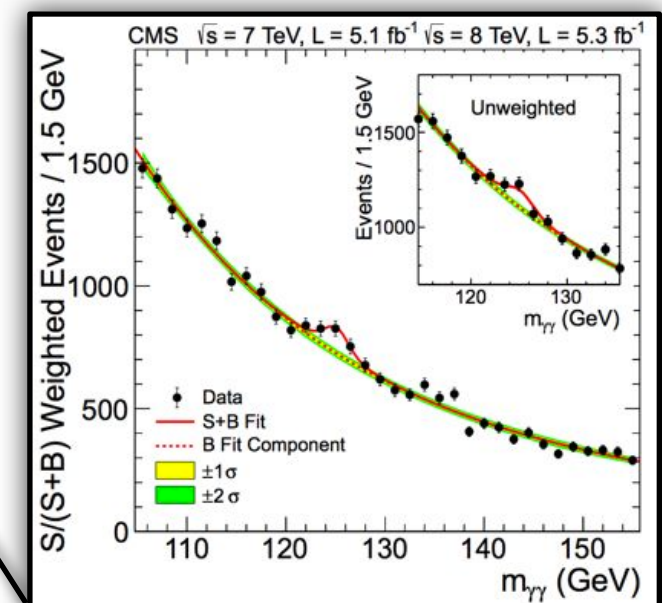
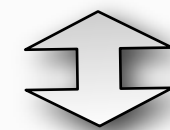
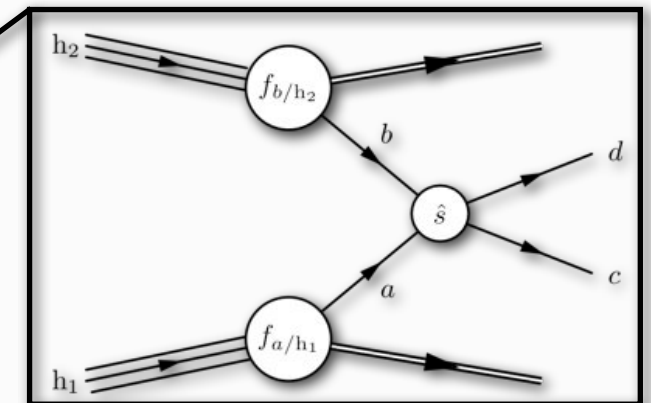
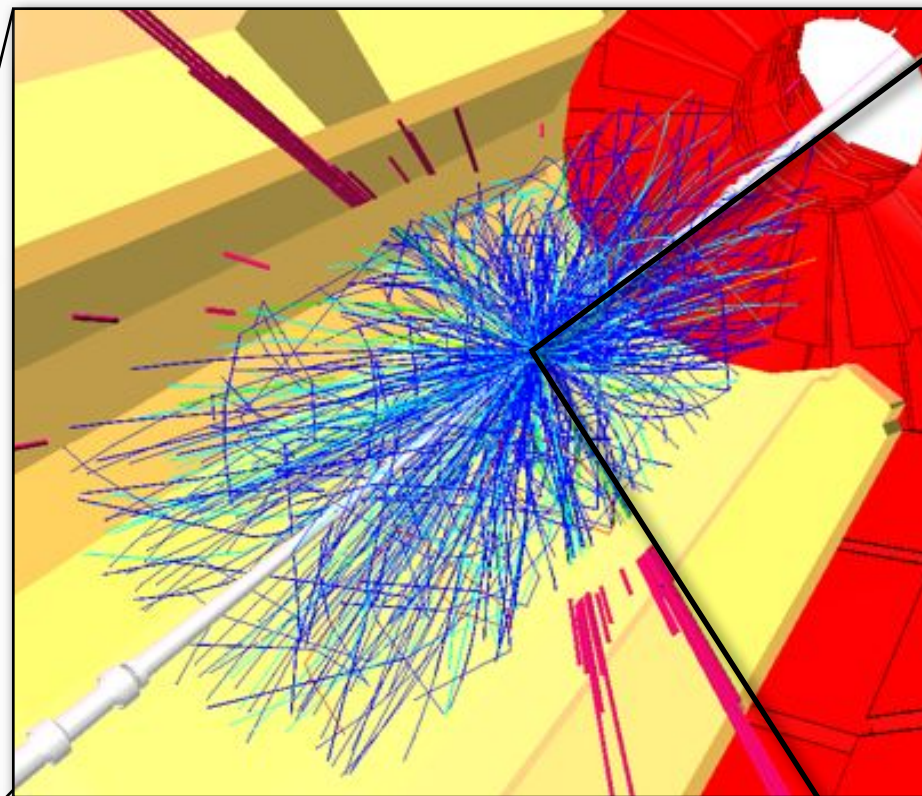




# Experimental Results from CMS

Günther Dissertori  
ETH Zürich



PART 1



# Overall Outline

## Introduction

- CMS, Lumi, performance

## SM Physics

- QCD, EWK, TOP

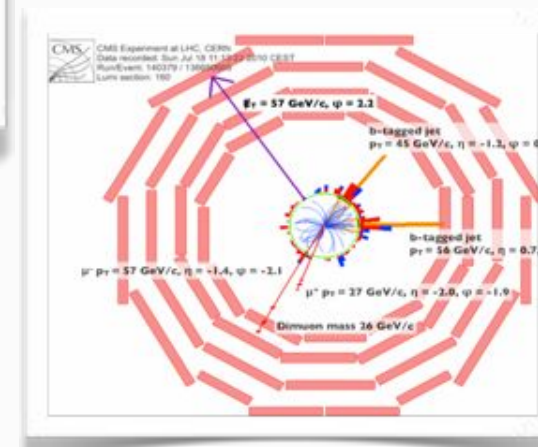
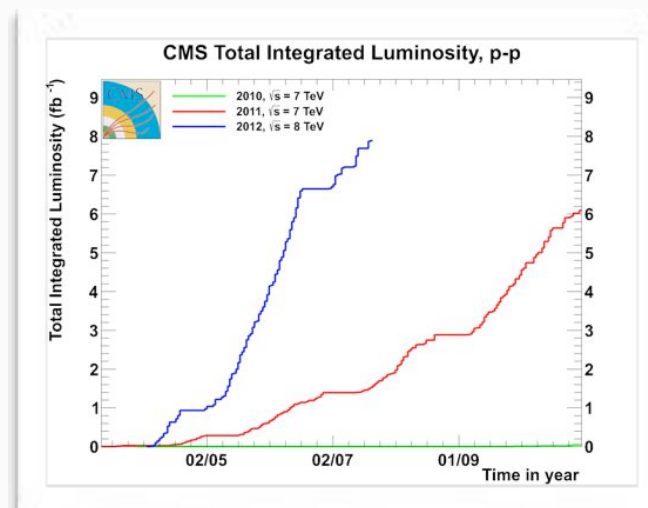
## BSM Physics

- some Exotica and SUSY searches

## Searches for the Higgs

## Bonus Material (only in backup)

- Machine
- Physics expectations, requirements
- Tools/Methods



Disclaimer 1 : Many introductory and theoretical aspects covered in the other lectures

Disclaimer 2 : For complete list of results: see <https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResults>

Disclaimer 3 : Some slides or slide content taken from seminars/lectures/write-ups of other colleagues or previous lectures of mine



# Introduction



# Our play ground

pp, B-Physics,  
CP Violation



General  
Purpose,  
pp, heavy ions

ALICE



Heavy ions, pp

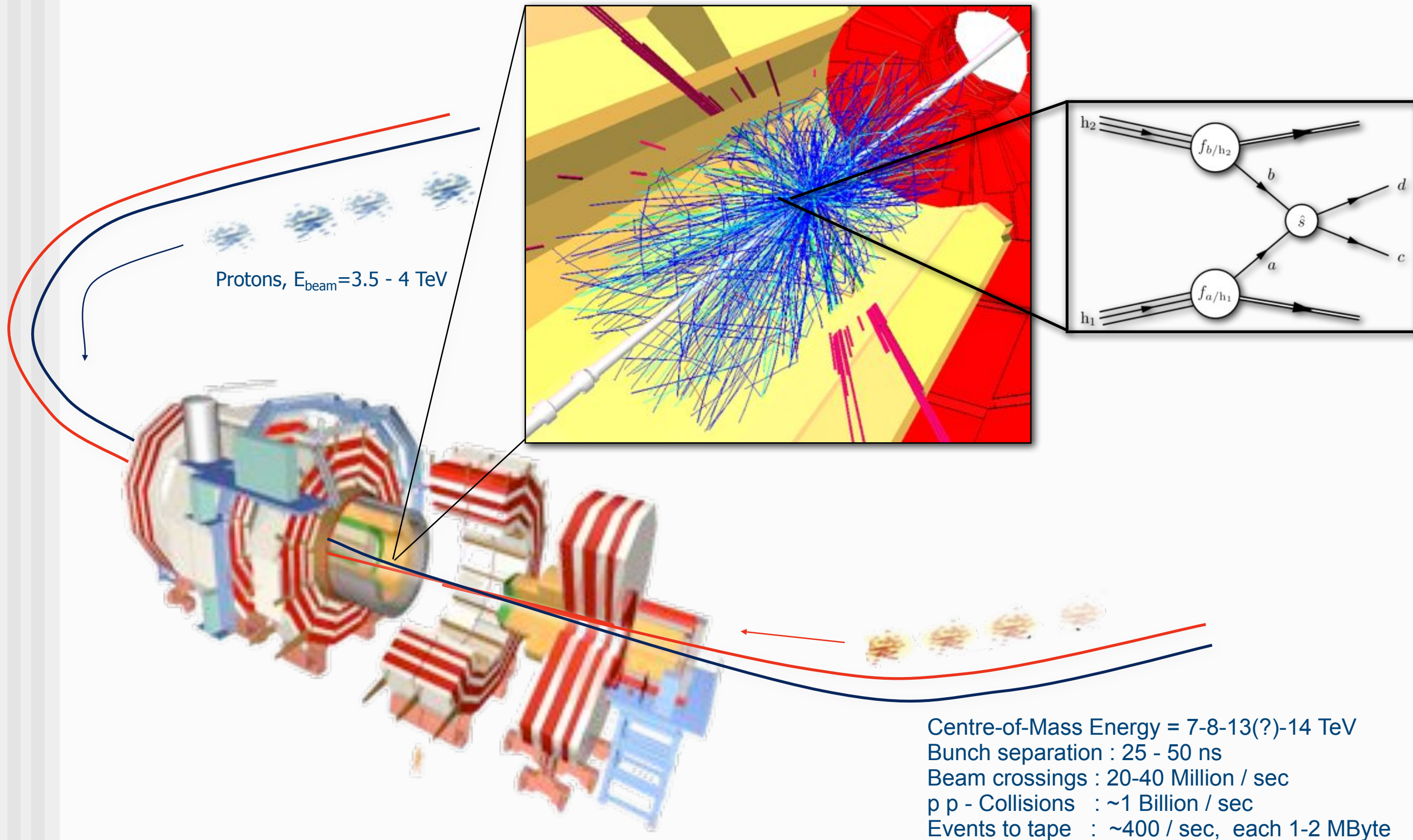
LHC ring:  
27 km circumference







# Collisions at the LHC







# Compact Muon Solenoid

Superconducting  
Coil 3.8 Tesla

## CALORIMETERS

### ECAL

76k scintillating  
PbWO4 crystals

### HCAL

Plastic  
scintillator/brass sandwich

## IRON YOKE

## TRACKER

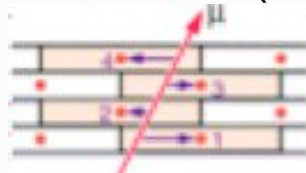
Pixels  
Silicon Microstrips  
210 m<sup>2</sup> of silicon sensors  
66+9.6 M channels

Total weight	12500 t
Overall diameter	15 m
Overall length	21.6 m

>3000 scientists from  
179 Institutes from  
41 countries

## MUON BARREL

Drift Tube  
Chambers (**DT**)

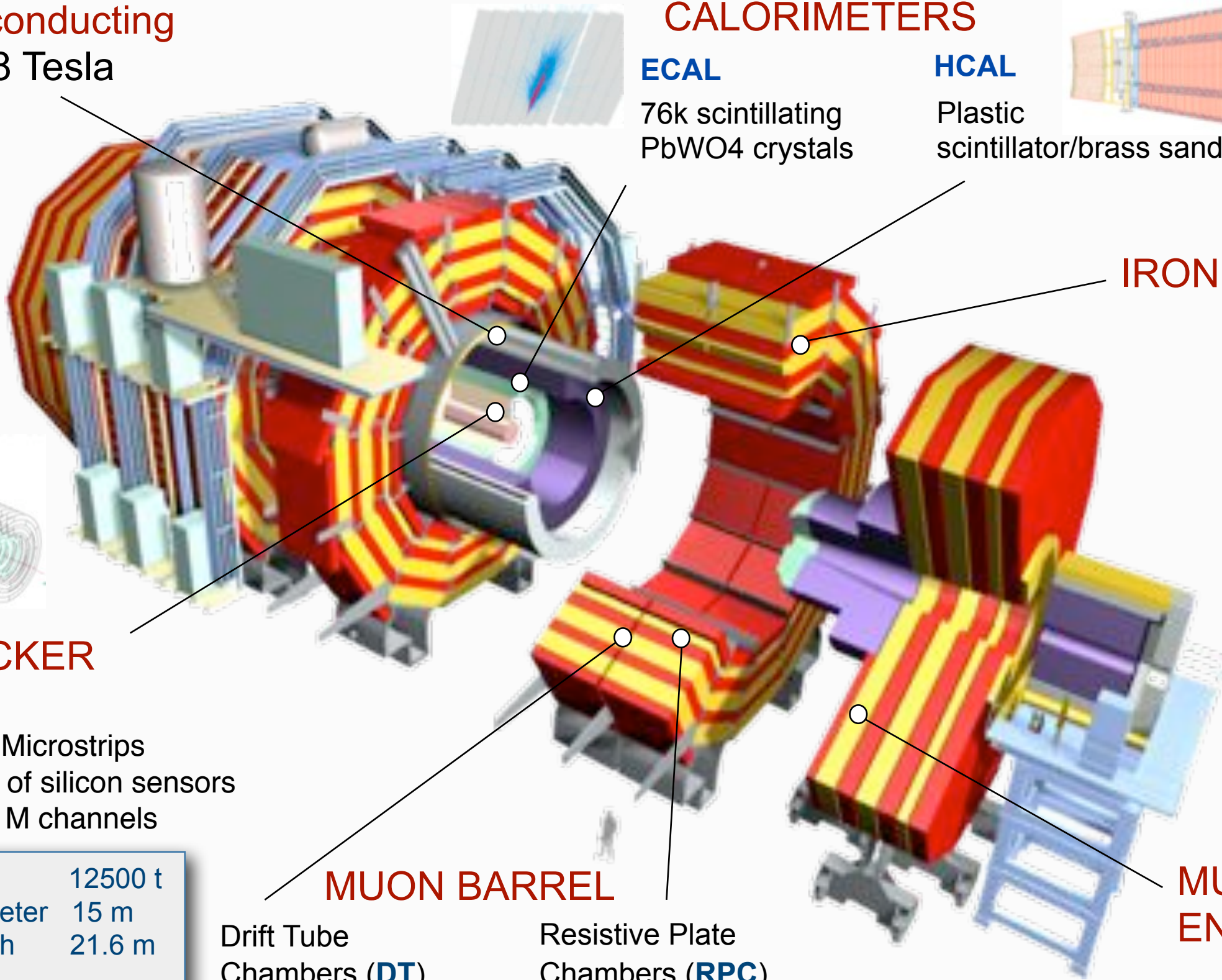
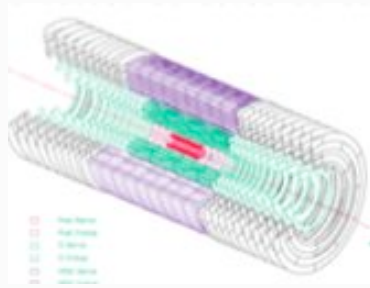
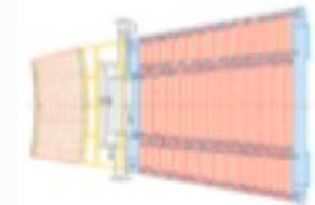
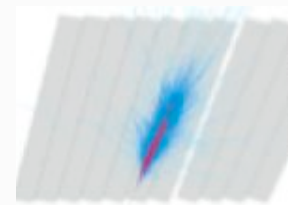
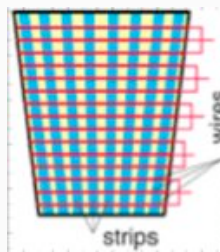


Resistive Plate  
Chambers (**RPC**)

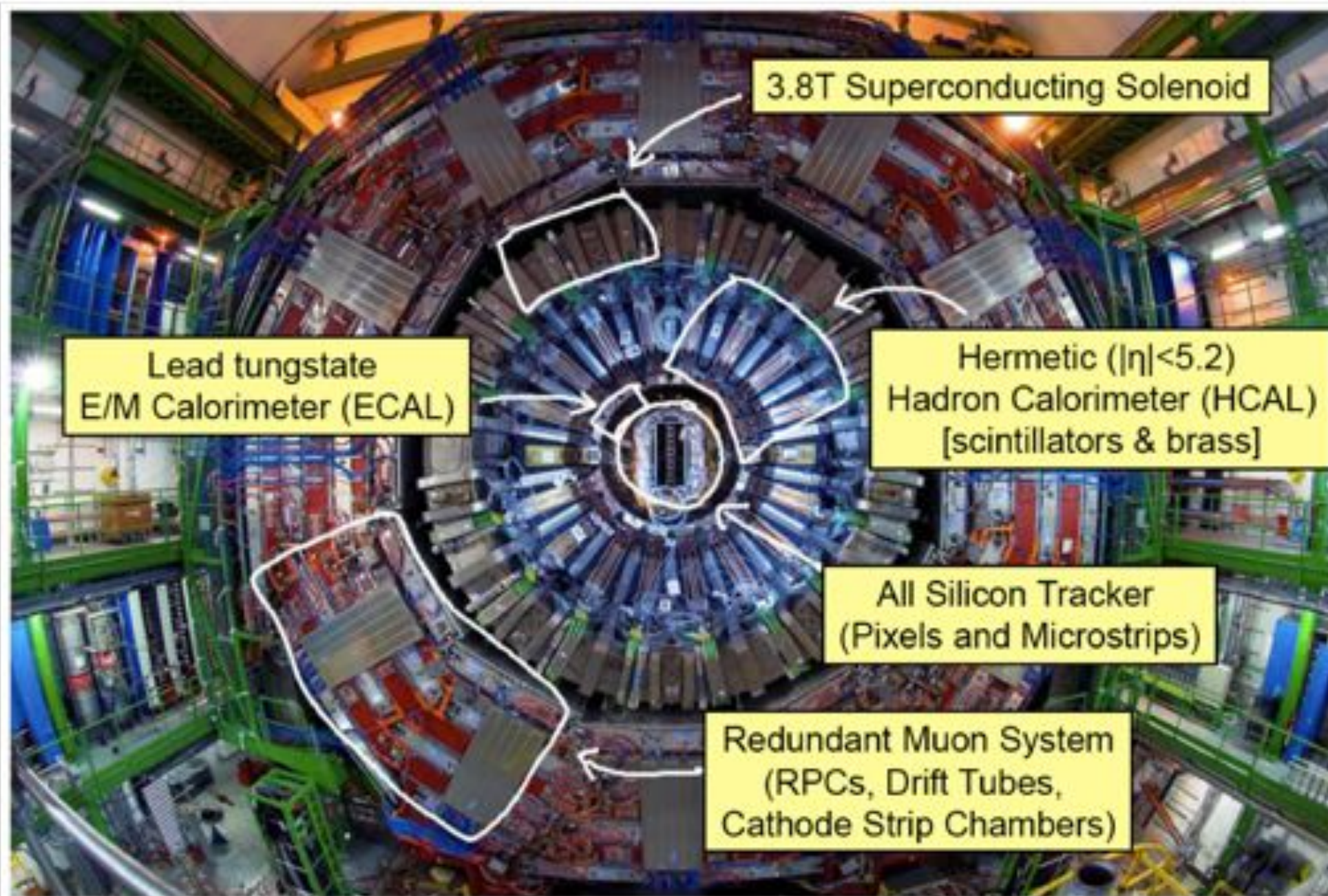


## MUON ENDCAPS

Cathode Strip Chambers (**CSC**)  
Resistive Plate Chambers (**RPC**)







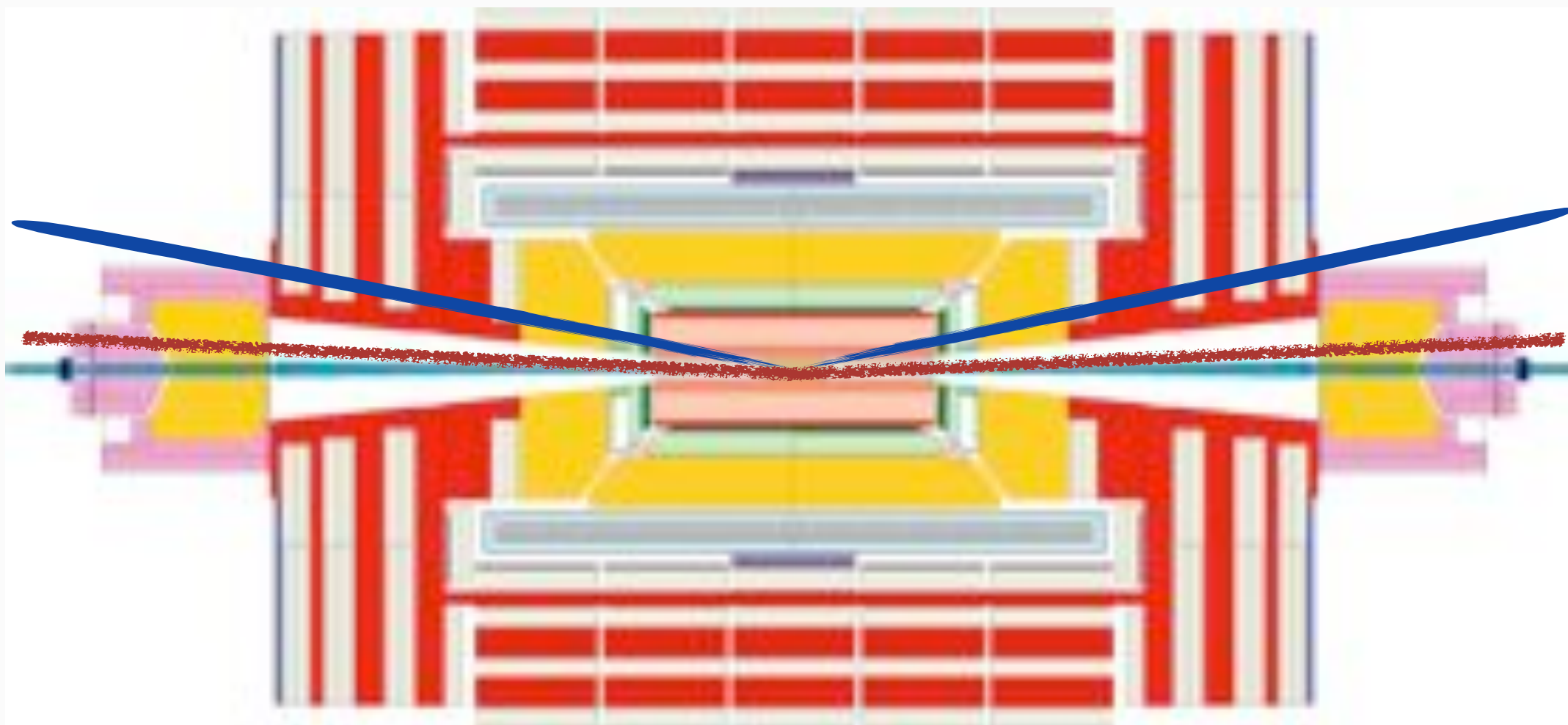
## To remember:

- only one magnet
- very large B field
- large Si tracking system
- very high resolution, very granular ECAL
- ECAL and HCAL inside solenoid
- Muon system embedded in iron return yoke





# Typical detector acceptance

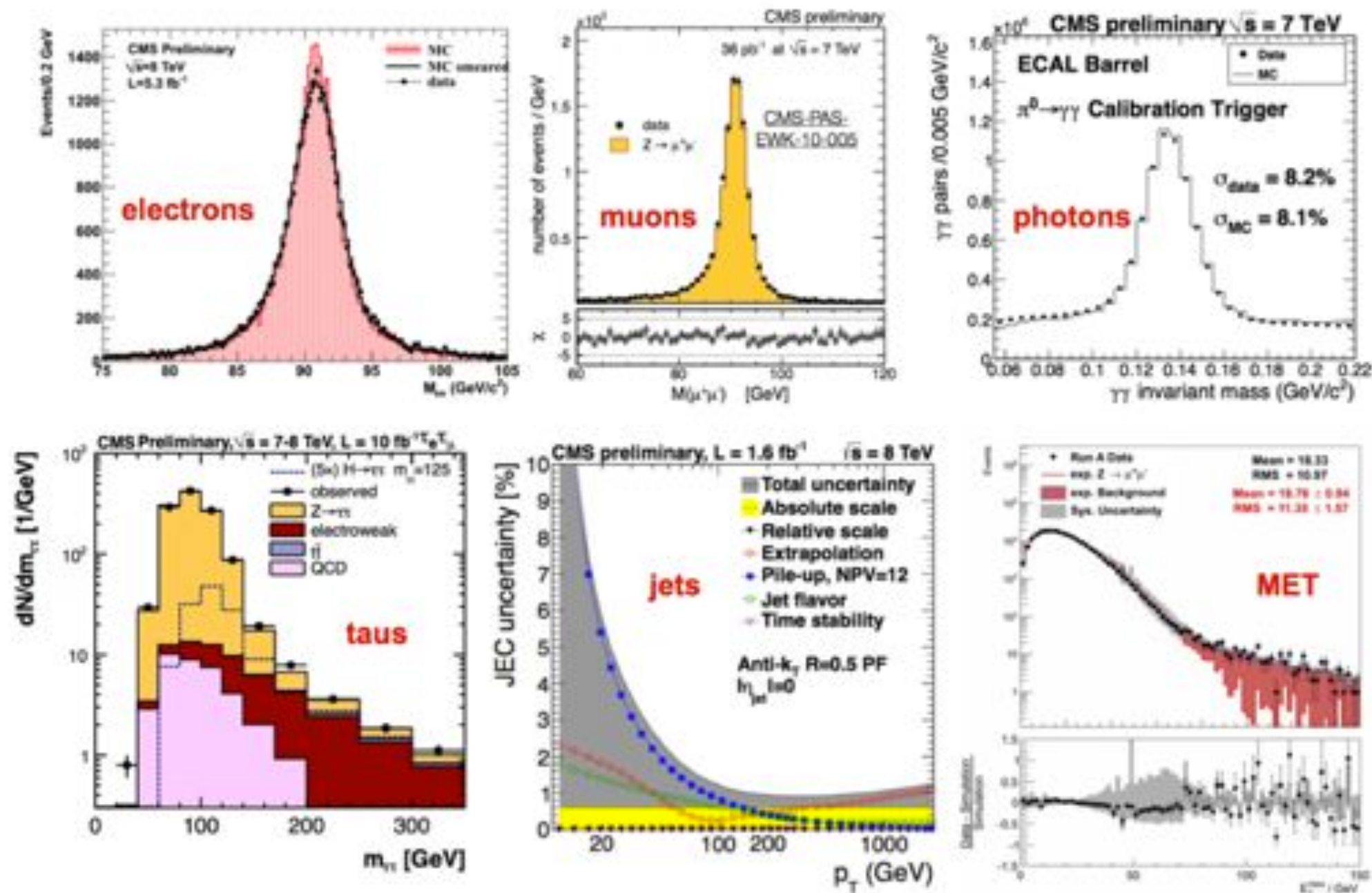


- Precision tracking and **lepton** reconstruction up to rap~2.5
  - $p_T$  thresholds for tracks  $\sim 100$  MeV, for leptons 10-20 GeV
- **Jet and MET** reconstruction: include detectors up to rap~4.5-5
  - $p_T$  thresholds for jets  $\sim 30$  GeV, if tracking-based jets  $\sim 15$  GeV





# Performance, Object reconstruction



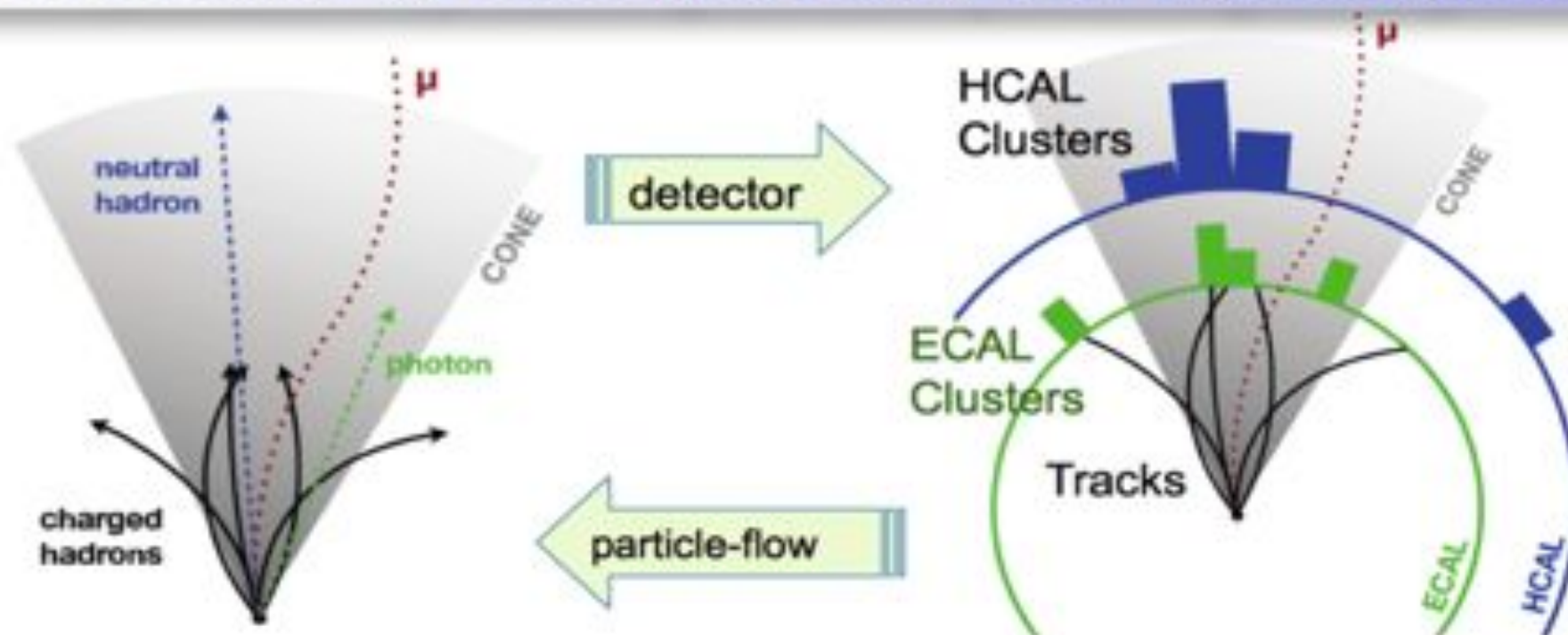
- By now, **Particle Flow algorithm** has become a central tool (see next)
- non-showering electrons in barrel: resolution (reconstructed Z peak) close to 1 GeV
- muon momentum resolution: 1% for  $p_T < 100$  GeV, 7-8% at 1 TeV
- pions mis-ID as muons:  $< 0.5\%$  for  $p_T > 2$  GeV
- Tau ID eff.  $> 65\%$  for  $p_T > 20$  GeV, with mis-ID eff. of hadronic jets  $< 3\%$
- b-jet tagging eff. of 70% for  $p_T > 30$  GeV, with mis-ID eff. of light-quark jets  $< 3\%$





# Global Event Description (Pflow)

Made possible by CMS granularity and high magnetic field

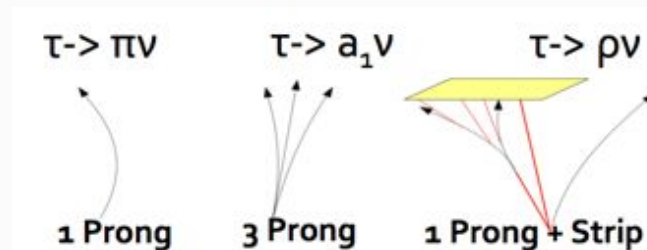
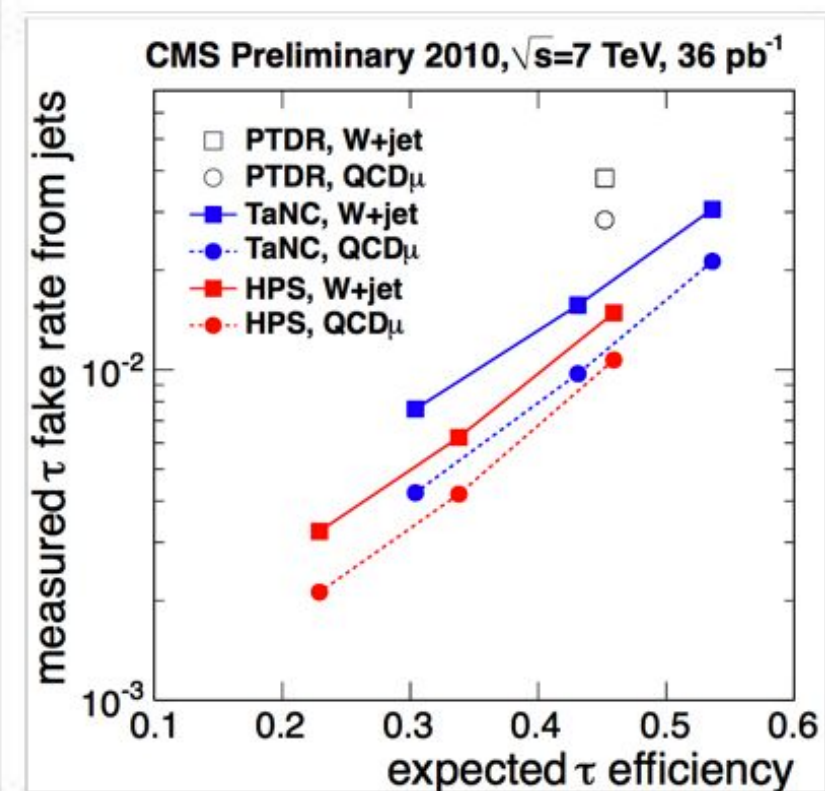
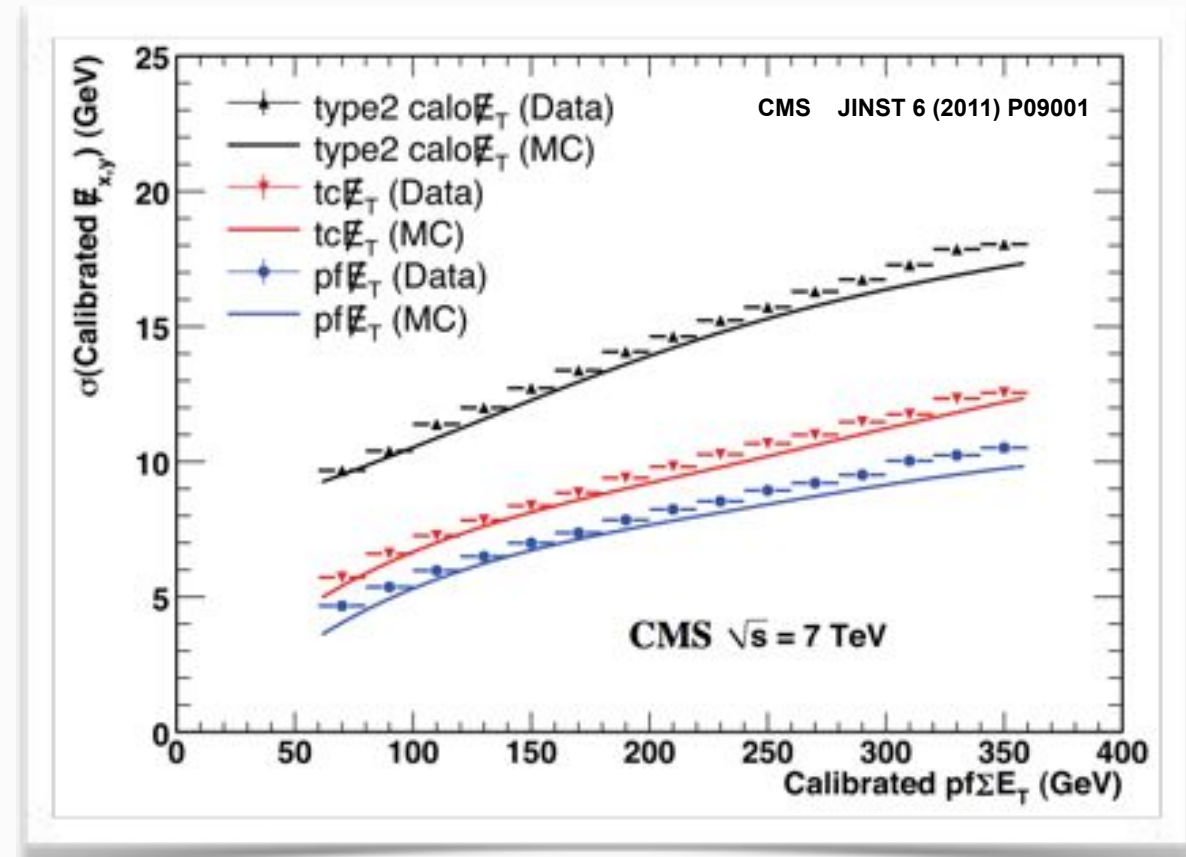
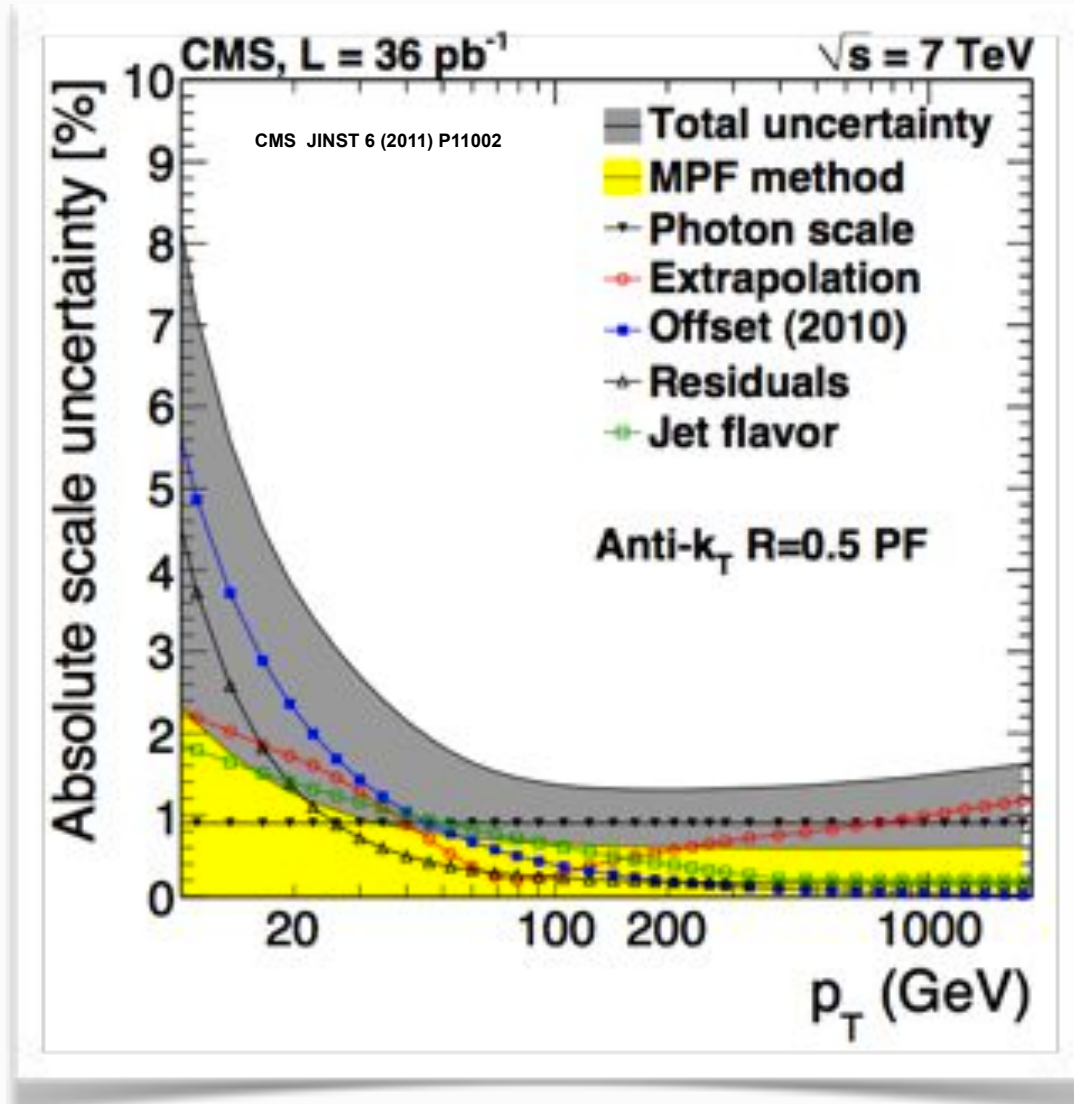


