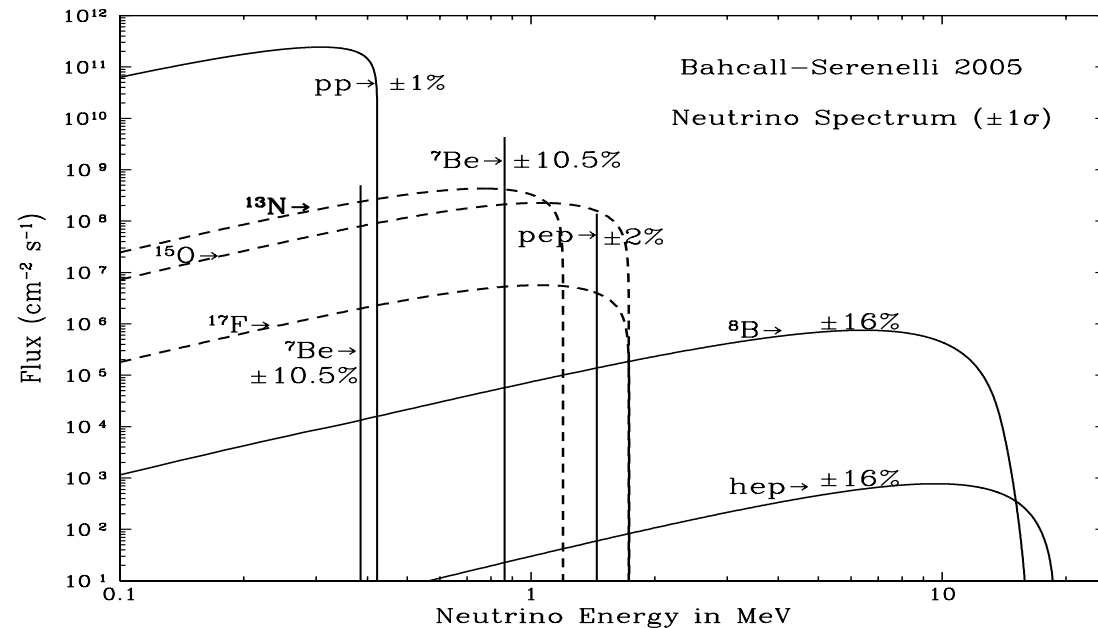
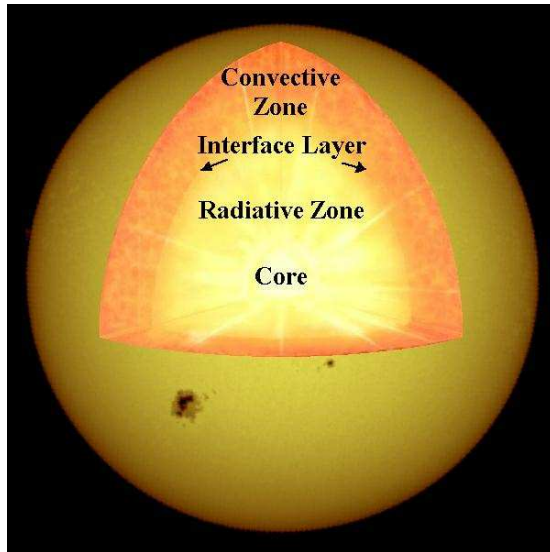
➡ Detection of  $\nu_e$ 's from the sun is definitive evidence for fusion.



# Challenges of Solar Neutrino Detection

★ Goal (mid-60's): devise experiment to detect solar neutrinos.

▶ Neutrino production rate predicted from Solar models (1960's J. Bahcall).



▶ Sun emits around  $2 \times 10^{38}$  neutrinos per second !

... more than 40 billion neutrinos per second per  $\text{cm}^2$  arrive at Earth.

▶ Most of the neutrinos have too little energy to detect ( $pp \nu_e$  sub-MeV).

▶ Expected signal rate is very small ( $<1$  interaction per day in a very large detector).

▶ There are many sources of background (radioactive impurities, cosmic rays etc. )

# Enter Ray Davis

Detector method based on the neutrino capture reaction:  $\nu_e + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar} + e^-$

Ray's Recipe:

1. Pour 100,000 gallons of (ultrapure) perchlorethylene ( $\text{C}_2\text{Cl}_4$ ) in a huge (ultrapure) tank.
2. Bury tank 4,800 ft. deep in Homestake gold mine.
3. Wait 158 days.
4. Separate out the 53  ${}^{37}\text{Ar}$  atoms produced from the remaining 100,000 gallons of fluid.
5. Repeat every few months for  $\sim 20$  years.



It worked ! (sort of...)

$$\frac{\Phi_{\nu_e}(\text{meas.})}{\Phi_{\nu_e}(\text{SSM})} = 0.34 \pm 0.06$$



# The Solar Neutrino Problem

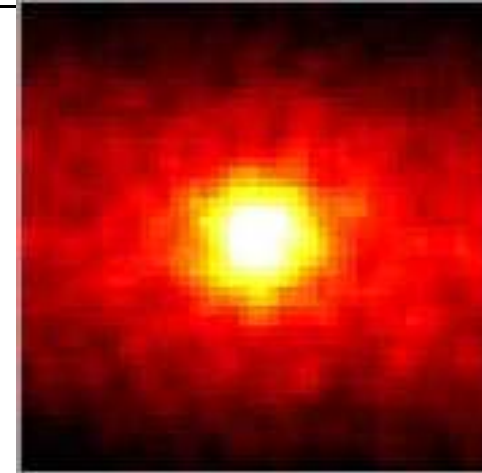
1. We don't understand the sun (or any star).
2. We don't understand the neutrinos.

- ▶ New techniques agreed with Davis's results (Gallex and SuperKioKande).

- ▶ SK active detection ( $E_\nu > 5\text{MeV}$ )

$$\nu_e e^- \rightarrow \nu_e e^-$$

- ▶ **Common feature of experiments:** sensitive to  $\nu_e$ 's only, (by design).



*Neutrino image of the sun*

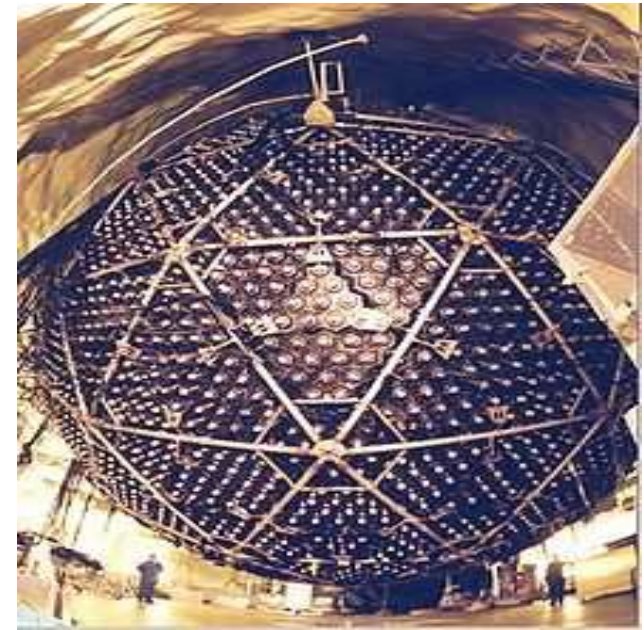
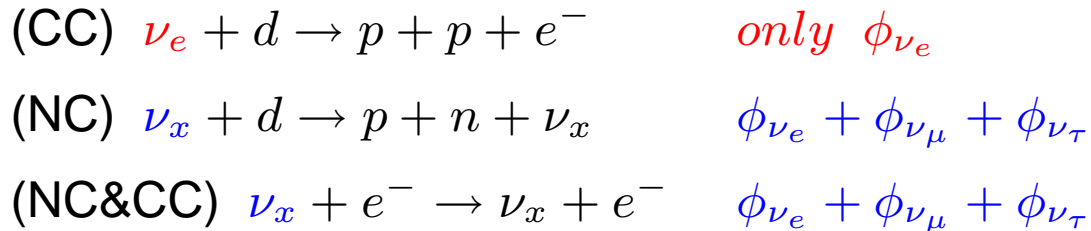
- ▶ **Neutrino oscillation hypothesis:**  $\nu_e$ 's convert to another flavor and “disappear” before reaching the earth.

**Need a new definitive experiment: SNO (Sudbury Neutrino Observatory)**

- ▶ **Most Important Feature:** Sensitive to **ALL three** ( $\nu_e, \nu_\mu, \nu_\tau$ ) types of neutrinos.

# SNO Definitive Results

- ▶ 1000 tons of heavy water  $D_2O$   
(deuterium is a weakly bound state of n & p)
- ▶ Turned on in 1999 in Sudbury nickel mine, 6800 ft underground.



- ▶ Measured  $\nu_e$  rate agrees with previous expts. (Davis was right!)

$$\frac{\phi_{\nu_e}}{\phi_{\nu_e} + \phi_{\nu_\mu} + \phi_{\nu_\tau}} = 0.340 \pm 0.038$$

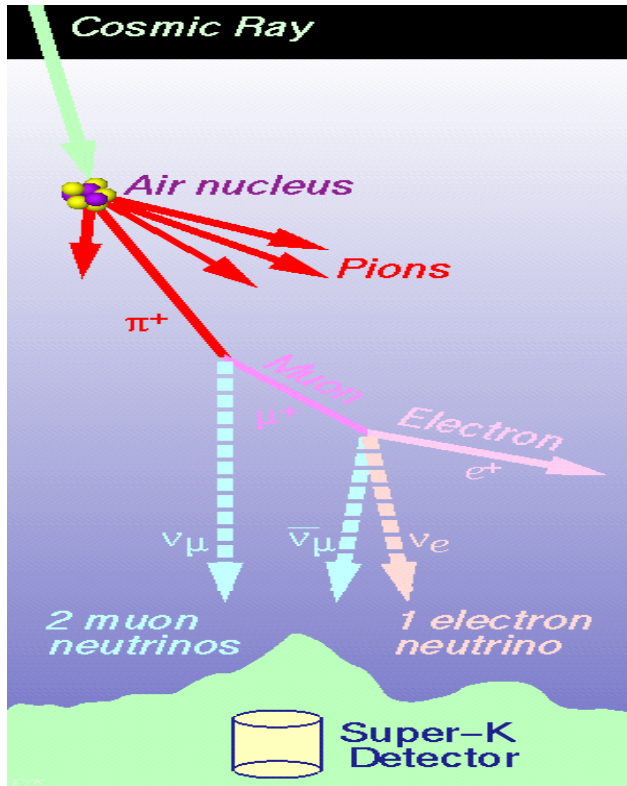
- ▶ Measured total flux agrees with solar model prediction. (Bahcall was right!)

Measured  $\phi_{\nu_e} + \phi_{\nu_\mu} + \phi_{\nu_\tau} = (4.94 \pm 0.42) \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$

Theory  $\phi_{TOTAL} = (5.69 \pm 0.91) \times 10^6 \text{ cm}^{-2} \text{ s}^{-1}$

**Verdict: Neutrino flavor change!**

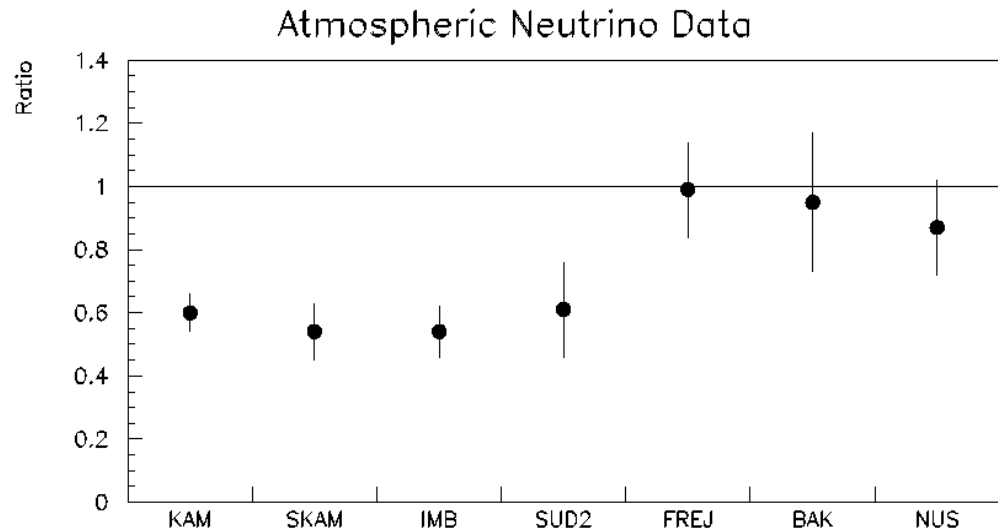
# Atmospheric Neutrinos



Expected flavor ratio  $N(\nu_\mu)/N(\nu_e) \sim 2$

- Circa 1990 most experiments measured a ratio of  $\nu_\mu$  to  $\nu_e$  that is 40% too low.

