### Lectures on Deep Inelastic Scattering

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#### • Part I:

- Introduction to DIS formalism
- Physics Results from DIS experiments
- Part II:
  - impact of DIS measurements
  - Relevance of DIS to LHC physics
  - Oulook

### Today's Lecture

Lectures will present state of the art in the field blended in with new experimental results\*

- Motivation
- A leap into history
- Quark Parton Model
- Parton Distribution Functions (PDFs)
- QCD add on features
- Selected Experimental measurements

\* Disclaimer: more coverage of H1, NuTeV and ATLAS is given due to my biases...

#### **Motivation**

- Deep inelastic scattering is the ideal process for the determination of the quark and gluon distributions in the proton.
  - Studies of the proton substructure of the nucleon are of great interest for the development of strong interaction theory
- With high energy and luminosity, the LHC search range will be extended to high masses, up to 5 TeV in pair production. At correspondingly large momentum the constituents of proton are unknown to a considerable extent.
  - Accurate knowledge of constituents of protons also a necessary input for new physics searches and studies at the Large Hadron Collider

## Introduction to Deep Inelastic Scattering (DIS)

 Rutherford's gold foil experiment 1909 (performed by Geiger and Marsden)





Geiger and Rutherford

Rutherford's gold foil experiment set the scene for a century of ever-deeper and more precise resolution of the constituents of the atom, the nucleus and the nucleon.

#### → Ideas for detecting quarks were formulated:

To probe the interiors of target, pointlike and easily produced particle needed to be used.

#### **Probing the Proton Structure**

#### • Proton can be probed via elementary particles as:

- neutrinos (fixed target experiments) interact only weakly
- o electrons (fixed target and collider experiments) interact electroweakly

# **e,ν,μ** x, Q<sup>2</sup>

#### • Deep Inelastic Scattering (DIS) is the cleanest probe to study the substructure of nucleon

o scattering of a lepton off the nucleon involving a large momentum transfer and resulting into a hadronic shower and a lepton

#### • Kinematic Lorentz Invariant Variables:

o virtuality of exchanged boson

$$Q^2 = -q^2 = -(k - k')^2$$

 proton momentum fraction of the scattered quark (Bjorken scaling variable)

$$x = \frac{Q^2}{2p \cdot q}$$

o inelasticity parameter:

$$y = \frac{p \cdot q}{p \cdot k}$$

• invariant centre of mass energy:

$$s = (k+p)^2 = \frac{Q^2}{xy}$$





• Invariant centre of mass energy of the virtual boson-proton system )

$$W^2 = (P+q)^2 = m_p^2 - Q^2 + 2P \cdot q = ys - Q^2 + m_p^2(1-y).$$
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#### **DIS Cross Sections**

• Factorisable nature of interaction: Inclusive scattering cross section is a product of leptonic and hadronic tensors times propagator characteristic of the exchanged particle:

$$\frac{d^2\sigma}{dxdQ^2} = \frac{2\pi\alpha^2}{Q^4x} \sum_j \eta_j L_j^{\mu\nu} W_j^{\mu\nu}$$
  
For NC: j= $\gamma$ , Z,  $\gamma$ Z  
For CC: j=W+, W-

Leptonic tensor: related to the coupling of the lepton with the exchanged boson

- contains the electromagnetic or the weak couplings
- can be calculated exactly in the standard electroweak  $U(1) \times SU(2)$  theory. •

Hadronic tensor: related to the interaction of the exchanged boson with proton

can't be calculated, but only be reduced to a sum of structure functions:

• can't be calculated, but only be reduced to a sum of structure functions:  

$$\begin{aligned}
& \sim m_{lepton} \\
W^{\alpha\beta} &= -g^{\alpha\beta}W_1 + \frac{p^{\alpha}p^{\beta}}{M^2}W_2 - \frac{i\epsilon^{\alpha\beta\gamma\delta}p_{\gamma}q_{\delta}}{2M^2}W_3 + \underbrace{\frac{q^{\alpha}q^{\beta}}{M^2}W_4 + \frac{p^{\alpha}q^{\beta} + p^{\beta}q^{\alpha}}{M^2}W_5 + \frac{i(p^{\alpha}q^{\beta} - p^{\beta}q^{\alpha})}{2M^2}W_6 \\
& \overline{\frac{d^2\sigma}{dxdQ^2}} = A^i \left\{ (1 - y - \frac{x^2y^2M^2}{Q^2})F_2^i + y^2xF_1^i + \underbrace{(y^2 - \frac{y^2}{2})xF_3^i}_{e^2} \right\}_{escu| DESY} A^i: \text{ process dependent} \\
& \text{ be calculated, but only be reduced to a sum of structure functions:  $\sim m_{lepton}$$$

### Scaling of the structure functions

Structure functions can be extracted experimentally by looking at x,y,Q<sup>2</sup> dependence of the cross-section

• Experimental observation of scaling behaviour of F<sub>2</sub> is first evidence for a partonic sub-structure in the nucleon:



Scaling refers to the dependence of the structure functions on a single dimensionless variable x: Bjorken scaling

Once able to look into nucleon, can look into the properties of those partons...