- Charged particles well separated in large tracker volume & 3.8T B field
- Excellent tracking, able to go down to very low momenta ( $\sim 100$  MeV)
- Granular electromagnetic calorimeter with excellent energy resolution
- In multi-jet events, only 10% of the energy goes to neutral (stable) hadrons ( $\sim 60\%$  charged,  $\sim 30\%$  neutral electromagnetic)
- Therefore: **Use a global event description** :
  - Optimal combination of information from all subdetectors
  - Returns a list of reconstructed particles (e, mu, photons, charged and neutral hadrons)
  - Used in the analysis as if it came from a list of generated particles
  - Used as building blocks for jets, taus, missing transverse energy, isolation and PU particle ID



# Particle Flow performance

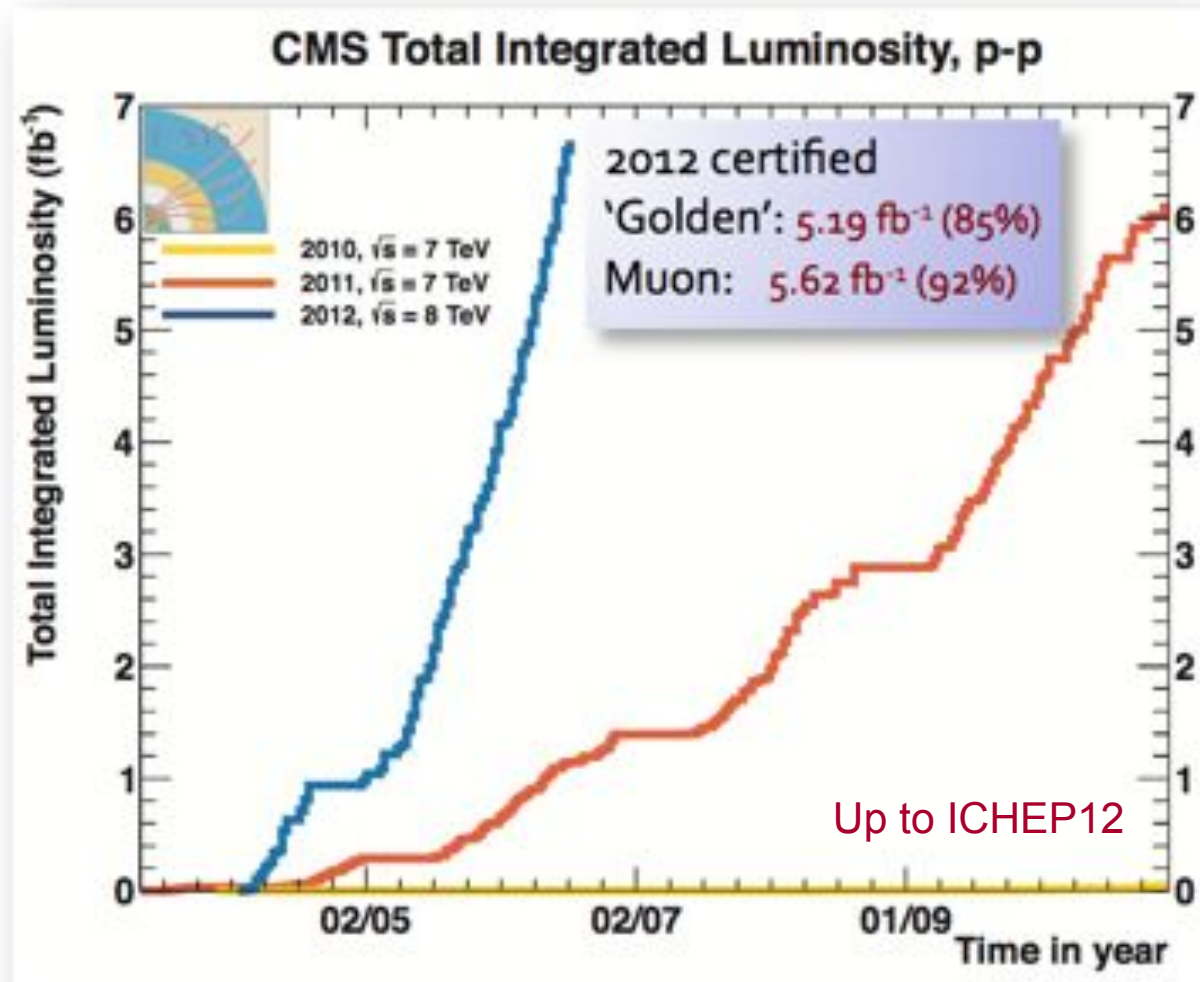
- Considerable improvement in Jet Energy scale uncertainty and jet/MET resolution, as well as tau identification:



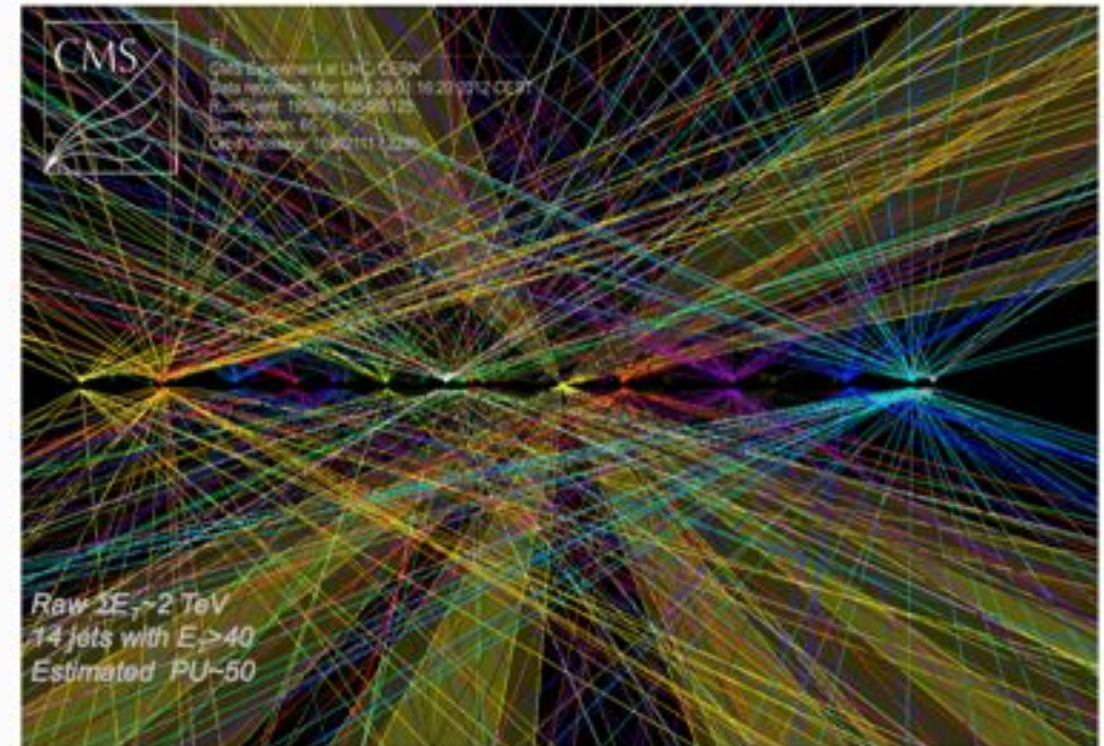




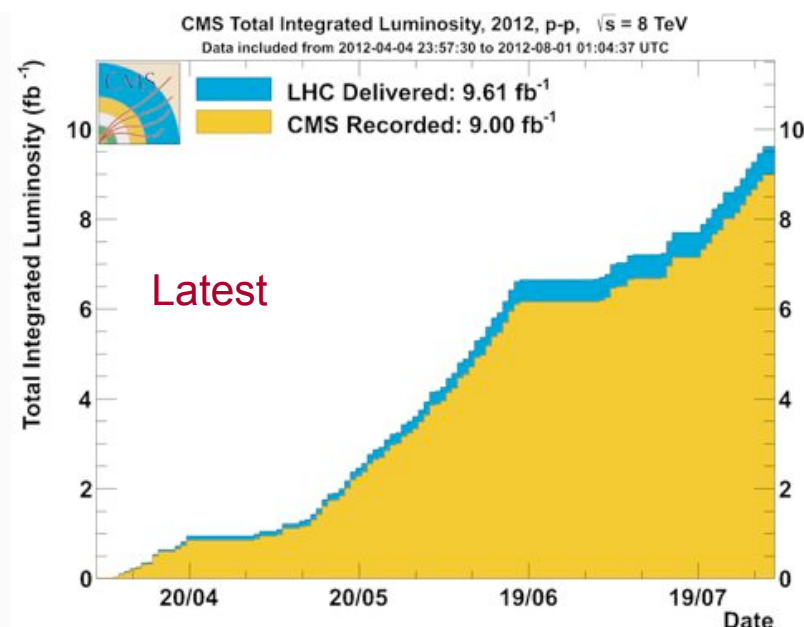
# Integrated Luminosity



High lumi comes at a cost: in 2012 already exceeding detectors design capabilities for pile-up



2012: on average  $O(20)$  pile-up events  
50 ns inter-bunch spacing



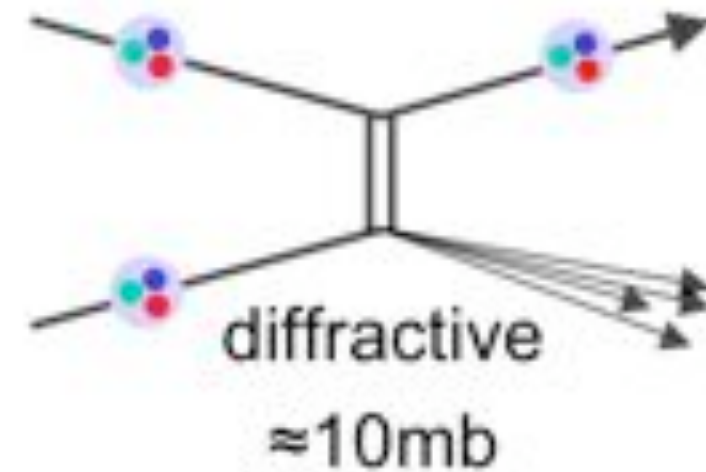
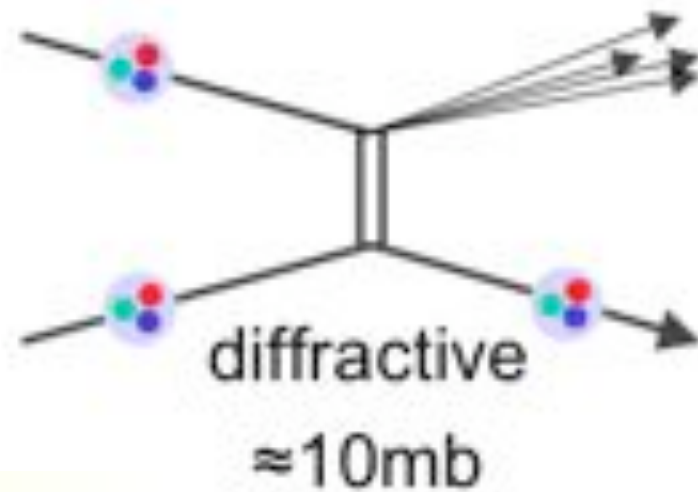
Very large Pile-Up: impact on trigger rates, computing/reconstruction time, reconstruction efficiencies (eg. isolation), jet energy reconstruction, ...

Again, Pflow helps...



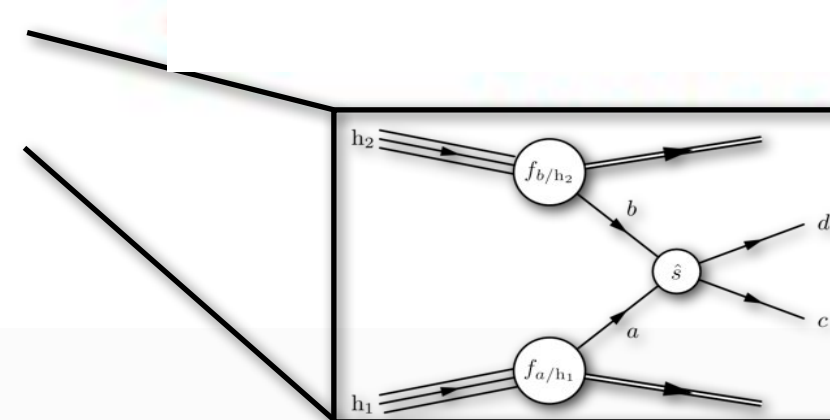
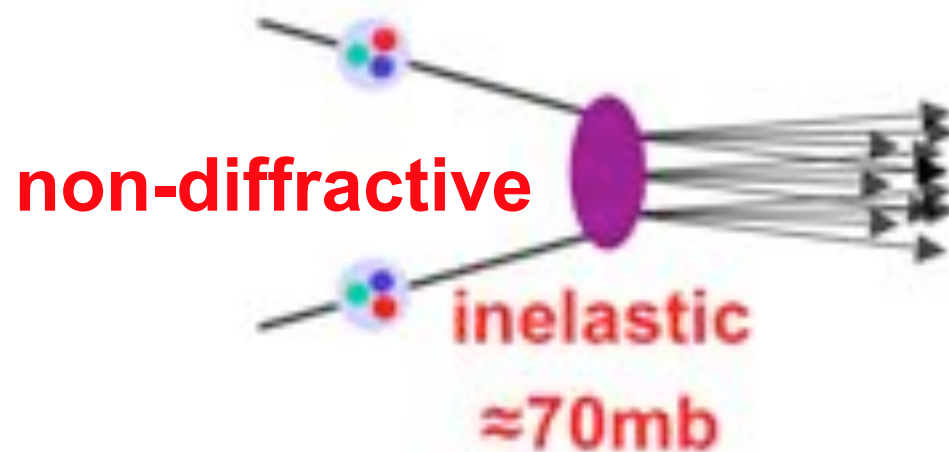
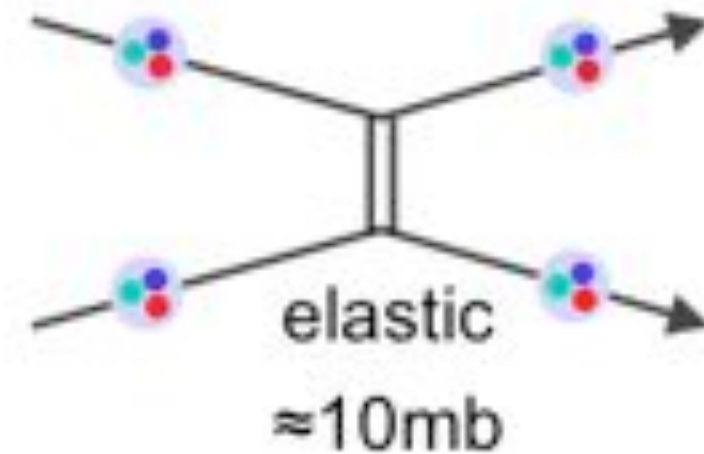
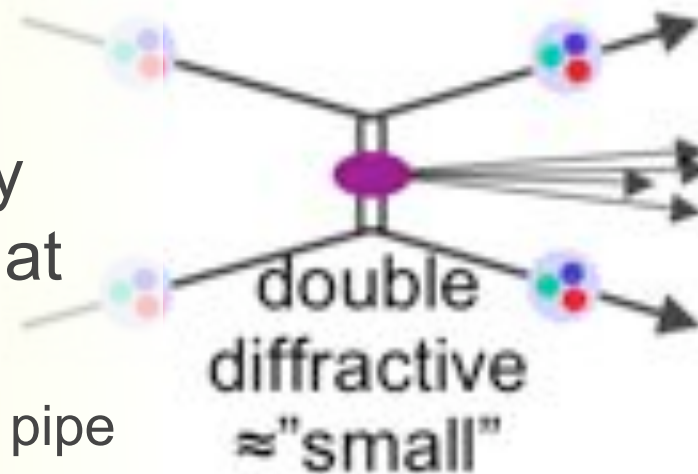
# pp-Interactions at the LHC

$\sigma_{\text{tot}} =$   
 $\approx 100\text{mb}$



For diffractive and elastic scattering:

Put dedicated very forward detectors at small angles, ie. very close to beam pipe

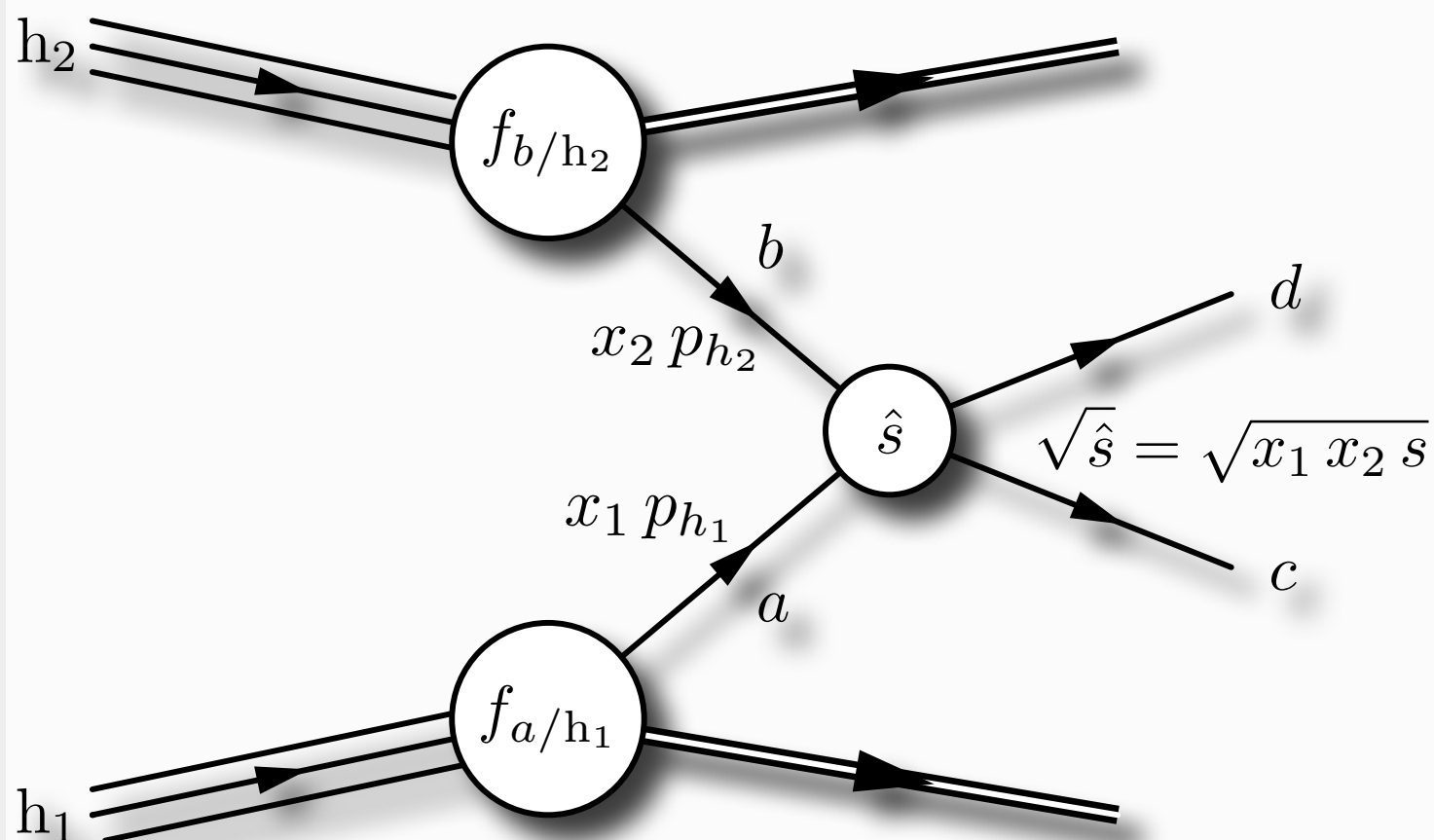


C. Schwick





# The hard scattering



To produce (at central rapidity, ie.  $x_1 \sim x_2$ ) a mass of

	LHC (7 TeV)	TEVATRON
100 GeV	$x \sim 0.014$	0.05
3 TeV	$x \sim 0.43$	--

$$d\sigma(h_1 h_2 \rightarrow cd) = \int_0^1 dx_1 dx_2 \sum_{a,b} f_{a/h_1}(x_1, \mu_F^2) f_{b/h_2}(x_2, \mu_F^2) d\hat{\sigma}^{(ab \rightarrow cd)}(Q^2, \mu_F^2)$$

**Hard Scattering** = processes with large momentum transfer ( $Q^2$ )

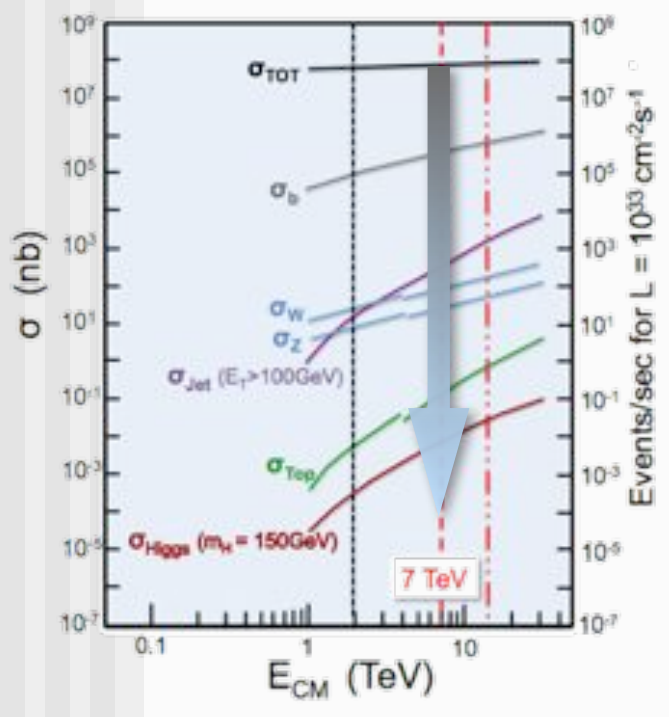
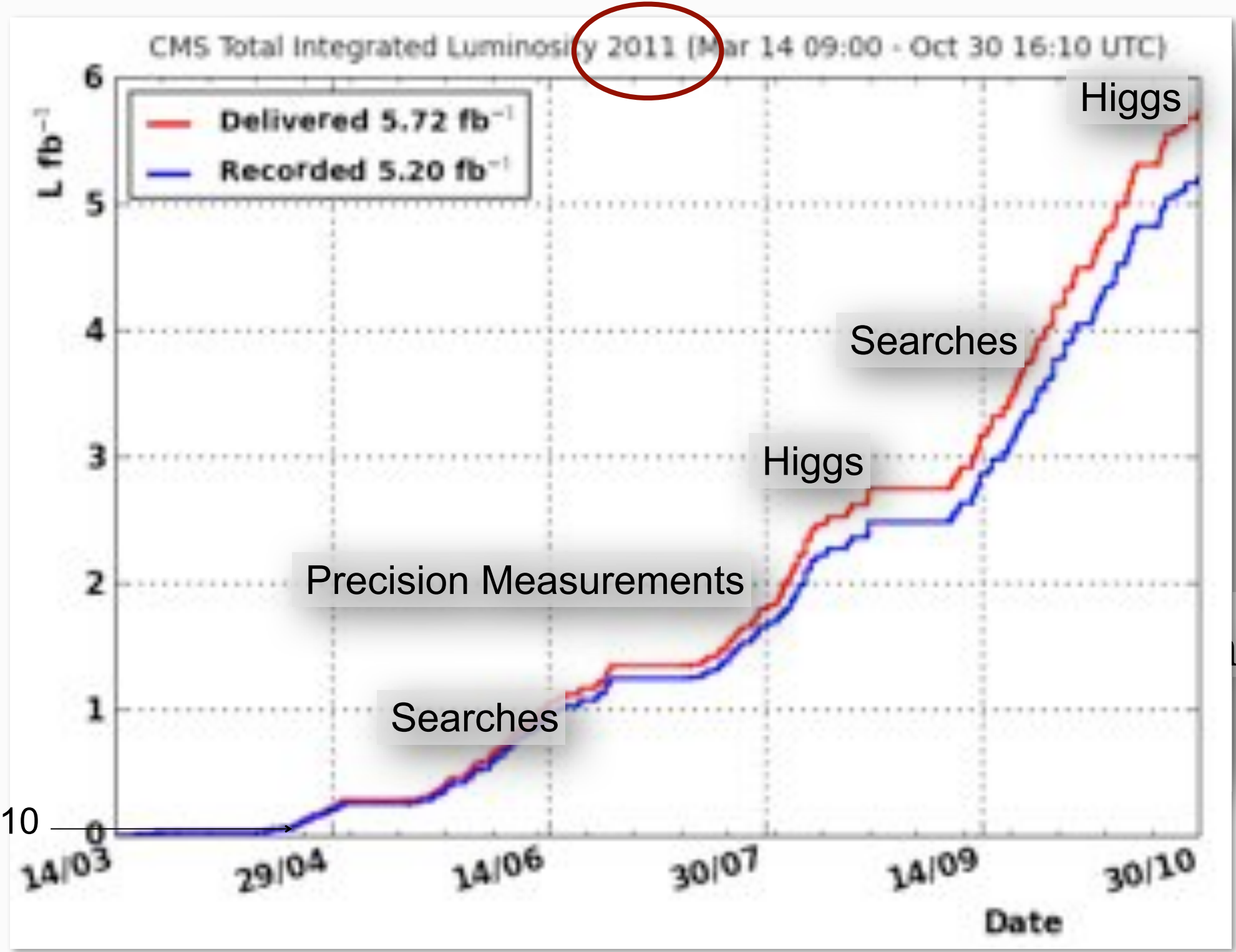
Represent only a tiny fraction of the total inelastic pp cross section ( $\sim 70$  mb)

eg.  $\sigma(pp \rightarrow W+X) \sim 150$  nb  $\sim 2 \cdot 10^{-6} \sigma_{\text{tot}}(pp)$





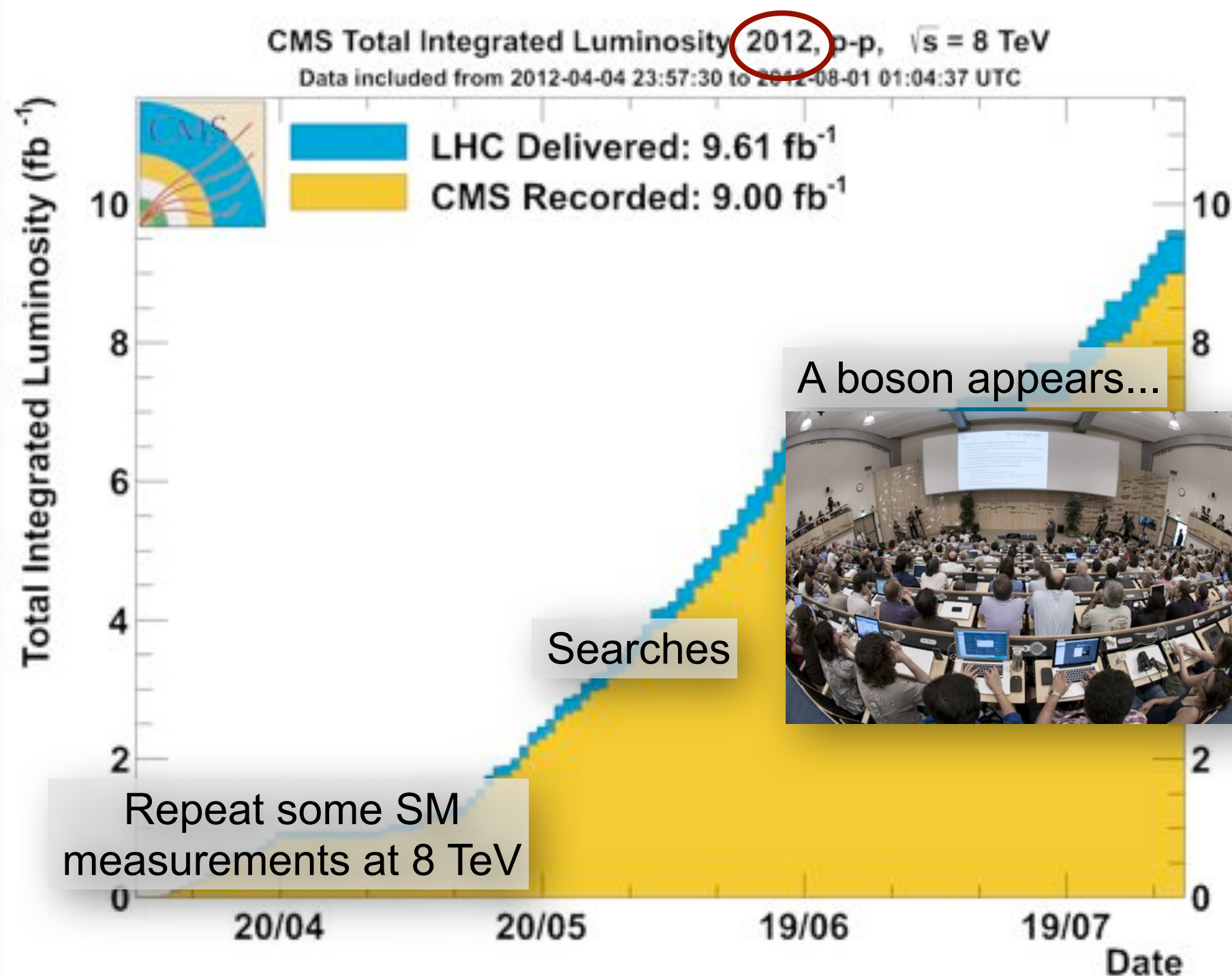
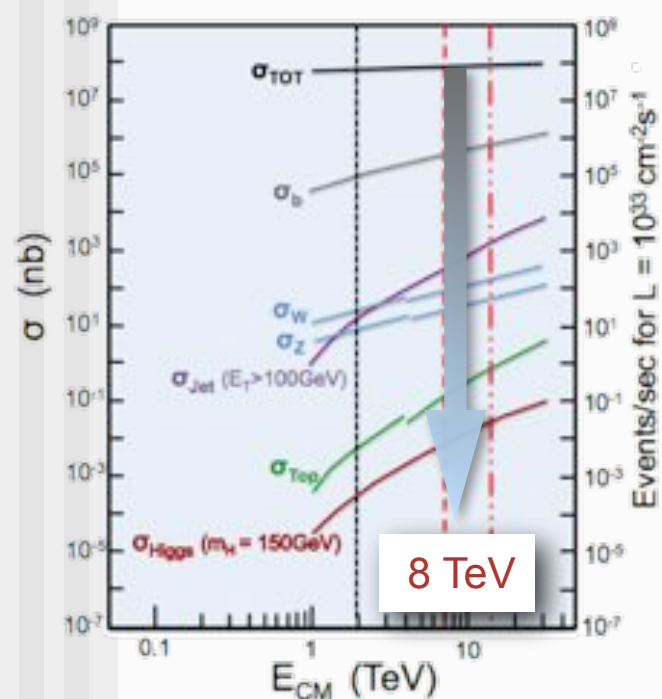
# As things appeared with time....







# As things appeared with time....







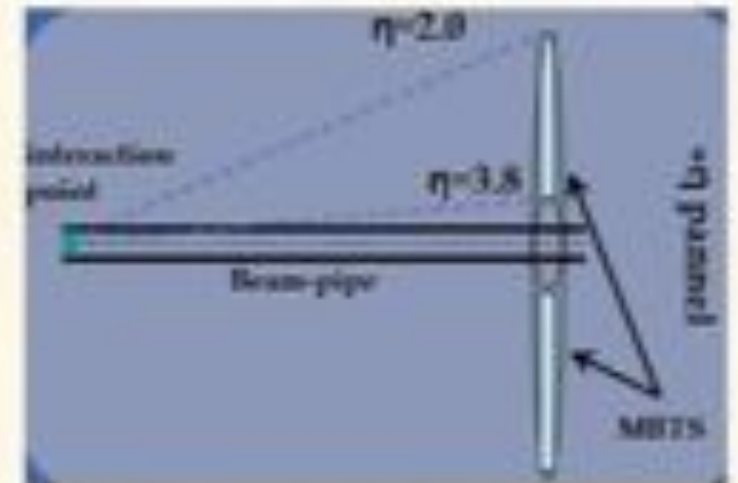
# Measurements of “soft” processes (low $p_T$ )

- Understand particle production in minimum-bias pp collisions
- Test and improve phenomenological models of non-pert. QCD effects
- Tune parameters of model implementations in Monte Carlo generators
- Understand the underlying event, tune parameters



# Minimum Bias Events

- Minimum bias is an **experimental definition**, defined by experimental trigger selection and analysis



- Relation to Physics:

$$\sigma_{\text{measured}} = f_{\text{sd}} \sigma_{\text{sd}} + f_{\text{dd}} \sigma_{\text{dd}} + f_{\text{nd-inelastic}} \sigma_{\text{nd-inelastic}}$$

where  $f_i$  are the efficiencies for different physics processes determined by the trigger

**NB:** need to understand what is measured to allow comparison to previous results, often presented for non-single diffractive events



# First results at LHC ....

14 Dec 2009

## First Collisions at 2.36 TeV



### CMS Experiment at the LHC, CERN

Data recorded 2009-Dec-14 04:05:38.307318 GMT

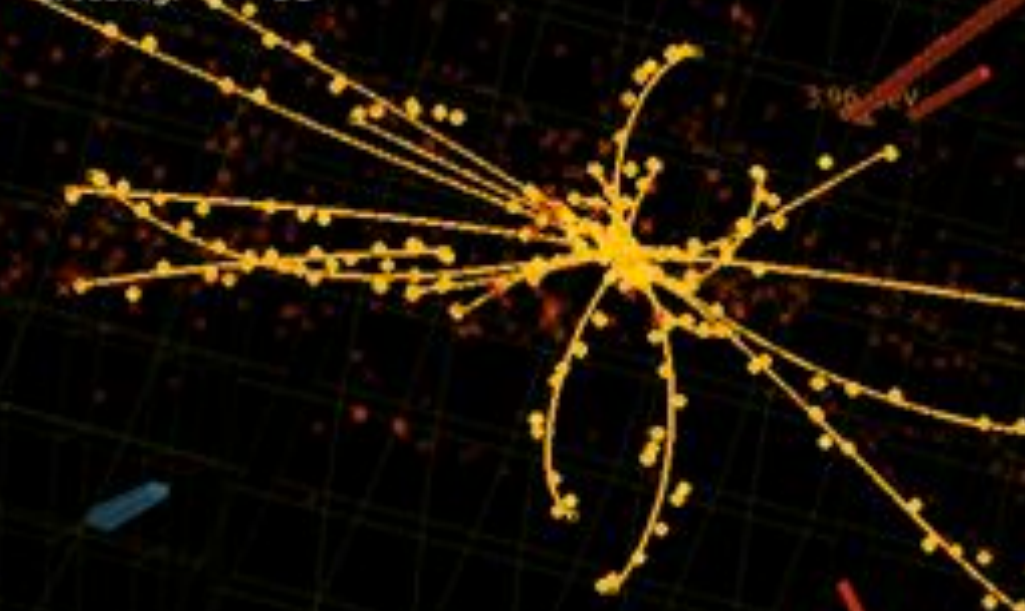
Run: 124120

Event: 9463533

Lumi section: 31

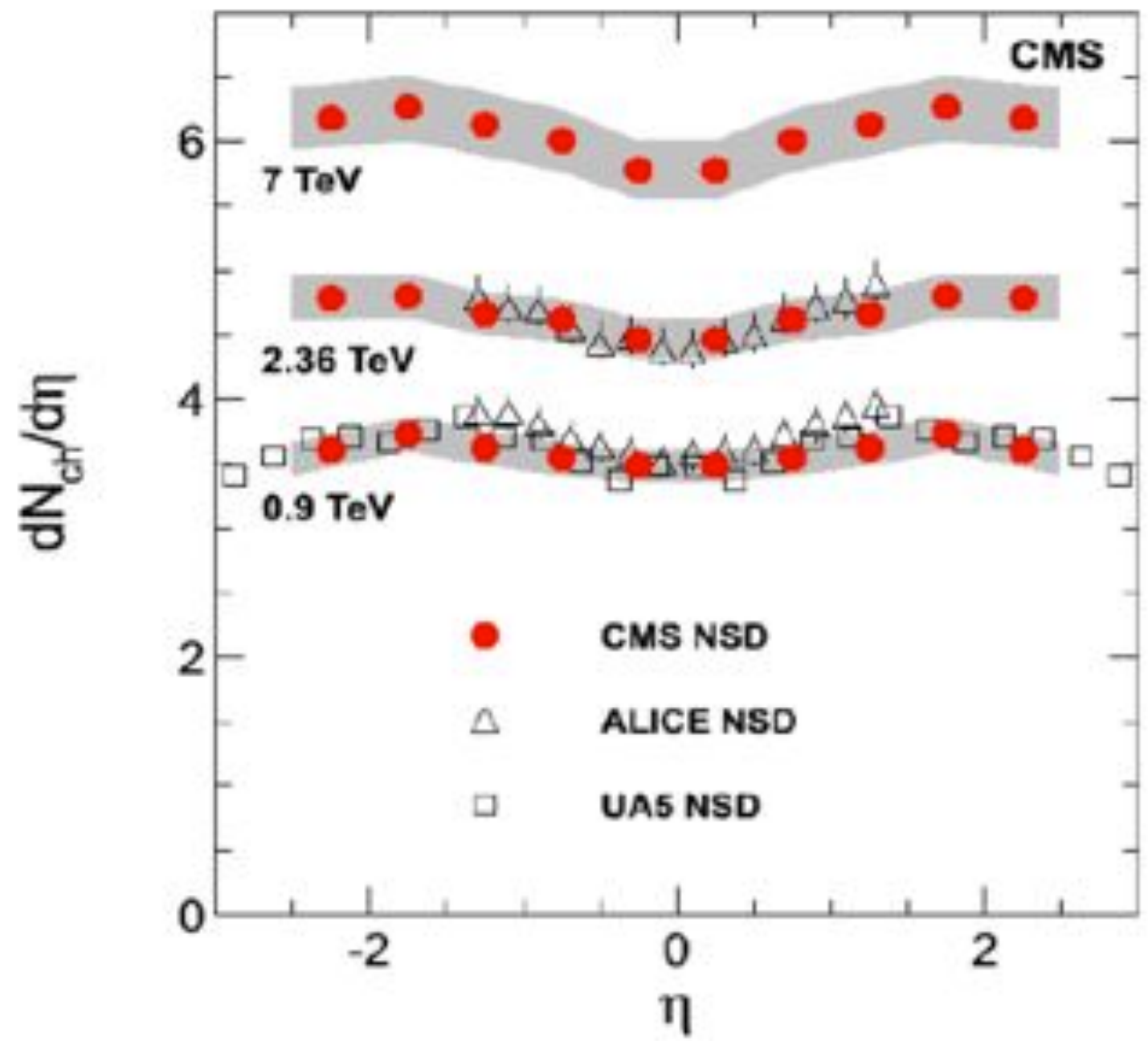
Orbit: 31924351

Crossing: 51



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- first publications by LHC experiments !