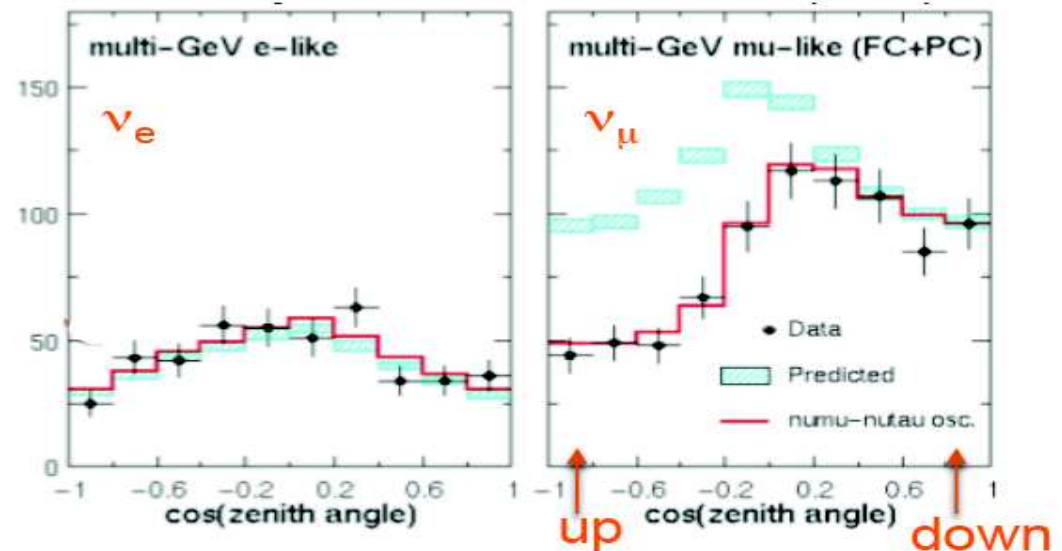
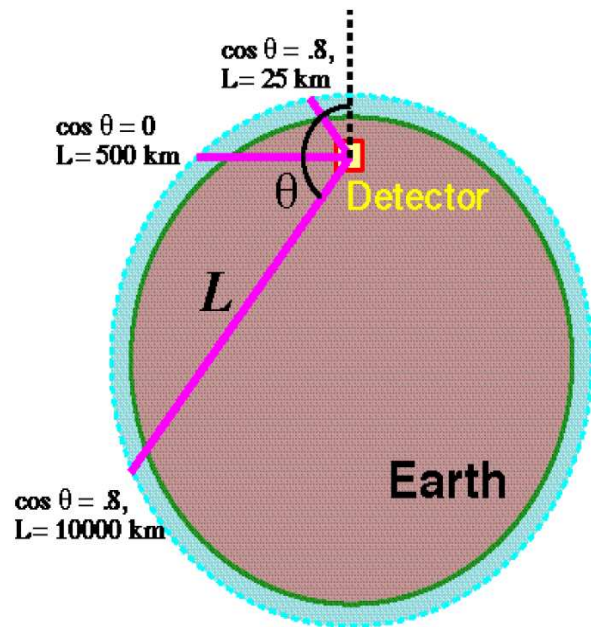
Measured ratio  $N(\nu_\mu)/N(\nu_e) \sim 1.2$



- Atmospheric neutrino anomaly "too few  $\nu_\mu$ "
  - ▷ Could be explained by  $\nu_\mu$  disappearance.

# Atmospheric Neutrinos (cont'd)

- ▶ Oscillation Hypothesis  $\Rightarrow$  Path length dependent rate.
- ▶ Source provides **variable path length** (50km-12,700km)  $\Rightarrow$  Zenith angle dependence.



- ▶ Smoking gun for oscillation hypothesis: Super K measures zenith angle dependence.

▷  $\nu_\mu$  deficit **but no  $\nu_e$  excess**  $\Rightarrow$  Mostly  $\nu_\mu \rightarrow \nu_\tau$ .

# Accelerator $\nu$ Oscillation Experiments

Select the beam energy  $E$  and the pathlength  $L$  to tune for a particular signal.

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 (1.27\Delta m^2 L/E)$$

Probe atmospheric signal region

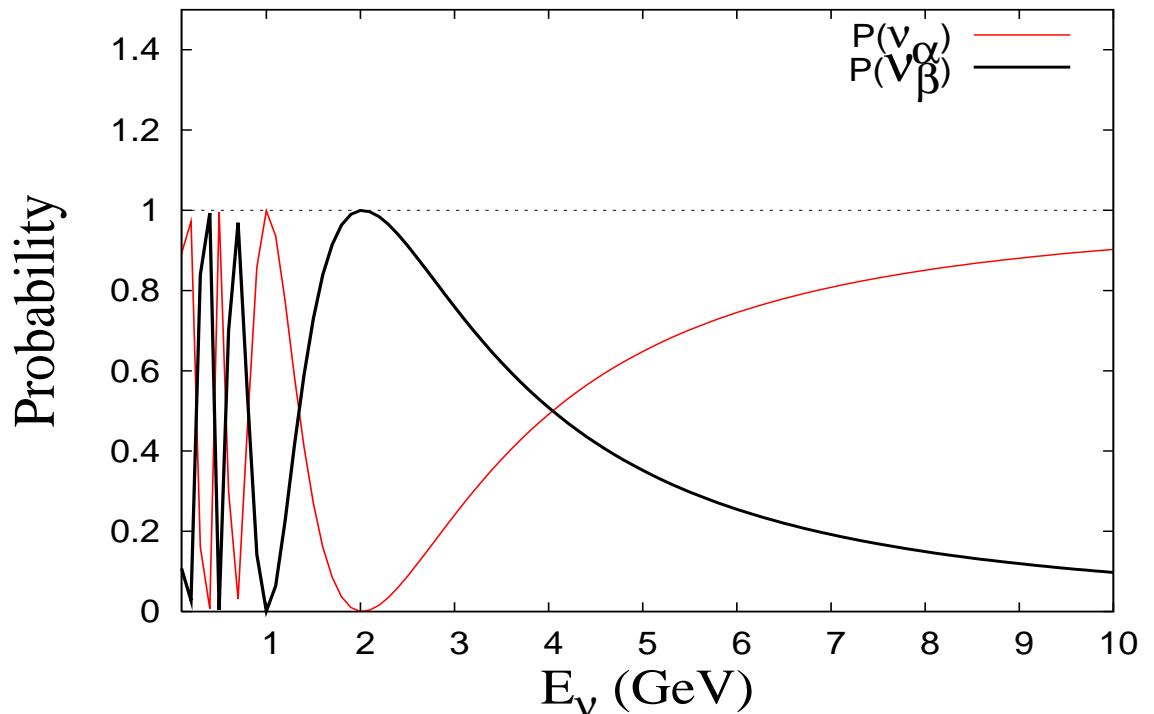
$$\Delta m^2 \approx 10^{-2} - 10^{-3} \text{ eV}^2$$

Need long-baseline

$$\frac{L}{E} \approx 10^3 - 10^2 \text{ (km/GeV)}.$$

$$\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$$

- ▶ Set  $L = 1000$  km
- ▶ Beam range should cover first oscillation dip.
  - ▷ Energies 1-10 GeV.

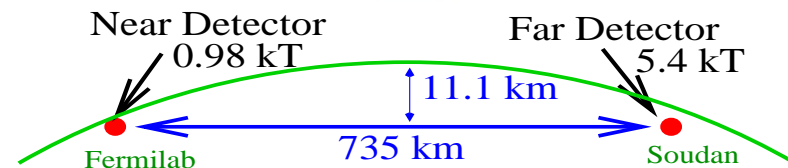


# $\nu_\mu$ Disappearance with a Long-baseline



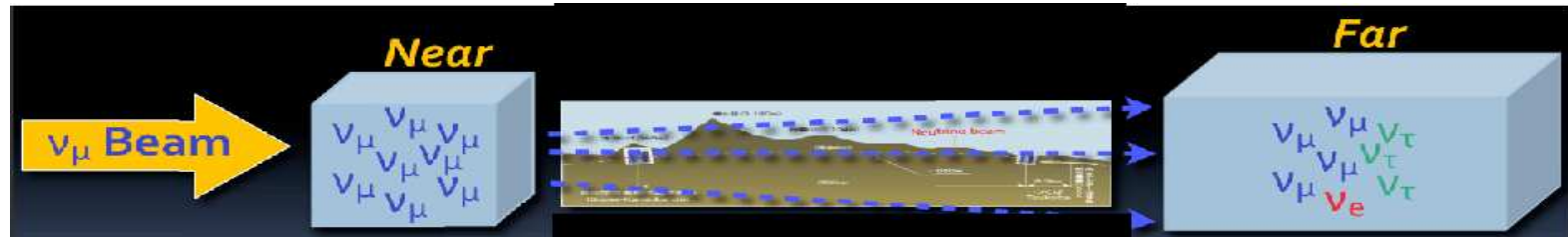
## K2K

- ▶ KEK beam to SuperKamiokande
- ▶  $L=250\text{km}$ ,  $\langle E_\nu \rangle=1.3\text{GeV}$
- ▶ Completed 2004 ( $9 \times 10^{19}$  PoT).



- ▶  $L=735\text{ km}$ ,  $\langle E \rangle = 3\text{ GeV}$
- ▶ Completed 2012
  - ▷ Neutrino mode  $> 10^{21}$  PoT
  - ▷ Antineutrino mode  $3.3 \times 10^{20}$  PoT

# Designing a LBL Experiment



**Near** Detector measures spectrum at  $L=0$ .

**Far** Detector measures spectrum at  $L$

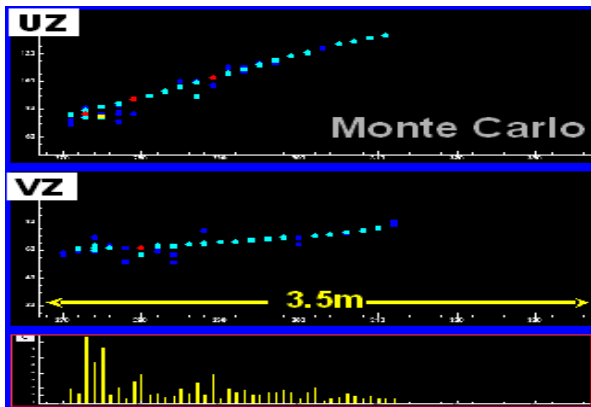
*“identical”* detectors  
reduce systematic errors.

Which flavor ? ► Optimize detector to see the associated final state lepton.

MINOS

Fe-scint., coarse-sampling

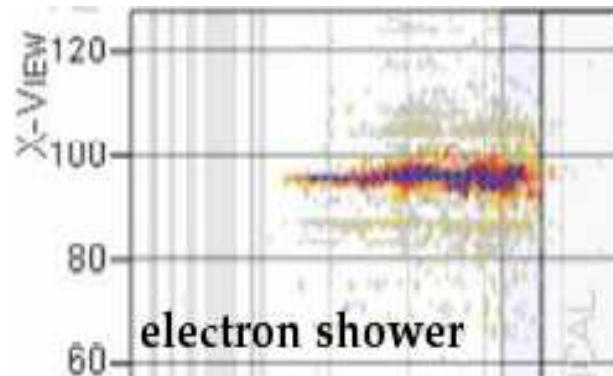
- Long  $\mu$  track



Nova/Miner $\nu$ a

Scintillator strips, fully active

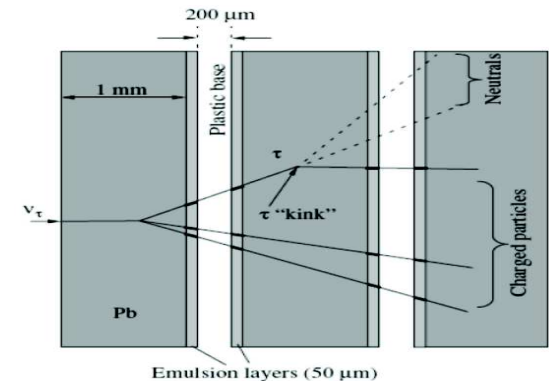
- $\nu_e$  shower and NC  $\pi^0$ .



OPERA/DoNuT

Emulsion-tracker.

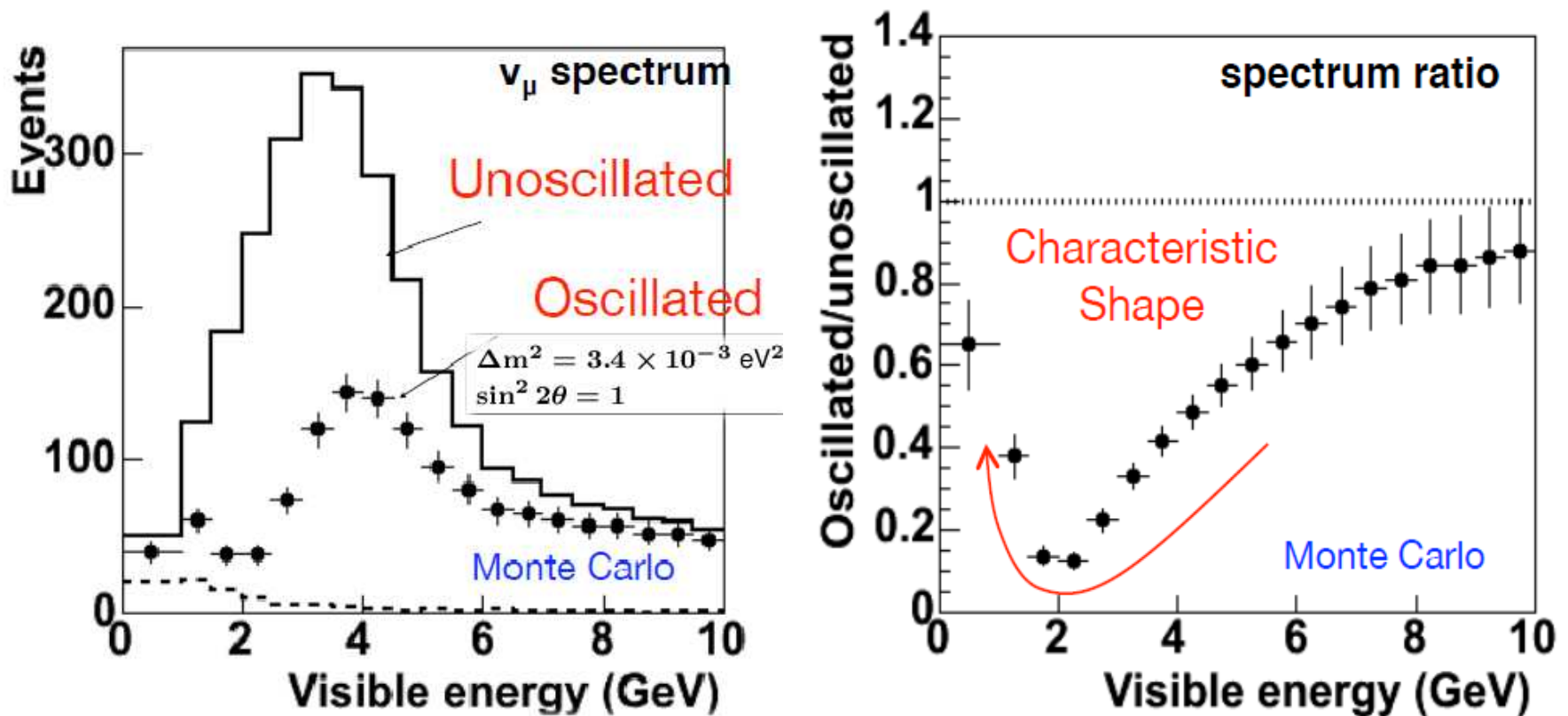
- $\tau$ -decay kink.



# Muon Neutrino Disappearance

Reconstruct  $E_\nu = E_\mu + E_{\text{HAD}}$  and measure spectral distortion.