# Quark Parton Model (QPM)

#### In Quark Parton Model:

inelastic scattering with nucleon is viewed as elastic scattering between lepton and a pointlike constituent of the target – partons (non-interacting) – explicitly assumed to be spin-1/2 particles

Each parton carries the fraction x with a probability 
$$q(x)$$
  
 $q$ 
 $q$ 
 $(1-x)r$ 
 $\left(\frac{d\sigma}{dxdQ^2}\right)_{ep\to eX} = \sum_i \int dx e_i^2 q_i(x) \left(\frac{d\sigma}{dxdQ^2}\right)_{eq_i\to eq_i}$ 

Bjorken-x has a meaning of momentum fraction carried by the struck quark:

The elastic scattering cross section for spin  $\frac{1}{2}$ :



Considering probability distribution for the quark to have momentum fraction x, xq(x), **Callan-Gross relation**  $F_2(x) = \sum_{q} e_q^2 x q(x), \qquad F_L(x) = 0.$ 

 $F_2(x) = 2xF_1(x)$ 

### Verification of QPM: fractional electric charge

Using different probes (e, nu) in DIS processes: can probe electric charge of the partons proton: uud
  $F_2(x) = \sum_i e_i^2 x [q_i(x) + \bar{q}_i(x)]$ 





Neutrinos:

- interact only weakly
- left handed particles

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$$\begin{aligned} F_2^{ep}(x) &= x[e_u^2(u+\bar{u})+e_d^2(d+\bar{d})] &F_2^{\nu p}(x) &= 2x[d+\bar{u}] \\ F_2^{en}(x) &= x[e_u^2(d+\bar{d})+e_d^2(u+\bar{u})] &F_2^{\nu n}(x) &= 2x[u+\bar{d}] \\ F_2^{eN}(x) &= \frac{1}{2}(F_2^{ep}+F_2^{en}) &F_2^{\nu N}(x) &= \frac{1}{2}(F_2^{\nu p}+F_2^{\nu n}) \\ &= x\frac{e_u^2+e_d^2}{2}[u+\bar{u}+d+\bar{d}] &F_2^{\nu N}(x) &= \frac{1}{2}(F_2^{\nu p}+F_2^{\nu n}) \\ &= x[u+\bar{u}+d+\bar{d}] \end{aligned}$$

 $\frac{F_2^{eN}}{F_2^{\nu N}} = \frac{1}{2}(e_u^2 + e_d^2) = \frac{5}{18} = 0.28 \quad \longleftarrow \quad \frac{\text{SLAC}eN}{\text{GGM}\nu N} = 0.29 \pm 0.05$ Voica Radescul DESY (\*) CTEQ 2013 DIS

#### Verification of QPM: fractional electric charge



#### Verification of QPM: valence, sea quarks

 ◆ Partons: valence and sea u = u<sub>val</sub> + u<sub>sea</sub>; u<sub>sea</sub> = ū d = d<sub>val</sub> + d<sub>sea</sub>; d<sub>sea</sub> = d̄ = ū
 ▶ Gross-LLewellyn-Smith sum rule: counting the net number of quarks in the nucleons

 $\begin{array}{rcl} xF_{3}^{\nu p} & = & 2x(d-\bar{d}) = 2xd_{v} \\ xF_{3}^{\nu n} & = & 2x(u-\bar{u}) = 2xu_{v} \end{array} \qquad \qquad \int_{0}^{1} xF_{3}^{\nu N}\frac{dx}{x} = \int_{0}^{1} (u_{v}+d_{v})dx$ 

QPM predicts that GLS=3; experimental findings agree within errors (Gargamelle).