□  $dN/d\eta$  at 0.9, 2.36 and 7 TeV

- correction for NSD (~6% corr. → 2.5% syst)

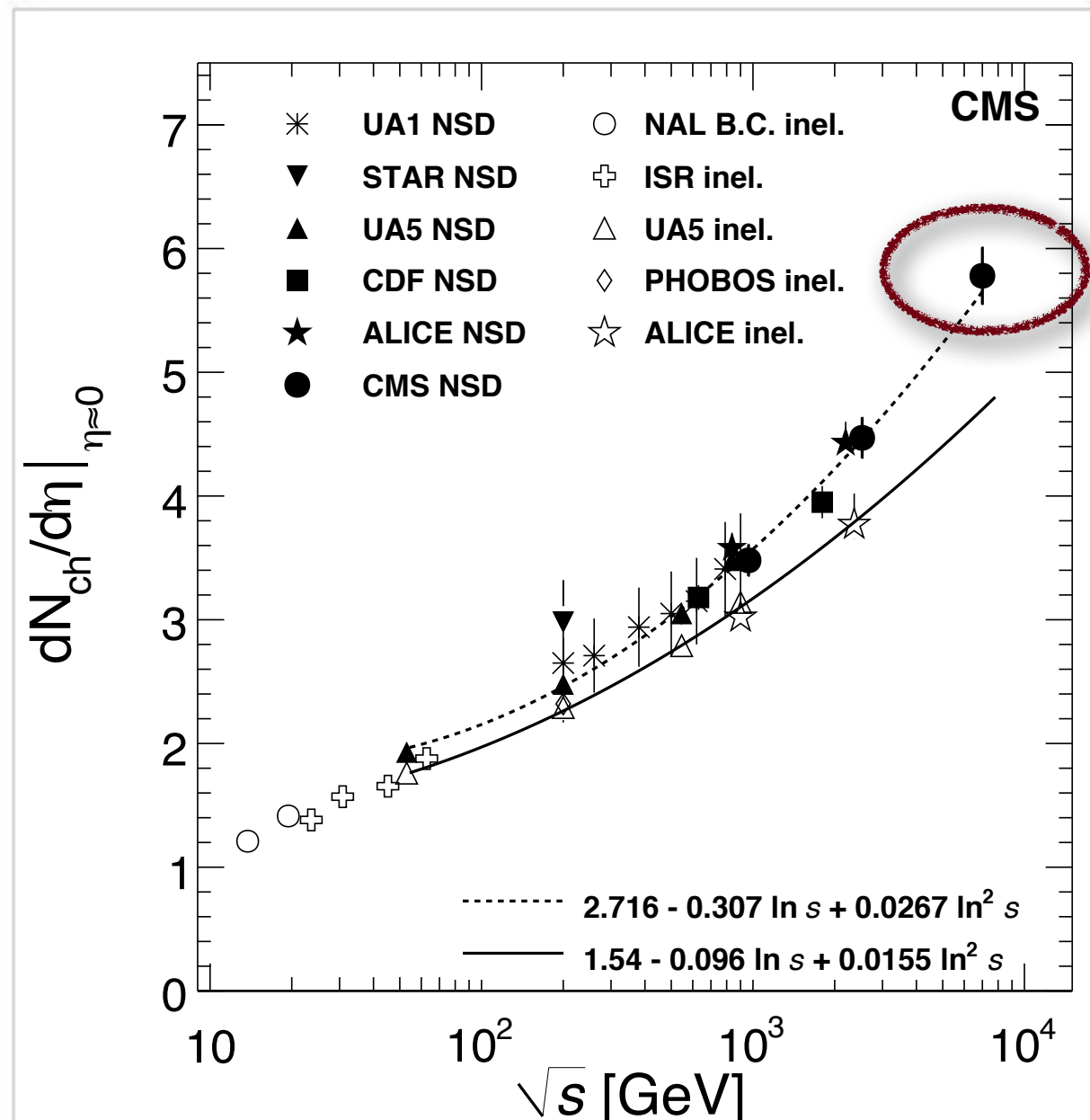
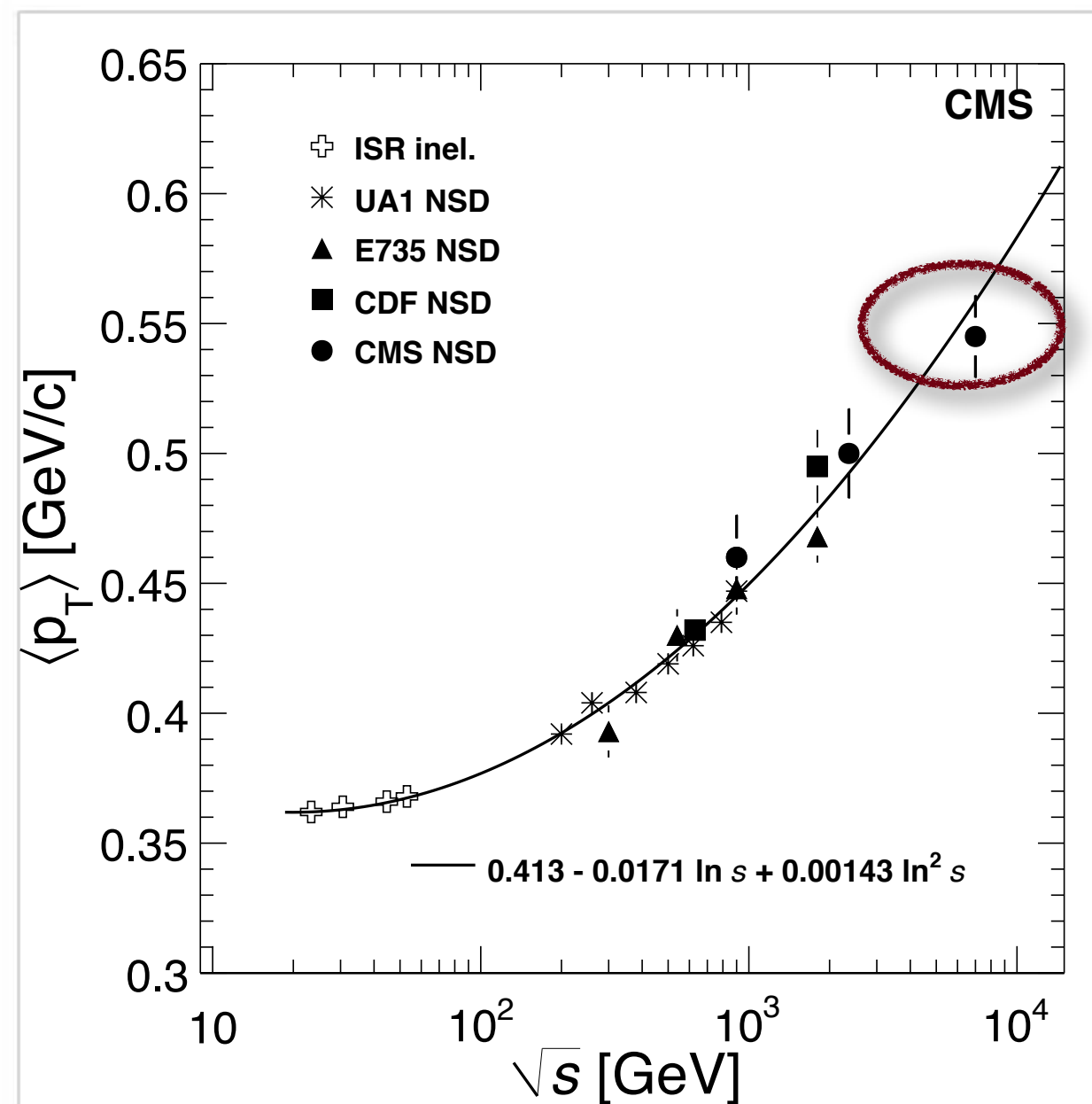
CMS PRL 105, 022002 (2010)





# Energy Dependence

Phys. Rev. Lett. 105 (2010) 022002



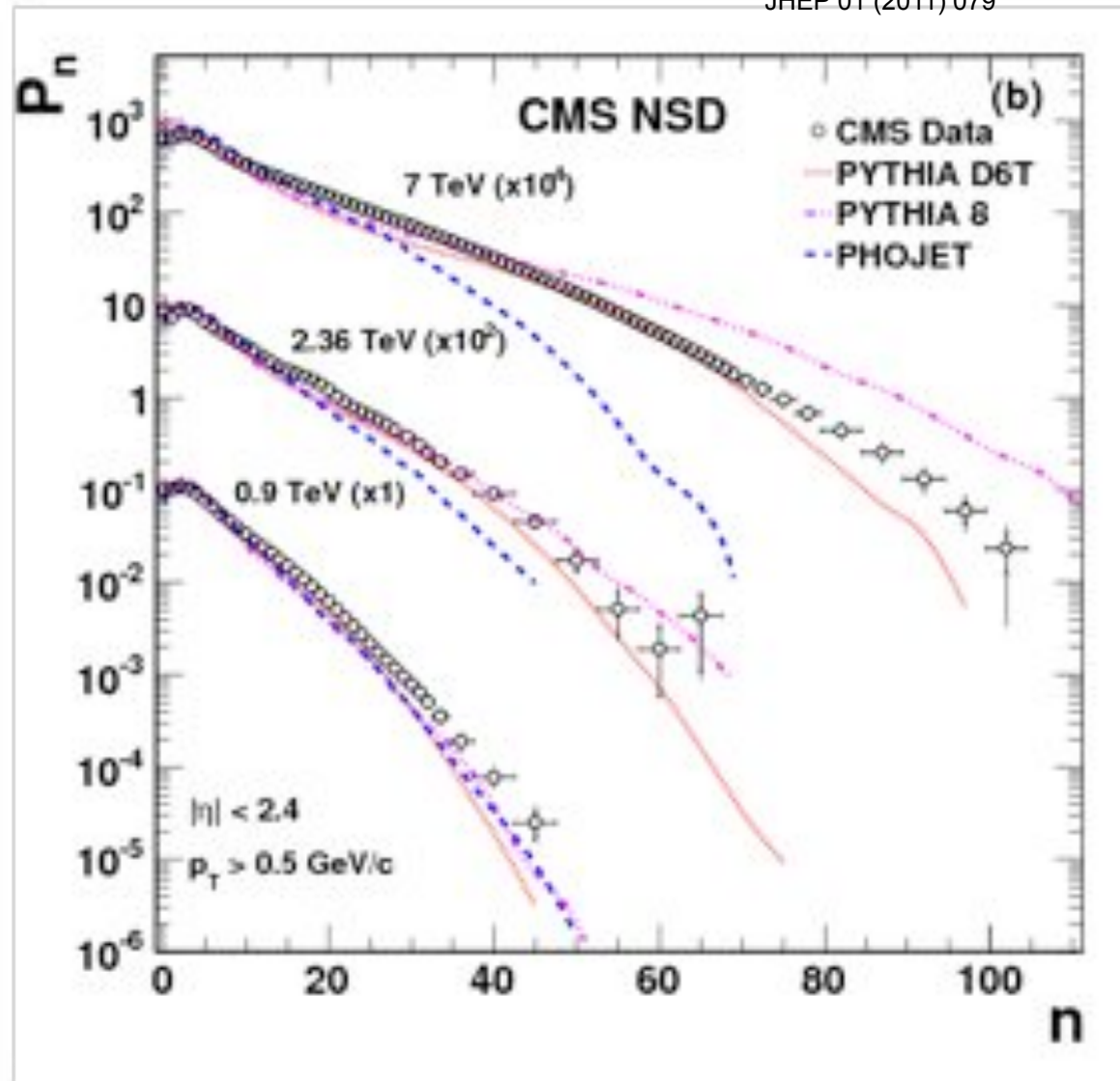
at 7 TeV:

- ~ 6 x 5 (rapidity coverage of tracker) = 30 chg. particles in tracker acceptance
- with on average 500-600 MeV of trans. momentum



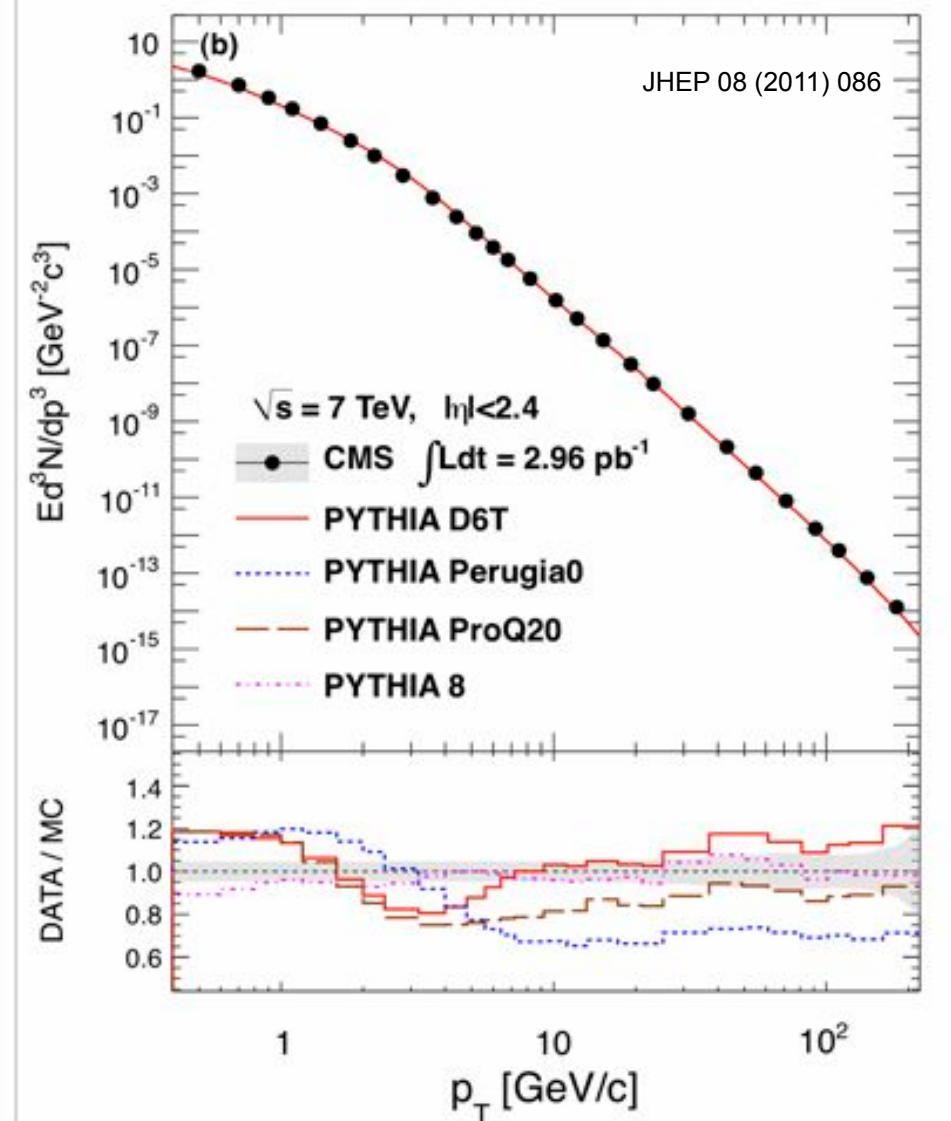
# Chg. particle mult. and $p_T$ distributions

JHEP 01 (2011) 079



- showed need to improve (tuning of) models
- turned out: in general higher mult. than expected, difficult or impossible to get excellent description of mult. and full  $p_T$  dist.
- and for simultaneously getting central and forward chg. particle production right

JHEP 08 (2011) 086



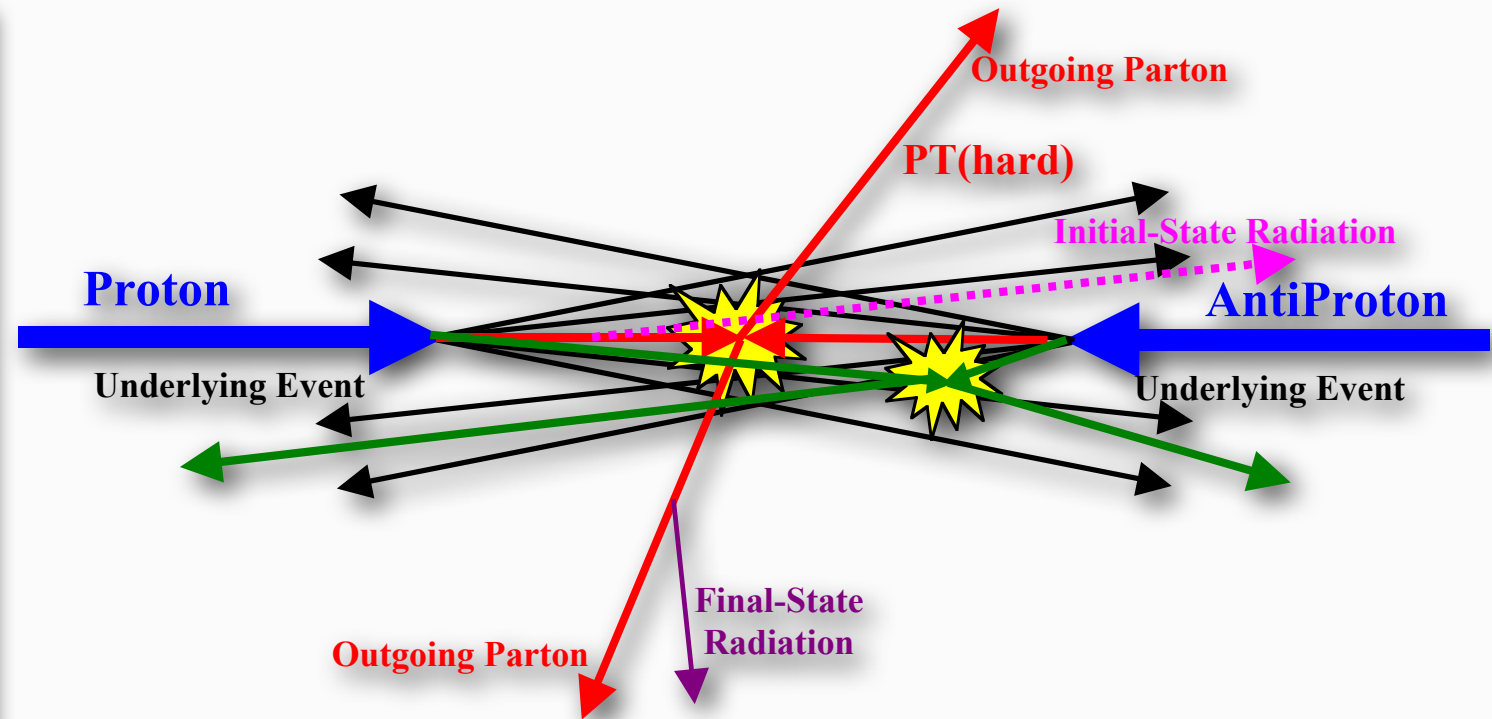


## The Underlying Event:

The products of  
beam-beam remnant  
multiple-parton interactions

i.e extra activity besides  
hard scattering

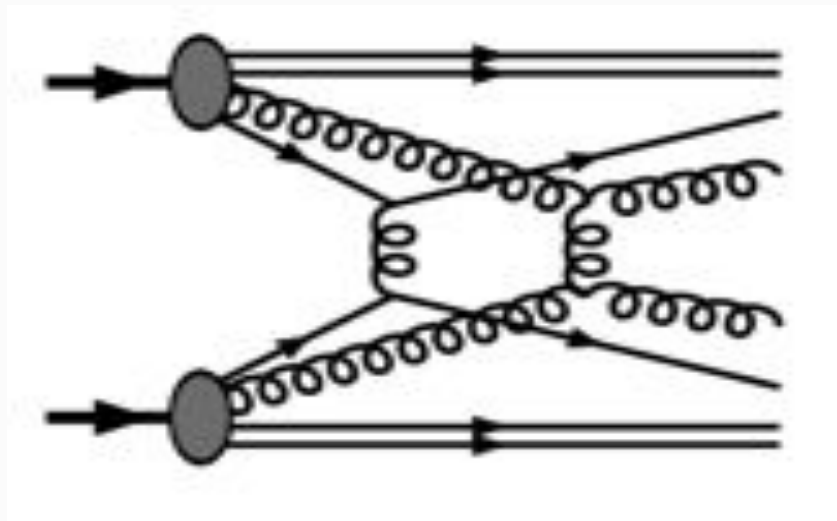
which cannot uniquely be separated from  
ISR, FSR



Issues / interesting questions /

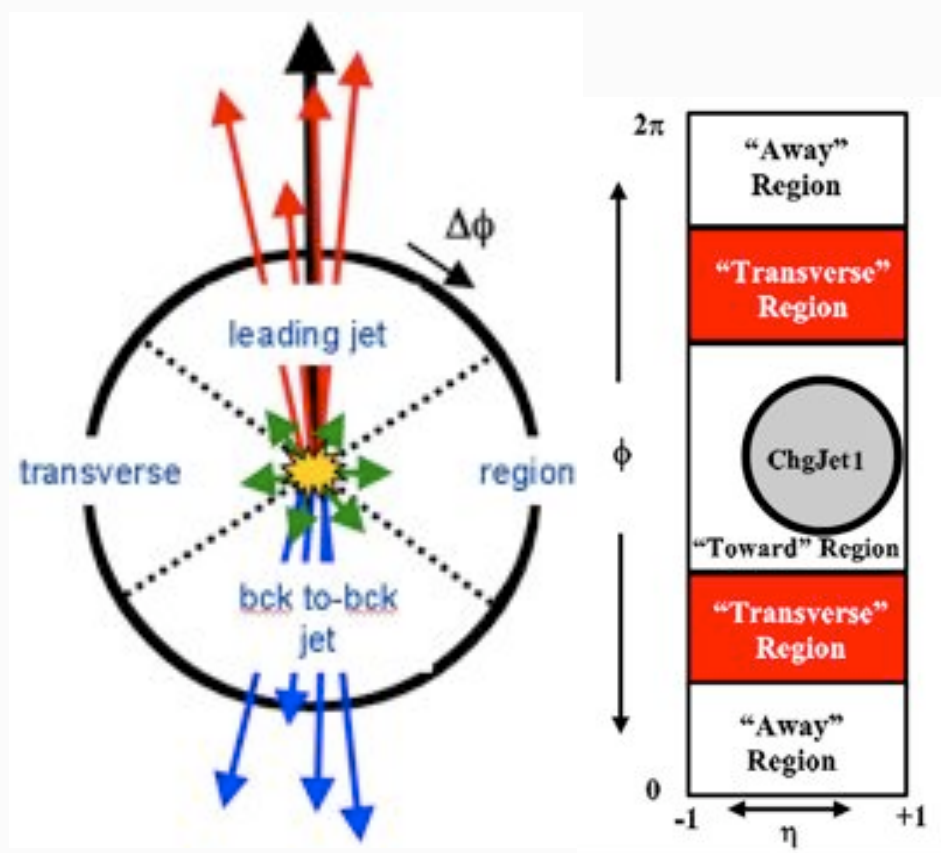
Motivations:

- ◆ Note : UE  $\neq$  MB
- ◆ Tuning of MC models, an issue is the energy dependence
- ◆ needed to understand global observations on chg. particle production
- ◆ impact on selection efficiencies (isolation), jet energy, MET, low- $p_T$  jets, ...





# UE studies : Observables



## Using Charged Jets (or leading charged track)

- Topological structure of p-p collision from charged tracks
- Jets found with massless charged tracks as input
- The leading Ch\_jet1 defines a direction in the phi-plane
- The transverse region is particularly sensitive to the UE

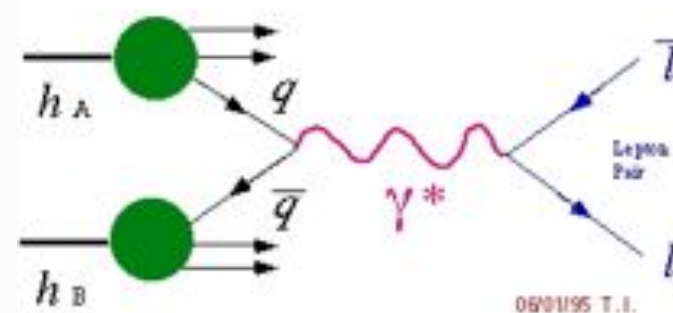
### Main observables:

$dN/d\eta d\Phi$       charged density  
 $d(P_{T\text{sum}})/d\eta d\Phi$       energy density  
 New : jet area/median approach

## From DY muon-pair production (using muon triggers)

- defined in all the phi-plane
- after removing the muon pairs, everything else is UE

### The Drell-Yan Process

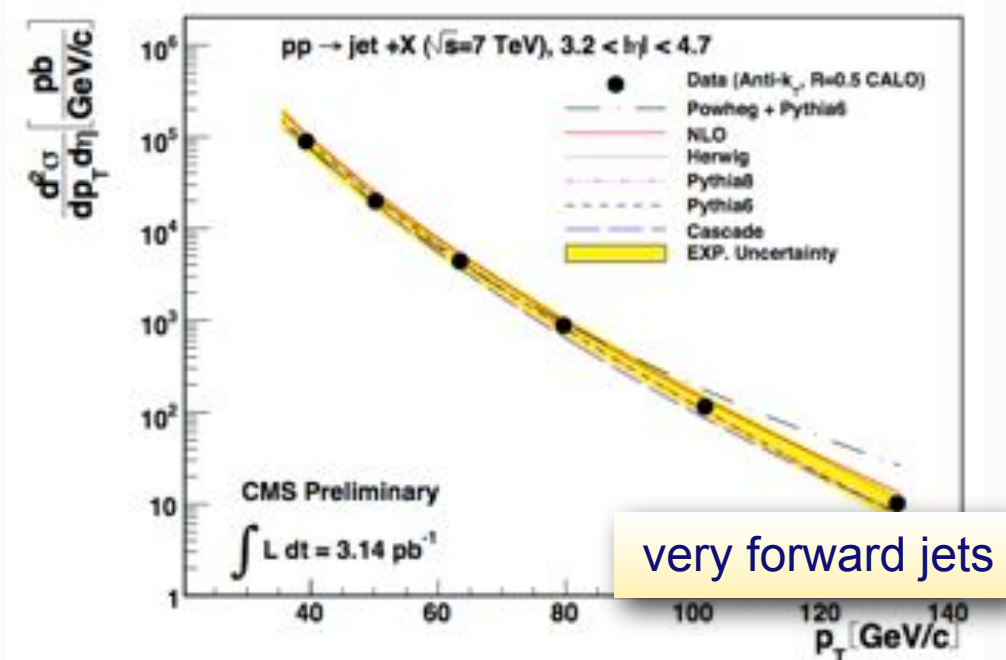
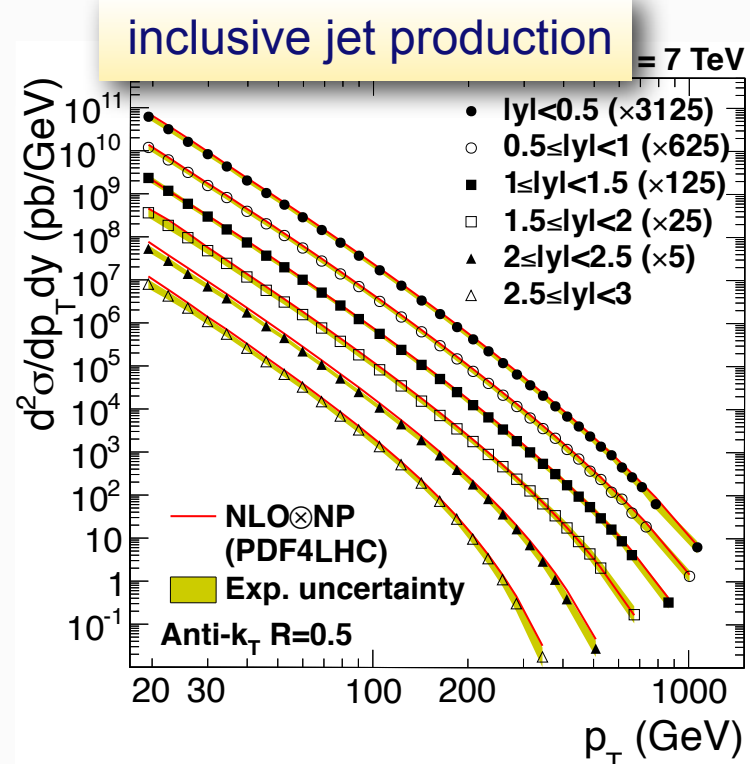
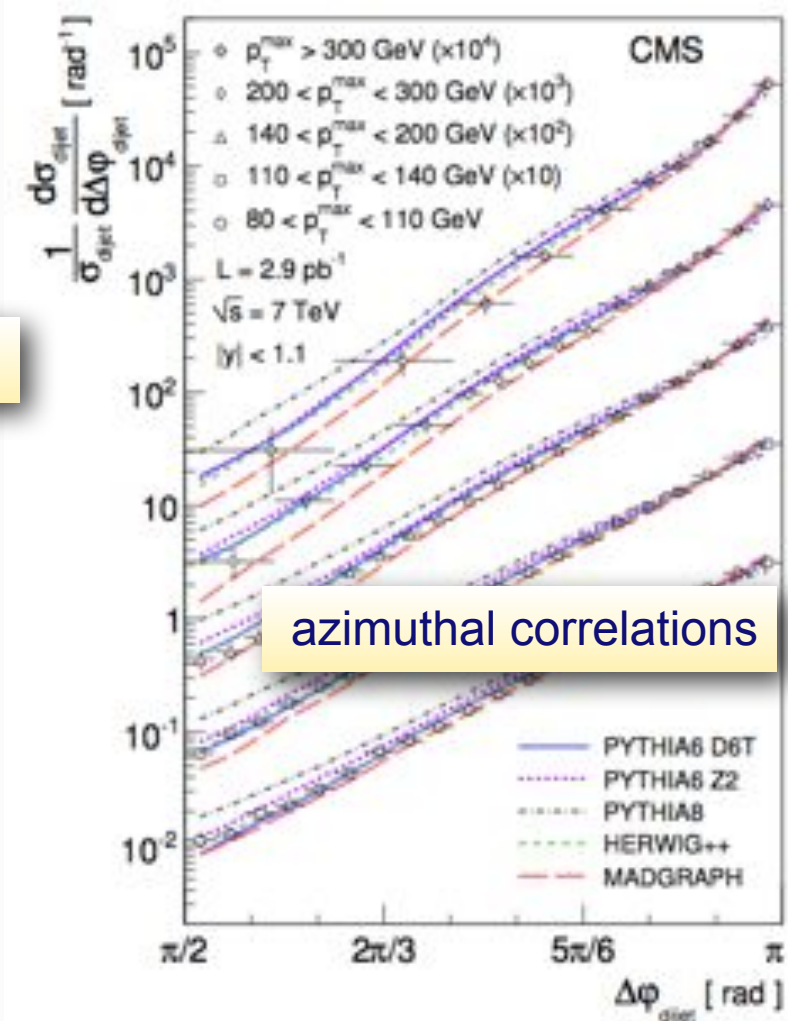
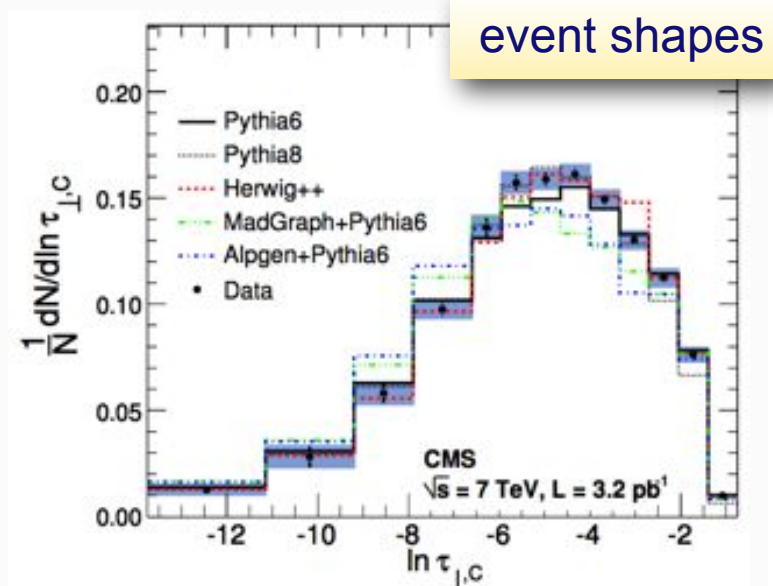
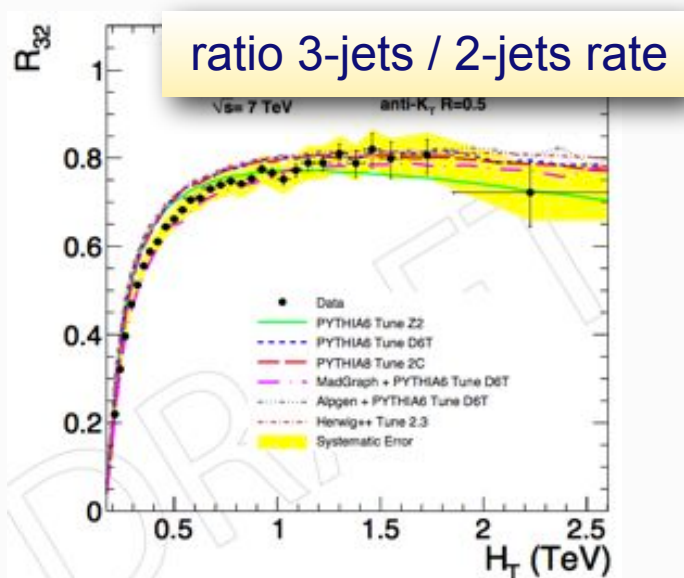