$\nu_\mu$  Survival probability  $P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta_{23} \sin^2 \left( 1.27 \Delta m_{32}^2 \frac{L}{E} \right)$

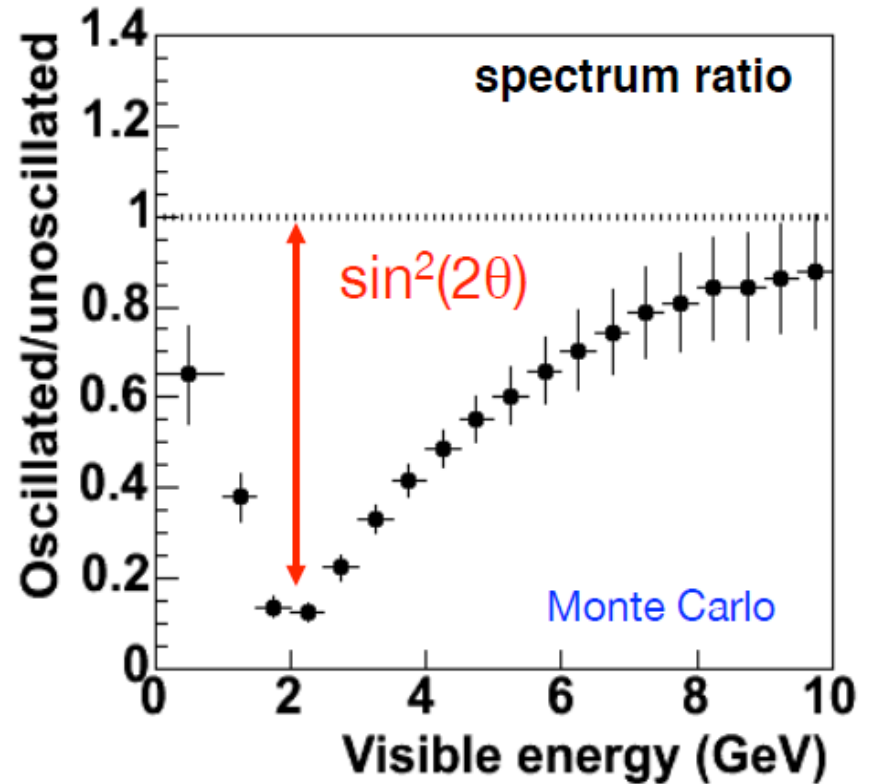
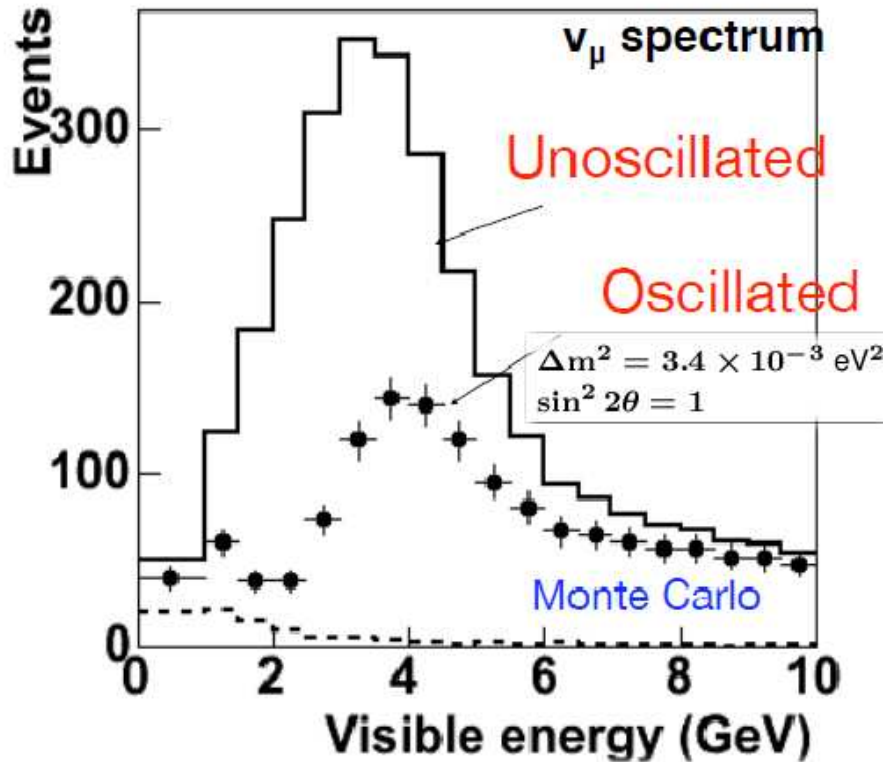




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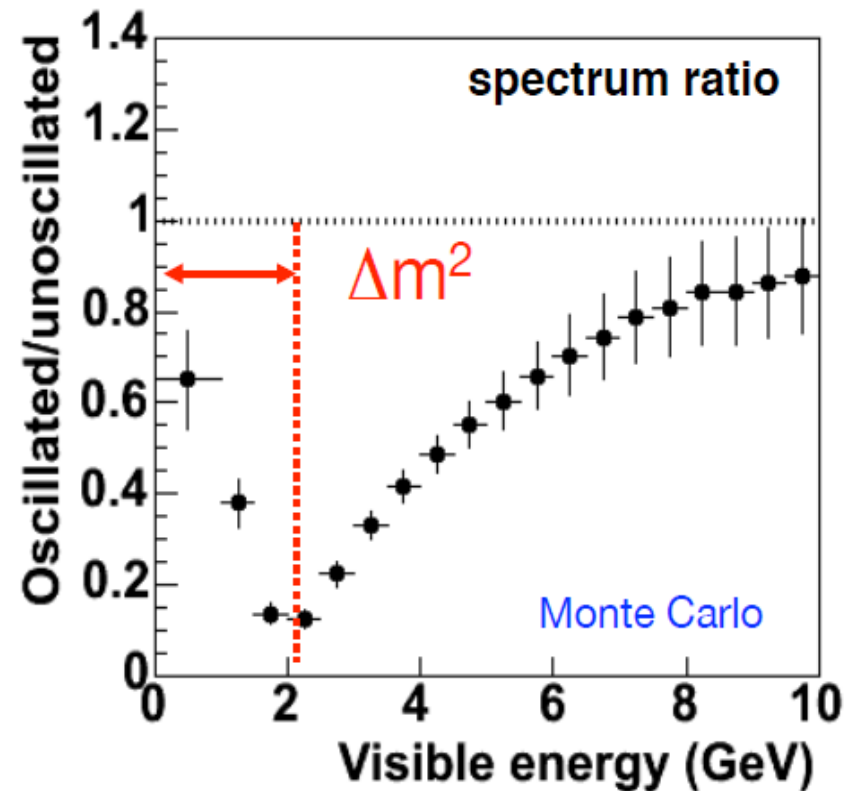
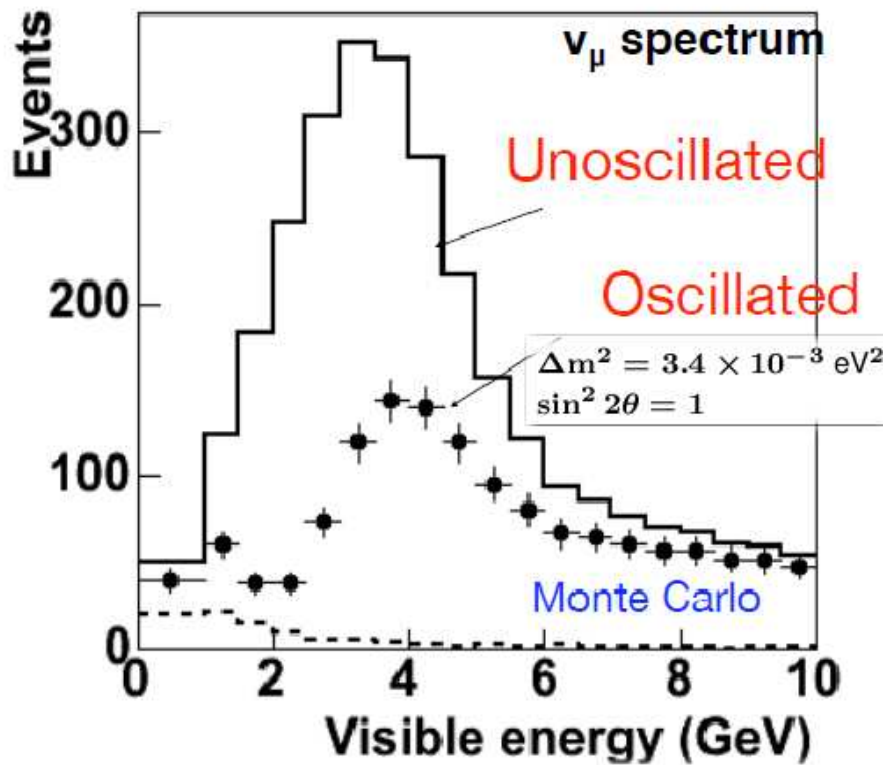
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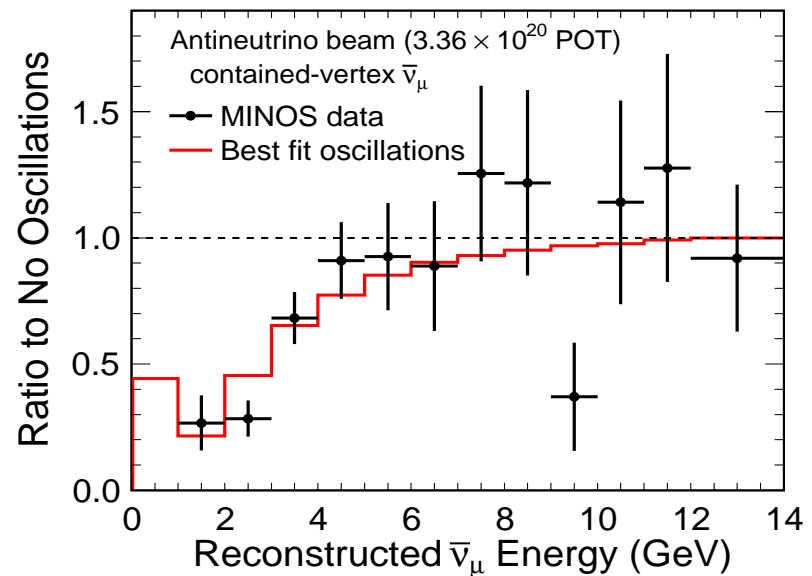
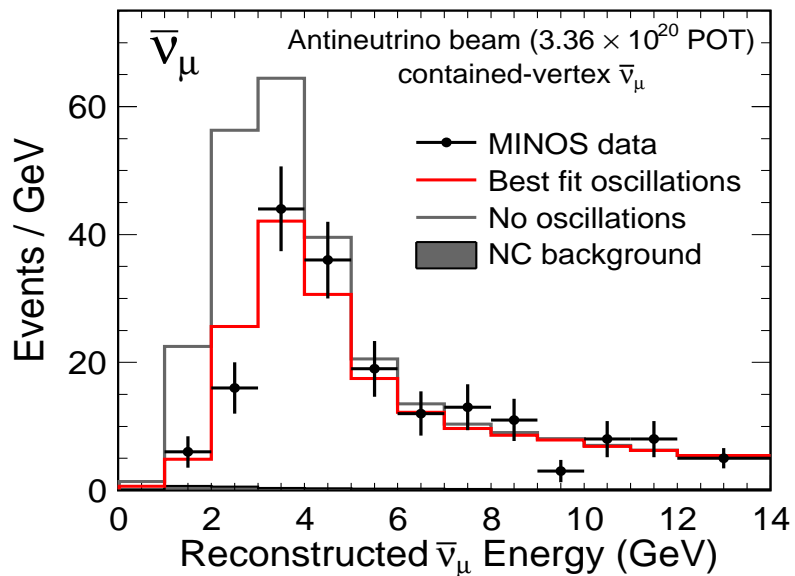
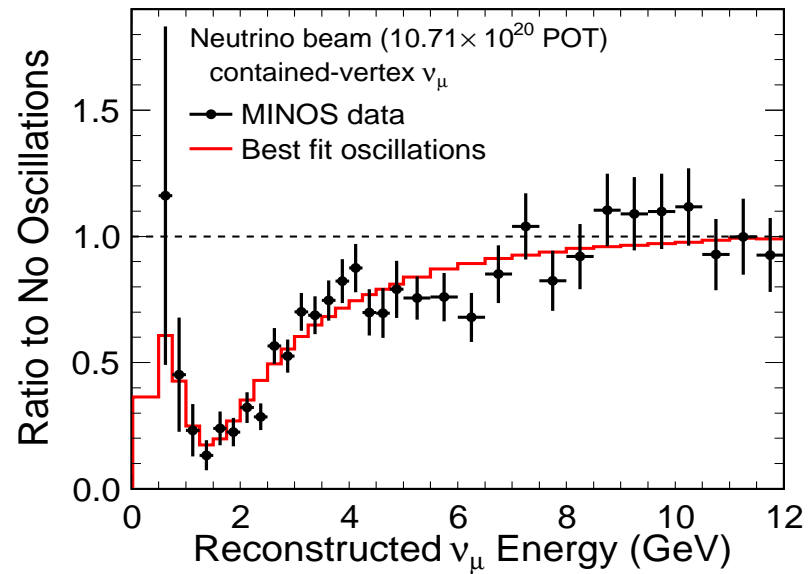
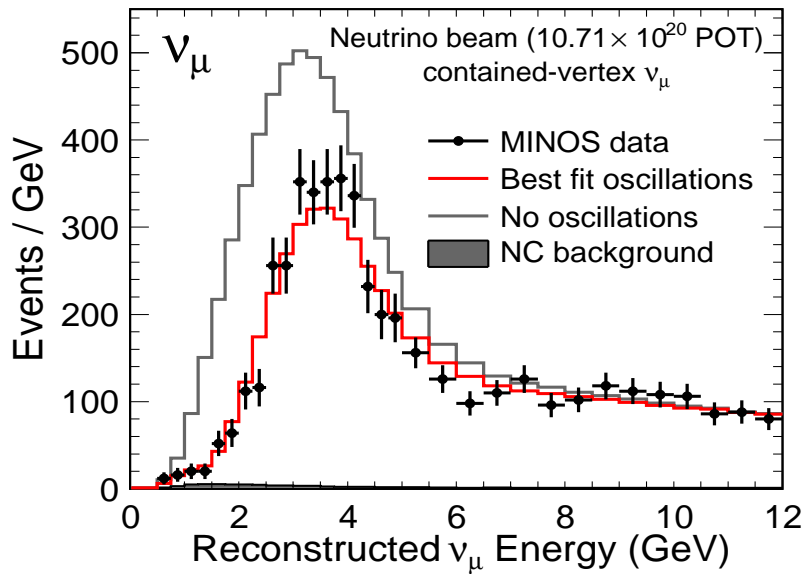
# Muon Neutrino Disappearance

Reconstruct  $E_\nu = E_\mu + E_{\text{HAD}}$  and measure spectral distortion.