▶ The observation of jet production was a major success of the Quark Parton Model approach:





The lowest order reaction leads to two jets of particles which are back-to-back in azimuth as predicted for spin-½ quarks



# Some of the puzzles of the QPM:

 If the proton would be solely constituted of charged quarks, it was expected that

$$\int_0^1 dx \; x \sum_i q_i(x) = 1$$

Experimentally was found that half of momentum of proton is NOT carried by quarks

♦ Gargamelle: 0.49±0.07

- Initial phase of multi-hadron production is similar to muon pair production through e<sup>+</sup>e<sup>-</sup> annhilation:
  - Measures directly the sum of the squares of the quarks charges (number of quark flavours)

$$R_{\gamma} = \frac{\sigma(e^+e^- \to q\bar{q})}{\sigma(e^+e^- \to \mu^+\mu^-)} = \sum_q e_q^2 = \frac{11}{9}$$

 $\diamond$  But actual experimental result is ~ 11/3

→ Indication that colour is more than just a quantum number:

♦ discovery of the gluons at PETRA: 3 jet events

3 jets discovered at DESY in 1979





# Parton Distribution Functions (PDFs)

The proton has a dynamic structure determined by the resolving power of the process



# **QCD** features

Quantum Chromo Dynamics is theory of strong interactions among quarks and gluons

- The charge of the strong interaction is a new quantum number called colour with 3 d.o.f (RGB)
- The gauge bosons of the strong interactions are 8 massless gluons with no electric nor weak charge, gluons carry colour charges and are therefore able to self-interact
- The strong interaction is characterised by a strong coupling parameter:

#### **Characteristics:**

■ Quarks are bound inside protons, strongly coupled, cannot measure directly their distributions: confinement (strength at large distance → at low Q)

■ At large scattering scales the coupling of strong force decreases and quarks become quasi-free partons: asymptotic freedom (weakness at short distance → at large Q)

 interactions of quarks and gluons at large scales can be calculated perturbatively in running strong coupling.



# **Renormalisation and running coupling**

- Calculation of a scattering cross section in pQCD reduces to summing over the amplitudes of all possible intermediate states:
  - 4-momentum conserved at each vertex, however inclusion of loop diagram leads to divergences

ලි 0.24 <sup>හ</sup> 0.22

0.2

0.18

0.16

0.14

0.12

0.1

Renormalisation method: introducing a scale for which UV divergence is removed

However any observable (R) should be free of such scale:

$$\mu rac{d}{d\mu^2} R(rac{Q^2}{\mu^2},lpha) = \left( \mu^2 rac{\partial}{\partial \mu^2} + \mu^2 rac{\partial lpha}{\partial \mu^2} rac{\partial}{\partial lpha} 
ight) R = 0$$

This way we obtain the equation for running alpha:

$$t = \log\left(rac{Q^2}{\mu^2}
ight)$$
 and  $\ eta(lpha) = \mu^2 rac{\partial lpha}{\partial \mu^2}$ 

Perturbation expansion of beta function:

$$\beta(\alpha_s) = -b\alpha_s^2 \left(1 + b'\alpha_s + b''\alpha_s^2 + ...\right)$$

Running coupling in one loop:

 $12\pi$ 

$$\frac{12\pi}{(33-2n_f)\log} + \alpha_s = \frac{12\pi}{(33-2n_f)\log}$$

3-loops

m



0.08 ATLAS Preliminary

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ATLAS 2010 N3/2

DØ inclusive iet

H1 inclusive iet **ZEUS** inclusive iet

PDG 2012 world average  $\alpha_{\rm s}({\rm M_{-}}) = 0.1184 \pm 0.0007$ 

DØ R

 $10^{2}$ 

#### **Factorisation theorem**

#### Perturbative calculations are performed in context of the factorisation theorem:

o extended to the case of heavy quarks [Collins 1998]

#### Factorisation Theorem: short and long distances processes are separable $\rightarrow$ introduce $\mu_F$

- soft part: PDFs parametrised and determined from data
- hard part: process dependent calculable

$$F_2(x,Q^2) \sim \sum_a f_a(x,\mu_f) \otimes \widehat{F}_2^a(x,\frac{Q}{\mu_f})$$





- > Physical Structure Function is INDEPENDENT of choice of the scale:
  - $\diamond$  both, pdf's and the short-dist. coefficient depend on  $\mu f$  (long distance physics)
  - $\diamond$  There is also short distance physics: we can insert perturbative corrections to loops  $\mu r$

a measurable cross section d
$$\sigma$$
 has to be independent of  $\mu_r$  and  $\mu_f$   
$$\mu_{r,f} \frac{d\sigma}{d\mu_{r,f}} = \frac{d\sigma}{d\ln\mu_{r,f}} = 0 \implies \text{renormalization} \text{group equations}$$

### **Determination of QCD Evolution equations**

 Ilustration of what could happen before the quark is struck

We already stated that physical quantity should Be independent of choice of the factorisation scale:



### **QCD Evolution equations**

Parton momentum distributions change with the scale of the probe:

- Q<sup>2</sup>=p<sup>2</sup>-E<sup>2</sup>~10 GeV<sup>2</sup> is typical scale for low energy experiments
- ▶ Q<sup>2</sup>=p<sup>2</sup>-E<sup>2</sup>~10000 GeV<sup>2</sup> is the scale that we are now starting to probe at the LHC



Total momentum carried by the valence quarks is ~ 0.5 => the rest is the gluon and sea quarks.