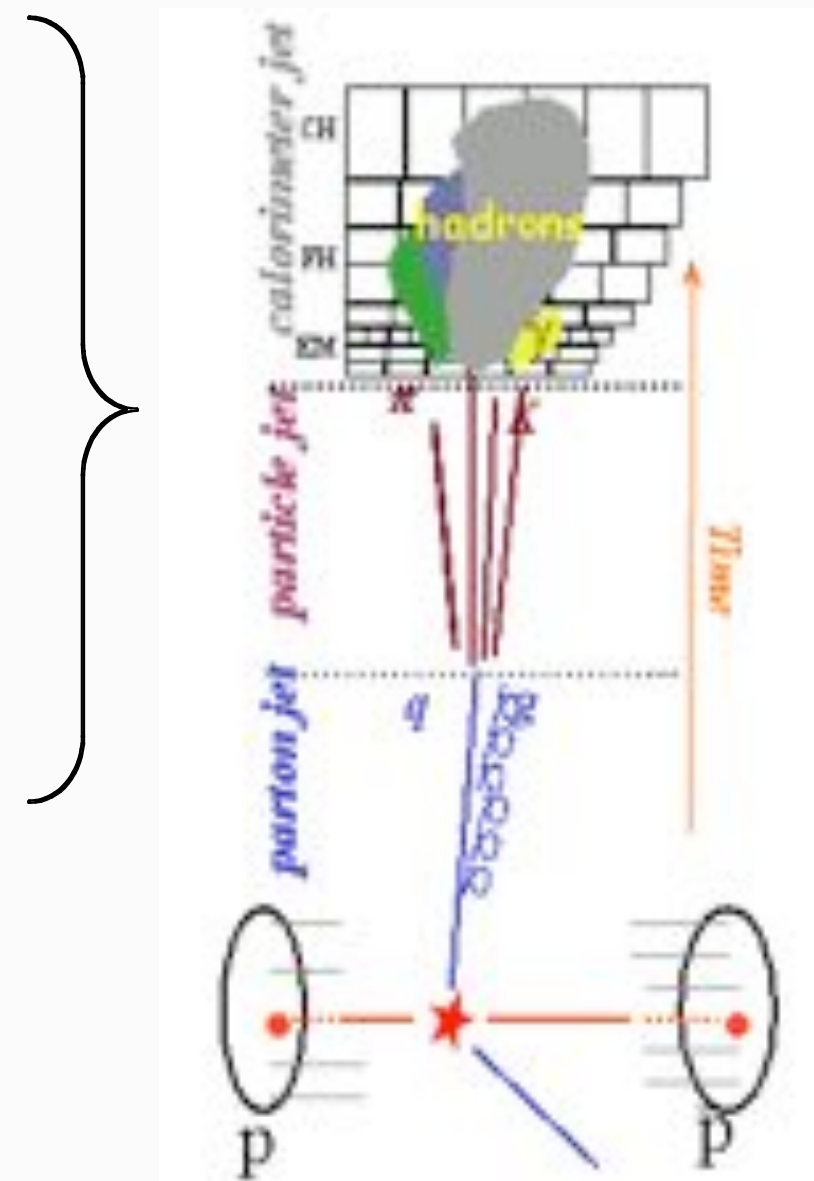
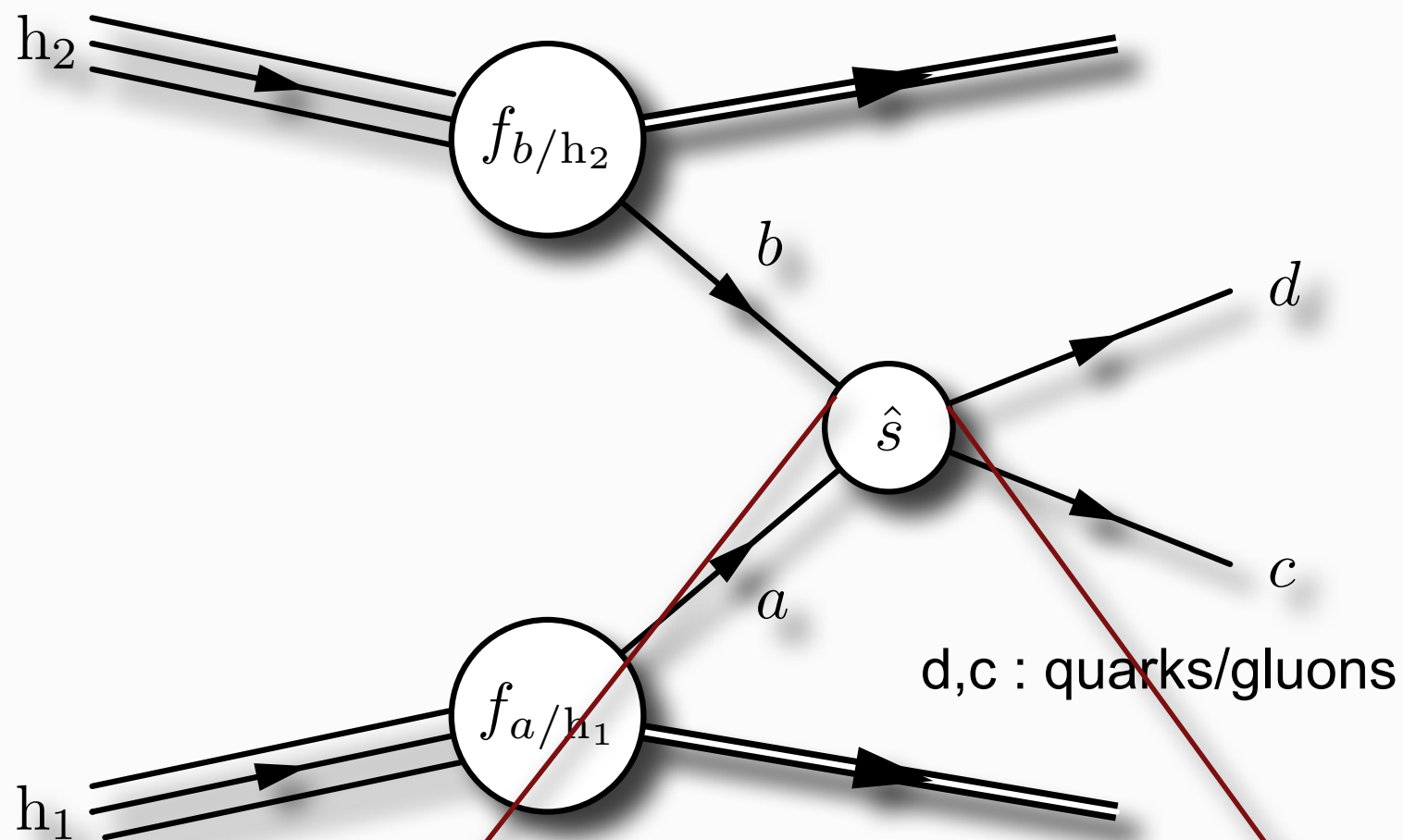
# Production of Jets







# JET production at hadron colliders

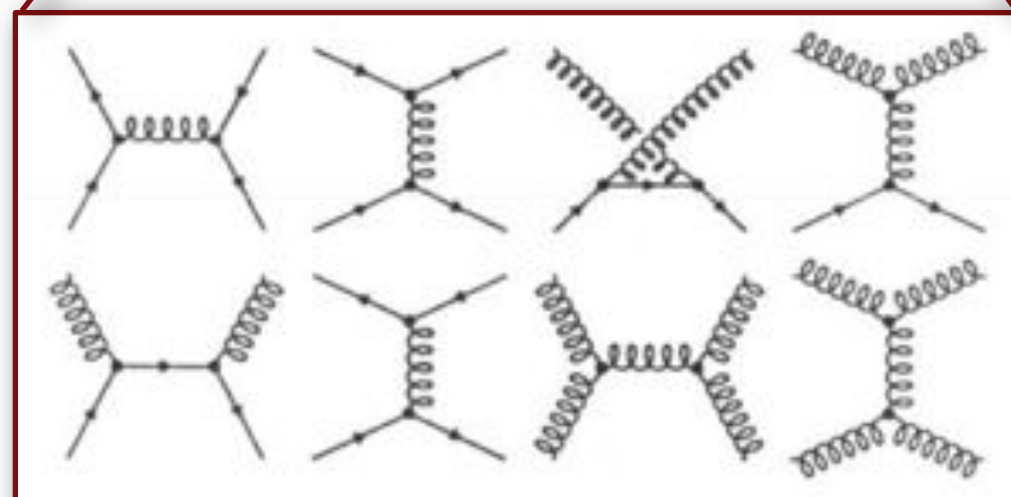
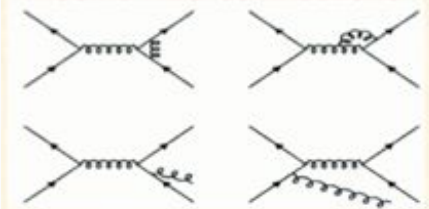


Comparison between experimental data and theoretical predictions constitutes an important test of the theory.

Deviations?


- Problem in the experiment ?
- Problem in the theory (QCD) ?
- New Physics, e.g. quark substructure ?

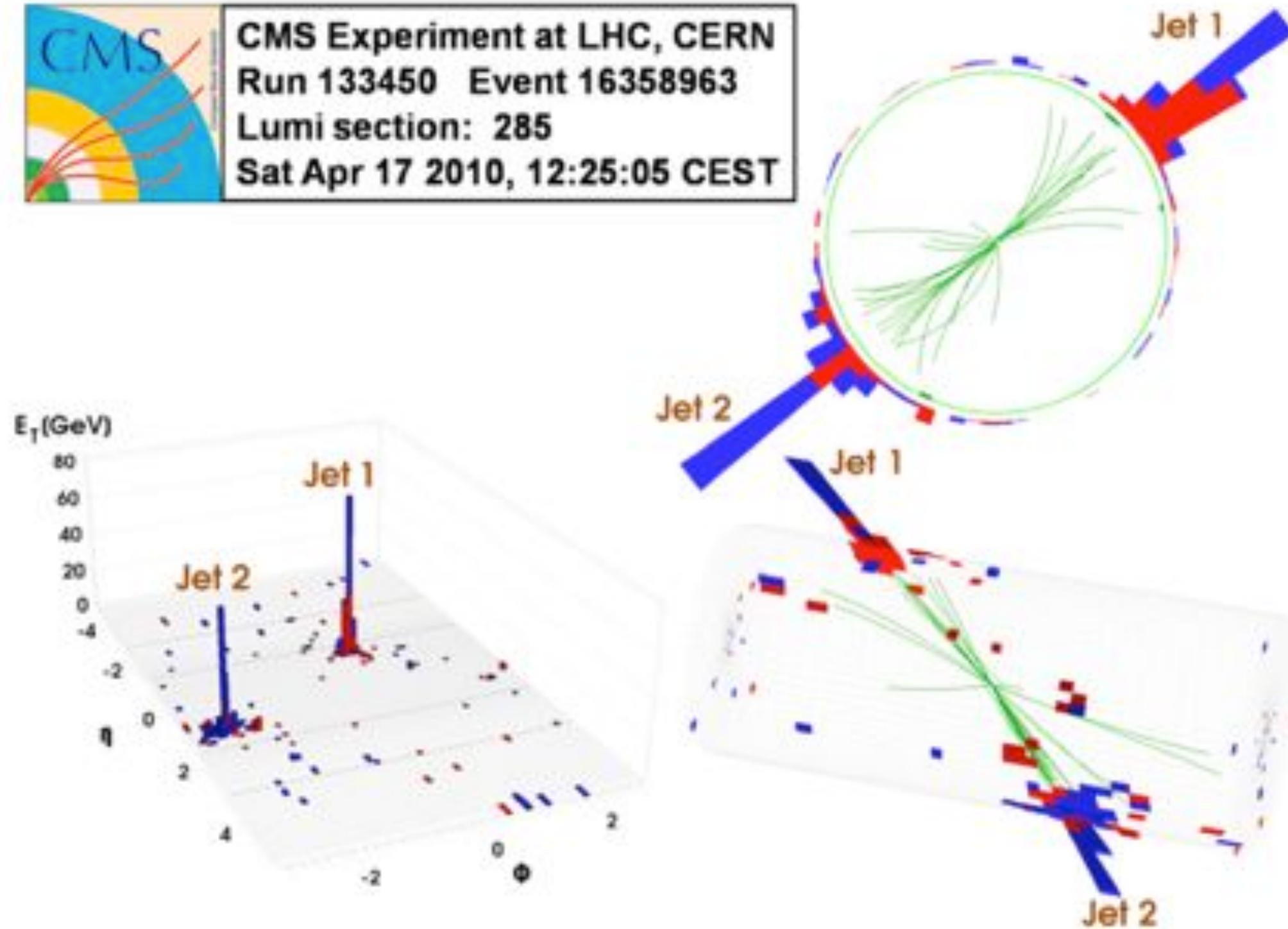
...some NLO contributions





# and we really “see” jets


**CMS Experiment at LHC, CERN**  
 Run 133450 Event 16358963  
 Lumi section: 285  
 Sat Apr 17 2010, 12:25:05 CEST







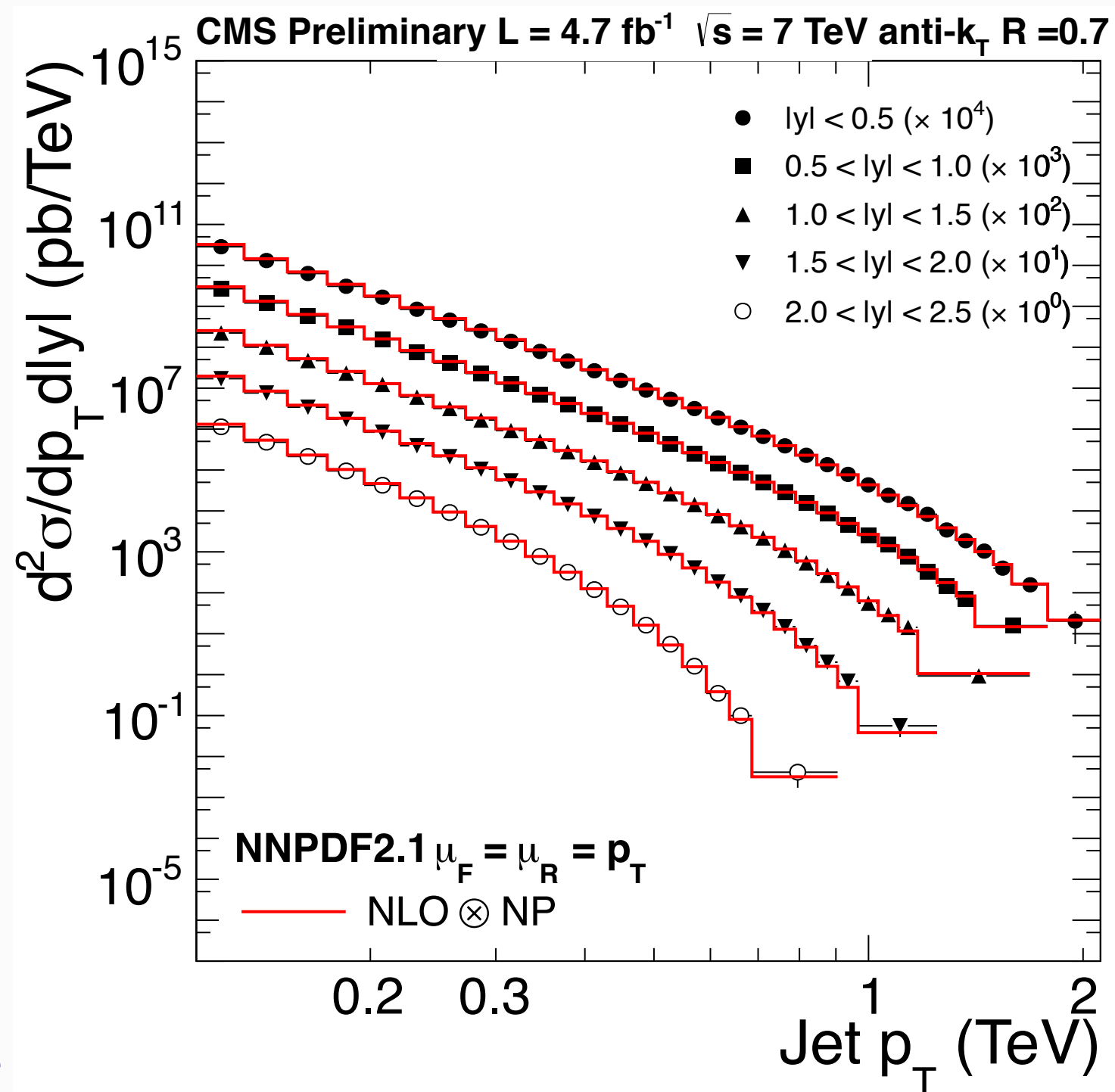
# Issues for Jet Observables

- Jet Triggers and Jet selection
  - turn-on curves, lower  $p_T$ -thresholds, matching of samples from different triggers
- Choice of Jet algorithm and jet size
  - use of modern, IR- and collinear safe algorithms
  - standard in CMS: anti-KT,  $R=0.5, 0.7$
- Jet Energy Scale
  - absolute and relative (as function of rapidity)
  - jet cross section falls like power law, power =5 - 6
  - fantastic progress made so far, already better than 3%, hoping to achieve 1%
- Jet Energy resolution
  - smearing of distributions
- Comparison with theory at the “hadron (or particle) level” :  
correction of pQCD prediction for non-pert. effects
- Often “ratio” observables used to reduce dependence on jet energy scale: di-jet ratio, angular (de-)correlations, event shapes, n-jet ratios, jet shapes, ....
  - however, the use of a  $p_T$  threshold above which jets are selected introduces a dependence !



# Inclusive jet cross section

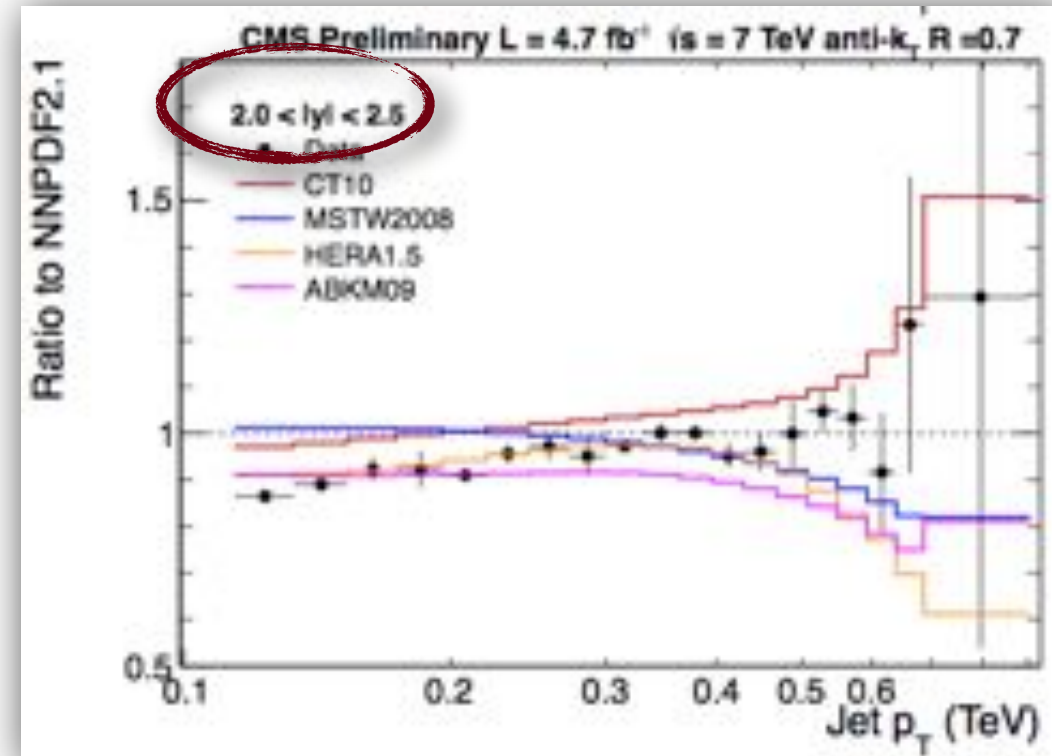
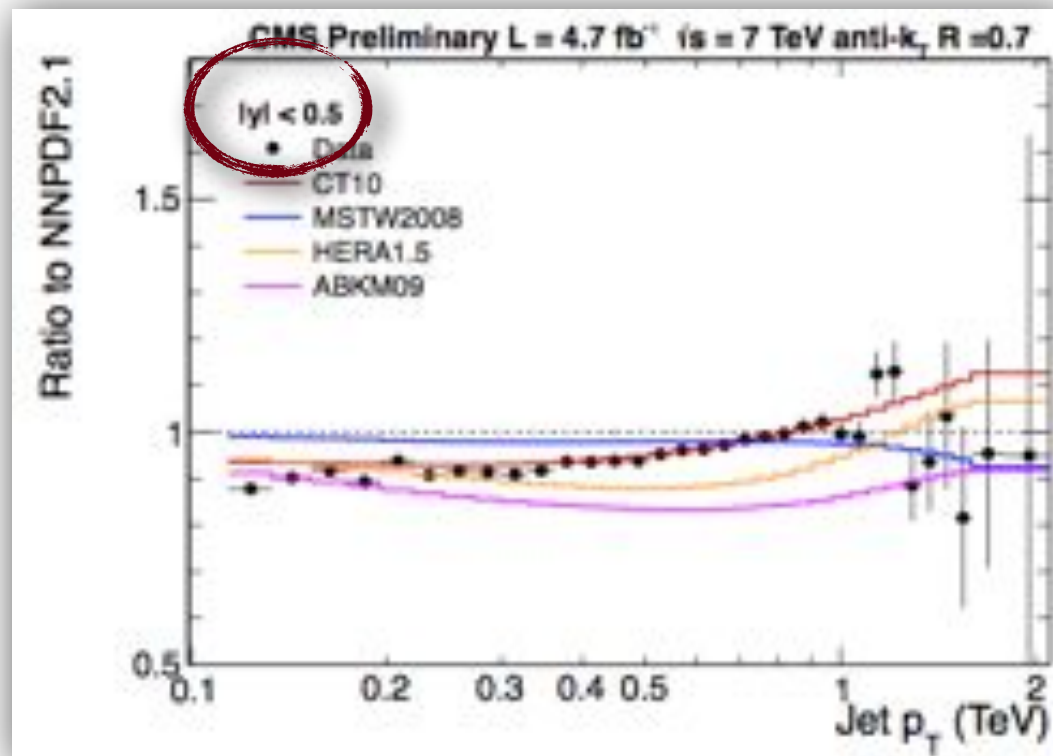
- up to  $p_T \sim 2$  TeV !
- Earlier measurements extended to very low  $p_T$  thanks to Particle Flow
- JES uncertainties dominating exp. uncert.
- Corrected to particle level
- Inclusive jet  $p_T$  spectra are in **good agreement with NLO QCD**
- exp. precision starting to be interesting to constrain pdfs



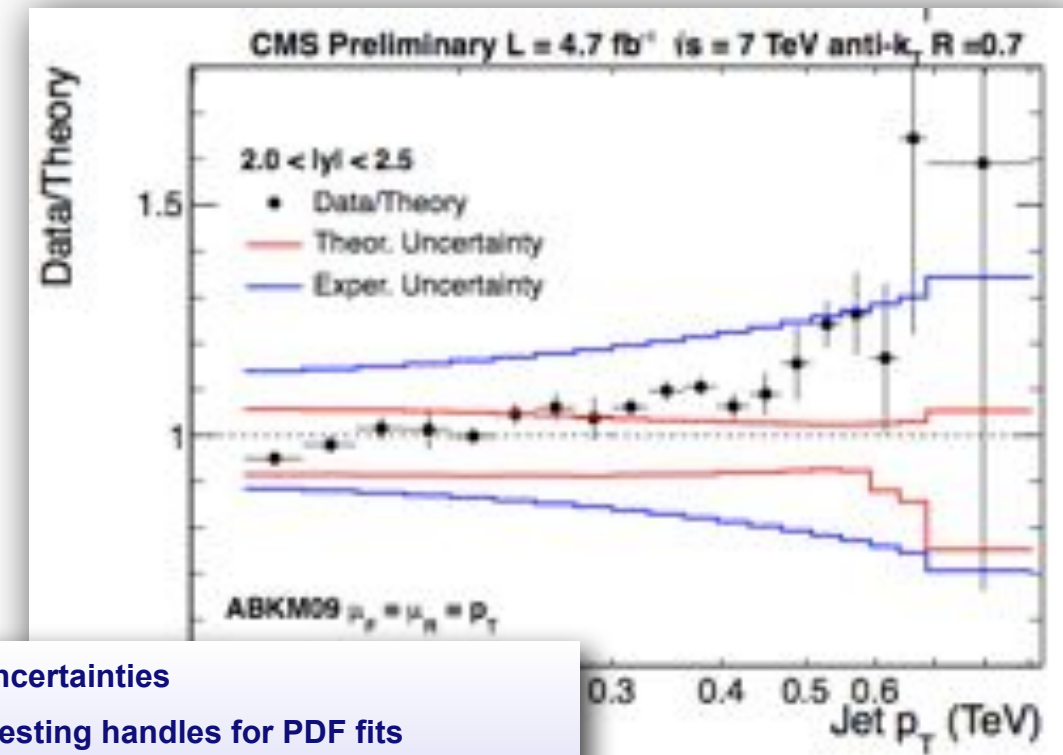
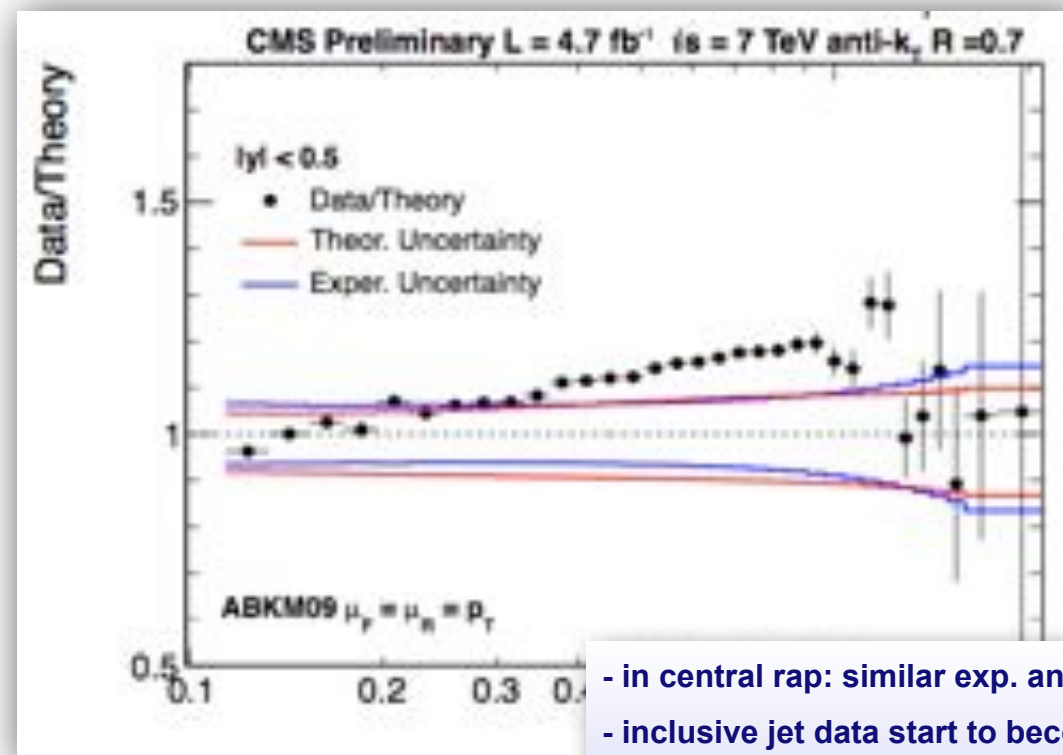




# More comparisons to PDFs...



More details: see CMS PAS QCD-11-004

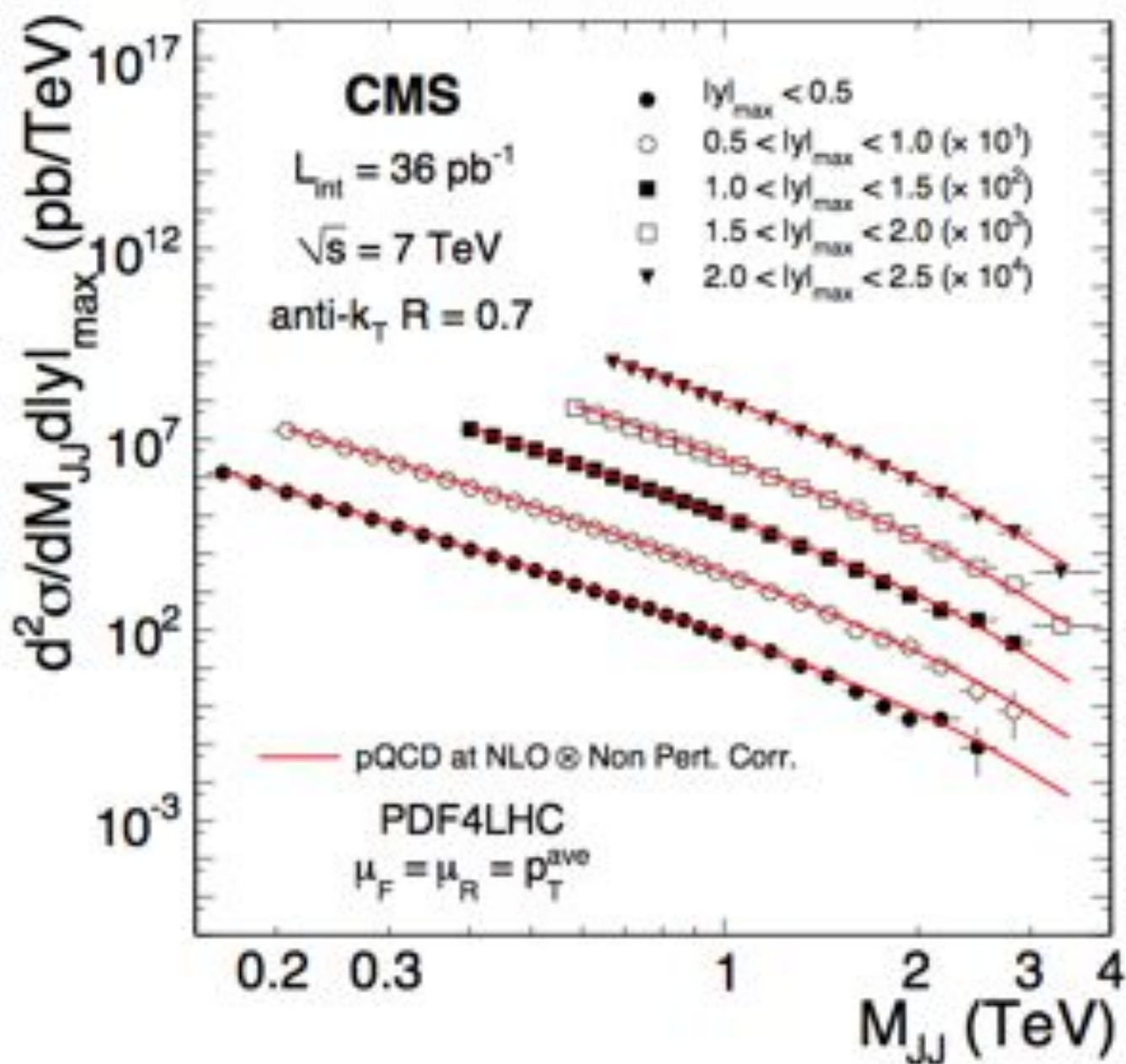


- in central rap: similar exp. and theo. uncertainties
- inclusive jet data start to become interesting handles for PDF fits
- central jets: CT10 fine. More forward: DIS-based PDFs (HERA1.5, ABKM) do better (sensitive to low-x gluon)



# Di-jet distributions

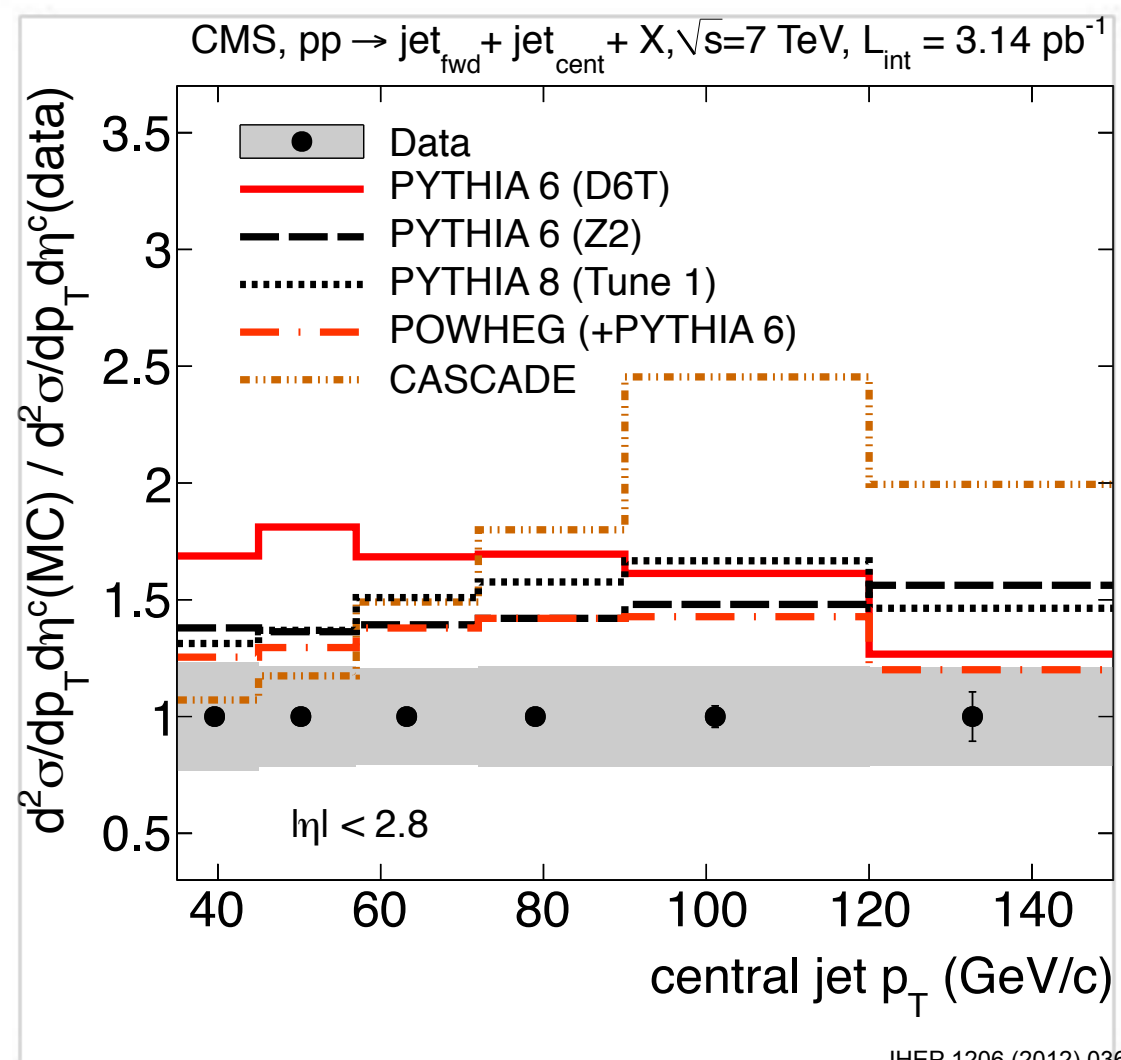
## Di-jet mass distribution



PLB700 (2011) 187

However:

Requiring both a central and forward jet at the same time causes **disagreement for a number of models.**



JHEP 1206 (2012) 036

- All distributions unfolded/corrected for detector effects

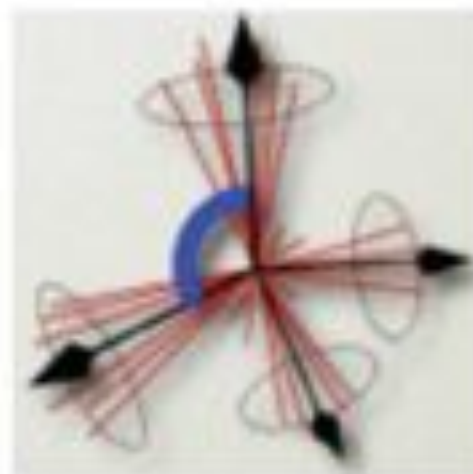
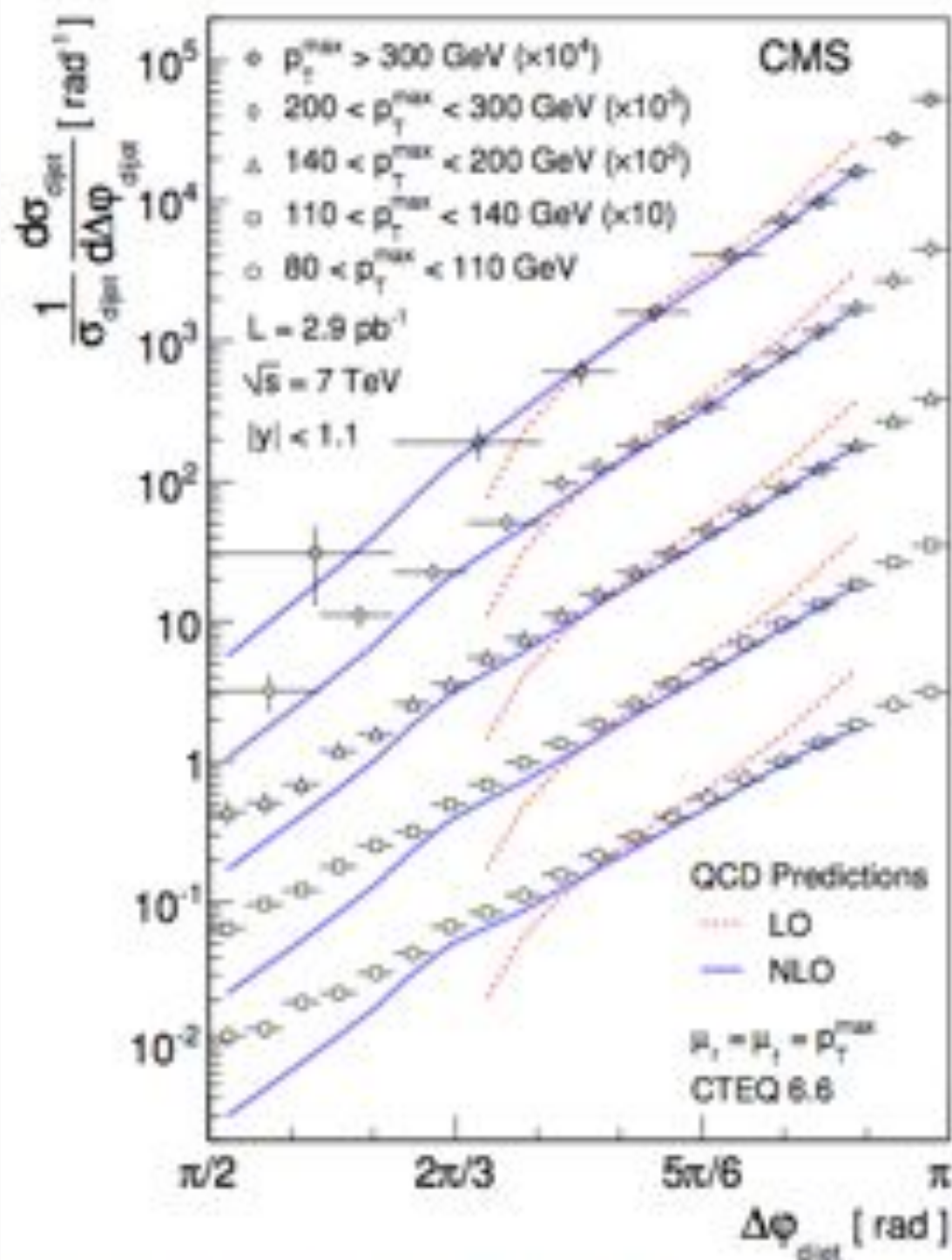
Overall, pretty good agreement with NLO QCD