$\nu_\mu$  Survival probability  $P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta_{23} \sin^2 \left( 1.27 \Delta m_{32}^2 \frac{L}{E} \right)$



# MINOS Disappearance Oscillation Results



# Atmospheric Oscillation Parameters

## Two-flavor disappearance

$$\Delta m_{32}^2 = 2.41_{-0.10}^{+0.09} \times 10^{-3} \text{ eV}^2$$

$$\sin^2(2\theta_{23}) > 0.89 \text{ at } 90\% \text{ CL.}$$

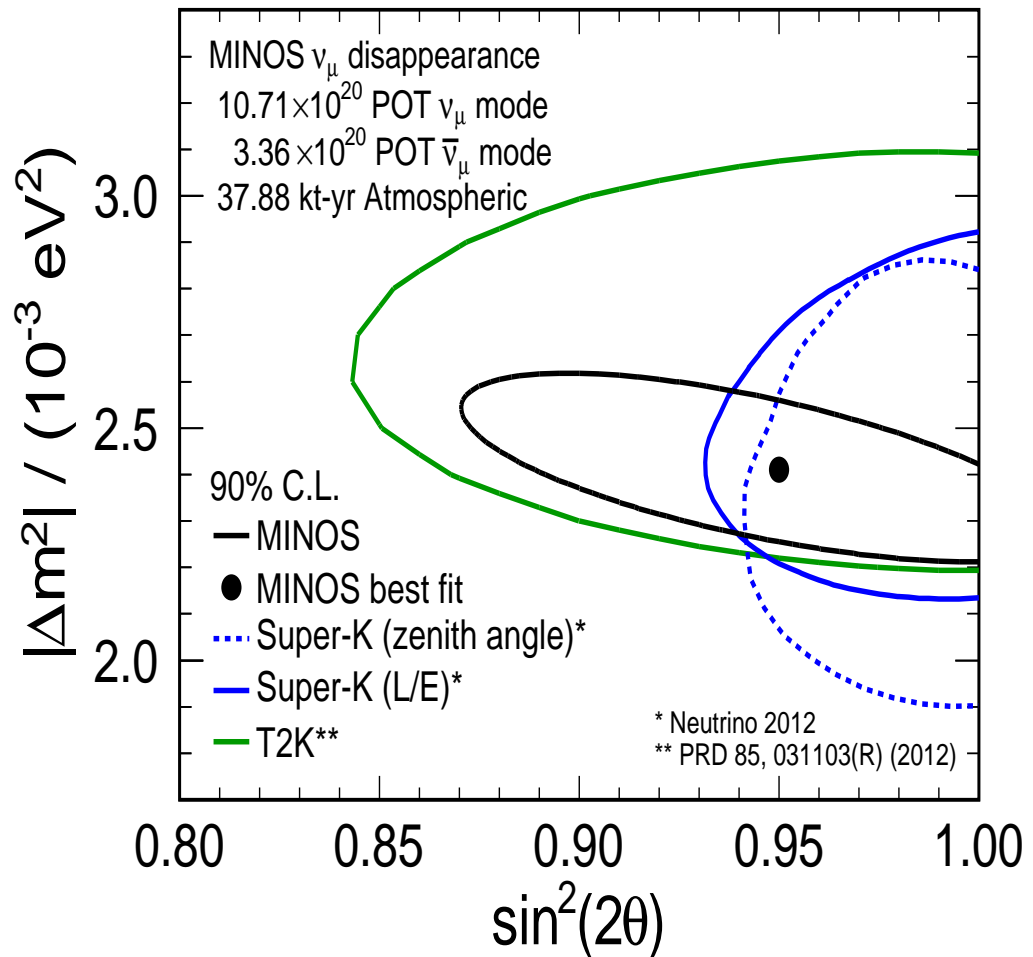
SK says  $\Rightarrow$

Mostly  $\nu_\mu \rightarrow \nu_\tau$ .

### ► $\nu_\tau$ Appearance

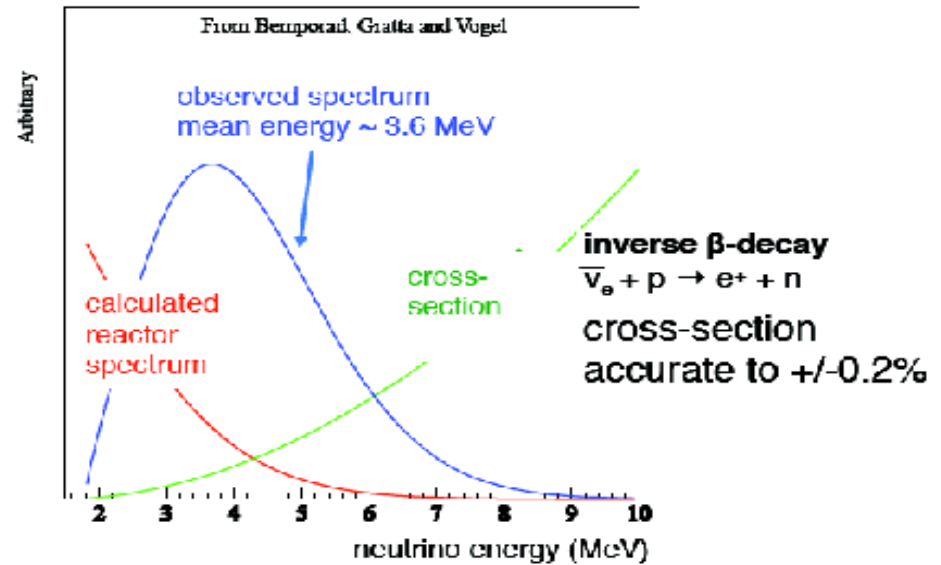
- ▷ OPERA (since 2006)
- 3  $\nu_\tau$  events.

### ► Next Gen: Optimize for $\nu_e$ appearance. (Prob $\leq 5\%$ ).



# Reactor Disappearance Experiment

- ▶ Intense source :  
 $\bar{\nu}_e$  from  $\beta$ -decays of  $n$ -rich fission products
- ▶ Energy is fixed:  
range 1-10 MeV  $\bar{E} \sim 3.6\text{MeV}$

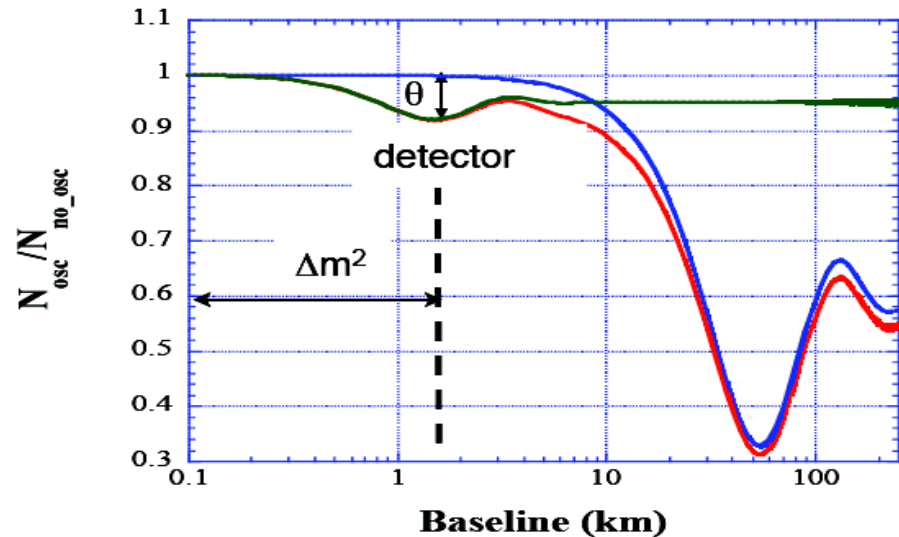


$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} \approx 1 - \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right)$$

Select  $L$  to design reactor experiment:

$$\frac{1.27 \Delta m^2 (\text{eV}^2) L (\text{km})}{E (\text{GeV})} \sim \frac{\pi}{2}$$

Amplitude of oscillation  $\rightarrow \theta$



# Reactor Disappearance Experiment (cont'd)

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} \approx 1 - \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right) - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \left( \frac{\Delta m_{12}^2 L}{4E} \right)$$

Atmospheric  $\Delta m_{31}^2 = 2.4 \times 10^{-3} \text{eV}^2$ .

$L \sim 2 \text{ km}$

Short-baseline

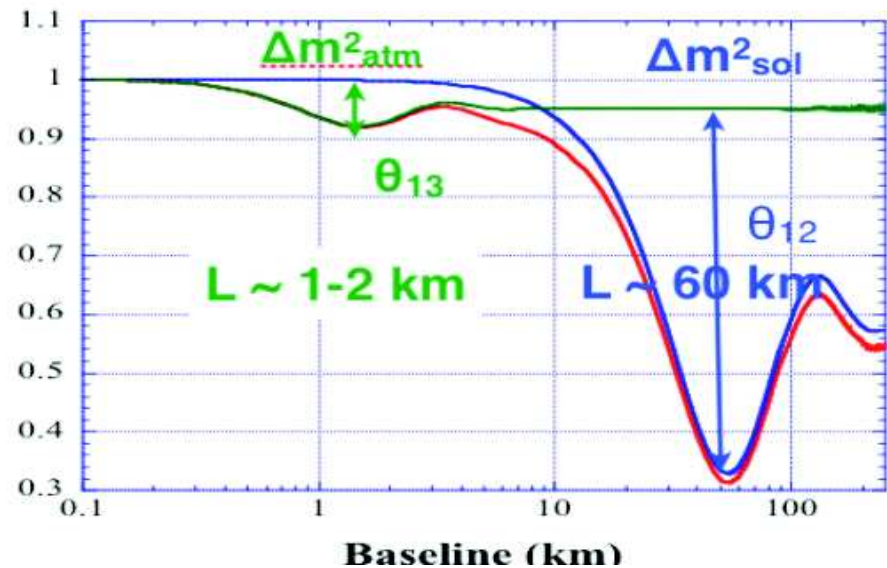
Solar  $\Delta m_{12}^2 = 7.6 \times 10^{-5} \text{eV}^2$ .

$L \sim 60 \text{ km}$

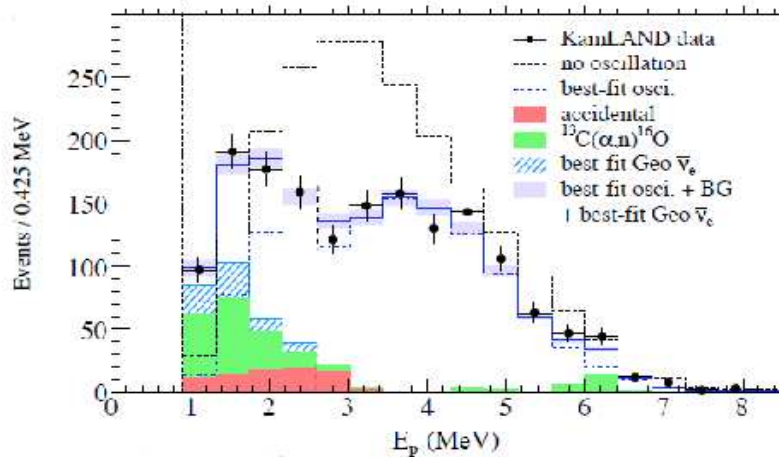
Long-baseline

► KamLAND experiment: optimized for  $\Delta m_{sol}^2$ :

- ▷ Practical: Kamioka mine overburden 2700 m.w.e. (reduce backgrounds).
- ▷ 55 reactors within Japan. → Mean flux weighted reactor distance  $\sim 180 \text{ km}$ .



# KamLAND Results



$$E_{\text{prompt}} = E_{\bar{\nu}} - 0.8 \text{ MeV}$$

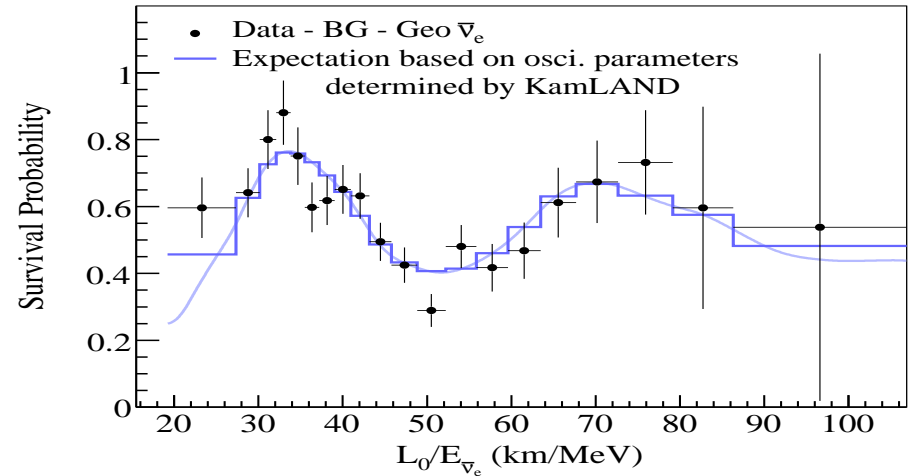
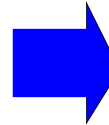
Solar parameters after KamLAND

$$\Delta m_{21}^2 = (7.62 \pm 0.19) \times 10^{-5} \text{ eV}^2.$$

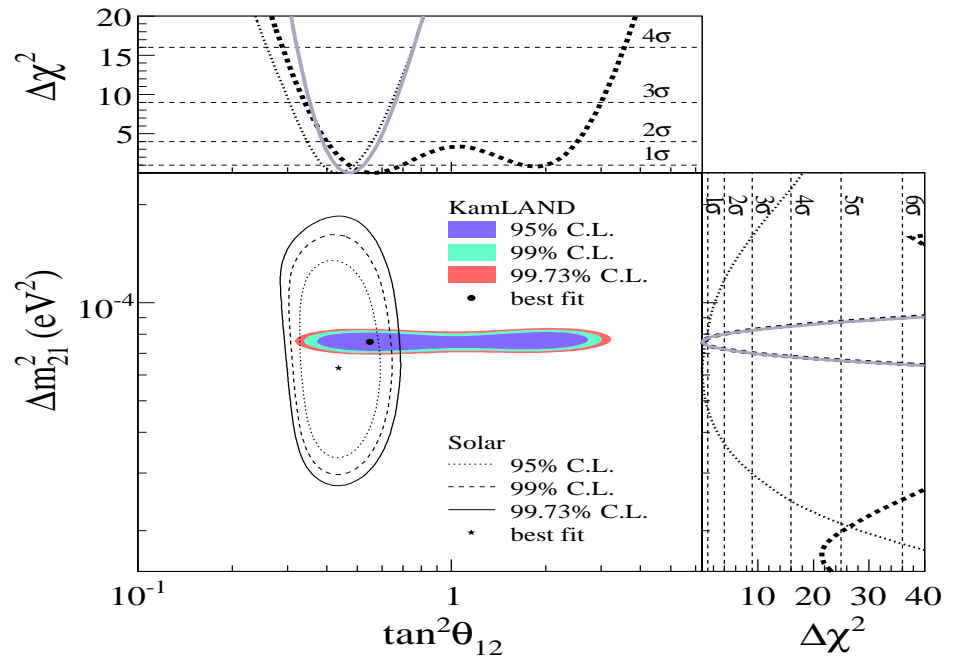
$$\sin^2 \theta_{12} = 0.32 \pm 0.02$$

Combined: Solar+matter effects vs. KamLAND(no matter effects)  $\Rightarrow$

$$\Delta m_{21}^2 > 0$$



► KamLAND measures spectral distortion  $\Rightarrow \frac{L}{E}$  dependence.