#### **PDF** parametrisation

#### PDFs are parametrised at a starting scake and QCD evolution evolve them to any scale!

$$\begin{aligned} xg(x) &= A_g x^{B_g} (1-x)^{C_g} (1+D_g x+E_g x^2+F_g \sqrt{x}+...) \\ xu_v(x) &= A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}} (1+D_{u_v} x+E_{u_v} x^2+F_{u_v} \sqrt{x}+...) \\ xd_v(x) &= A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}} (1+D_{u_v} x+E_{u_v} x^2+F_{u_v} \sqrt{x}+...) \\ x\bar{u}(x) &= A_{\bar{u}} x^{B_{\bar{u}}} (1-x)^{C_{\bar{u}}} (1+D_{\bar{u}} x+E_{\bar{u}} x^2+F_{\bar{u}} \sqrt{x}+...) \\ x\bar{d}(x) &= A_{\bar{d}} x^{B_{\bar{d}}} (1-x)^{C_{\bar{d}}} (1+D_{\bar{d}} x+E_{\bar{d}} x^2+F_{\bar{d}} \sqrt{x}+...) \end{aligned}$$

There are many studies done to assess biases due to parametrisation ansatz:

- Neural network PDF: very flexible parametrisation
- Use of Chebyshev Polynomial
  - These flexible parametrisation require though Regularisation Methods to smooth the PDFs





- B>0 for valence like shape
- B<0 for sea
- $C \rightarrow high x behaviour$

D, E, F  $\rightarrow$  interpolate between low and high x



### **Schematics of PDF extraction**



PDFs are extracted from QCD fits to double differential cross section data:

- o Parametrise PDFs at a starting scale by smooth functions with sufficient parameters;
- o Evolve PDFs to other scales by the evolution equations (DGLAP)
- Compute cross sections for DIS (or other processes) at NLO (NNLO)
- Calculate  $\chi^2$  measure of agreement between data and theory model
- $\circ$  Obtain the best estimate of the PDFs by varying the free parameters to minimize  $\chi^2$

- For tomorrow..

HERAFitter Framework provides means to the experimentalist to assess the impact of measurements www.herafitter.org

#### **Experimental Data on the Proton Structure**

![](_page_20_Figure_1.jpeg)

#### **Experimental Data on the Proton Structure**

![](_page_21_Figure_1.jpeg)

#### HERA Kinematic plane

![](_page_22_Figure_1.jpeg)

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# **Detector and Kinematics at HERA: NC and CC DIS**

#### o Neutral Current event sample in H1 detector

![](_page_23_Figure_2.jpeg)

#### • Determination of the Event Kinematics:

 $\circ$  using lepton information (E<sub>e</sub>',  $\theta_e$ )

#### • using hadronic final state particles

• using both lepton and hadronic final state variables  $\theta_e$ ,  $\gamma_h$ :

Redundant reconstruction of the kinematics allows extension of kinematic coverage, extra checks of systematic uncertainties.

#### Charged Current event sample in ZEUS detector

![](_page_23_Figure_9.jpeg)

![](_page_24_Figure_0.jpeg)

#### **Electro-Weak Unification**

![](_page_25_Figure_1.jpeg)

#### Rise of F<sub>2</sub> at low x seen at HERA

Expectations on the density of partons before HERA.... And after HERA (high energy ep)

Before the HERA measurements most of the predictions for low-x were not rising! 1997 data ~30pb-1 3 **ب** F<sup>e</sup> 1.6  $0^2 = 15 \text{ GeV}^2$  $Q^2 = 15 \text{ GeV}^2$ 2.5 1.4 DO 84 Seequarks 1.2 H1 96/97 2 EHLQ 85 ZEUS 96/97 NMC, BCDMS, E665 1 KMRS 90 CTEQ6D 1.5 0.8 **GRV 91** HERA: 2-3% precision A NMC 0.6 BCDMS Valenzquarks 0.4 Fixed target: 0.5 1-2% precision 0.2 0 0 -3 -1 -2 10 10 10 10<sup>-3</sup> 10<sup>-2</sup> 10  $10^{-1}$ 10 HERA discovered high density of matter! х x Voica Radescu | DESY 🔅 | CTEQ 2013 DIS 27