# Correlations in Azimuth

- Independent of luminosity, weakly dependent on Jet Energy Scale



$$\Delta\phi \sim \frac{\pi}{2}$$



$$\Delta\phi \sim \pi$$

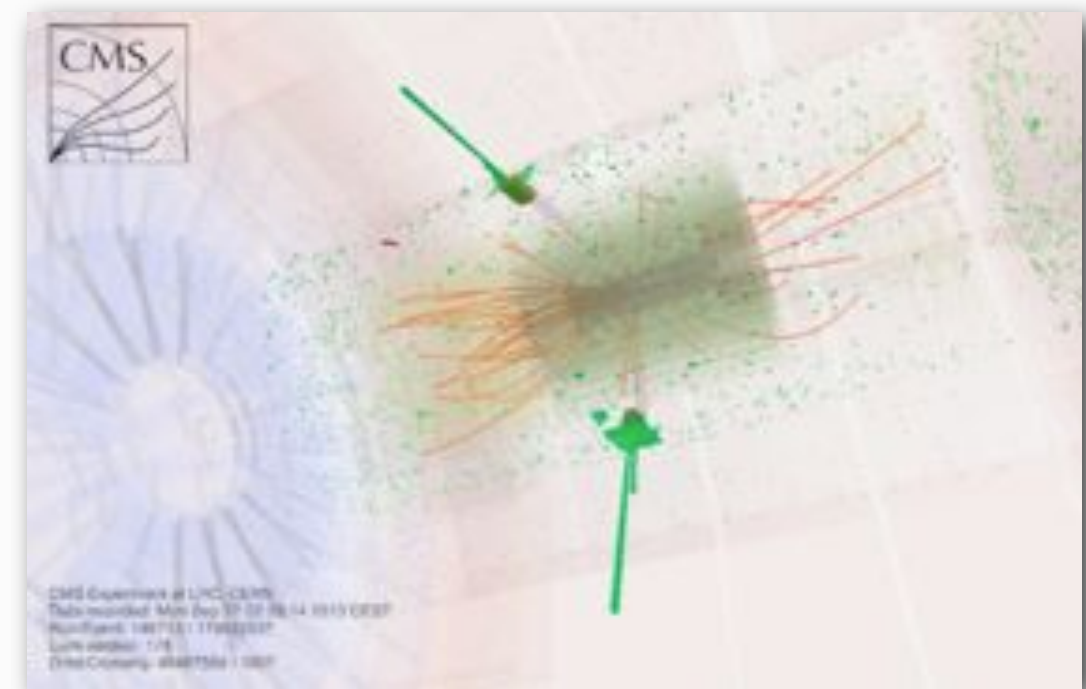
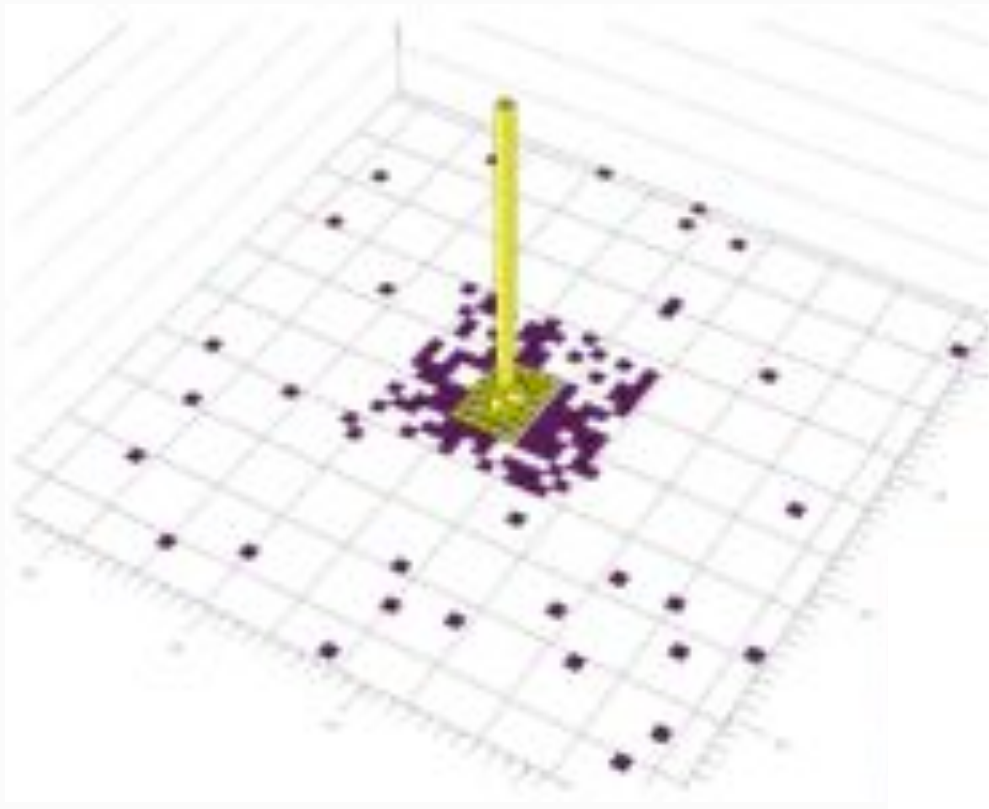
- ◆ **Normalized dijet cross section, as a function of  $\Delta\phi$** 
  - indirect probe of multijet topologies, without explicit reconstruction of additional jets
- ◆ **pQCD @ NLO is necessary to describe the azimuthal decorrelation**

**PRL 106 (2011) 122003**





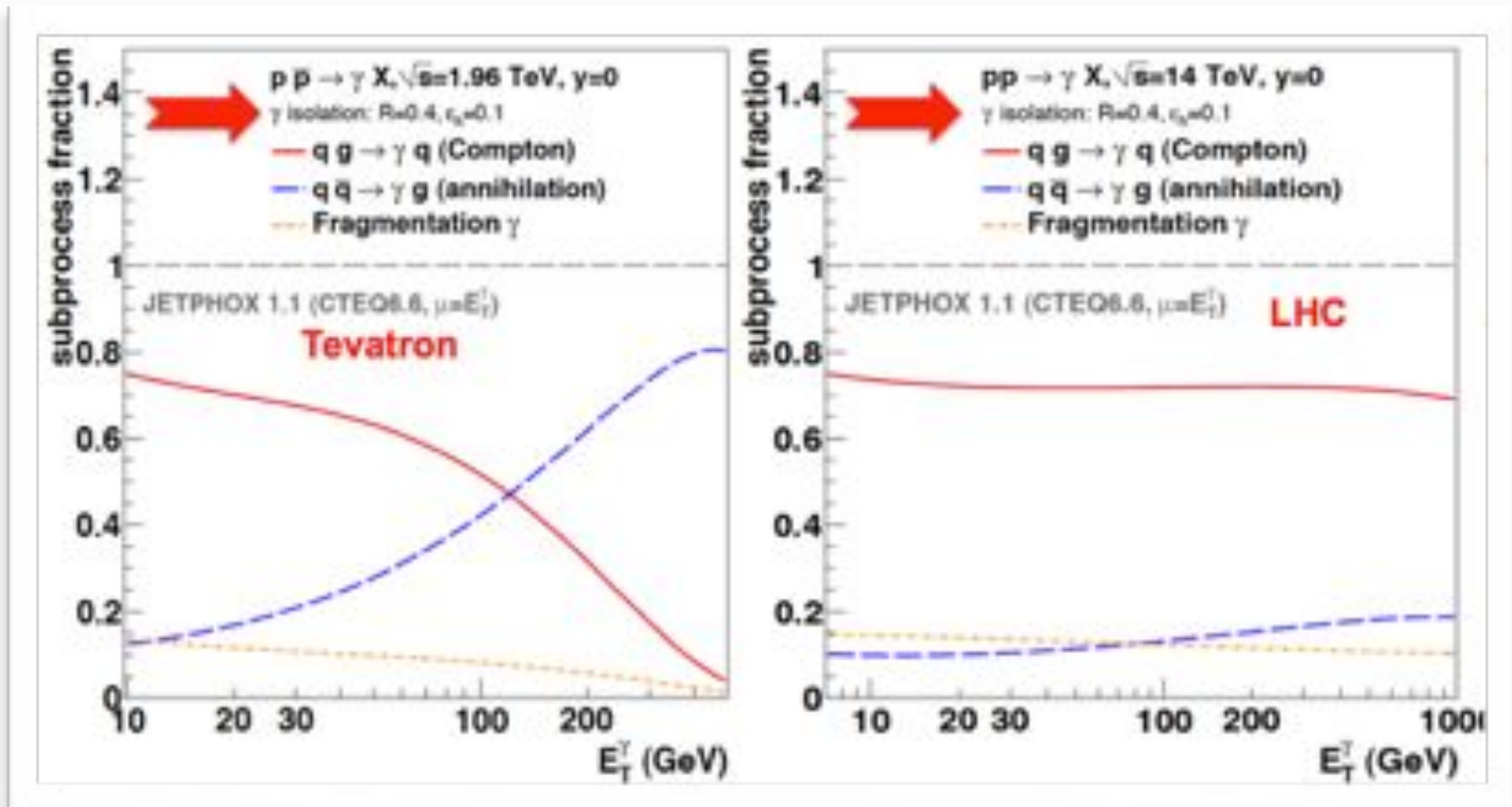
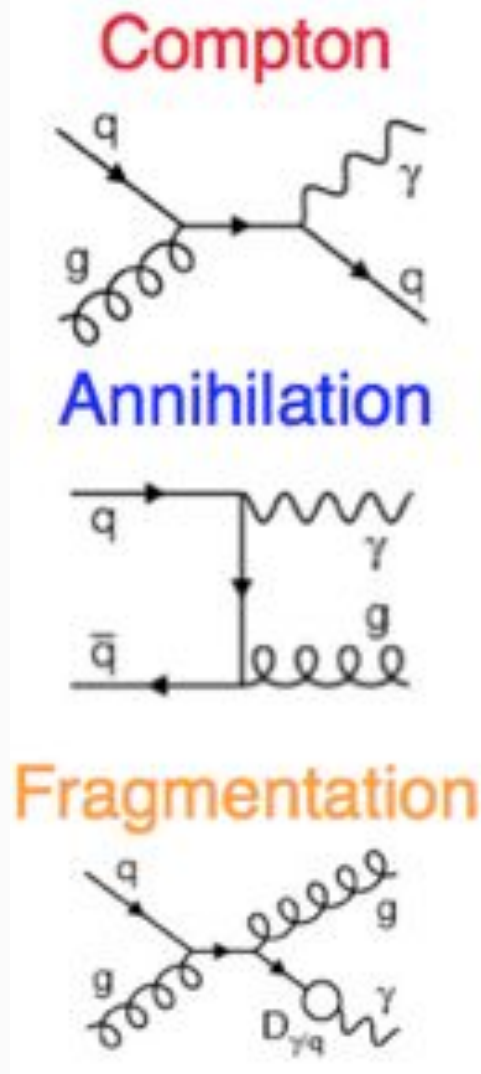
# Production of Photons





# Direct Photon Production

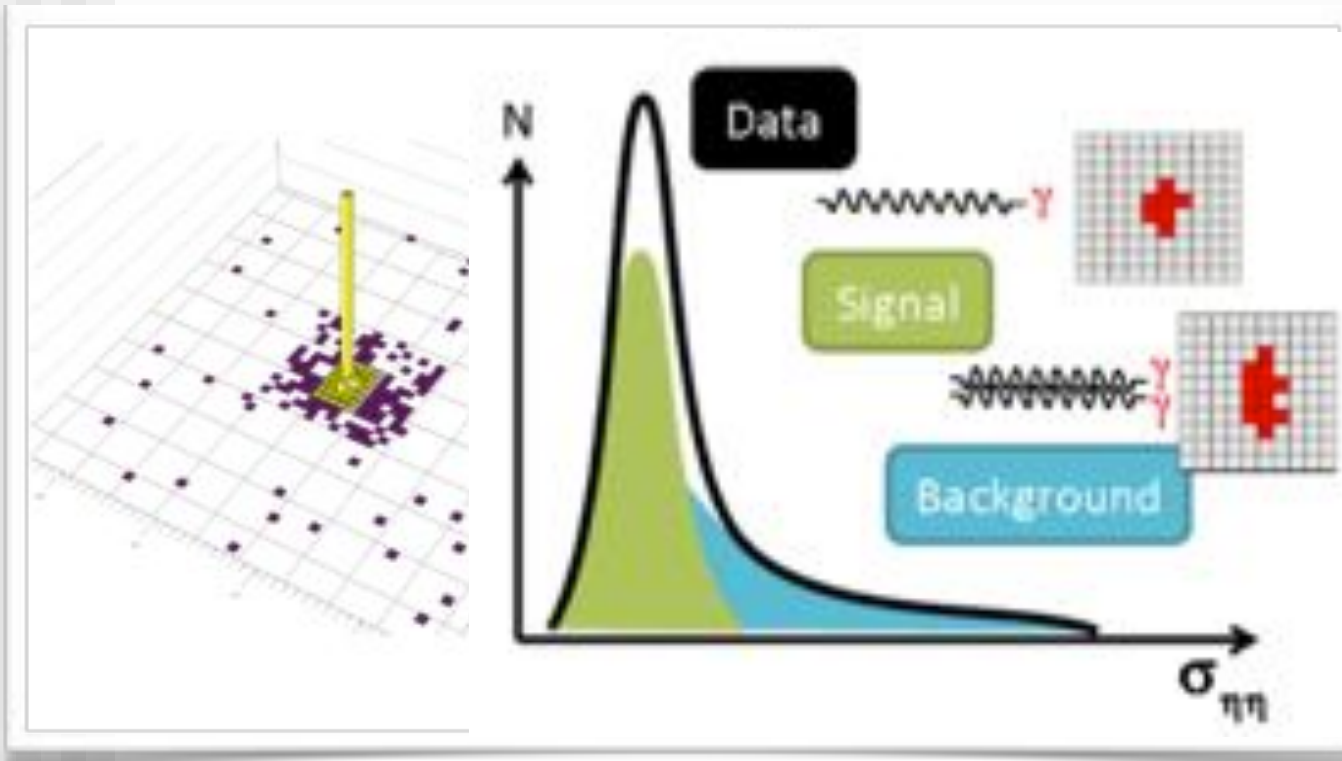
slide adapted from K. Kousouris



- Important issue
  - fragmentation contribution
  - can be strongly suppressed by isolation requirements
  - theoretically most interesting: Frixione isolation, but not exactly implementable in exp. analyses... many studies ongoing

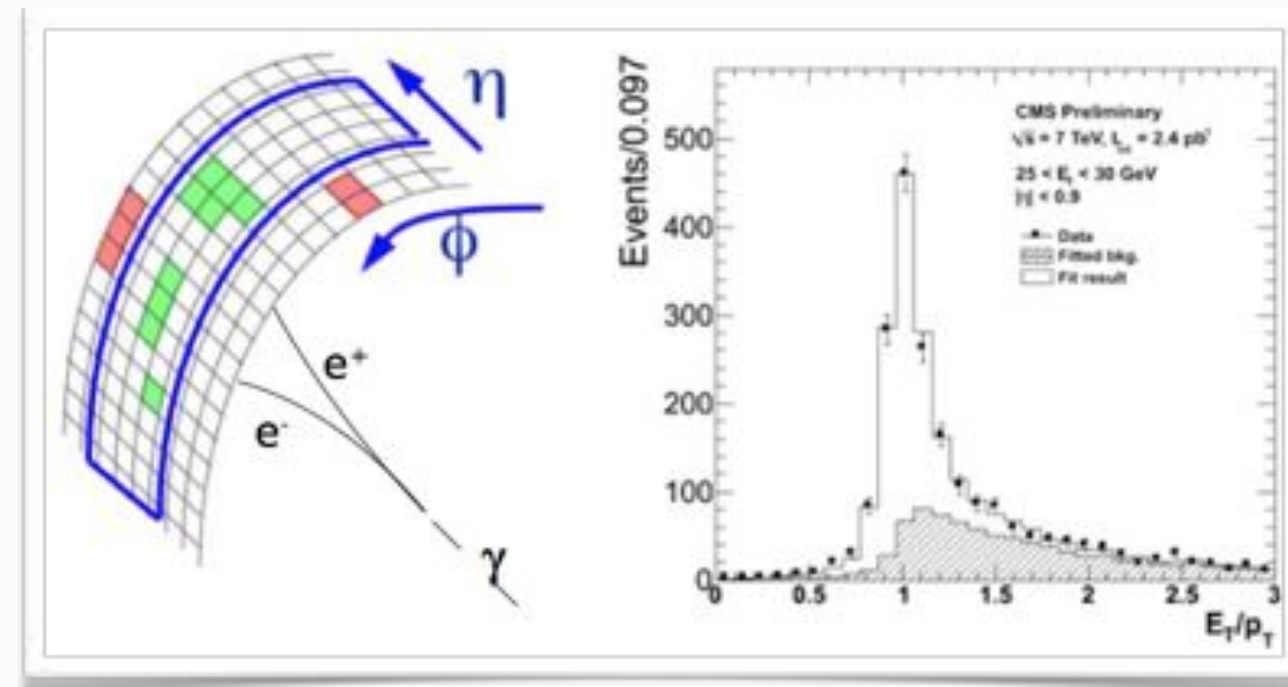
slide adapted from K. Kousouris

templates, on isolation or cluster shape variables



powerful at high  $E_T$

Conversions



powerful at low  $E_T$

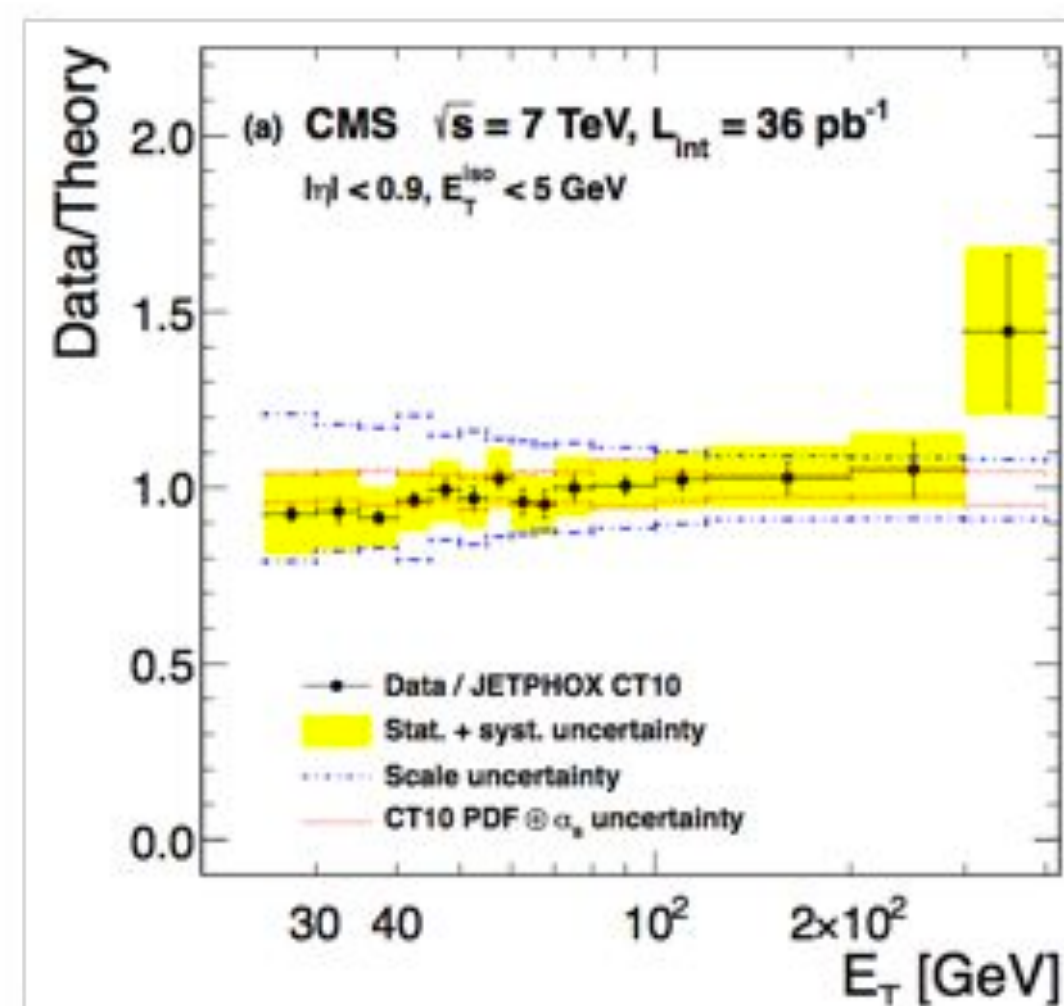
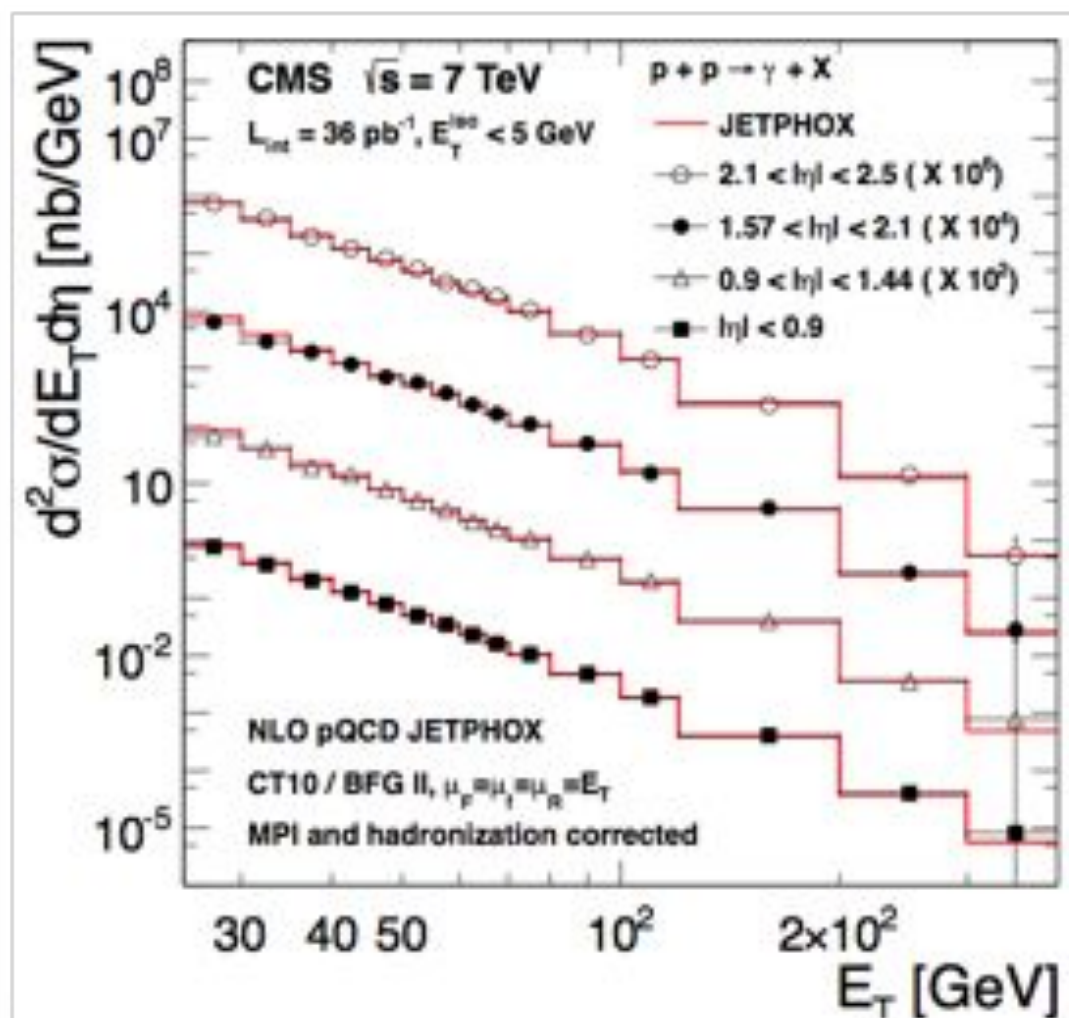
- Typical efficiencies:**  $\sim 100\%$  (trigger),  $\sim 85\%$  (reco barrel),  $\sim 75\%$  (reco endcap),  $\sim 60 - 90\%$  (identification & isolation), Unfolding (bin migrations):  $\sim 95\%$
- Systematic uncertainties** on the order of 15% or below





# Inclusive Production: Results

PRD 84 (2011) 052011

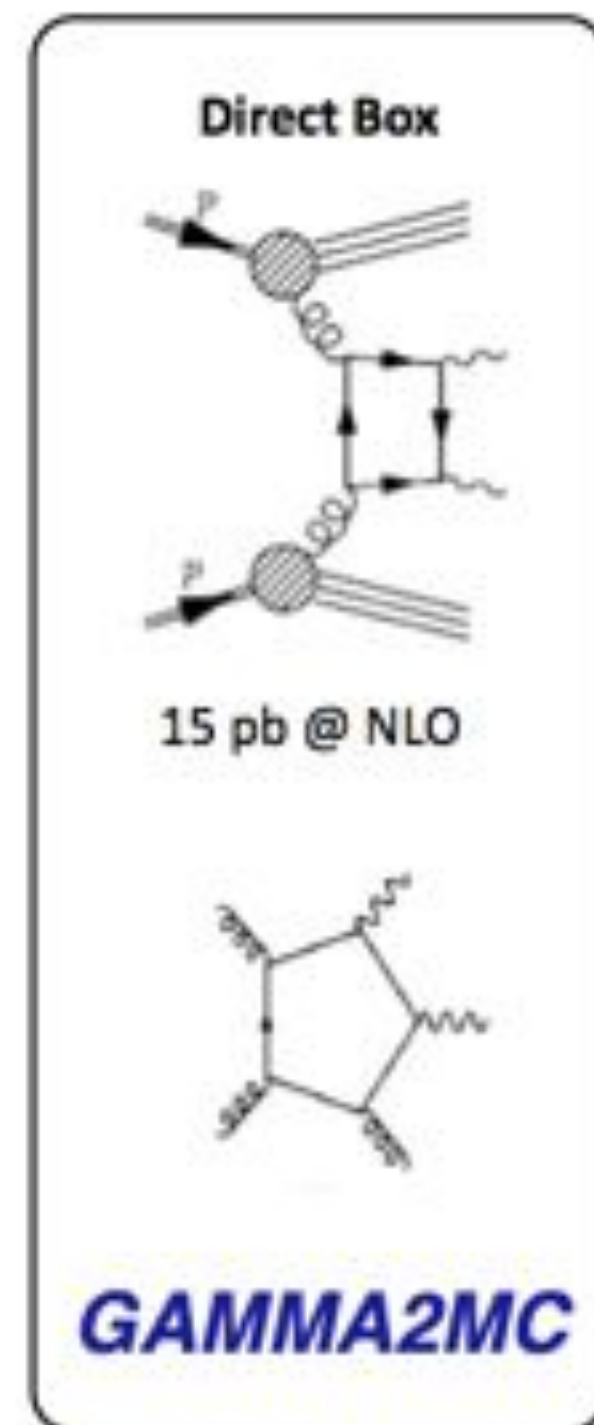
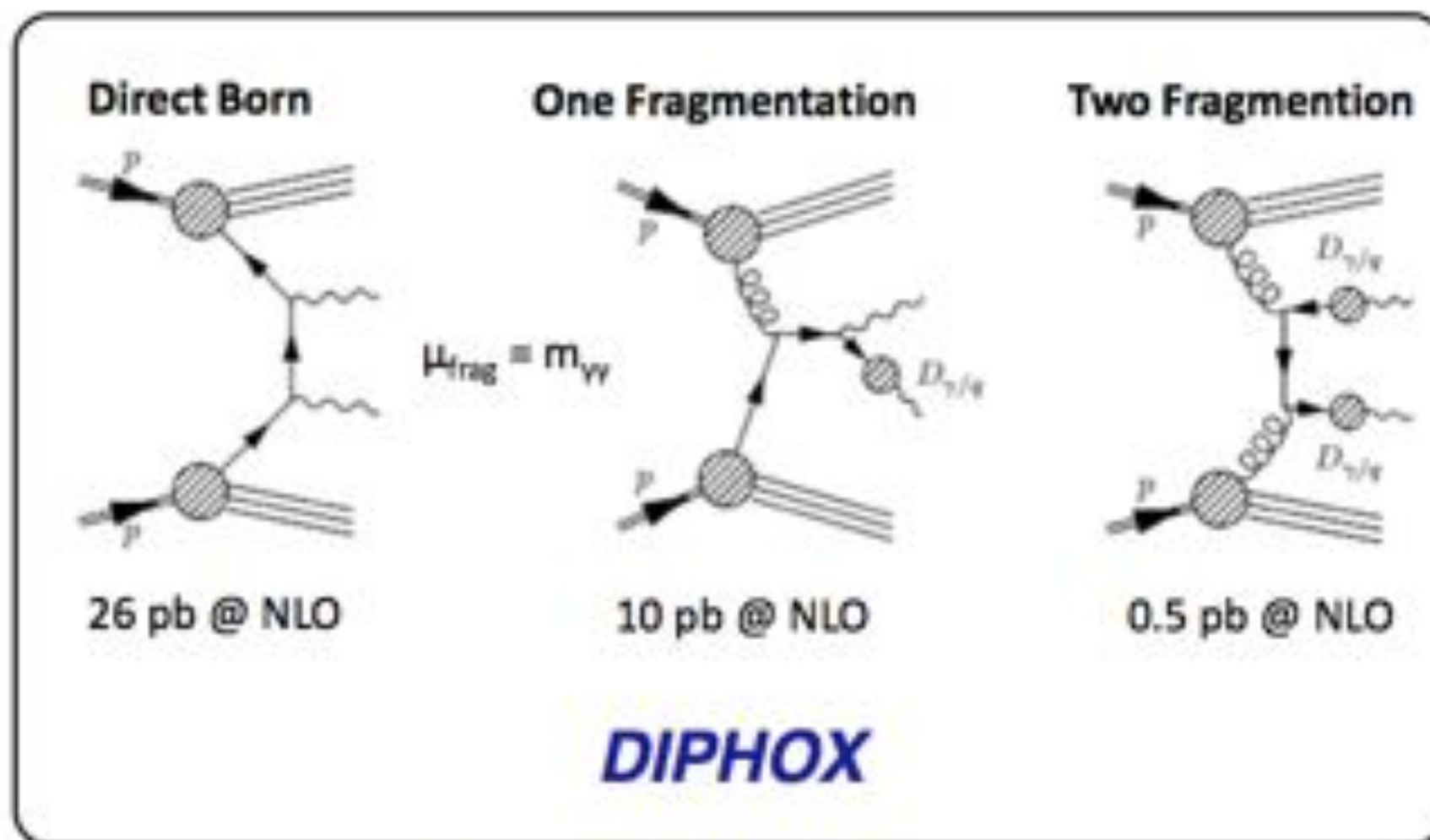


Overall, pretty good agreement with NLO QCD  
 Slight overprediction at  $E_T < 50$  GeV ?



# Di-Photon Production

slide adapted from K. Kousouris

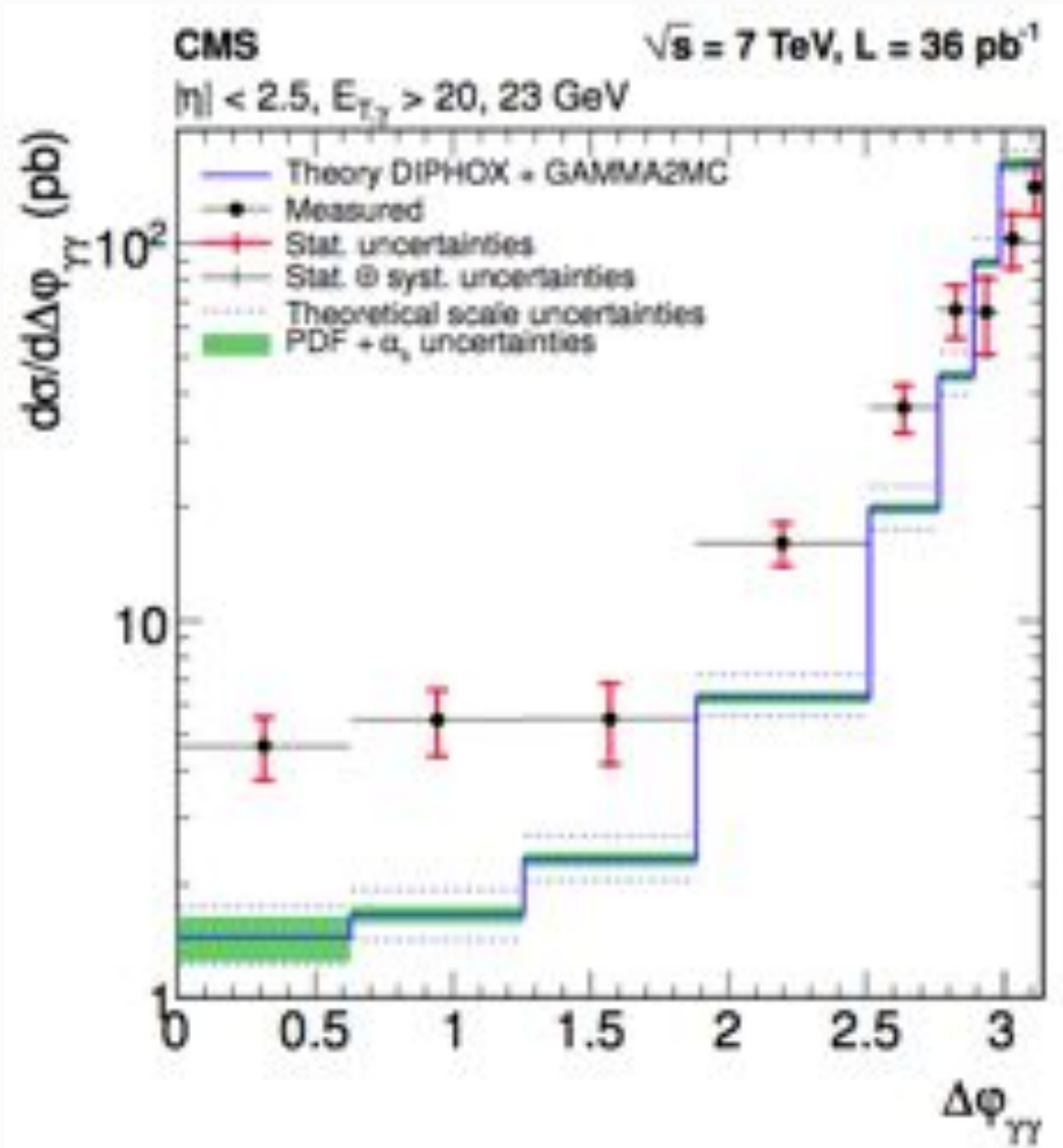


- ◆ **Probing pQCD**
  - NLO calculations
  - PDF

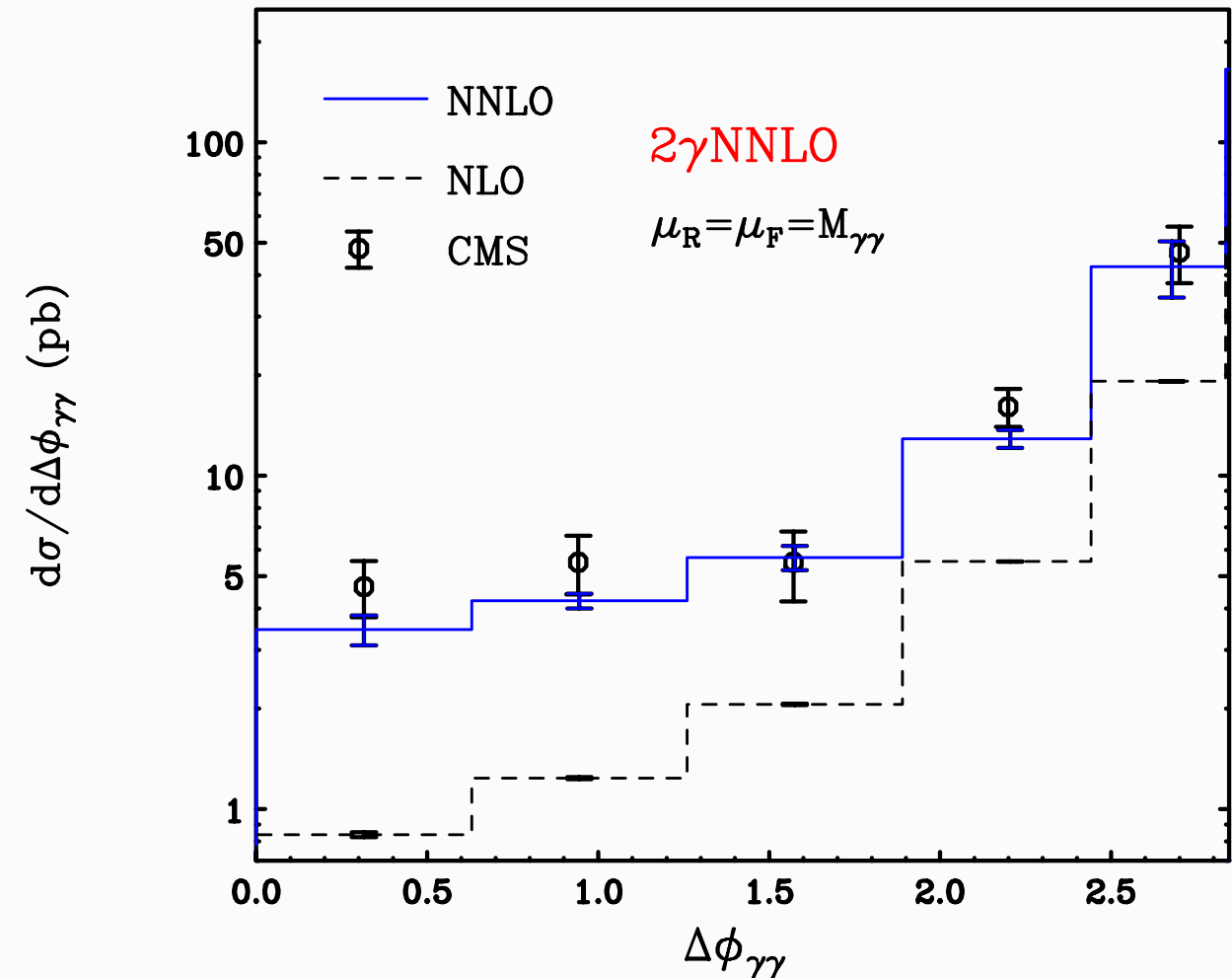
- ◆ **Irreducible background for the  $H \rightarrow \gamma\gamma$  search**



JHEP 01 (2012) 083



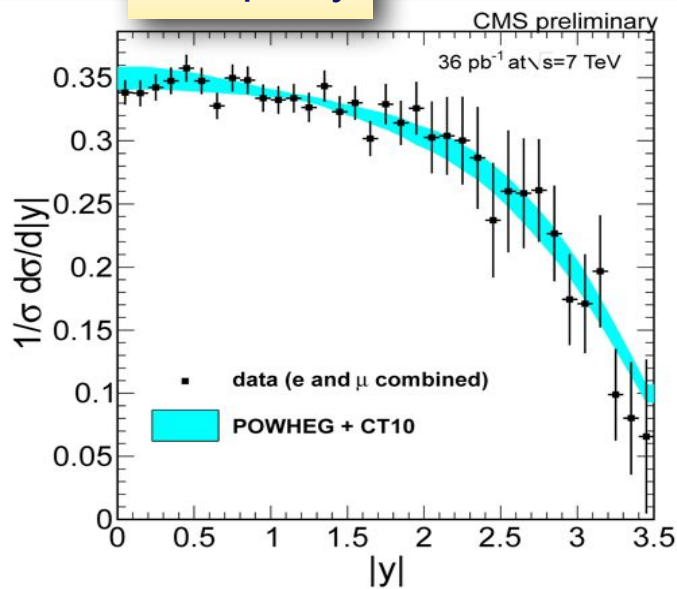
from D. de Florian, M. Grazzini, et al



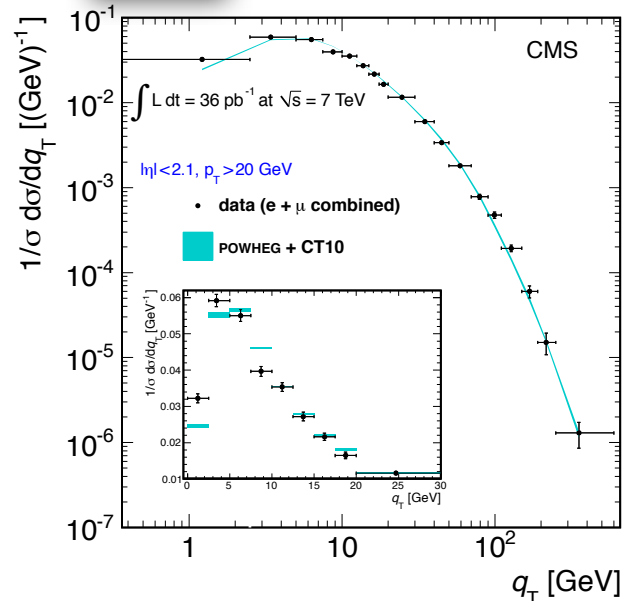
### Big discrepancy at small angles???