# The Big Picture (Pre 2012): Mixing

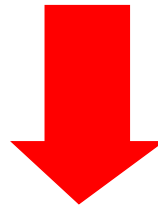
$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}
 \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{-i\delta} & 0 & \cos \theta_{13} \end{pmatrix}
 \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

“Atmospheric”

Super-K, MINOS  
K2K & Opera

$\Delta m_{23}^2$  &  $\theta_{23}$

“Mixed”



$\theta_{13}$  &  $\delta$  ??

Hints: Small

“Solar”

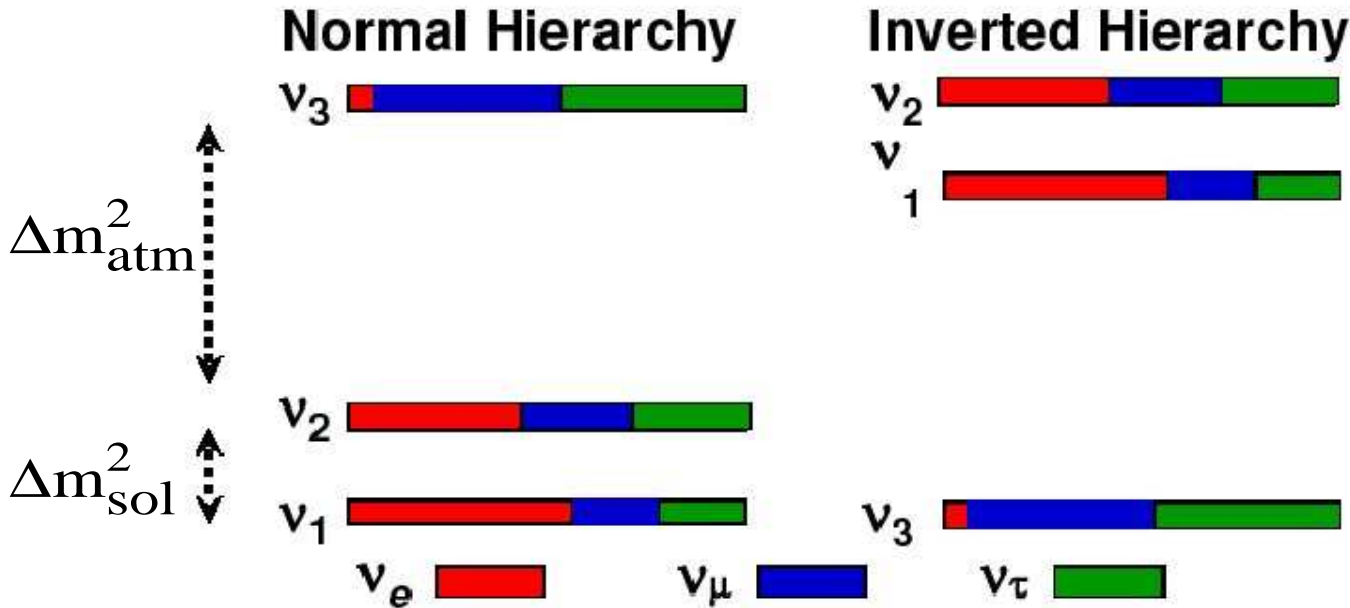
Super-K, SNO  
KAMLAND & others

$\Delta m_{12}^2$  &  $\theta_{12}$

- $\theta_{12} = 33.5^\circ \pm 1^\circ$
- $\theta_{23} = 45^\circ \pm 4^\circ$
- $\theta_{13} (< 11^\circ @ 90\% CL)$
- CP violating phase:  $\delta$



# The Big Picture: Masses



$$\Delta m_{12}^2 = (7.65 \pm 0.23) \times 10^{-5} \text{ eV}^2$$



sign of the mass difference,  $\Delta m_{21}^2 > 0$ .



$$\Delta m_{32}^2 (\approx \Delta m_{31}^2) = (2.40 \pm 0.12) \times 10^{-3} \text{ eV}^2$$

Constraint:  $\Delta m_{32}^2 + \Delta m_{12}^2 + \Delta m_{31}^2 = 0$



*sign of the mass difference,  $\Delta m_{31}^2 > 0$ ?*

# Hunt for $\theta_{13}$

SBL Reactor  
 $\nu_e$  disappearance

LBL Accelerator  
 $\nu_e$  appearance

$$P_{\nu_e \rightarrow \nu_e} = 1 - \sin^2 \theta_{13} \sin^2 \frac{1.27 \Delta m_{31}^2 L}{E} + \text{smaller sol. term}$$

$$P_{\nu_\mu \rightarrow \nu_e} = \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{1.27 \Delta m_{32}^2 L}{E} + \text{terms } (\delta, \text{matter effects: } \text{sign}(\Delta m_{31}^2))$$

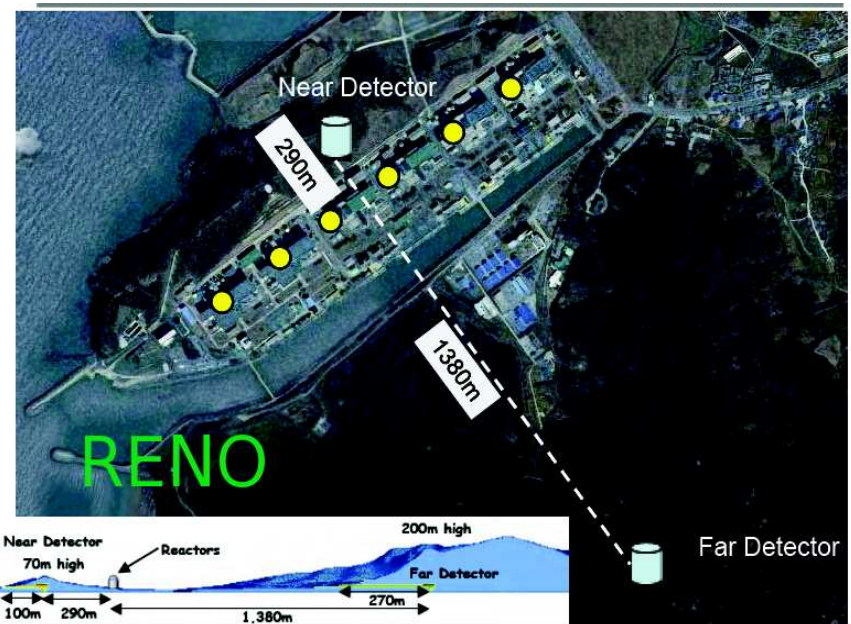
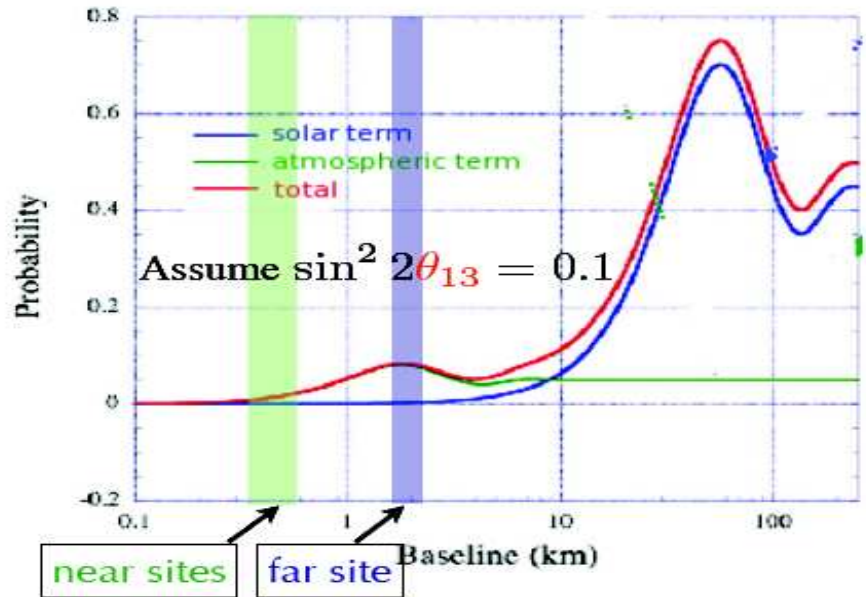
- ▶ Double Chooz
- ▶ Daya Bay
- ▶ Reno

- ▶ **T2K**
  - ▷ L=295 km,  
 $\langle E_\nu \rangle \approx 0.6$  GeV
- ▶ **NO $\nu$ A** (starts this summer).
  - ▷ L=810 km,  $\langle E_\nu \rangle = 2$  GeV

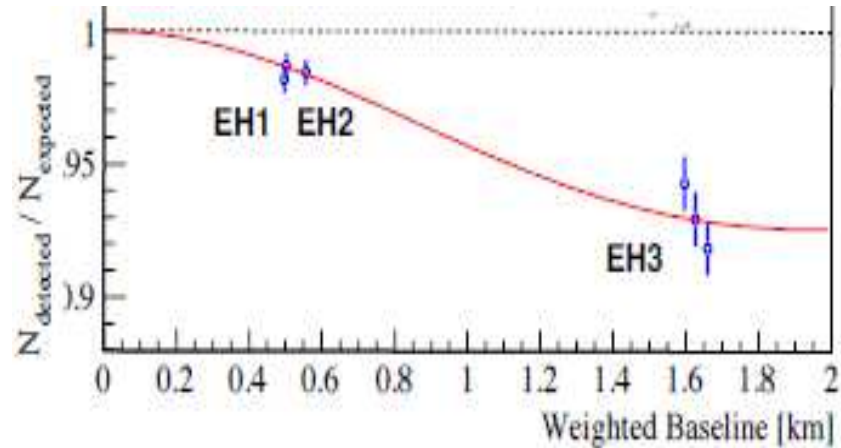
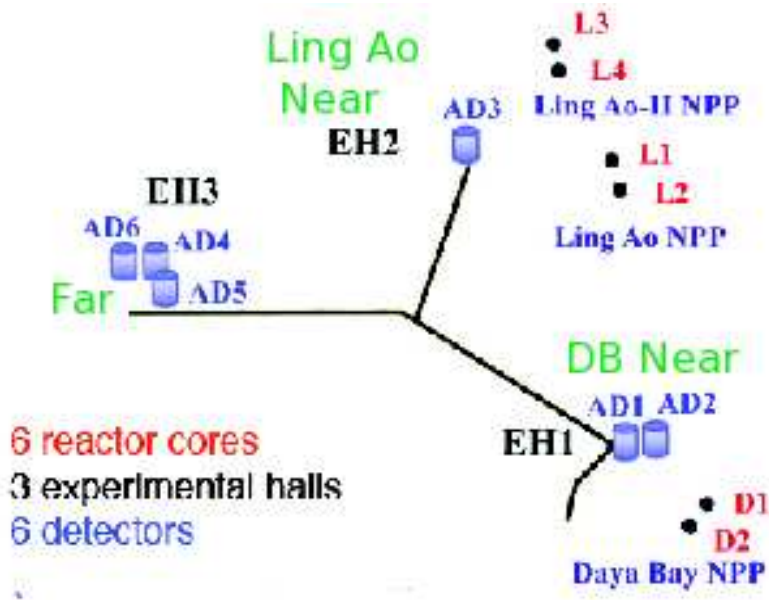
# Short-Baseline Reactor Experiments

Small mixing  $\Rightarrow$  need near detectors.

- ▶ **Near** (range  $\approx 300\text{m}-550\text{m}$ )  
close to reactor to measure flux for normalization (reduce systematics).
- ▶ **Far** (range  $\approx 1380\text{m}-1985\text{m}$ )  
near the first oscillation maximum to maximize sensitivity.(less affected by  $\theta_{12}$ ).