#### Scaling violations from F<sub>2</sub> at HERA (ep)

![](_page_27_Figure_1.jpeg)

# Polarisation effects in CC and NC

- SM predicts that CC cross section vanishes for right-handed electrons and left-handed positrons.
- SM predicts a difference in the NC cross section for leptons with different helicity states arising from the chiral structure of the neutral electroweak exchange

![](_page_28_Figure_3.jpeg)

#### Measurements of Asymmetries from HERA

- Explore polarisation asymmetry to extract  $F_2^{\gamma Z}$
- Explore charge asymmetry to extract xF<sub>3</sub><sup>YZ</sup> (improved measurement from HERA I+II)

$$\tilde{F}_2^{\pm} \approx F_2 - (v_e \pm \boldsymbol{P_e} a_e) \kappa \frac{Q^2}{Q^2 + M_Z^2} F_2^{\gamma Z}$$

$$\sigma_r^{\pm} = \tilde{F}_2^{\pm} \mp \frac{1 - (1 - y)^2}{1 + (1 + y)^2} x \tilde{F}_3 - \frac{y^2}{1 + (1 - y)^2} \tilde{F}_L$$

![](_page_29_Figure_5.jpeg)

The shape of the distribution reflects their parton sensitivity

#### **Summary Lecture I**

- Today have presented the basis of DIS formalism:
  - **Kinematic variables to describe the process**
  - **Differential Cross Section in terms of Structure Functions for different processes**
  - Relation of Structure Functions to PDFs (factorisation theorem)
- Some Milestones of Experimental Results:
  - Discovery of gluon
  - Electroweak Unification

- Tomorrow:
  - Will continue with more Experimental results
  - Applicability of DIS measurements: determination of PDFs
    - ightarrow importance of precision measurements and what does it involves
  - From Low x to High x
  - Relating DIS to LHC
    - ♦ Most recent data sensitive to PDFs
  - Outlook

## **HERAFitter QCD platform**

![](_page_31_Picture_1.jpeg)

#### Heritage of HERA transferred to LHC:

#### Open Source QCD Framework freely available at <a href="https://www.herafitter.org">https://www.herafitter.org</a>

![](_page_31_Figure_4.jpeg)

#### **DIS Cross Sections**

• Factorisable nature of interaction: Inclusive scattering cross section is a product of leptonic and hadronic tensors times propagator characteristic of the exchanged particle:

![](_page_32_Figure_2.jpeg)

Leptonic tensor: related to the coupling of the lepton with the exchanged boson

- contains the electromagnetic or the weak couplings
- can be calculated exactly in the standard electroweak  $U(1) \times SU(2)$  theory. •

Hadronic tensor: related to the interaction of the exchanged boson with proton

can't be calculated, but only be reduced to a sum of structure functions:

• Can't be calculated, but only be reduced to a sum of structure functions: 
$$\sim_{\text{m}_{lepton}} W^{\alpha\beta} = -g^{\alpha\beta}W_1 + \frac{p^{\alpha}p^{\beta}}{M^2}W_2 - \frac{i\epsilon^{\alpha\beta\gamma\delta}p_{\gamma}q_{\delta}}{2M^2}W_3 + \frac{q^{\alpha}q^{\beta}}{M^2}W_4 + \frac{p^{\alpha}q^{\beta}+p^{\beta}q^{\alpha}}{M^2}W_5 + \frac{i(p^{\alpha}q^{\beta}-p^{\beta}q^{\alpha})}{2M^2}W_6$$

$$\frac{d^2\sigma}{dxdQ^2} = A^i \left\{ (1 - y - \frac{x^2y^2M^2}{Q^2})F_2^i + y^2xF_1^i \mp (y - \frac{y^2}{2})xF_3^i \right\} \xrightarrow{\text{Ai: process dependent}}_{\text{escul DESY}} A^i: \text{ process dependent}$$

#### The First Measurement of Parity Violating SF $F_2^{\gamma Z}(x,Q^2)$

![](_page_33_Figure_1.jpeg)

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# Structure Function $xF_3(x,Q^2)$

![](_page_34_Figure_1.jpeg)

- charge asymmetry of unpolarised e±p NC cross sections

 $\rightarrow$  mostly due to  $\gamma Z$  interference

 $xF_3^{\gamma Z} = -x\tilde{F}_3 \cdot (Q^2 + M_Z^2)/(a_e \kappa Q^2)$ 

![](_page_34_Figure_5.jpeg)