- But note: at very small angles, the NLO calculation is actually a “LO” calculation
- confirmed by very recent NNLO calculation (see plot on the right)

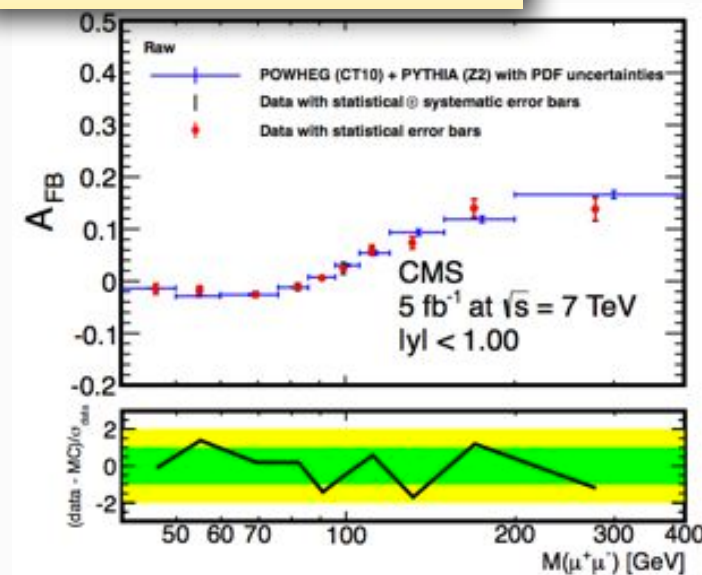
Z rapidity



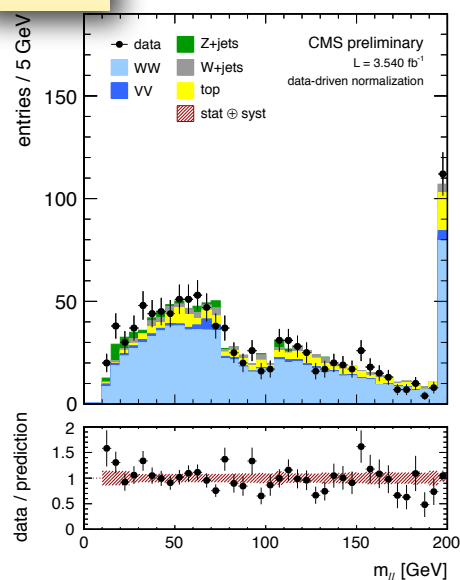
Z p<sub>T</sub>



DY fwd-bkw asymmetry

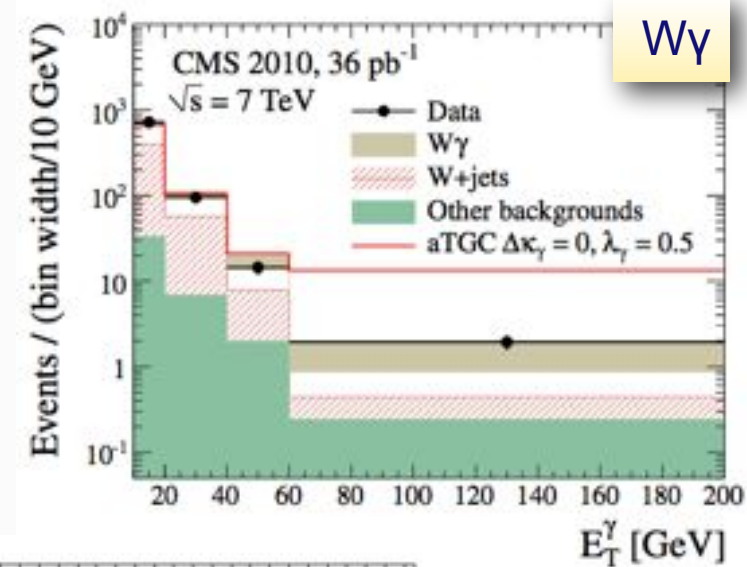


WW

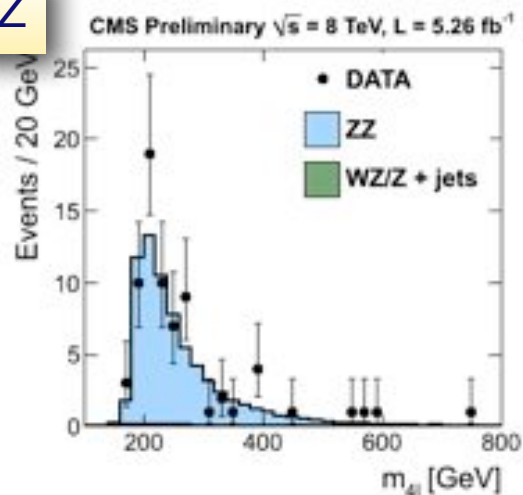


# Production of Vector Bosons

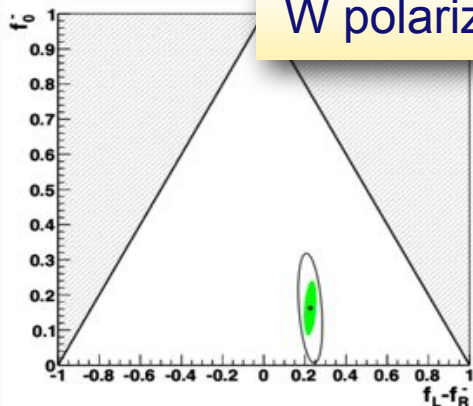
Wγ



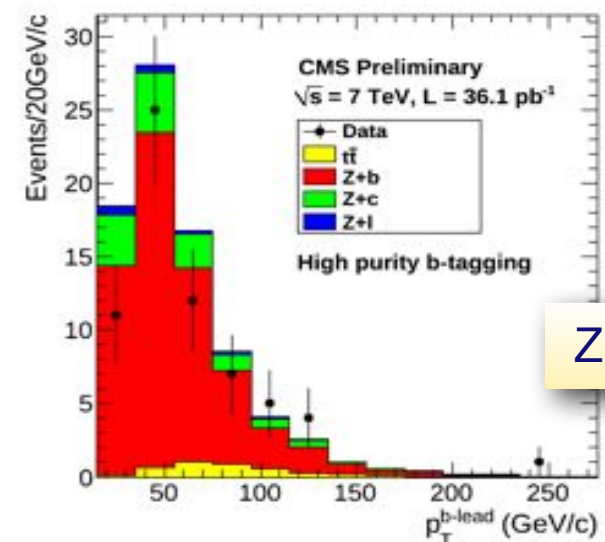
ZZ



W polarization



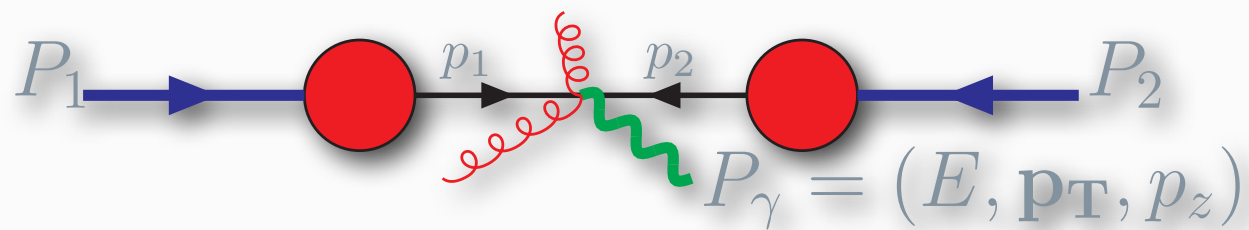
Zb





# Predictions

known up to NNLO in pert. QCD!



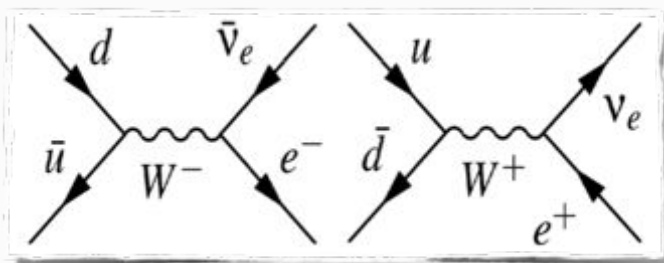
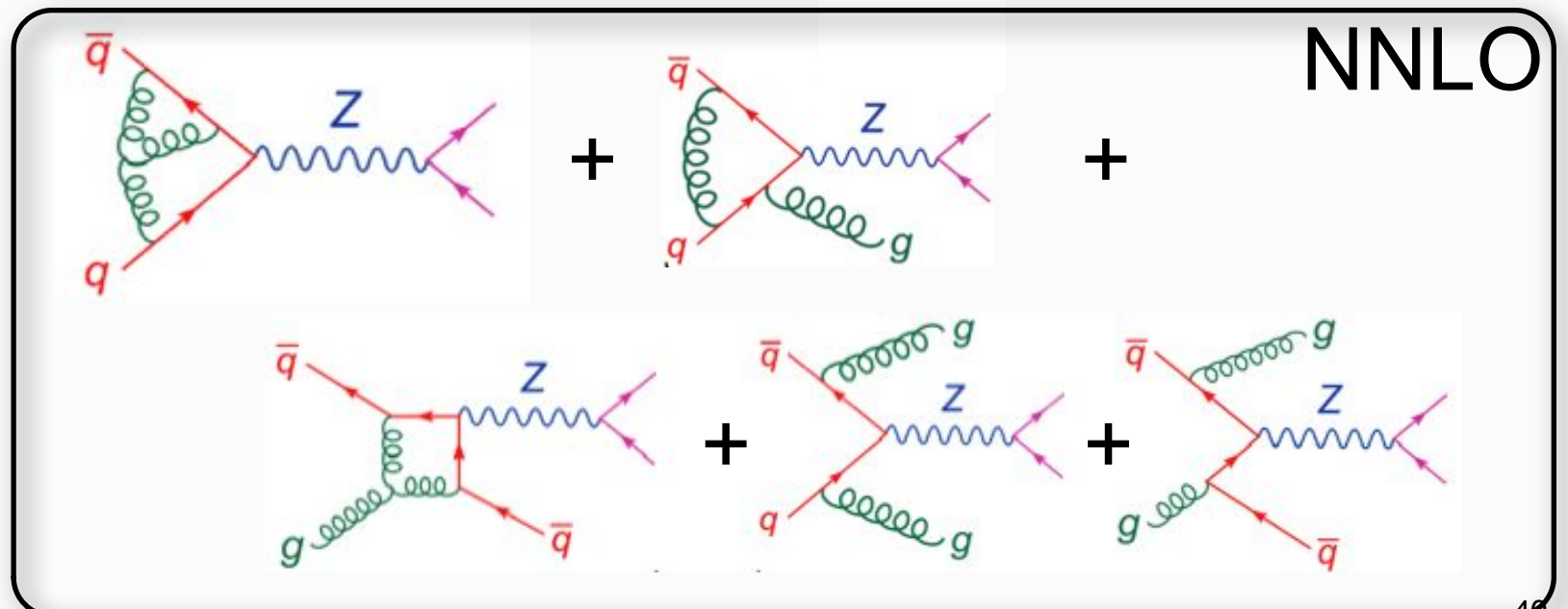
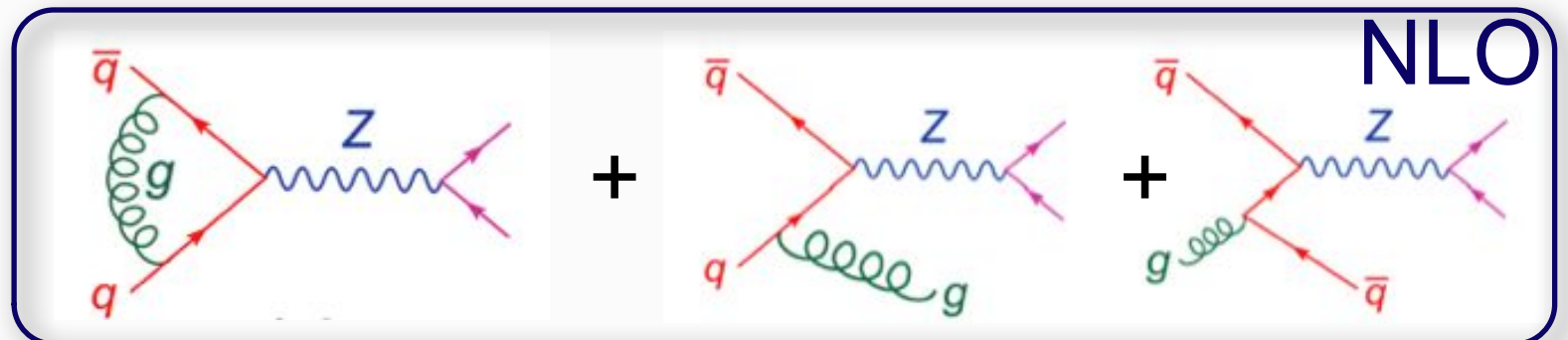
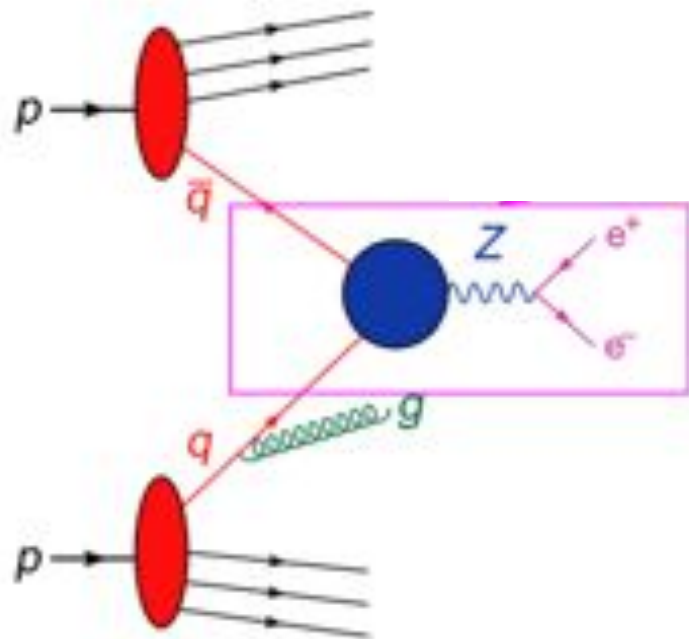
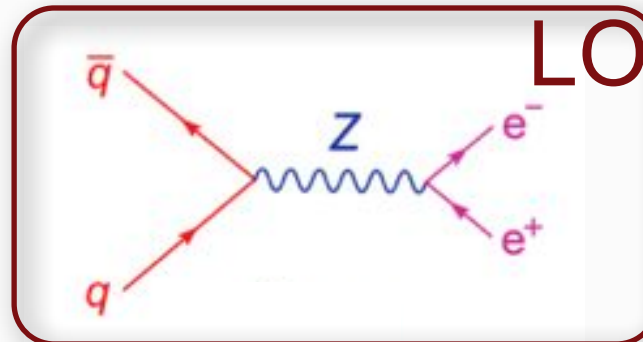
Using FEWZ and MSTW2008, at 7 TeV:

$$\sigma_{W^+ \rightarrow \ell + \nu}^{NNLO} = 6.16 \text{ nb}$$

$$\sigma_{W^- \rightarrow \ell - \bar{\nu}}^{NNLO} = 4.30 \text{ nb}$$

$$\sigma_{Z/\gamma^* \rightarrow \ell\ell}^{NNLO} = 0.96 \text{ nb}$$

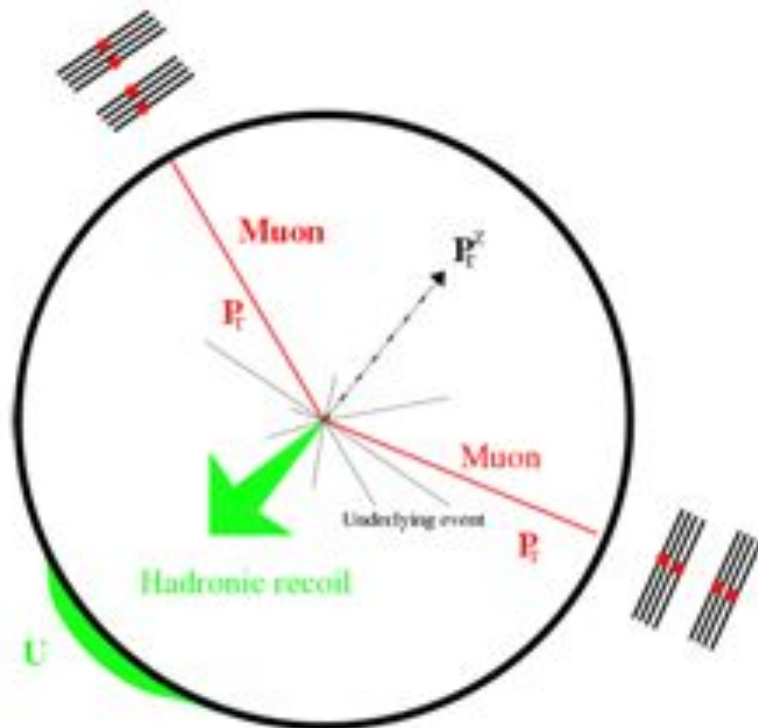
with very small scale uncertainties ( $< 1\%$ )  
and PDF uncertainties of  $O(5\%)$



Produce more  $W^+$  than  $W^-$  (prevalence of u quarks over d quarks).

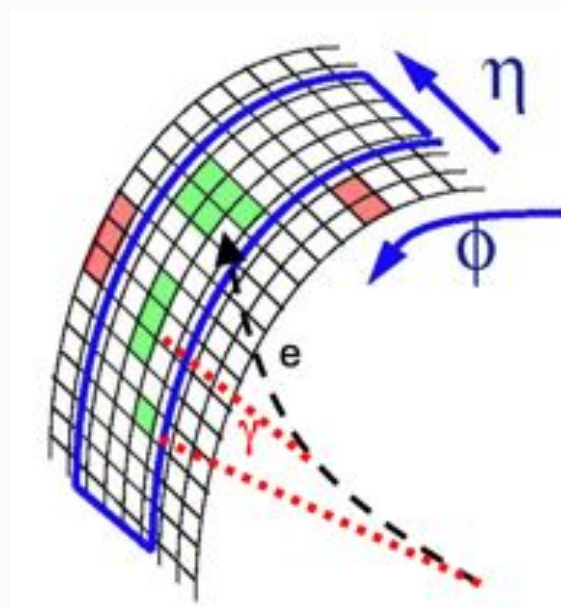


# Experimental signature



Z: pair of charged leptons

- high- $p_T$  ( $> 20$  GeV)
- isolated
- opposite charge
- $\sim 60 < m_{ll} < \sim 120$  GeV



Example: electron reconstruction

- isolated cluster in EM calorimeter
- $p_T > 20$  GeV
- shower shape consistent with expectation from electrons
- matching charged track



W: single charged leptons

- high- $p_T$  ( $> 20$  GeV)
- isolated
- $E_{T,miss}$  (from neutrino)

transverse mass: 
$$M_W^T = \sqrt{2 \cdot P_T^l \cdot P_T^\nu \cdot (1 - \cos \Delta\phi^{l,\nu})}$$

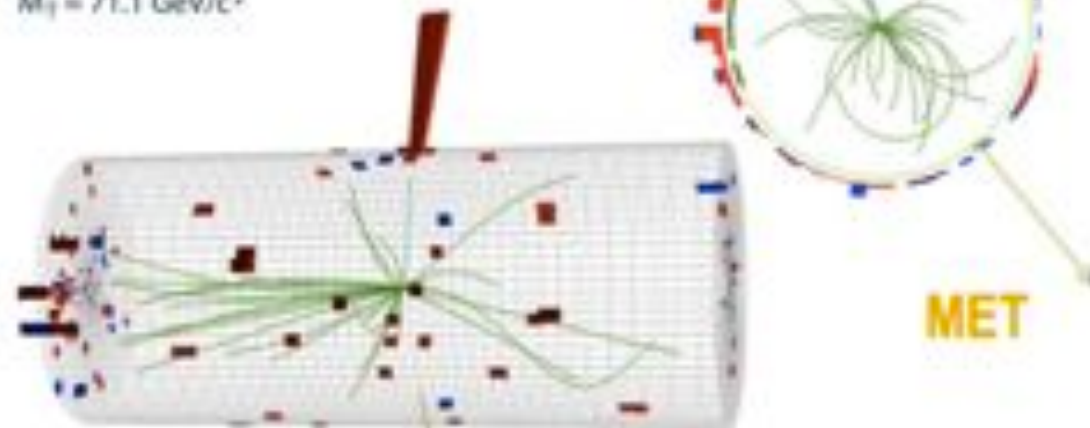


# Signatures



CMS Experiment at LHC, CERN  
Run 133874, Event 21466935  
Lumi section: 301  
Sat Apr 24 2010, 05:19:21 CEST

Electron  $p_T = 35.6$  GeV/c  
 $ME_T = 36.9$  GeV  
 $M_T = 71.1$  GeV/c<sup>2</sup>

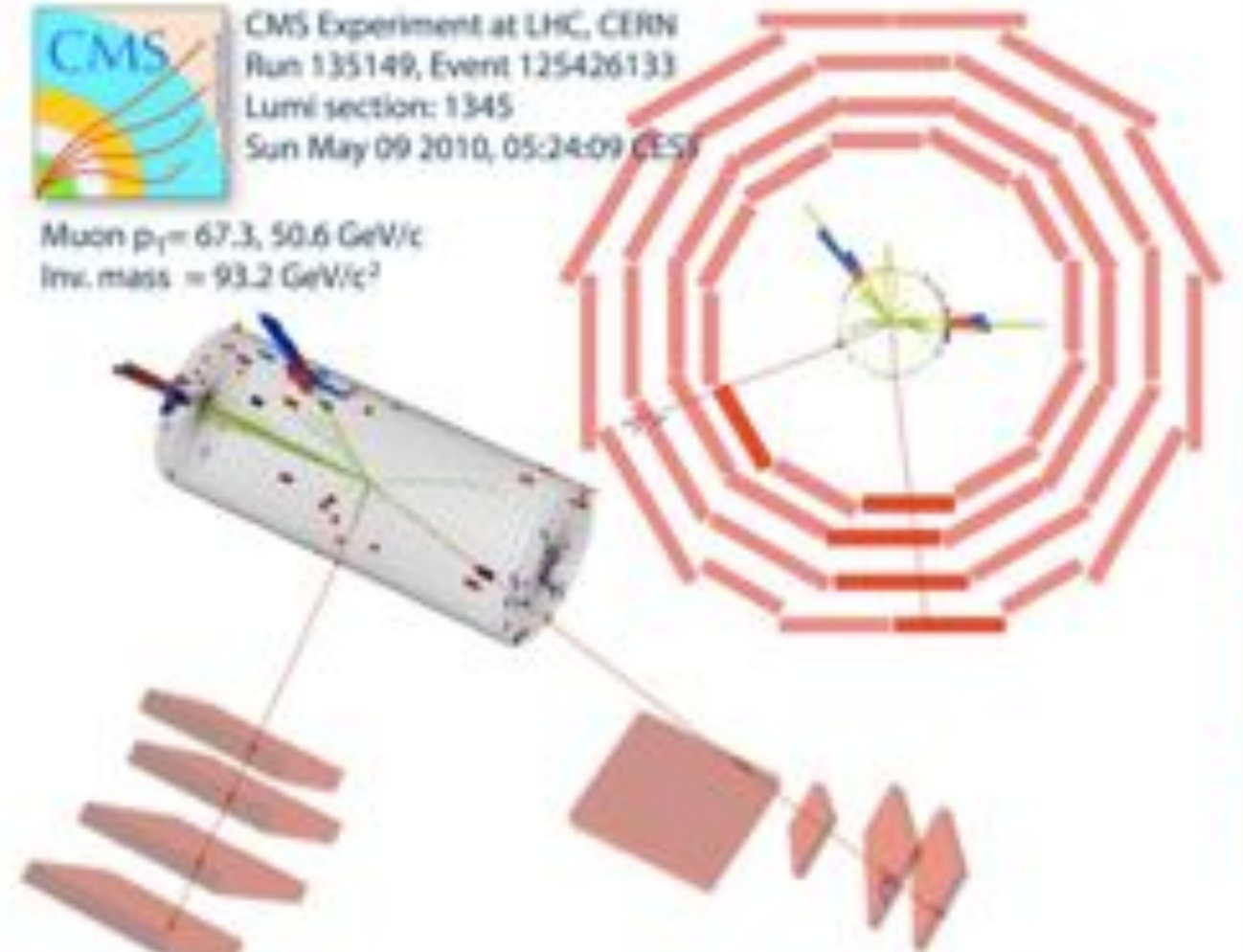


**W → eν candidate**



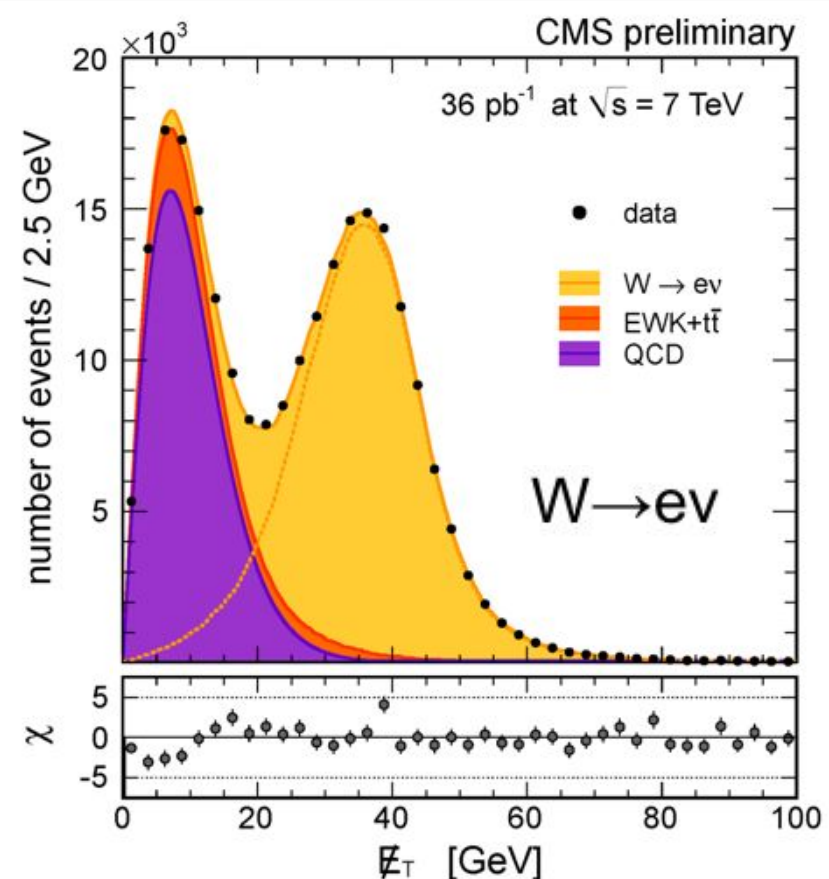
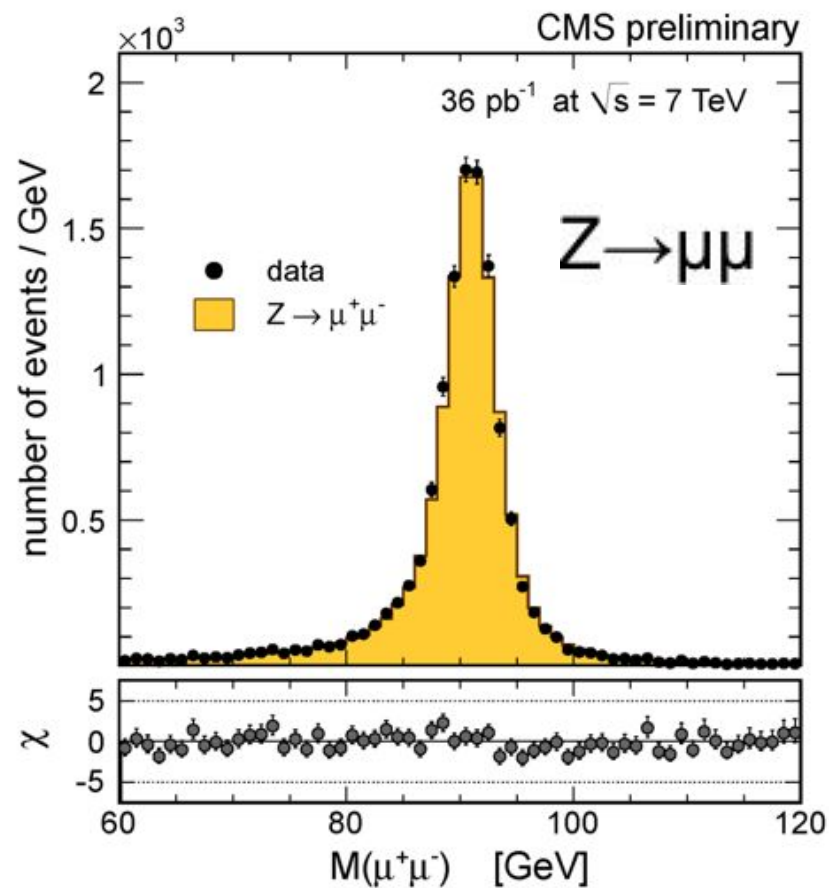
CMS Experiment at LHC, CERN  
Run 135149, Event 125426133  
Lumi section: 1345  
Sun May 09 2010, 05:24:09 CEST

Muon  $p_T = 67.3, 50.6$  GeV/c  
Inv. mass =  $93.2$  GeV/c<sup>2</sup>

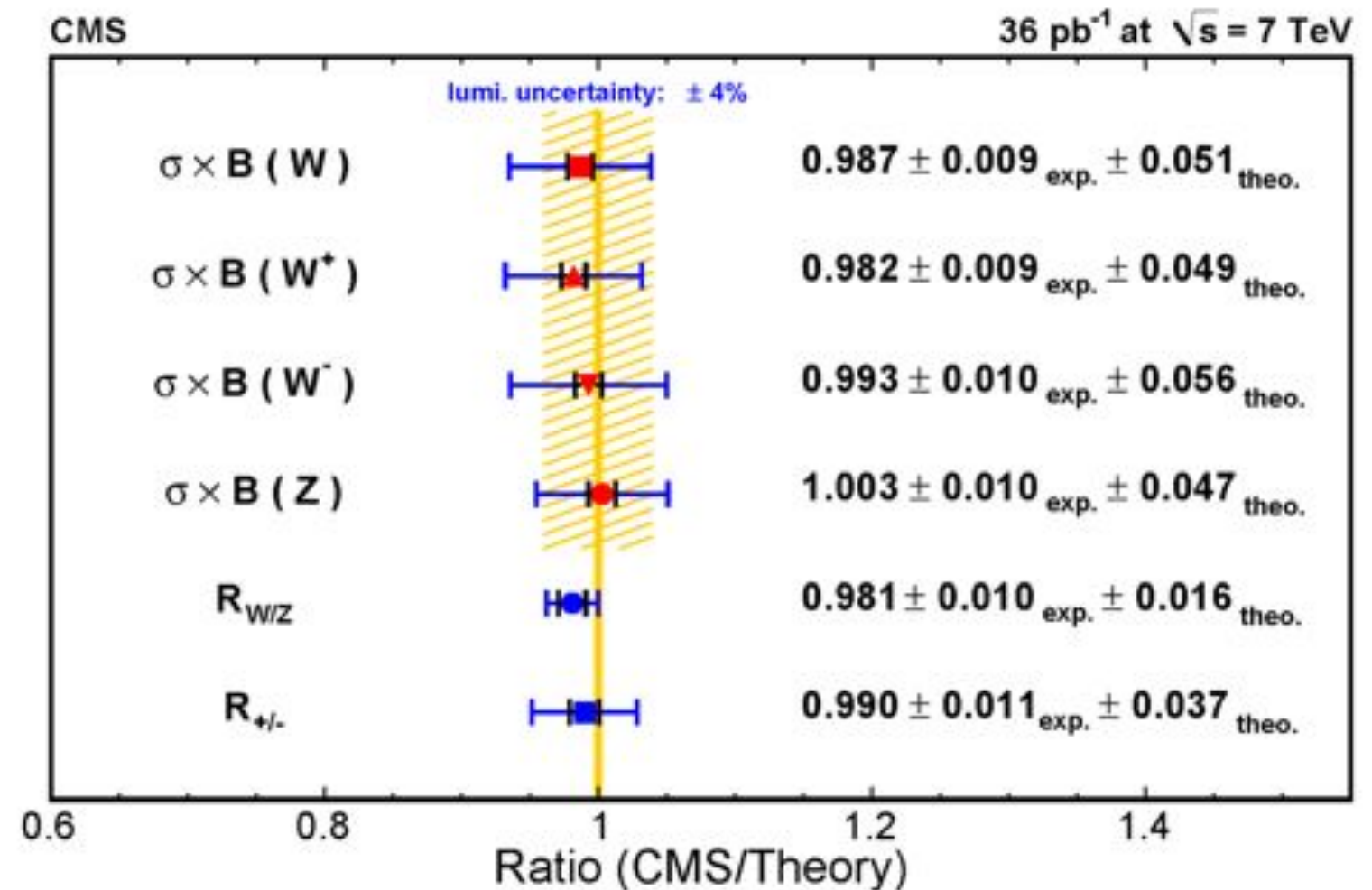


**Z → μμ candidate**

# Inclusive W and Z production



- Z important tool : data-driven methods for controlling lepton eff, scale, resolution,  $E_{T\text{miss}}$  (hadronic recoil).
- In general excellent data-MC agreement