# Daya Bay First Results (March 2012)

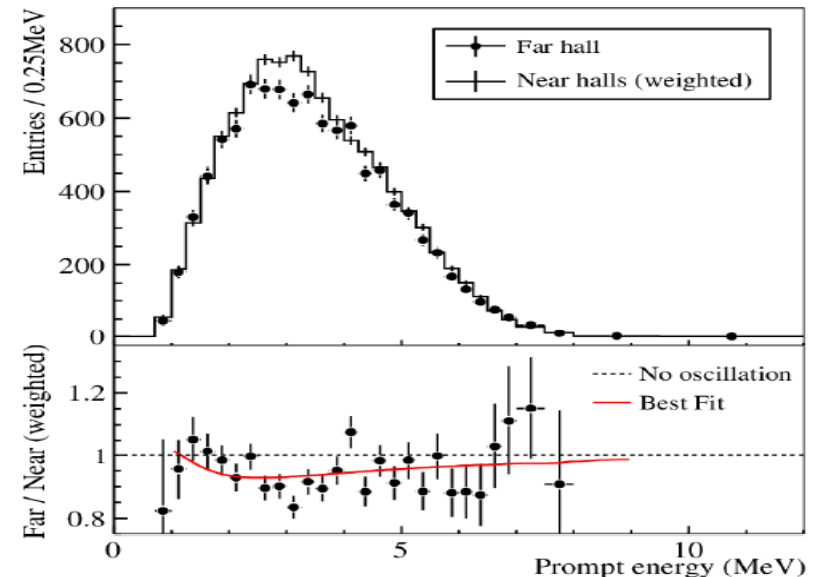


$$\frac{\text{FAR}_{\text{meas}}}{\text{FAR}_{\text{exp}}} = 0.944 \pm 0.007(\text{stat}) \pm 0.003(\text{syst})$$

- Design allows comparison of Near site measurements (control of syst. uncertainties).

$$\sin^2 2\theta_{13} = 0.092 \pm 0.016(\text{stat}) \pm 0.005(\text{syst})$$

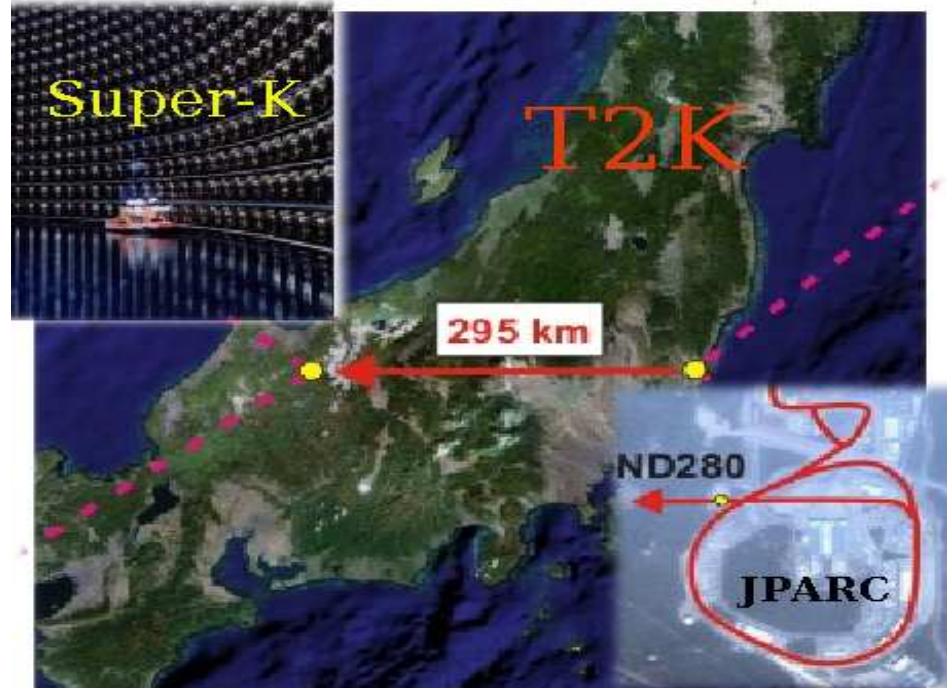
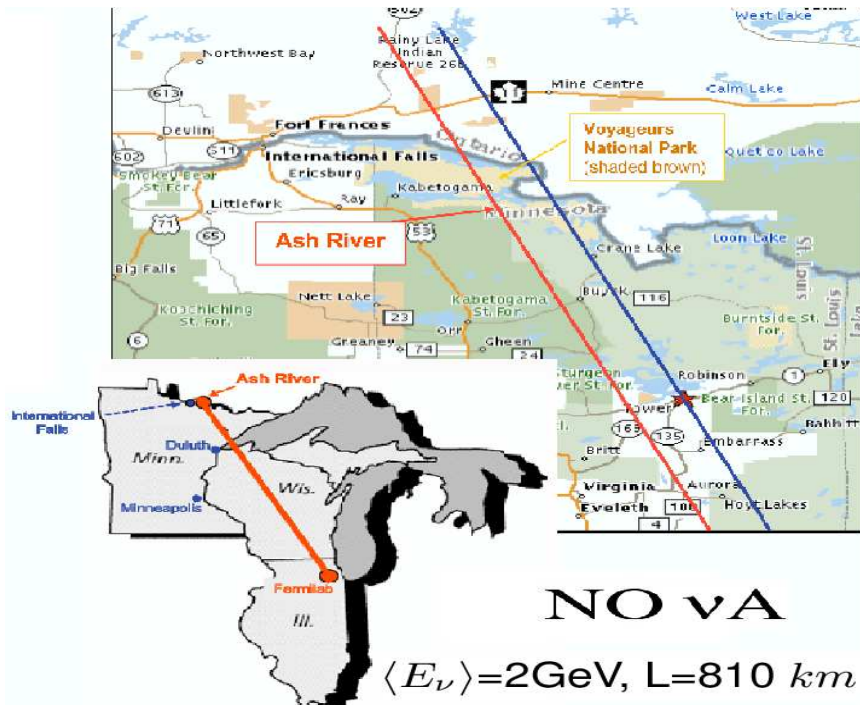
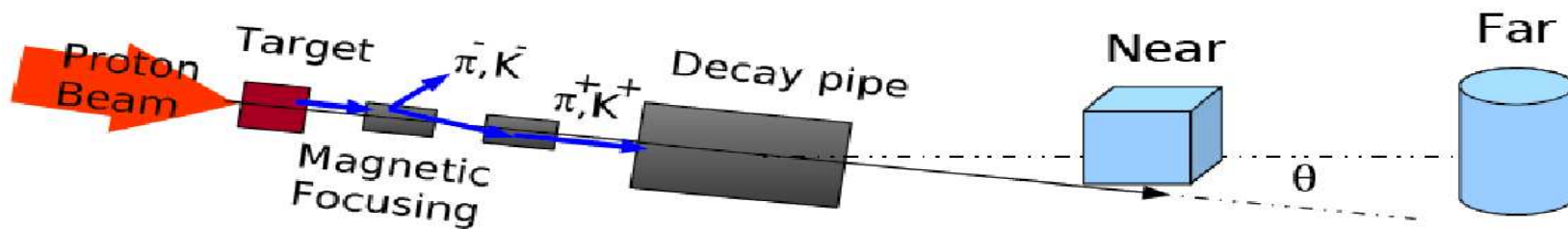
- $5.2\sigma$  (rate only)
- Spectral distortion consistent (not used in fit).



# LBL Accelerator $\nu_e$ appearance

## Common Design Features

- ▶ Large *fine-grained* Far and Near detectors: better optimized for  $\nu_e$  identification.
- ▶ Neutrino detectors positioned off the beam axis to reduce backgrounds.



# Why Off-axis Neutrino Beams?

$\nu_\mu \rightarrow \nu_e$  appearance:

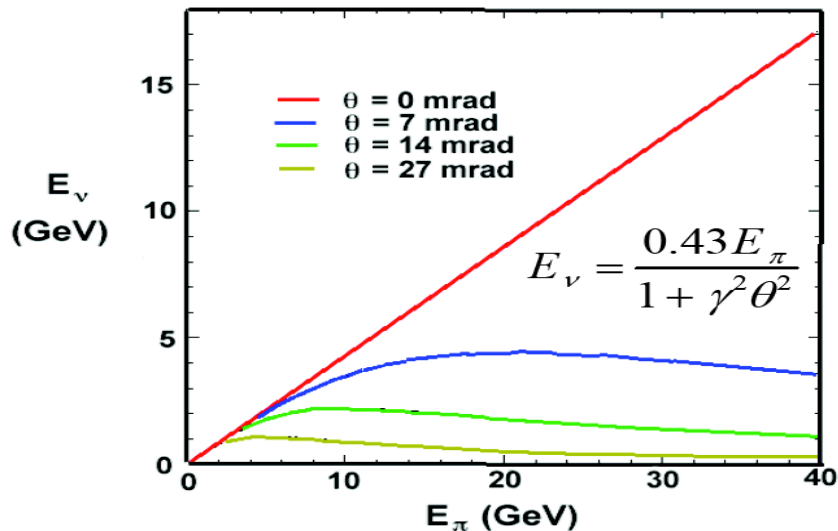
*Small signal and large background!*

- ▶ NC events with  $\pi^0 (\rightarrow 2\gamma)$ .
- ▶ Beam  $\nu_e$  contamination (from  $\mu$  and  $K$  decay).

Off-axis design ( $E_\nu$  depends on  $\theta$ )

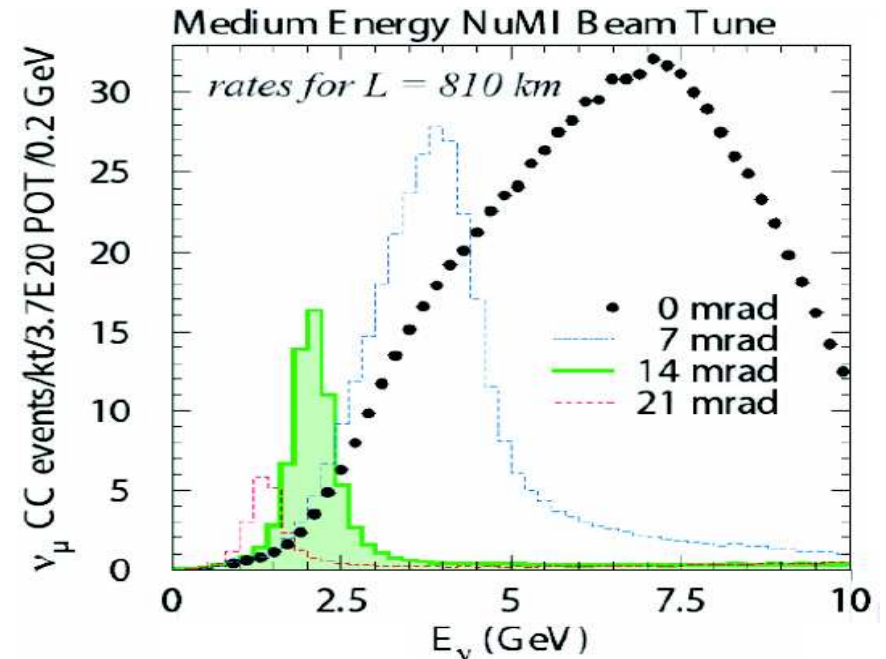
- ▶  $\pi$  decay kinematics limit max.  $E_\nu$  in beam as a function of off-axis angle  $\theta$

$$E_\nu = \frac{E_\pi \left(1 - \frac{m_\mu^2}{m_\pi^2}\right)}{(1 + \gamma^2 \theta^2)}$$



Off-Axis Beam features

- Narrows spectrum (removes high-energy tail feed-down source of NC- $\pi^0$ ).
- Less beam  $\nu_e$  contamination.
- Higher flux in the LE signal region.



# $\nu_\mu \rightarrow \nu_e$ Appearance

- ▶ The disadvantage/advantage of  $\nu_e$  appearance expts with long-baseline beams is that they are sensitive to **three unknowns** ( $\theta_{13}$ ,  $\delta$ ,  $\text{sign}(\Delta m_{13}^2)$ )

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e)_{\text{vacuum}} = & \\
 & \sin^2 \theta_{23} \sin^2(2\theta_{13}) \sin^2 \Delta_{\text{atm}} \\
 & + \cos^2 \theta_{23} \sin^2(2\theta_{12}) \sin^2 \Delta_\odot \\
 & \mp J_r \sin \delta_{CP} \sin^2 \Delta_{\text{atm}} \sin \Delta_\odot \\
 & + J_r \cos \delta_{CP} \sin \Delta_{\text{atm}} \cos \Delta_{\text{atm}} \sin \Delta_\odot \\
 & \quad \quad \quad (- \text{neutrinos, } + \text{antineutrinos})
 \end{aligned}$$

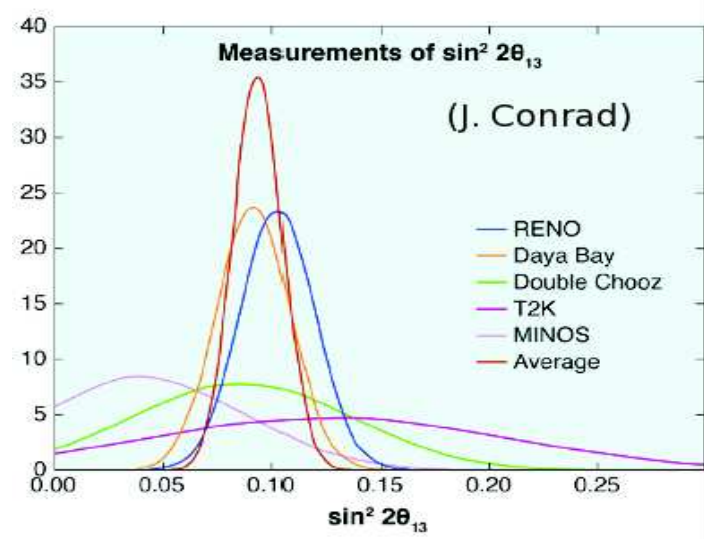
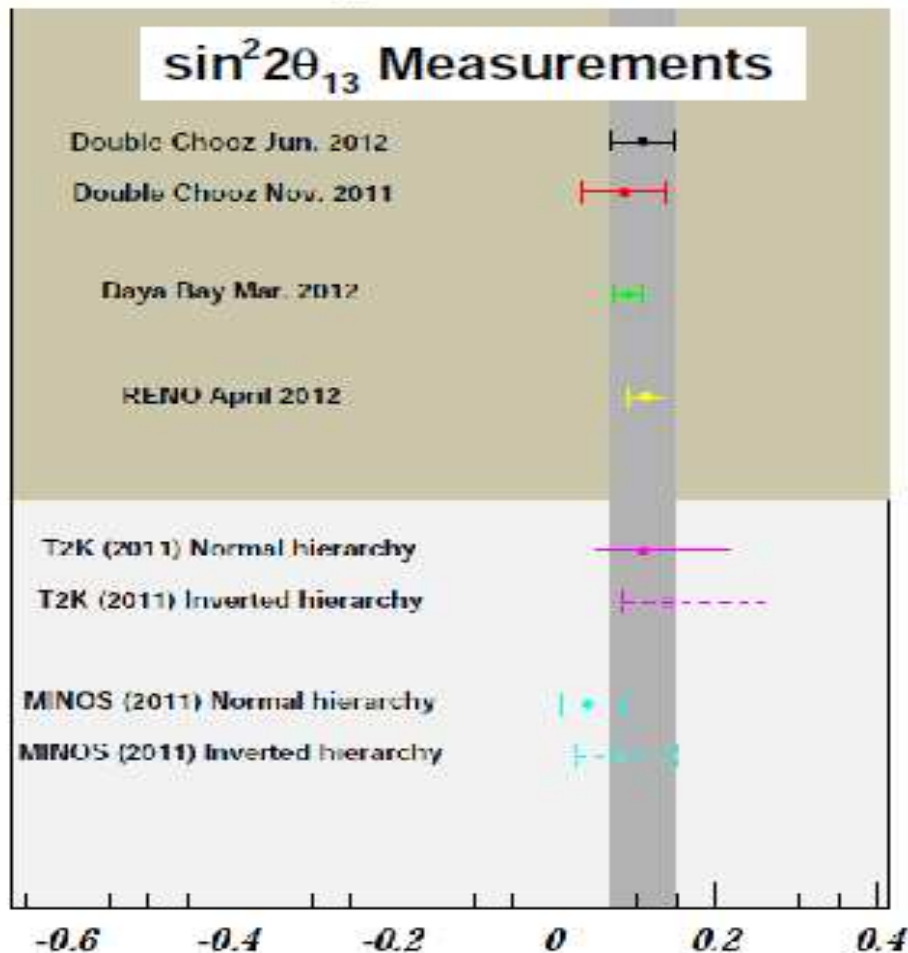
- ▶ *dominant term*  $\approx \frac{1}{2} \sin^2(2\theta_{13})$  at osc. max.
- ▶ *small for accelerator longbaseline*  $L/E$ 's
- ▶ *sub-leading CP violating phase terms.*

$$J_r = \cos \theta_{13} \sin(2\theta_{13}) \sin(2\theta_{12}) \sin(2\theta_{23})$$

$$\begin{aligned}
 \Delta_\odot &= 1.27 \Delta m_{12}^2 L/E \\
 \Delta_{\text{atm}} &= 1.27 \Delta m_{13}^2 L/E
 \end{aligned}$$

- ▶ Sub-leading terms sensitive to  $\delta_{CP}$ . (Different sign for  $\nu$  and  $\bar{\nu}$ ).
- ▶ Include matter effects  $\Rightarrow$  sensitive to **sign  $\Delta m_{13}^2$**  and changes sign for  $\nu$  vs.  $\bar{\nu}$ .