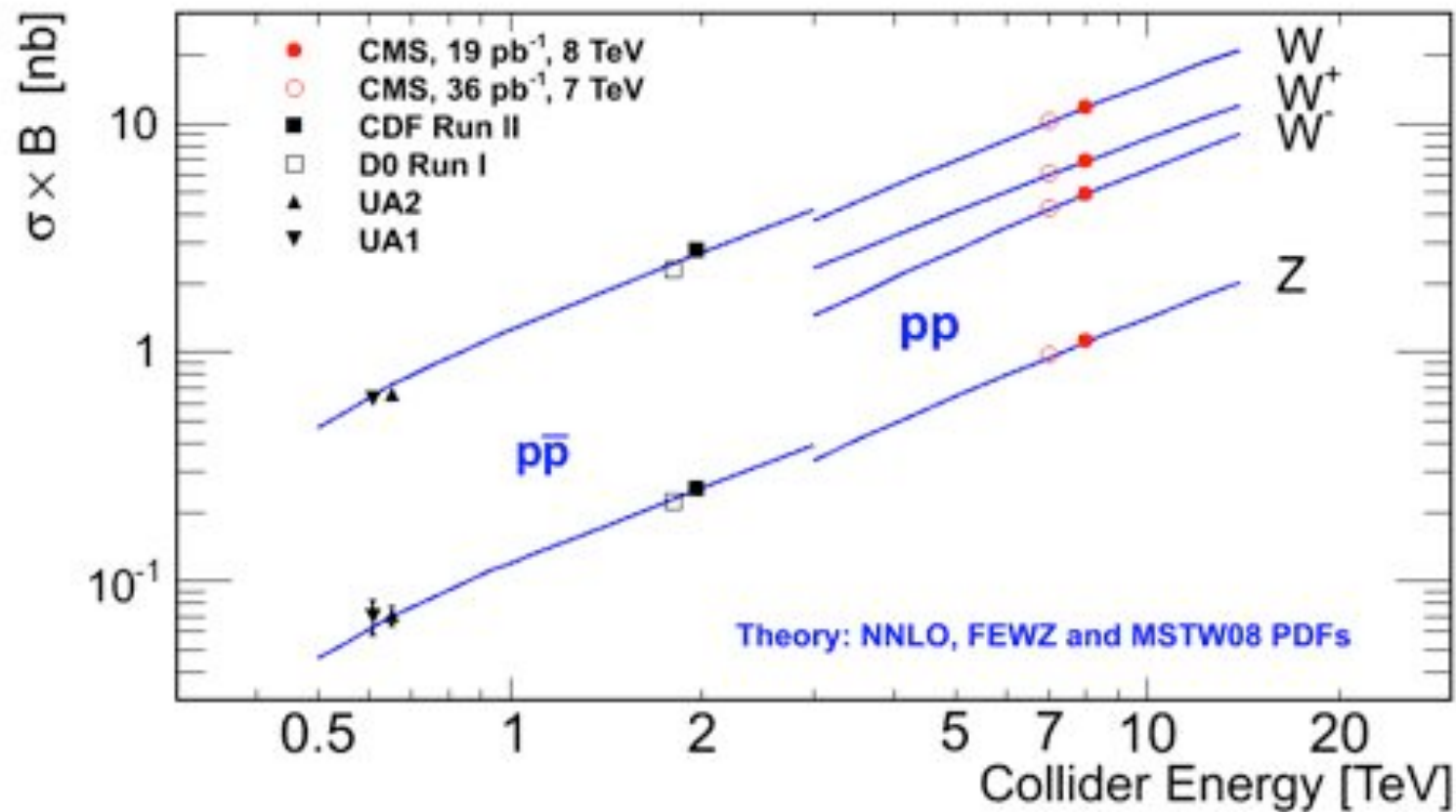


Amazing precision reached (  $\sim 1\%$  experimental ! )  
Start to put important constraints on theory (NNLO, PDFs)

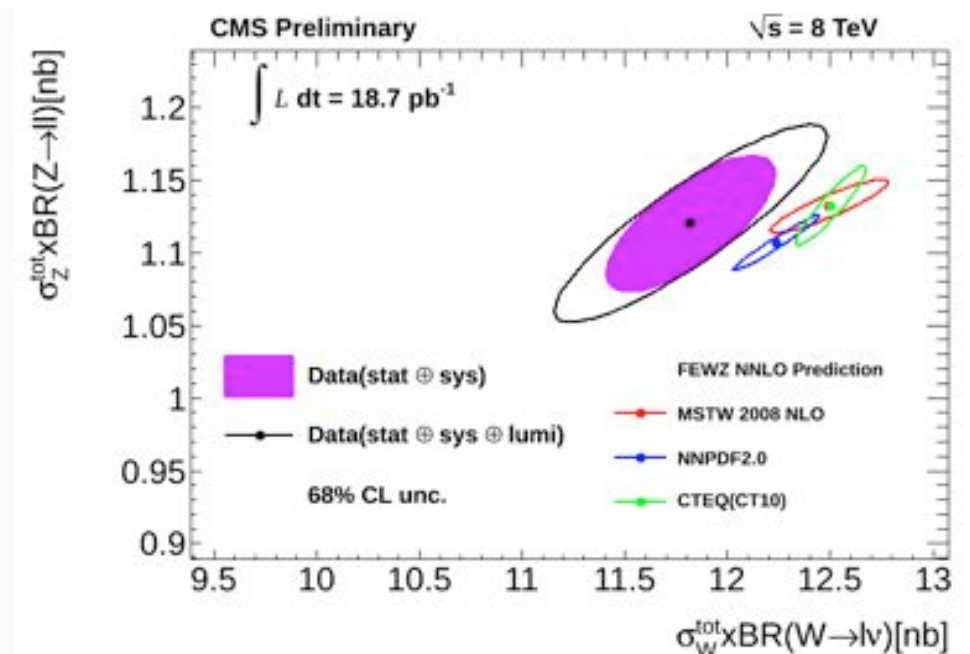


# Inclusive W and Z production

- Very recently: also first results at 8 TeV, using special low pile-up runs

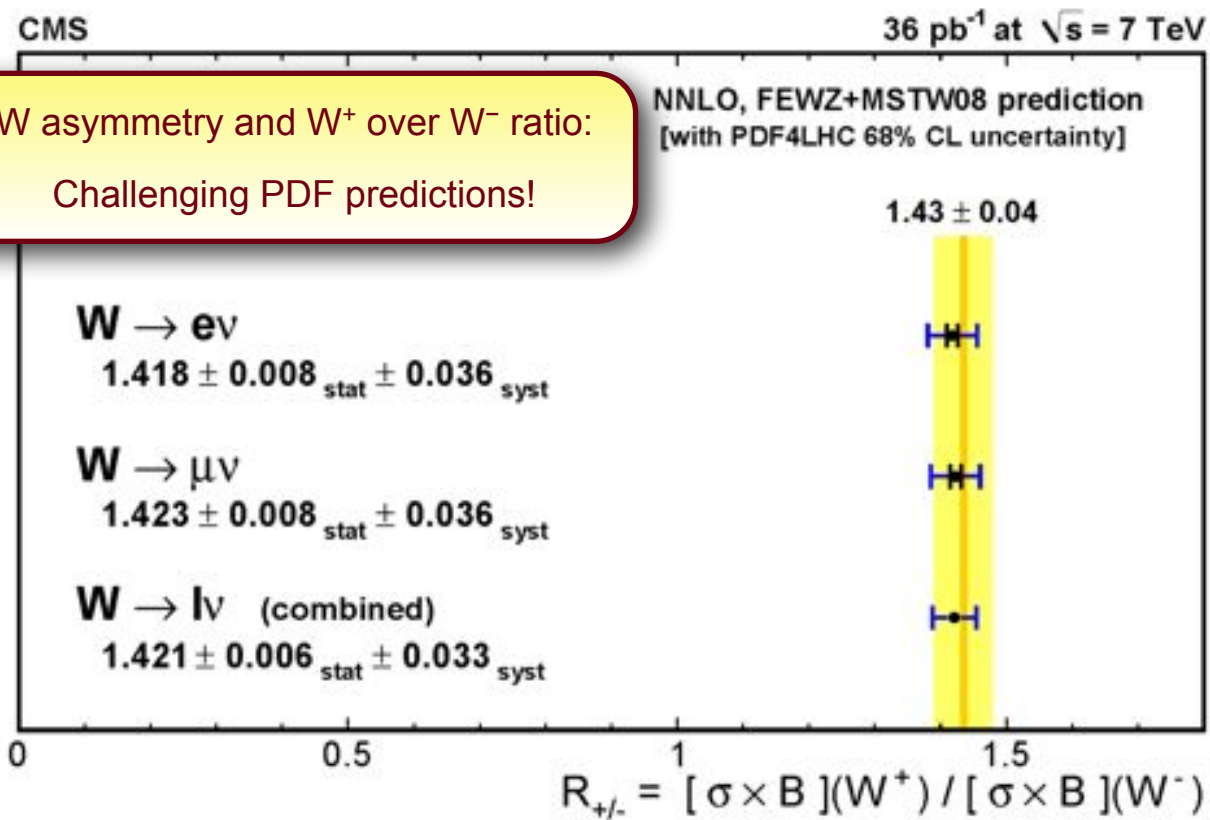


CMS PAS-SMP-12-011

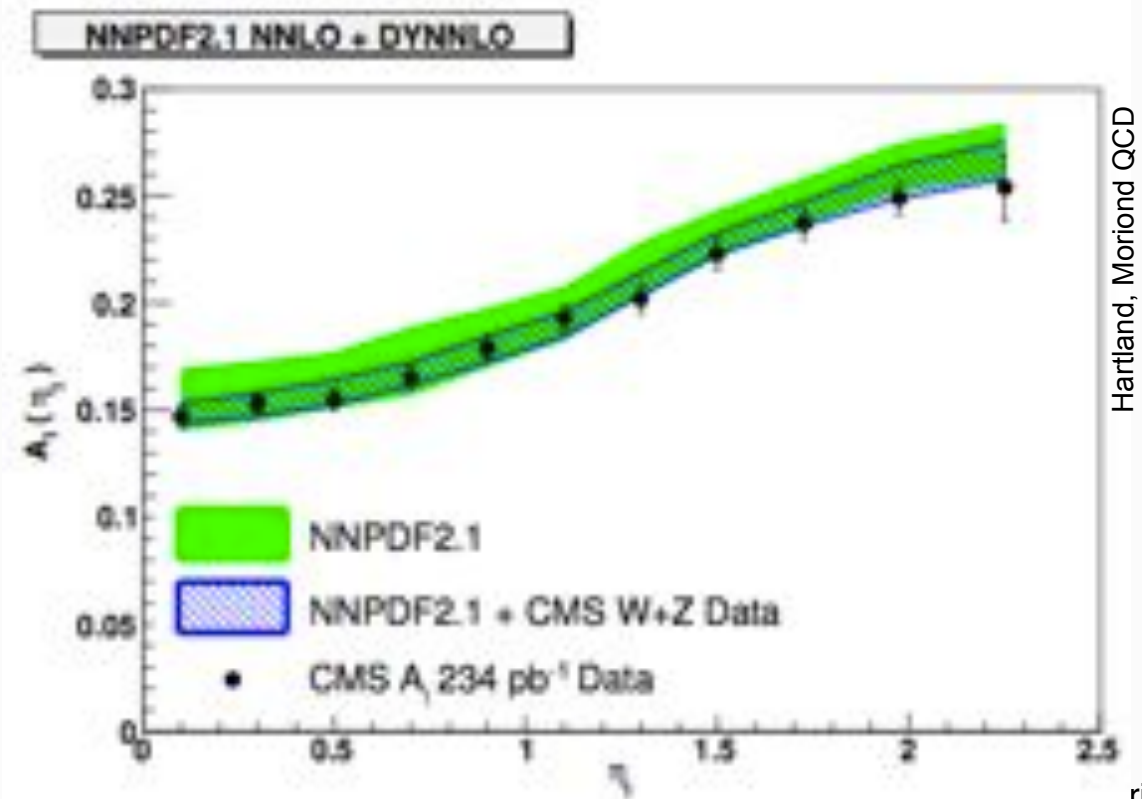
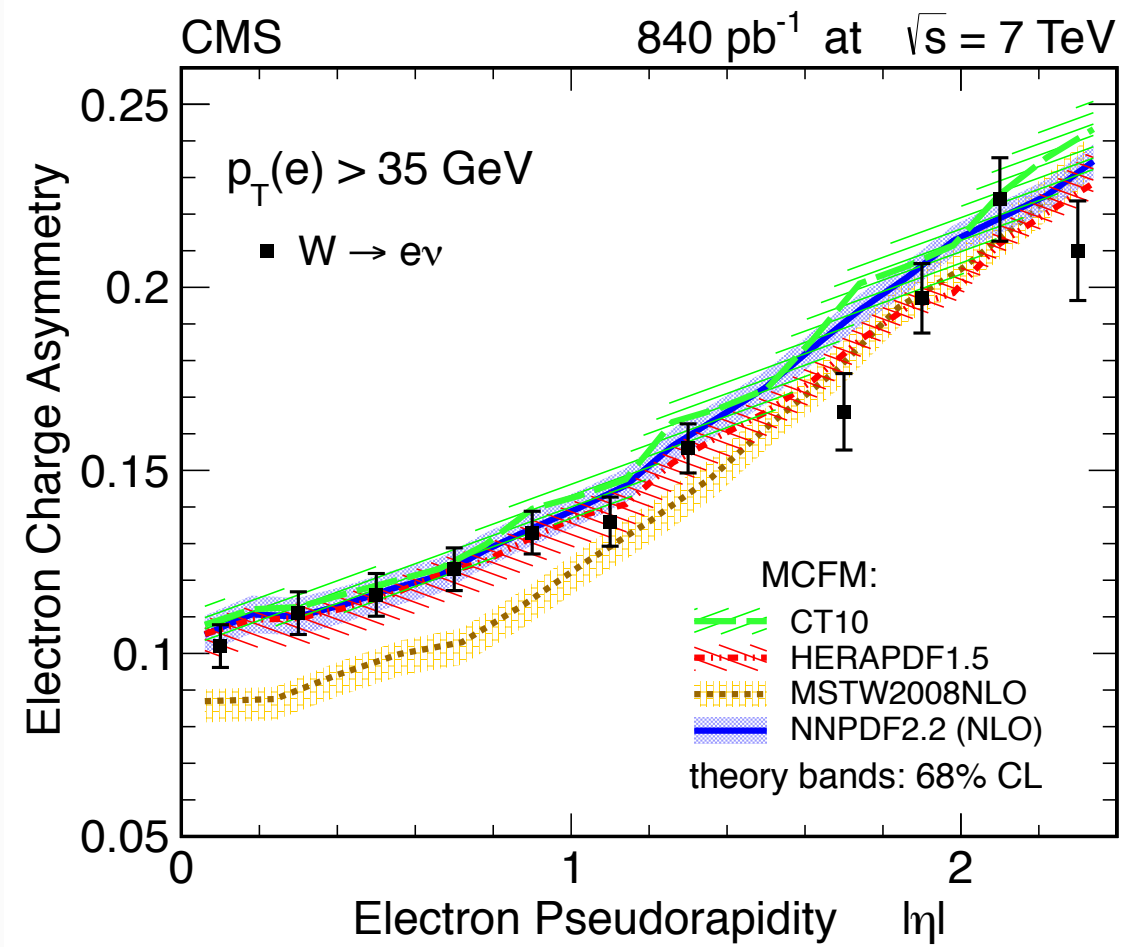


# W properties, constraining PDFs

W asymmetry and W<sup>+</sup> over W<sup>-</sup> ratio:  
Challenging PDF predictions!



$$A(\eta) = \frac{d\sigma/d\eta(W^+ \rightarrow e^+\nu) - d\sigma/d\eta(W^- \rightarrow e^-\bar{\nu})}{d\sigma/d\eta(W^+ \rightarrow e^+\nu) + d\sigma/d\eta(W^- \rightarrow e^-\bar{\nu})}$$



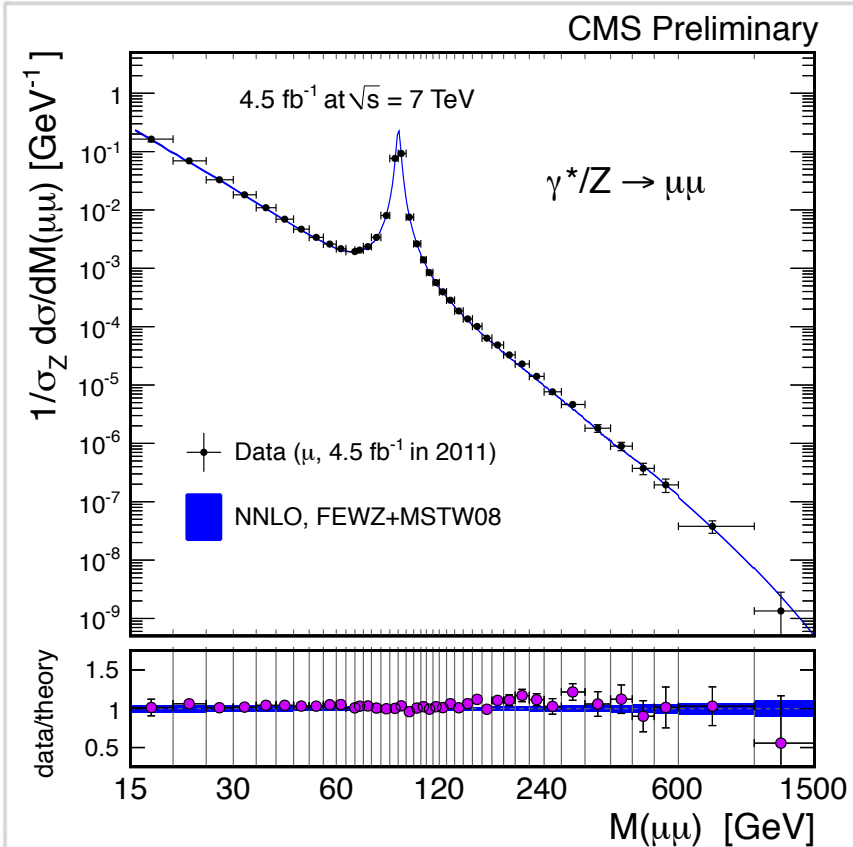
- First results seen from NNPDF group when including such data

- looking forward to further global fits based on LHC data.....



# Many differential measurements...

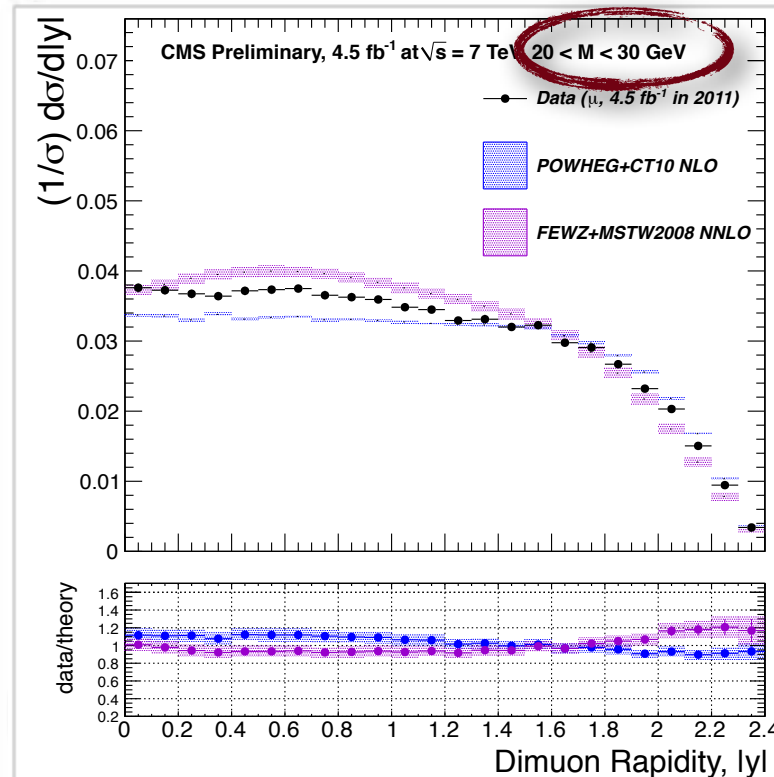
## di-lepton invariant mass (DY)



CMS-PAS-EWK-11-007

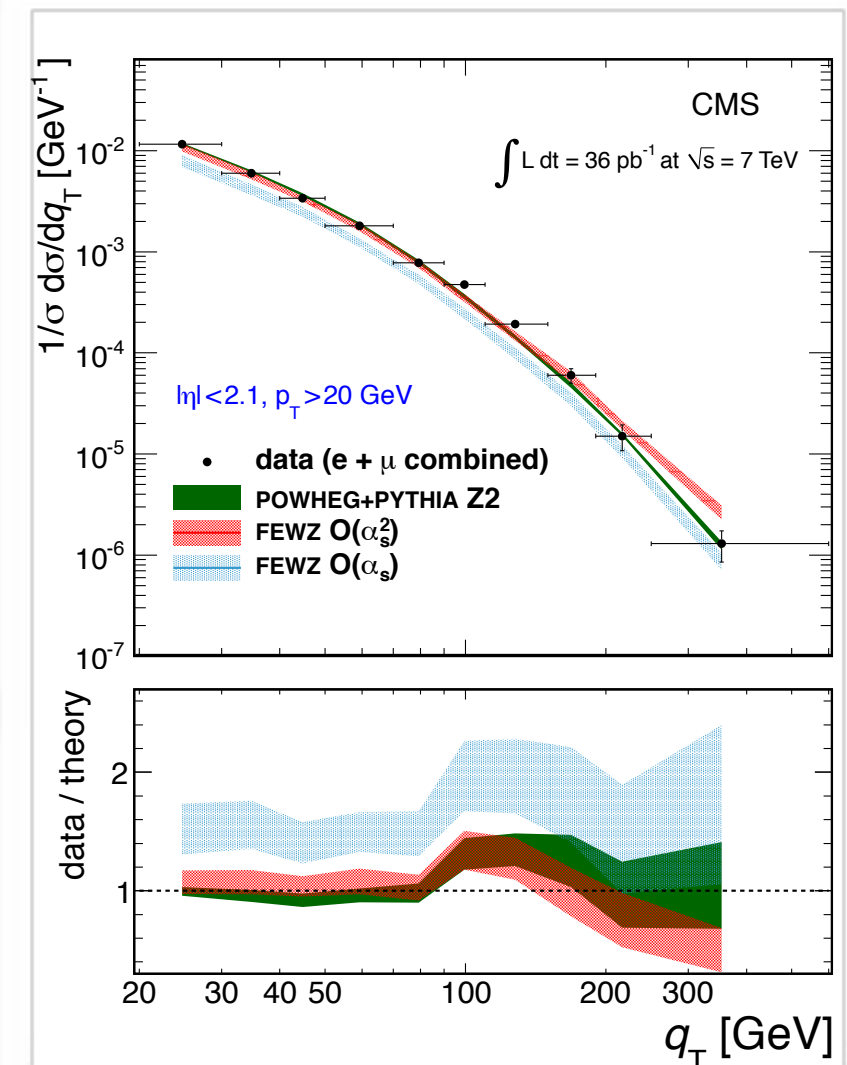
2.5M di-muon pairs,  
test of NNLO predictions  
and PDFs

## Di-muon rapidity



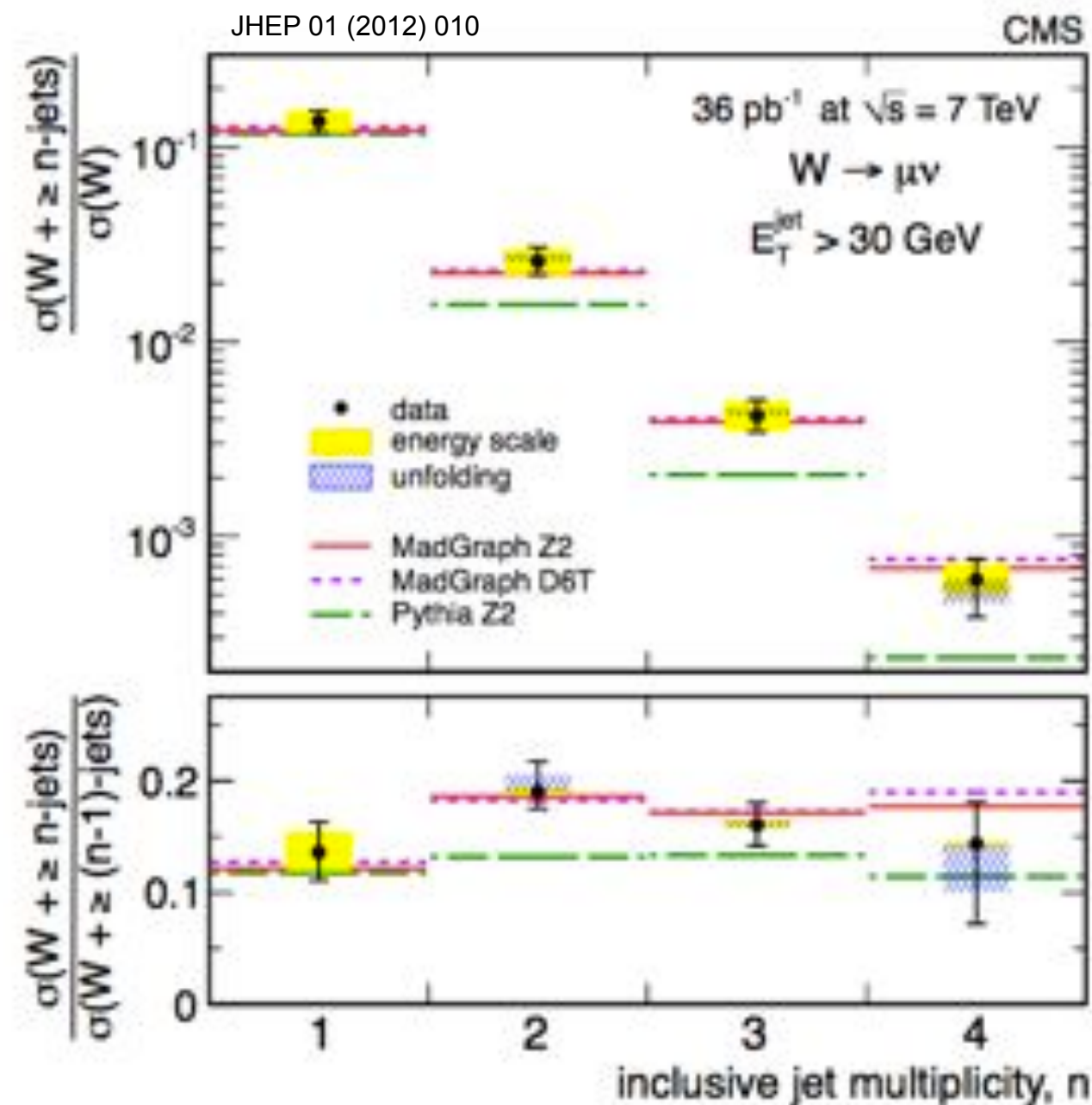
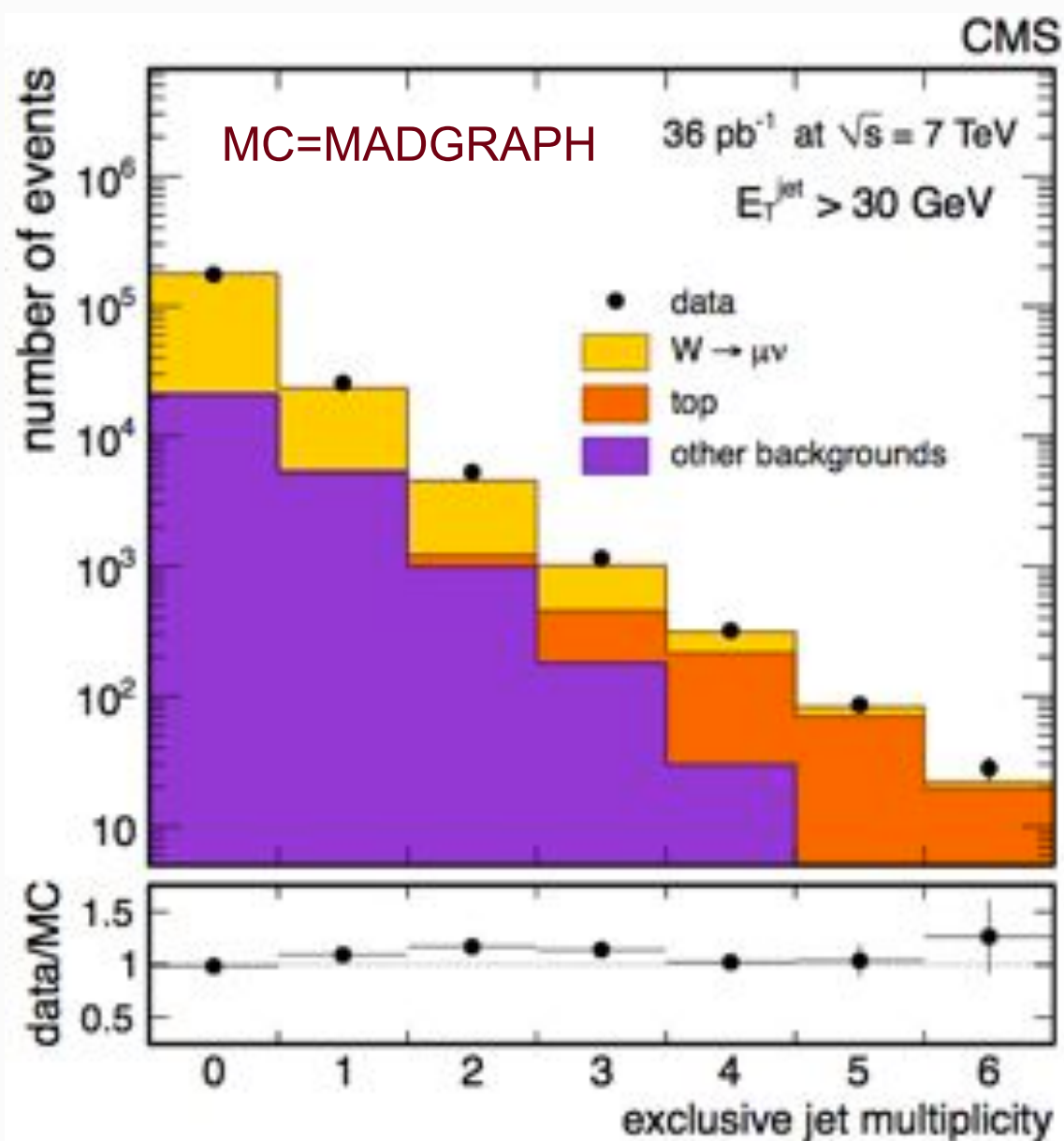
good agreement  
seen at the Z peak

## Z transverse momentum





# Vector Bosons + jets



- **important** processes for testing pQCD and backgrounds to very many searches!
- **in CMS, for W+jets: simultaneous** extraction of W signal and top background
- final distributions: **unfolded to particle level**
- presented for experimental lepton and jet acceptance, eg.  $p_{T\text{jet}} > 30$  GeV

An additional jets “costs”  $\sim 1$   $\alpha_s$   
 Excellent agreement with ME+PS matched Monte Carlo model.

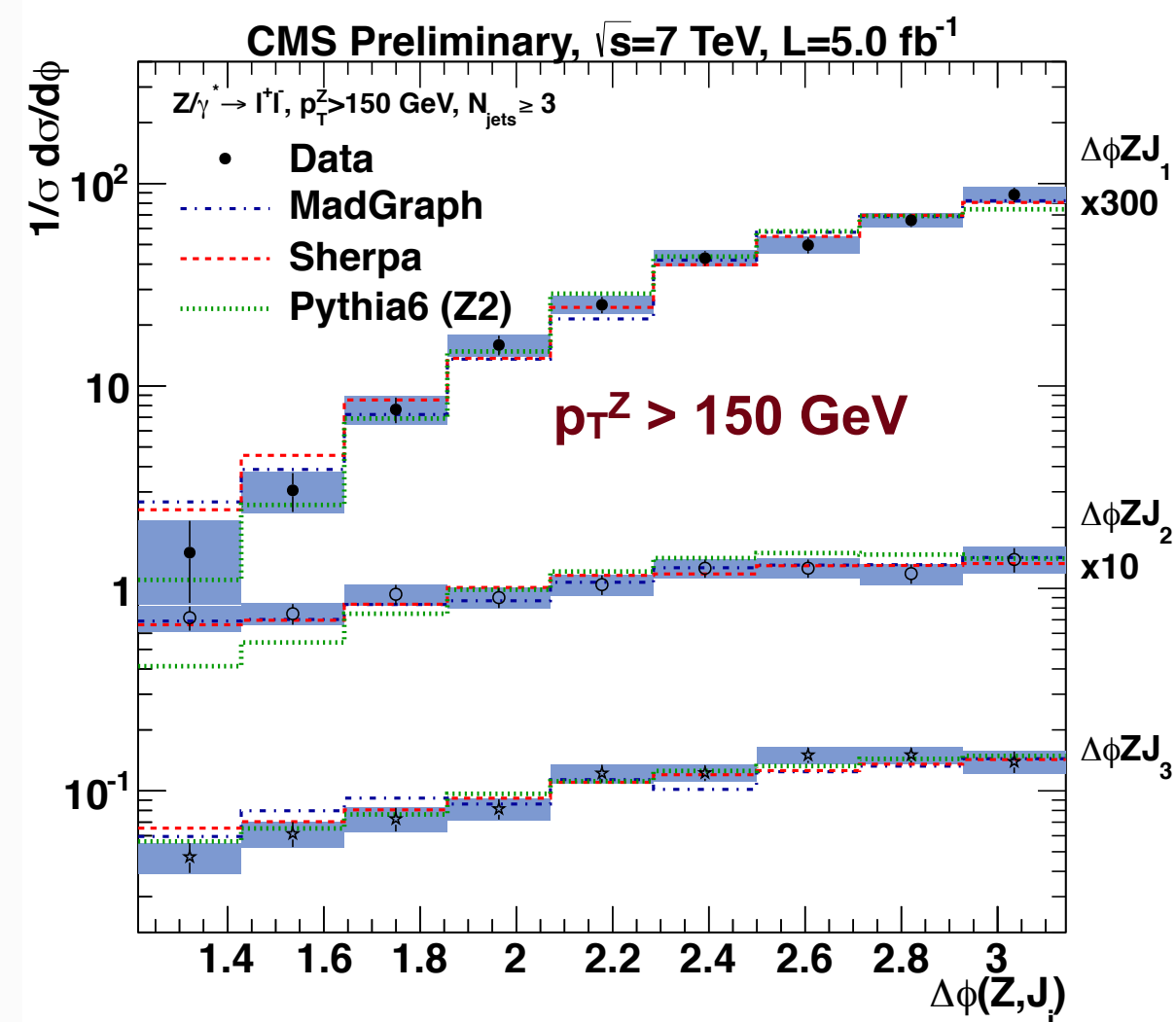
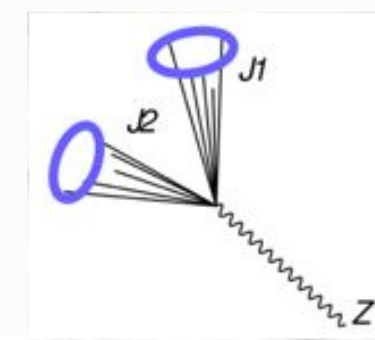
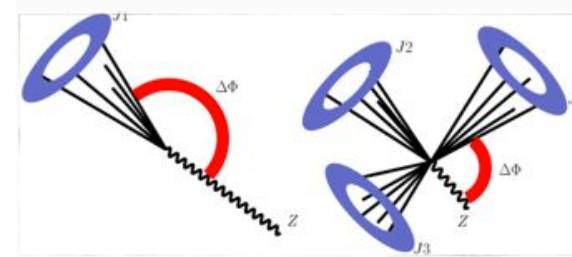
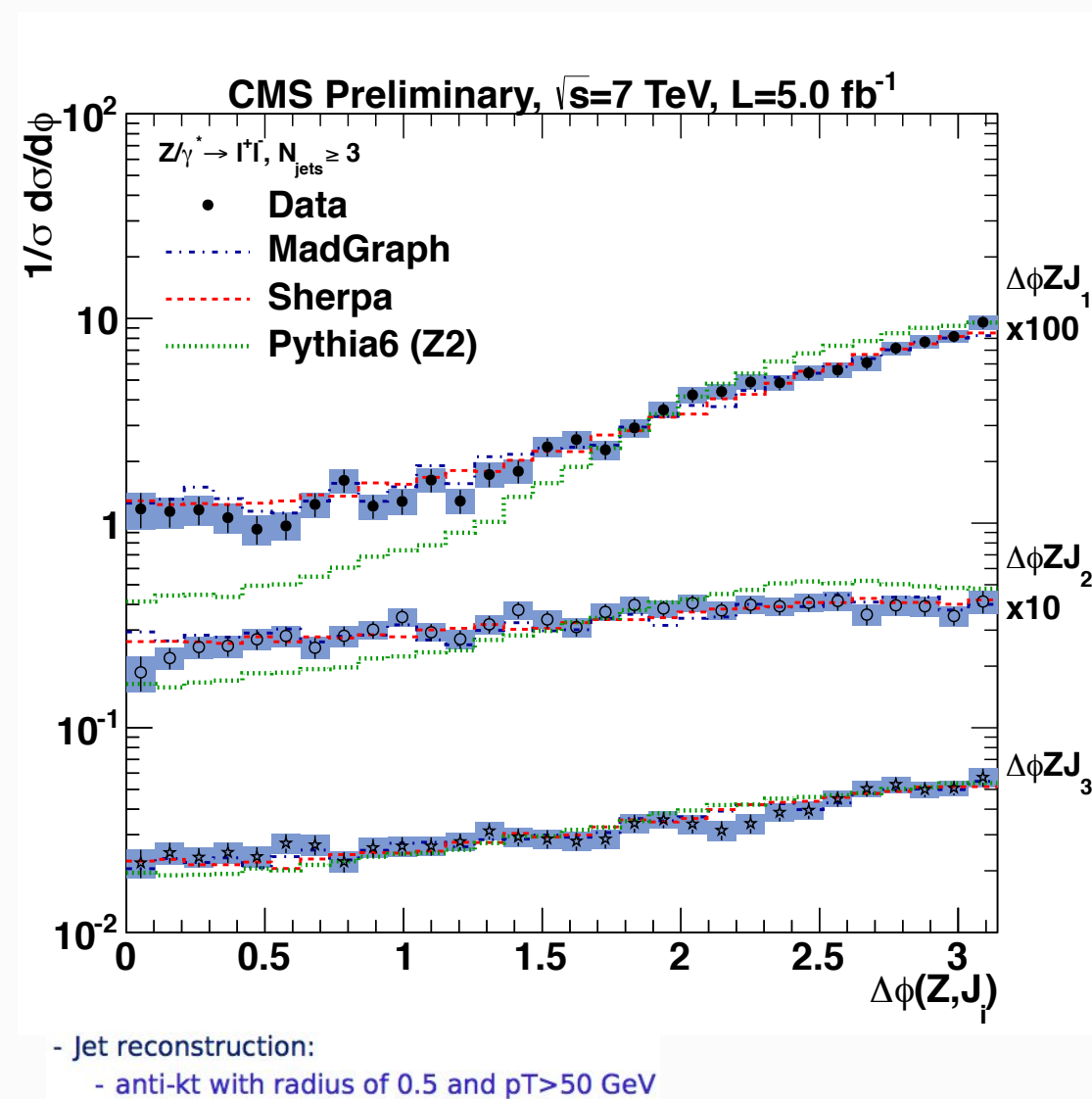




# Z+jets: more differential

CMS-PAS-EWK-11-021

- **New:** high-stat. measurements of Z+jets production, in particular testing angular correlations and event shapes,
- for different regions of phase space (in  $p_T^Z$ ): useful for testing phase space relevant for searches

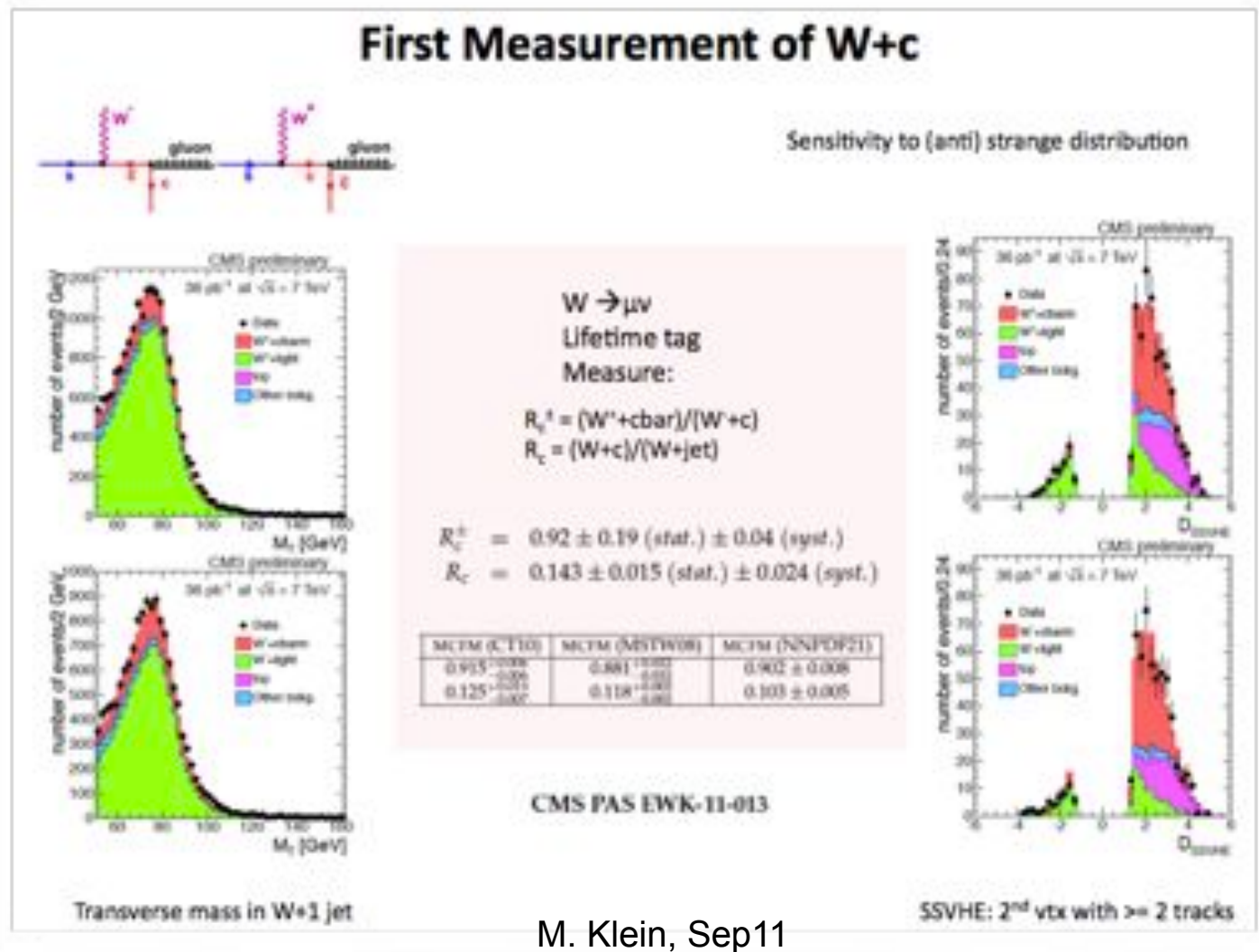


Again: a success for ME+PS matched Monte Carlo models!