# $\sin^2 2\theta_{13}$ Measurement Summary



- ▶ mid-2012: results from 5 experiments (reactor+accelerator).
- ▶ Daya Bay October 2012 update (significance  $7.7\sigma$ )

$$\sin^2 2\theta_{13} = 0.089 \pm 0.010(\text{stat}) \pm 0.005(\text{syst})$$

- ✓  $\theta_{12} = 33.5^\circ \pm 1^\circ$
- ✓  $\theta_{23} = 45^\circ \pm 4^\circ$
- ✓  $\theta_{13} = 8.7^\circ \pm 0.5^\circ$



# Oscillations What's Next?

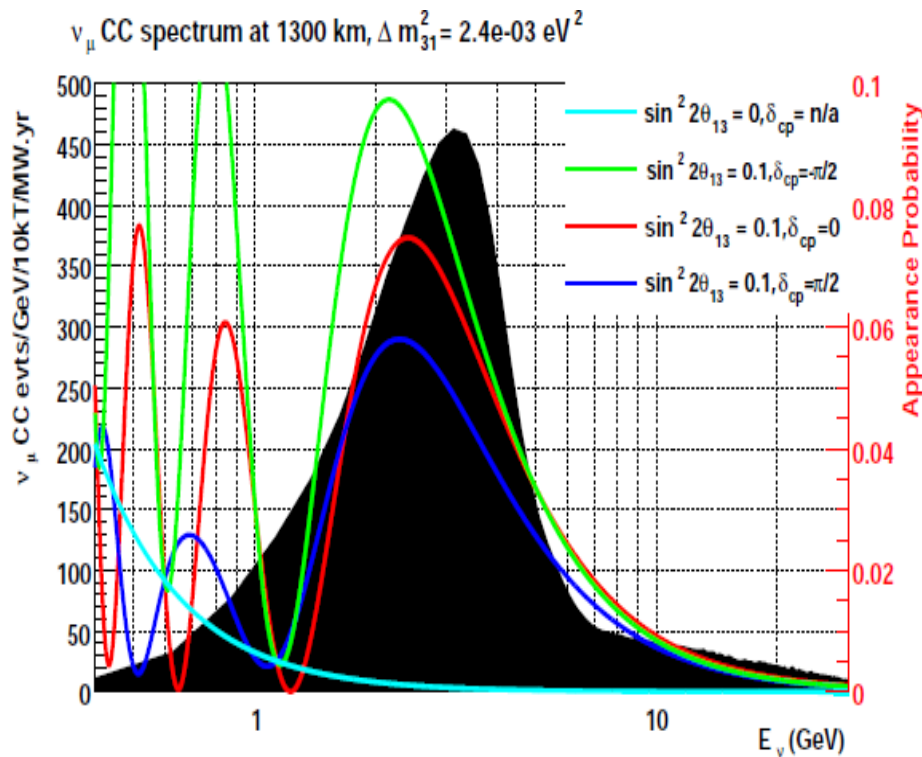
- ▶ Is CP symmetry violated in the neutrino sector? → size of  $\delta$ .
- ▶ Ordering of the masses → is  $m_3$  the heaviest or the lightest?
- ▶ Are there additional (sterile) neutrino states?
- ▶ Theory questions: pattern of masses and mixing?

Planning a next generation optimized for  $\delta$  and hierarchy sensitivity.

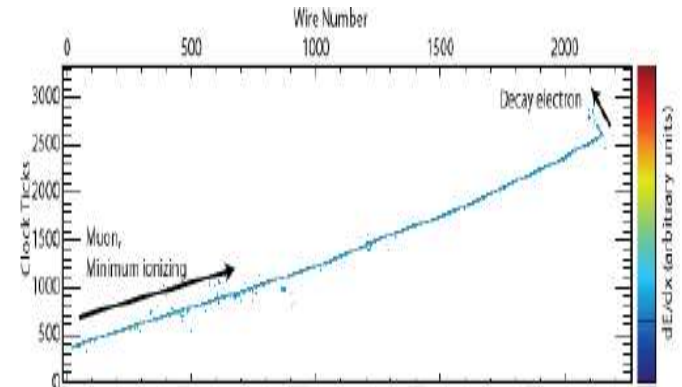
- ▶ Includes very long baseline  $L \sim 1300$  km beam Fermilab → Sanford (SURF).



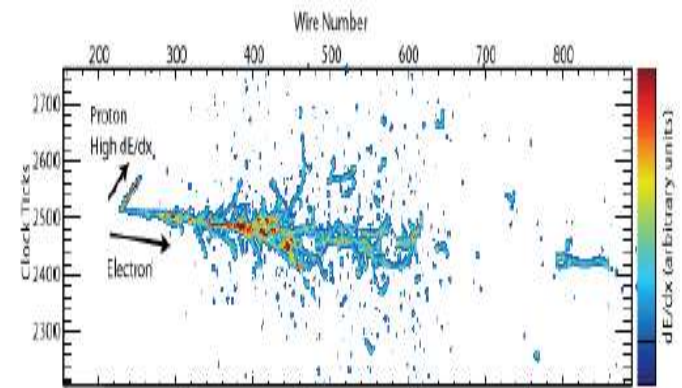
# LBNE Snapshot



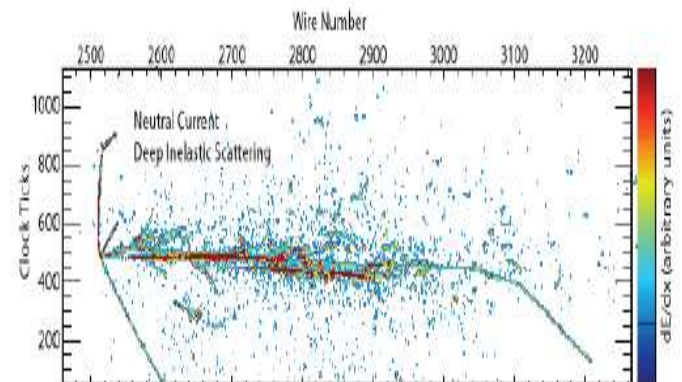
$\nu_\mu$  CC



$\nu_e$  CC

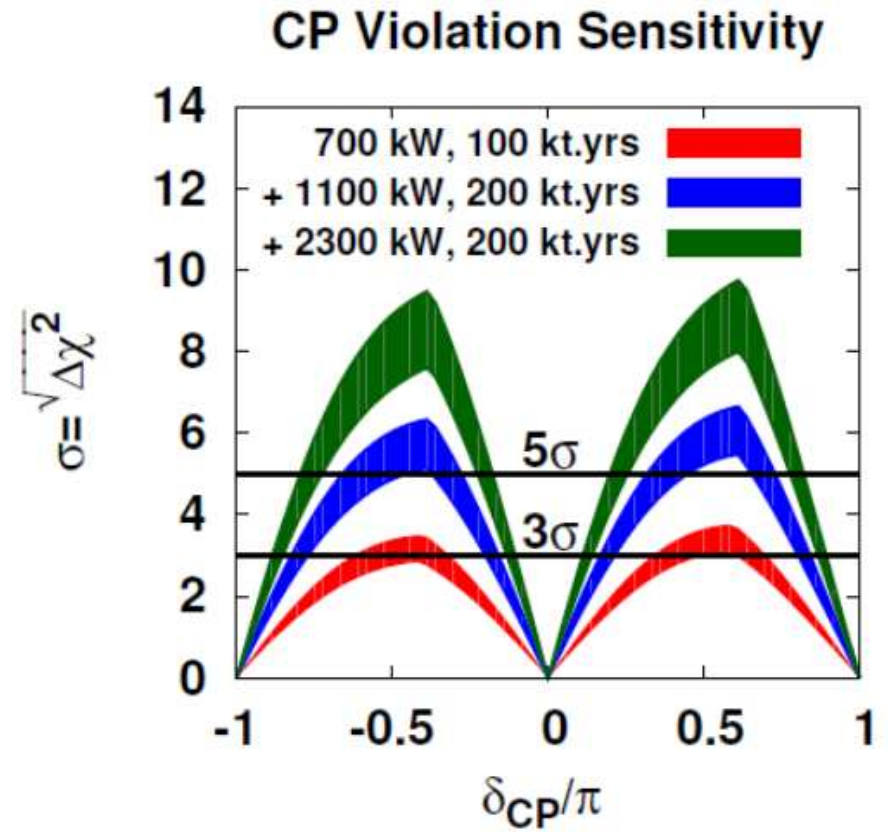
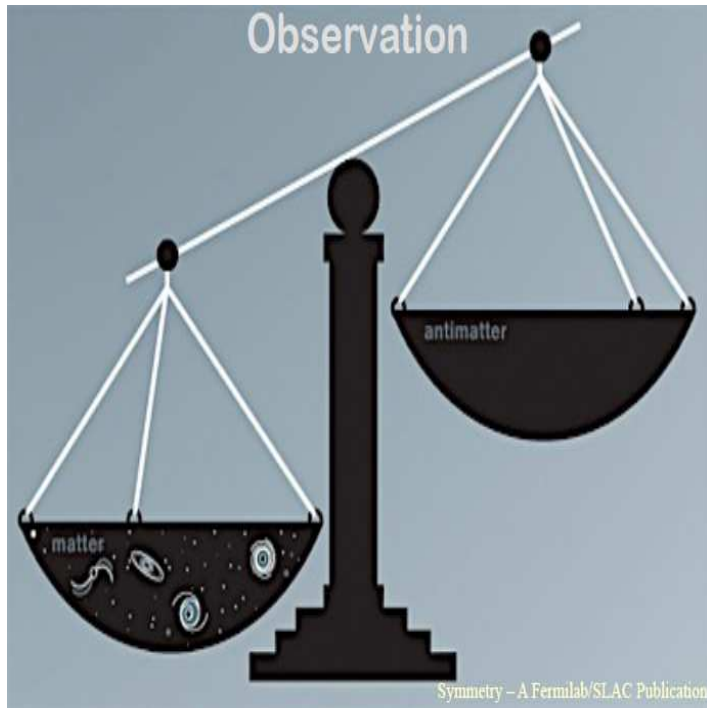


$\nu_x$  NC



- ▶ High-intensity proton beam ( $>700$  kW).
- ▶ Beam Covers 1<sup>st</sup> (2.4 GeV) and 2<sup>nd</sup> (0.8 GeV) oscillation maxima.
  - ▷ Sensitivity to  $\delta \rightarrow$  effect differs.
- ▶ 34 kt LAr TPC Far Detector

# CP Violation Matters



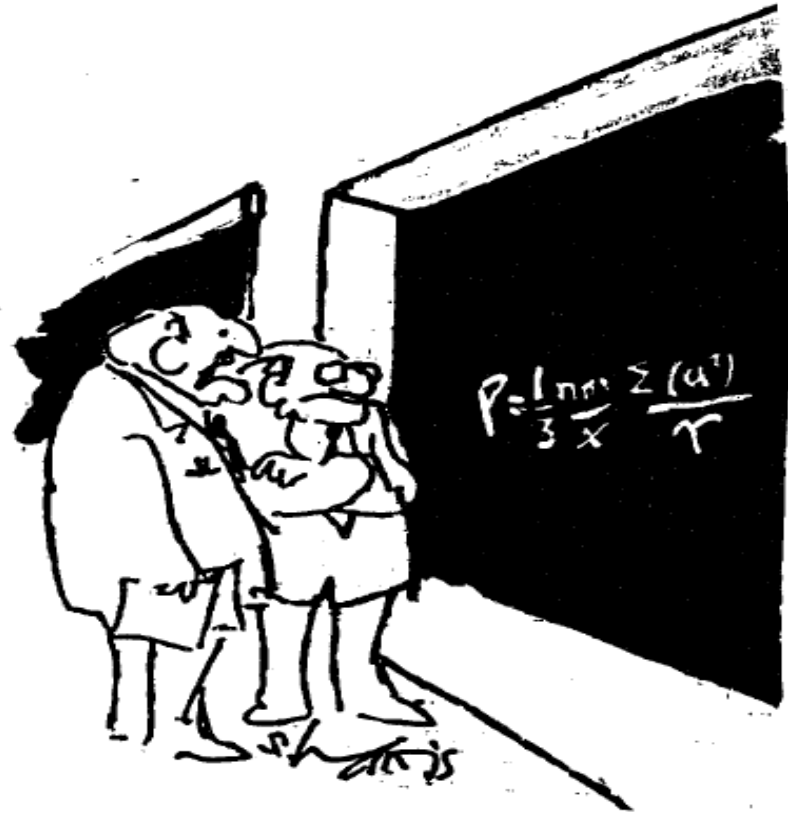
# Summary and Outlook

We are making progress towards understanding neutrino interactions and properties

- ▶ Neutrino have a long history of probing the standard model.
- ▶ Recent revolution understanding properties → Discovery of mass and mixing
  - ▷ Mass splittings and all mixing angles known!

Exciting times for neutrino physics!

- ▶ Apologies for the many important topics not covered ( $0\nu\beta\beta$ , sterile neutrinos, SB neutrino oscillation anomalies, etc.)
- ▶ Many thanks to previous lecturers for slides (D. Schmitz, J. Morfin, G. Perdue, etc.)

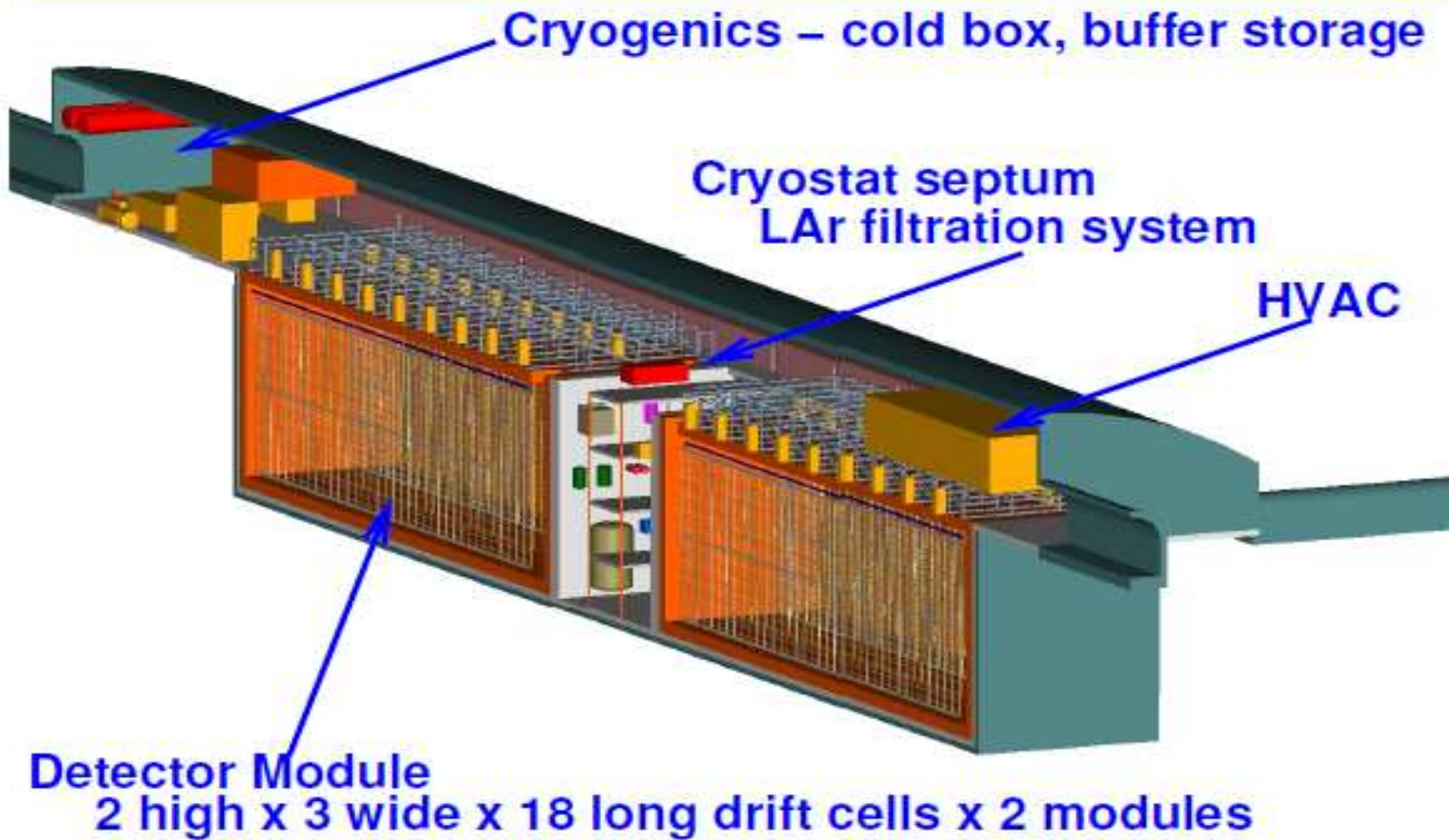


"What's most depressing is the realization that everything we believe will be disproved in a few years."

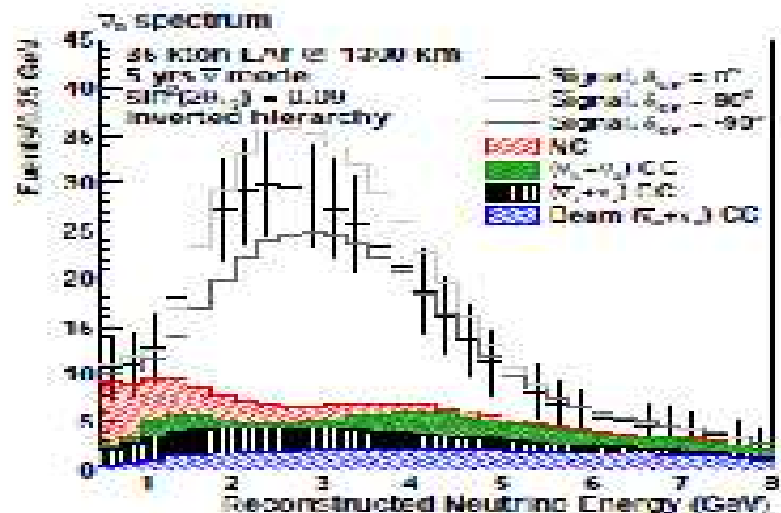
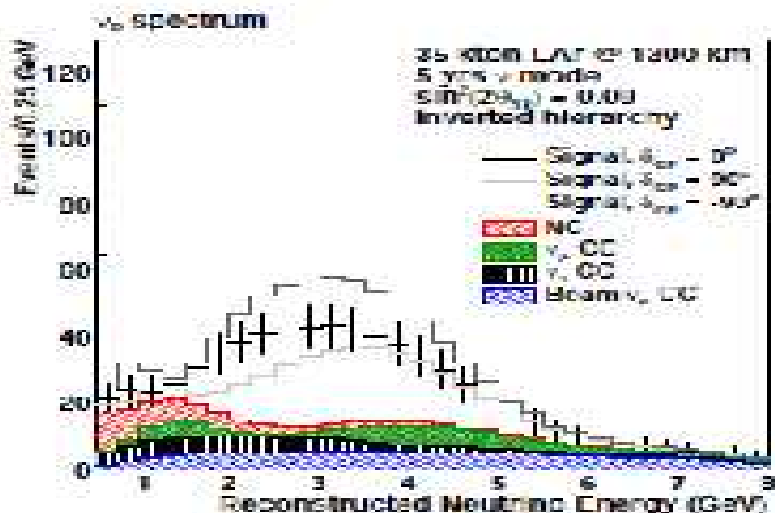
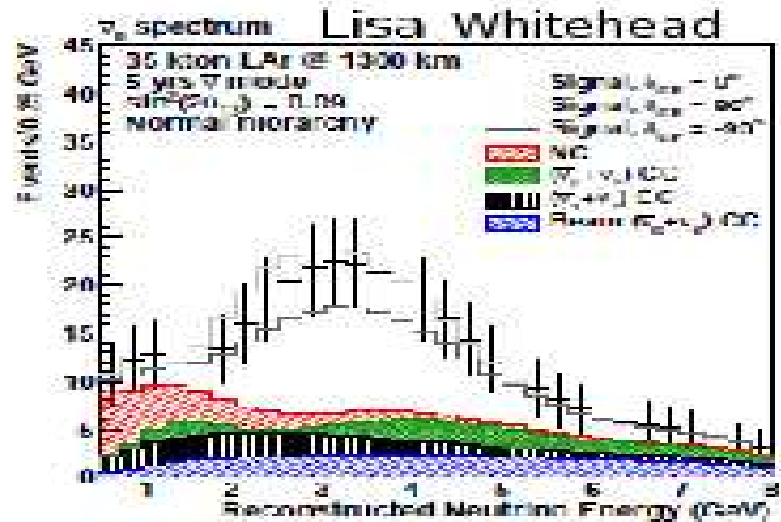
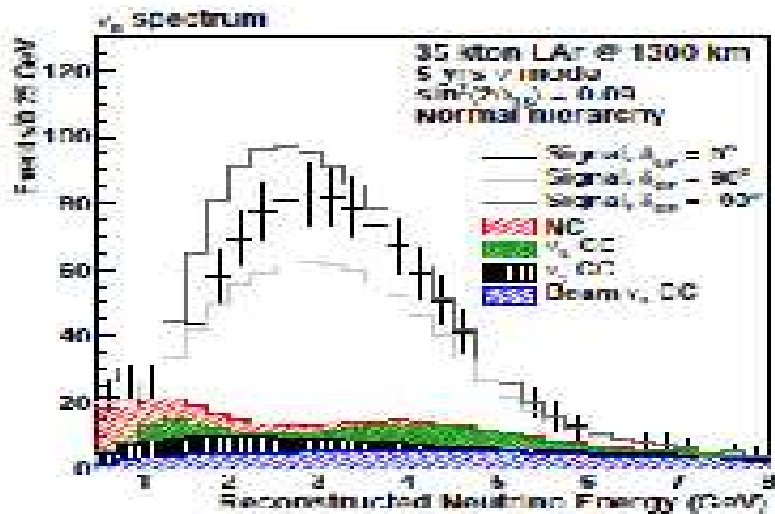
# Extra

# LBNE LarTPC

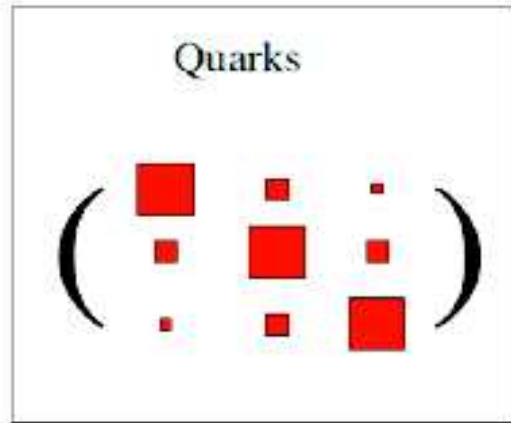
A 35 kton fiducial Liquid Argon Time-Projection-Chamber:



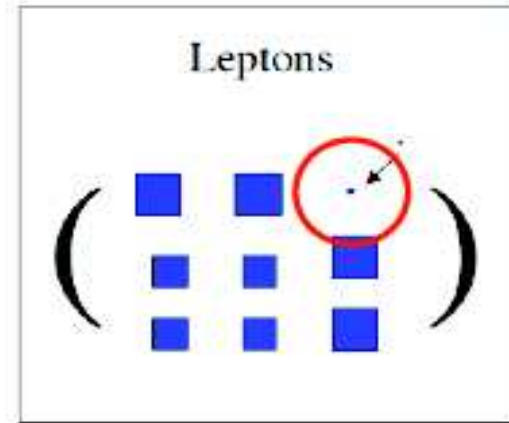
# LBNE Spectra



# CKM vs. PMNS



vs.





# Cosmological Mass measurements

Astropart. Phys. 35, 177 (2011)

Probe	Current $\sum m_\nu$ (eV)	Forecast $\sum m_\nu$ (eV)	Key Systematics	Current Surveys	Future Surveys
CMB Primordial	1.3	0.6	Recombination	WMAP, Planck	None
CMB Primordial + Distance	0.58	0.35	Distance measurements	WMAP, Planck	None
Lensing of CMB	$\infty$	0.2 – 0.05	NG of Secondary anisotropies	Planck, ACT [39], SPT [96]	EBEX [57], ACTPol, SPTPol, POLAR-BEAR [5], CMBPol [6]
Galaxy Distribution	0.6	0.1	Nonlinearities, Bias	SDSS [58, 59], BOSS [82]	DES [84], BigBOSS [81], DESpec [85], LSST [92], Subaru PFS [97], HETDEX [35]
Lensing of Galaxies	0.6	0.07	Baryons, NL, Photometric redshifts	CFHT-LS [23], COSMOS [50]	DES [84], Hyper SuprimeCam, LSST [92], Euclid [88], WFIRST [100]
Lyman $\alpha$	0.2	0.1	Bias, Metals, QSO continuum	SDSS, BOSS, Keck	BigBOSS [81], TMT [99], GMT [89]
21 cm	$\infty$	0.1 – 0.006	Foregrounds, Astrophysical modeling	GBT [11], LOFAR [91], PAPER [53], GMRT [86]	MWA [93], SKA [95], FFTF [49]
Galaxy Clusters	0.3	0.1	Mass Function, Mass Calibration	SDSS, SPT, ACT, XMM [101] Chandra [83]	DES, eRosita [87], LSST
Core-Collapse Supernovae	$\infty$	$\theta_{13} > 0.001^*$	Emergent $\nu$ spectra	SuperK [98], ICECube [90]	Noble Liquids, Gad-zooks [7]

# Computing Oscillation Probabilities

$$P(\nu_\mu \rightarrow \nu_e) = |\langle \nu_e | \nu_\mu(t) \rangle|^2$$

$$|\nu_e\rangle = U_{e1}|\nu_1\rangle + U_{e2}|\nu_2\rangle + U_{e3}|\nu_3\rangle$$

$$|\nu_\mu(0)\rangle = U_{\mu1}|\nu_1\rangle + U_{\mu2}|\nu_2\rangle + U_{\mu3}|\nu_3\rangle$$

$$|\nu_\mu(t)\rangle = U_{\mu1} \exp^{-iE_1 t} |\nu_1\rangle + U_{\mu2} \exp^{-iE_2 t} |\nu_2\rangle + U_{\mu3} \exp^{-iE_3 t} |\nu_3\rangle$$

$\Delta E$  for two different mass states in high-energy approximation  $p \gg m$

$$E_i = \sqrt{p^2 + m_i^2} = p \left(1 + \left(\frac{m_i}{p}\right)^2\right)^{\frac{1}{2}} \approx p \left(1 + \left(\frac{1}{2}\right)\left(\frac{m_i}{p}\right)^2\right) \approx \left(E + \frac{m_i^2}{2E}\right)$$

$$\Delta E \approx \frac{m_i^2 - m_j^2}{2E}$$

Write in terms of  $\Delta m^2 = (m_i^2 - m_j^2)$  and path length  $c = 1 \Rightarrow t = L$

General expression  $P(\nu_\alpha \rightarrow \nu_\beta) =$

$$\delta_{\alpha\beta} - 4 \sum_{i>j} \Re \left( U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \right) \sin^2 \left( \frac{\Delta m_{ij}^2 L}{4E} \right) + 2 \sum_{i>j} \Im \left( U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^* \right) \sin \left( \frac{\Delta m_{ij}^2 L}{2E} \right)$$

# Flavor Composition of Neutrino Mass States

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