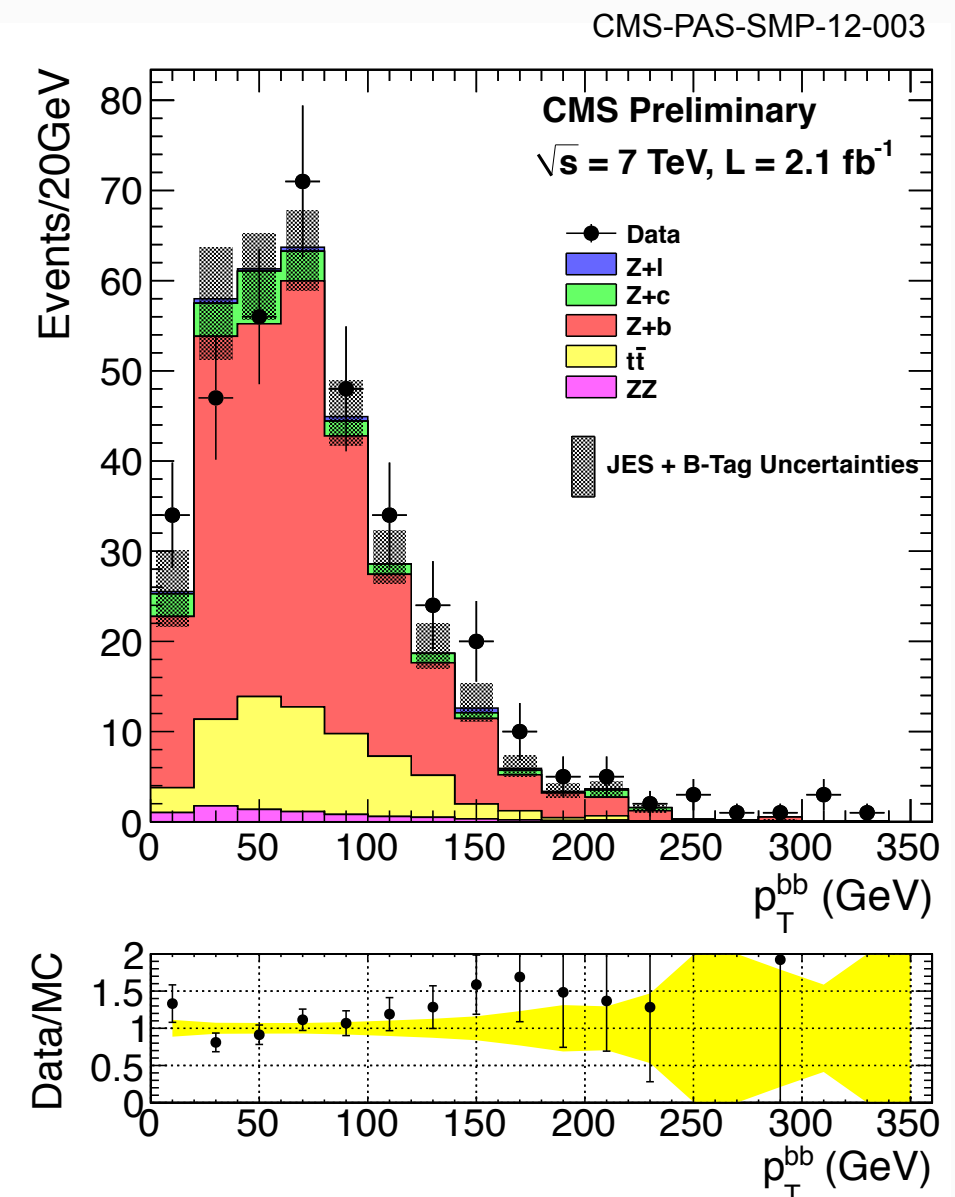
Note: for subleading jets and boosted regime a simple PS does pretty well



# V plus heavy flavour



Z+ bb-jets prod.

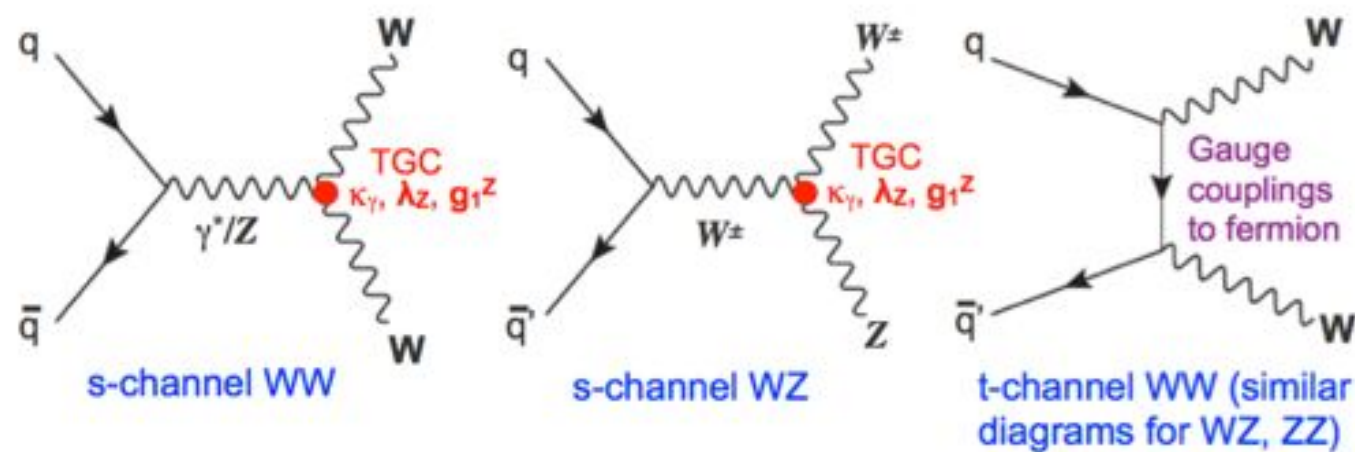


W+c and Z+b most relevant to constrain PDFs, relevant for later high-precision W mass measurement.

So far, no major discrepancies seen compared to NLO (in contrast to W+b prod....)



# Di-Boson Production

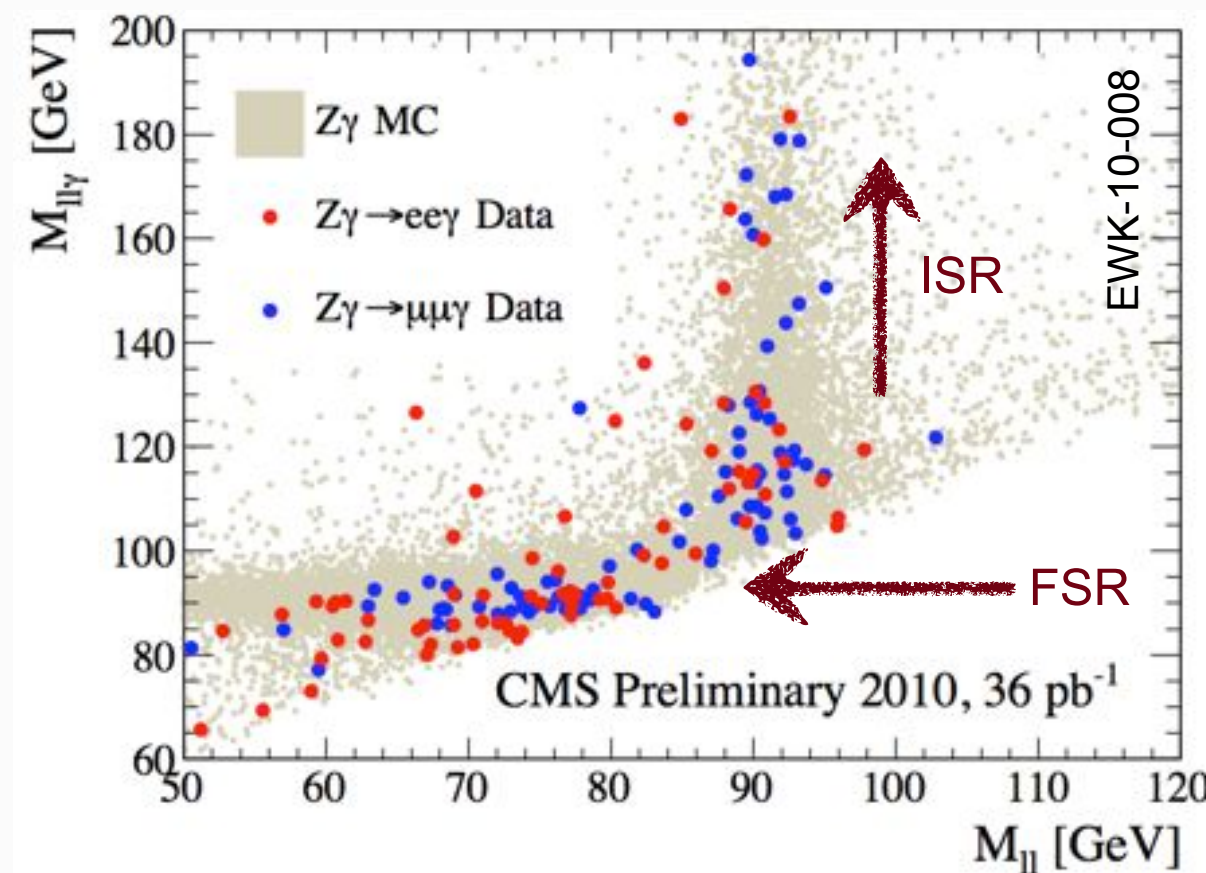


- **Critical test of the gauge structure of the SM**
  - Allows to search for anomalous Triple Gauge Couplings (TGC)
- **Mandatory preliminary study for Higgs searches:** irreducible background for Higgs searches in WW and ZZ modes
- Probe for new physics
  - Resonances with diboson final states

• **WW and ZZ:** see next slide

•  **$W_\gamma$  and  $Z_\gamma$**

- cross sections measured for  $E_{T\gamma} > 10$  GeV and  $dR(\text{lept}, \gamma) > 0.7$
- cross sections in agreement with SM predictions
- first limits on  $WW_\gamma, ZZ_\gamma, Z\gamma\gamma$  TGC at 7 TeV

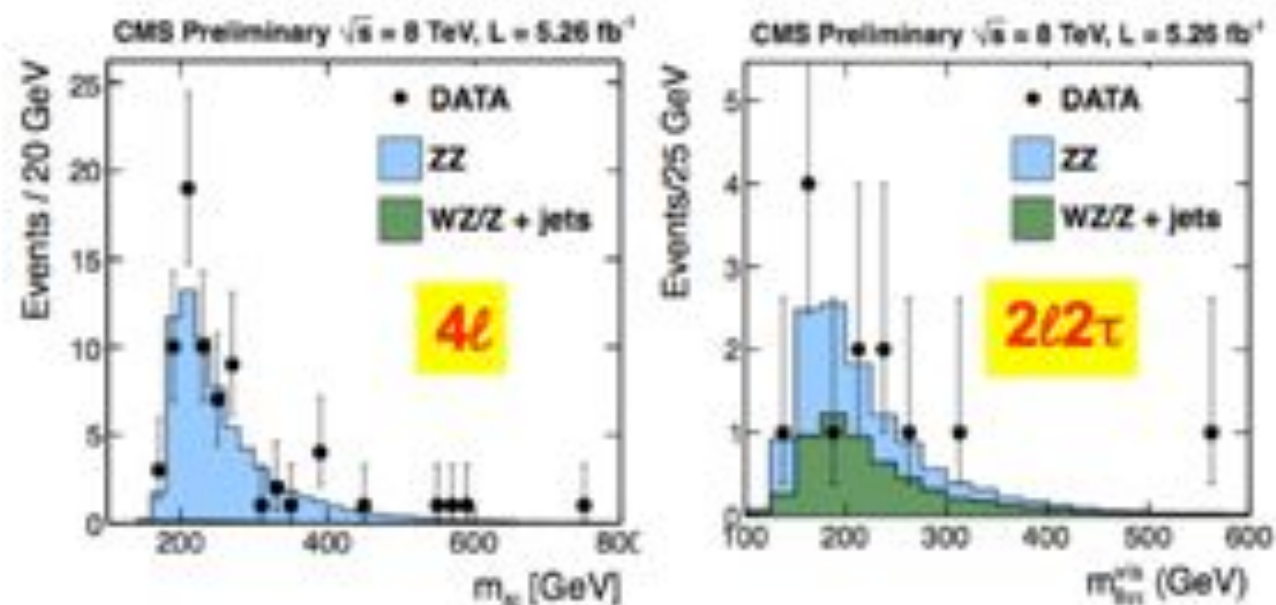




# WW and ZZ production

slide adapted from P. Meridiani

CMS-SMP-12-014

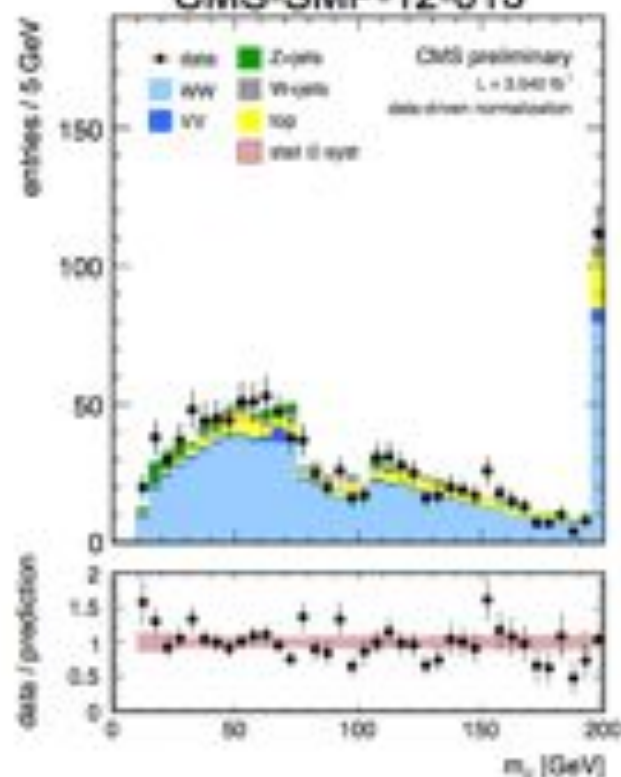

**ZZ**

$$\sigma = 8.4 \pm 1.0 \text{ (stat)} \pm 0.7 \text{ (sys)} \pm 0.4 \text{ (lum)} \text{ pb}$$

$$\text{NLO (MCFM 6.0): } 7.7 \pm 0.4 \text{ pb}$$

(NLO for  $qq \rightarrow ZZ$  and LO for  $gg \rightarrow ZZ$ , MSTW 2008 PDF)

CMS-SMP-12-013



**WW**: 2 opposite sign leptons (e,  $\mu$ ) + MET + jet veto  
All backgrounds are estimated from data

$$\sigma = 69.9 \pm 2.8 \text{ (stat)} \pm 5.6 \text{ (sys)} \pm 3.1 \text{ (lum)} \text{ pb}$$

NLO prediction:

$$\sigma^{\text{NLO}}(gg \rightarrow W^+W^- + qq \rightarrow W^+W^-) = 57.25 \text{ }^{(+2.35)}_{(-1.60)} \text{ pb}$$

 Campbell, Ellis, Williams.  
JHEP 07 (2011), 018.  
arXiv:1105.0020, MCFM.

Also at 7 TeV seen: slightly larger WW xsec measured than predicted by NLO QCD

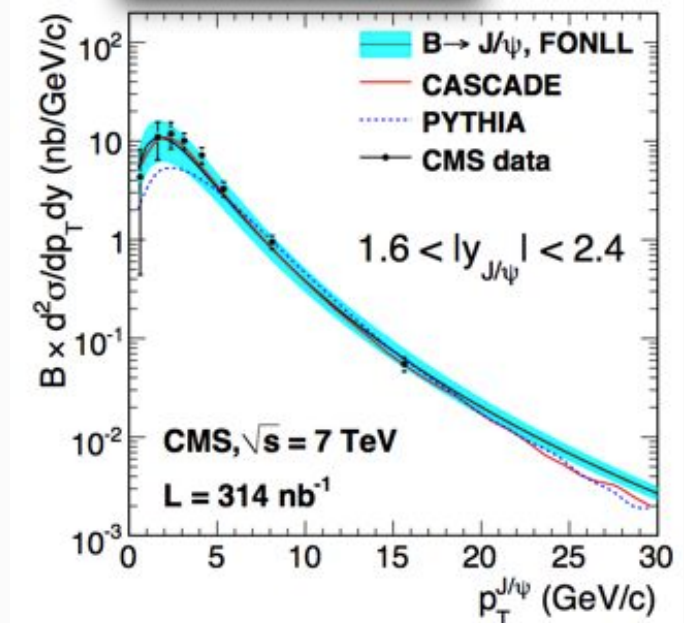
Something interesting there? To be followed up....



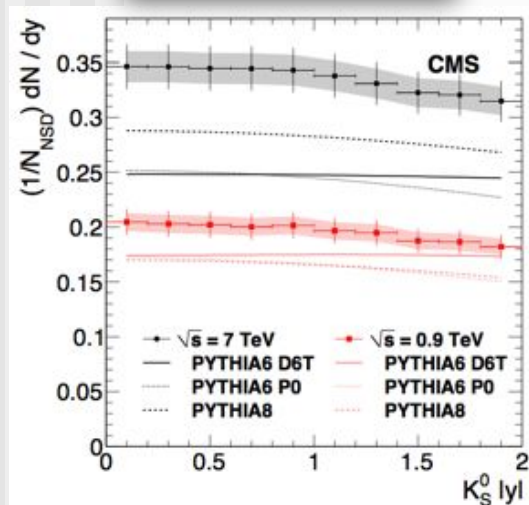
# Production of “heavy” quarks:

$s \rightarrow c$  (Quarkonia)  $\rightarrow b \rightarrow \text{top}$

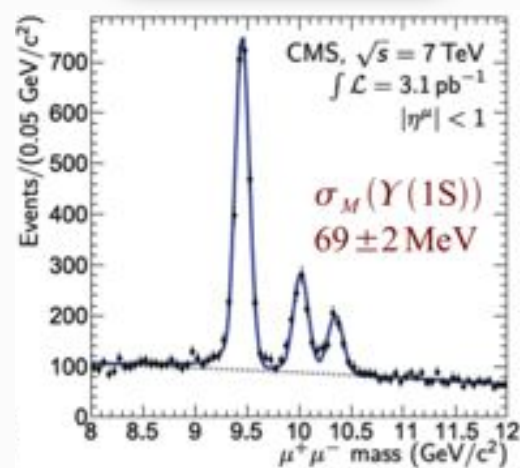
J/ψ production



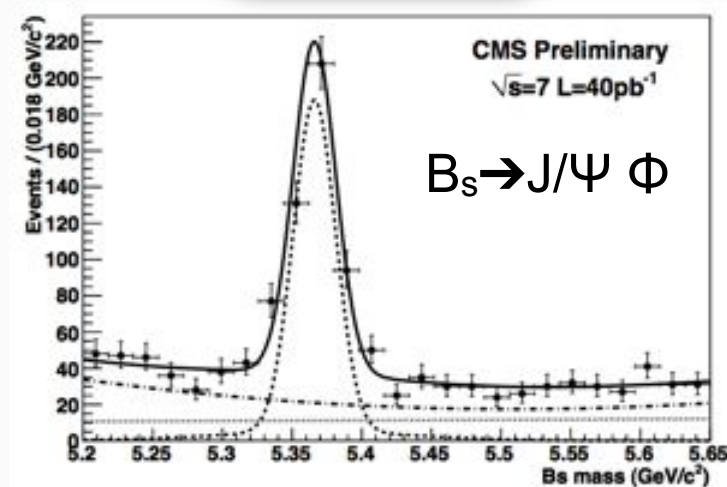
$K_S$  production



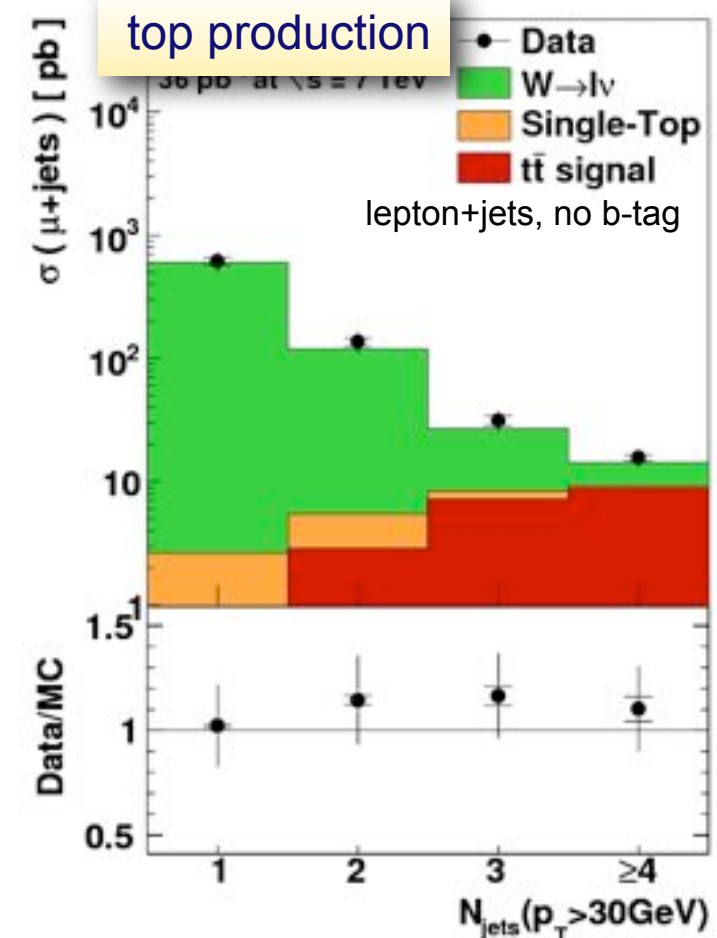
Y production



excl. states



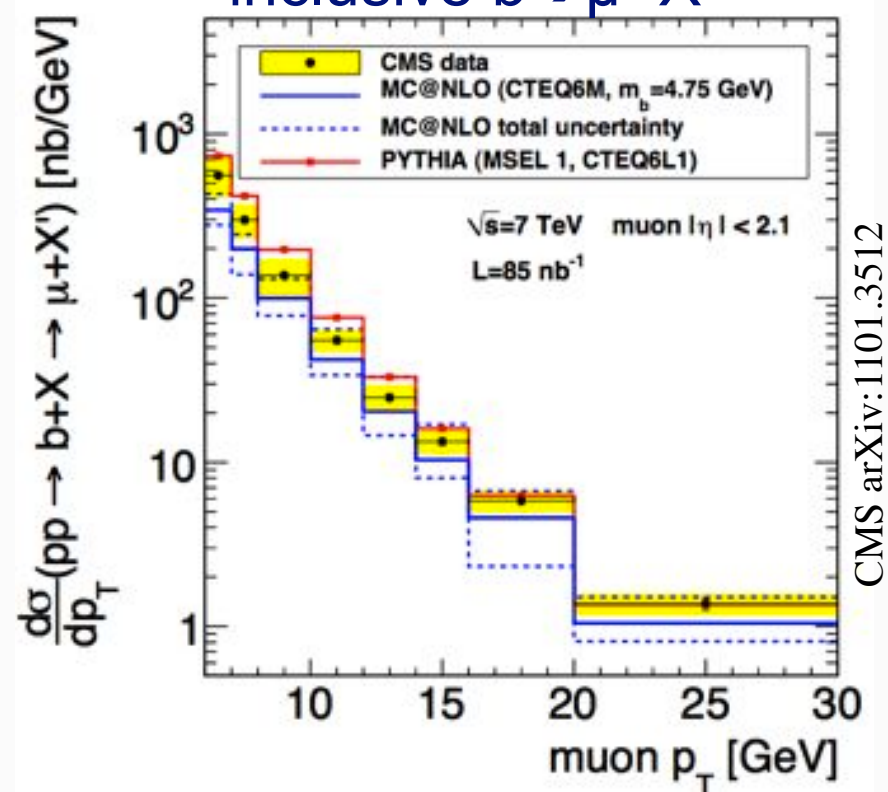
top production





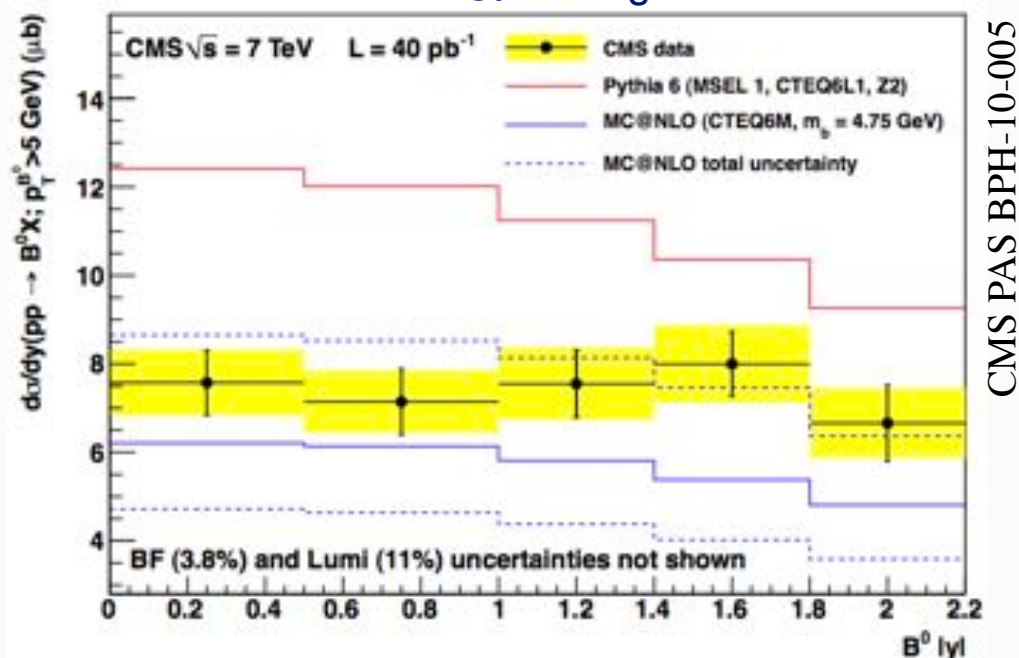
# b/B : differential cross sections

inclusive  $b \rightarrow \mu + X$



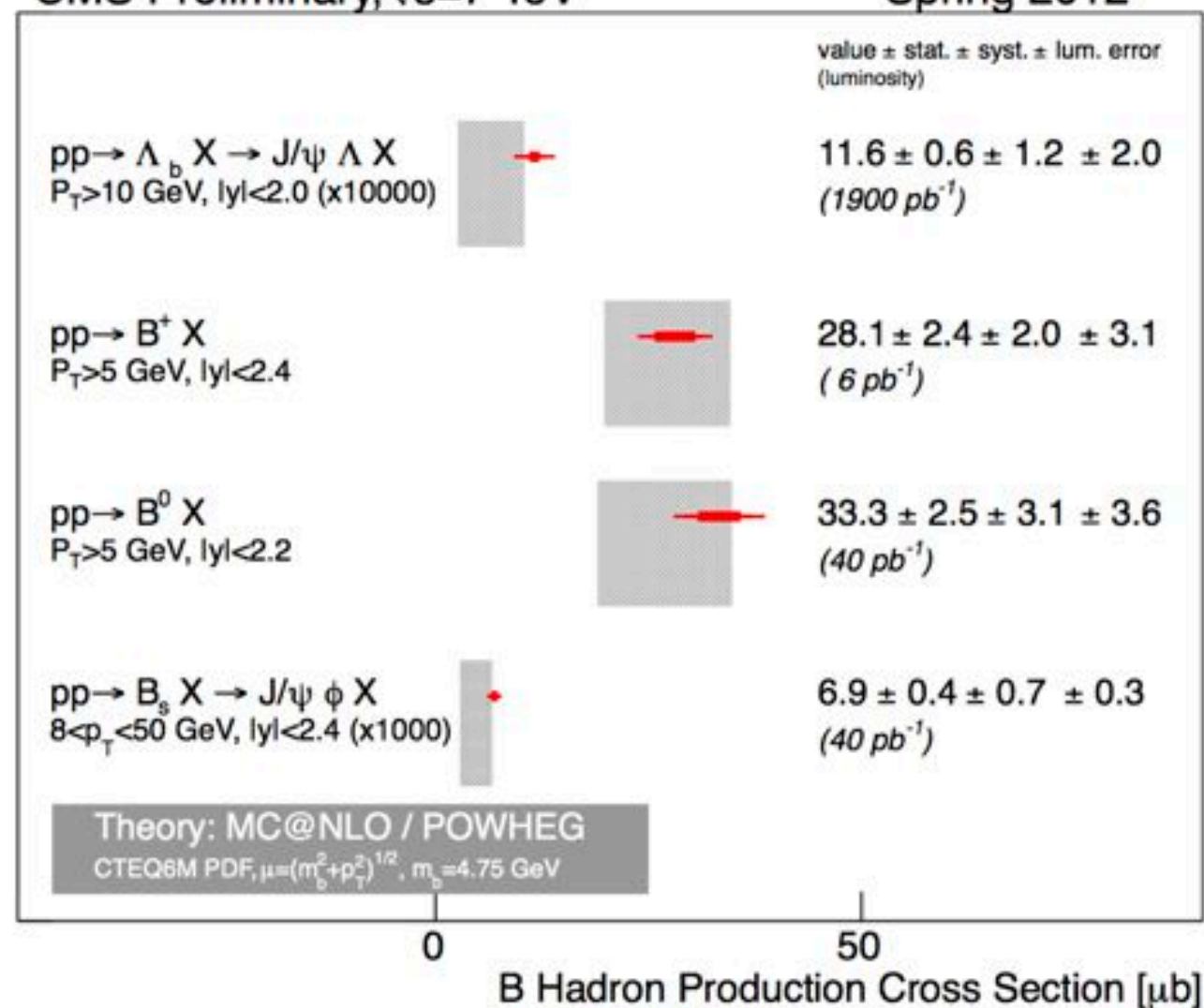
Extensive studies of b/B production. Consistent picture in all channels:  
Data between predictions of MC@NLO and Pythia;  
differences in shape, both for  $p_T$  and rapidity distributions.

$B^0 \rightarrow J/\psi K_s$



CMS Preliminary,  $\sqrt{s}=7$  TeV

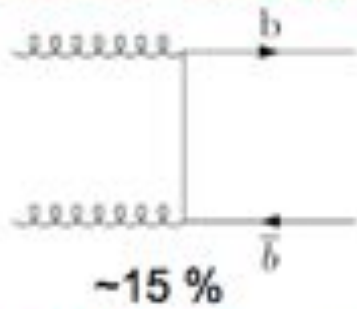
Spring 2012



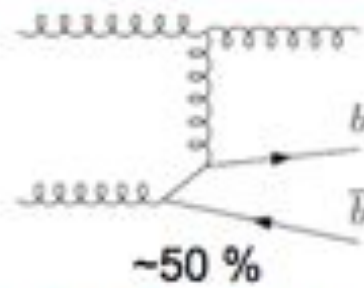


# b-jet production

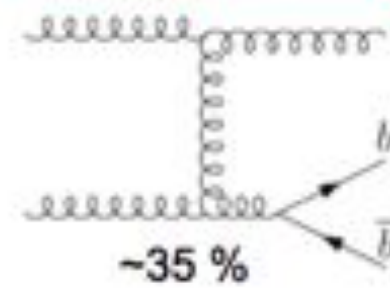
## Flavor Creation



## Flavor Excitation

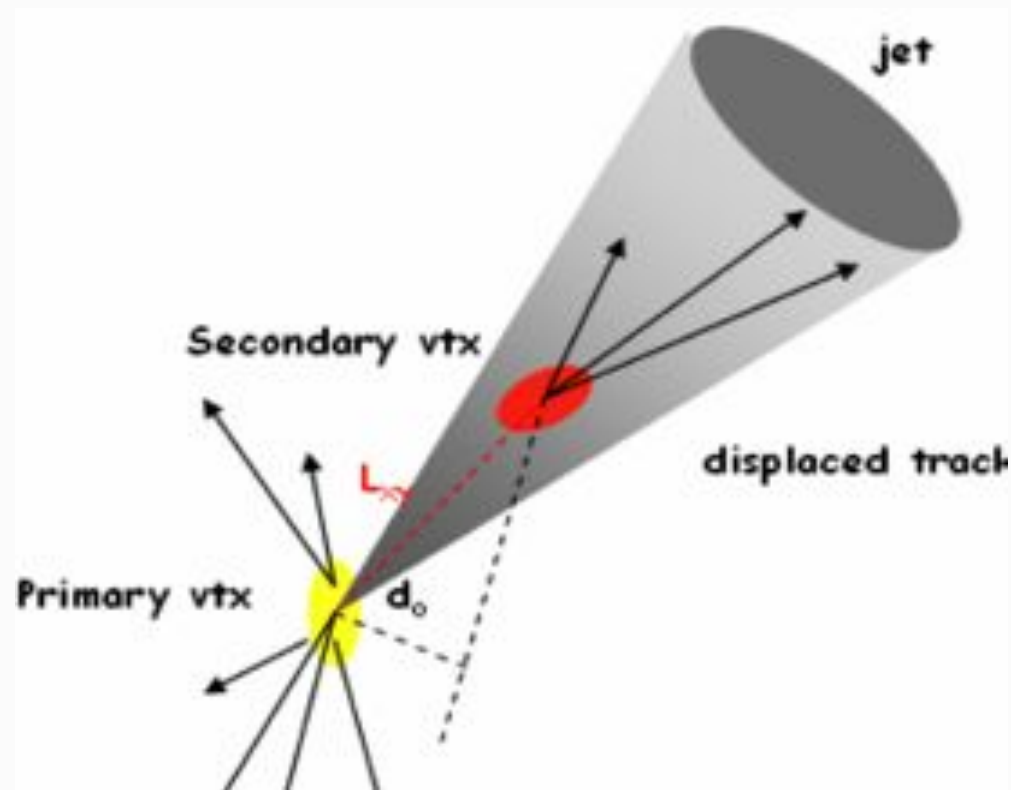


## Gluon Splitting



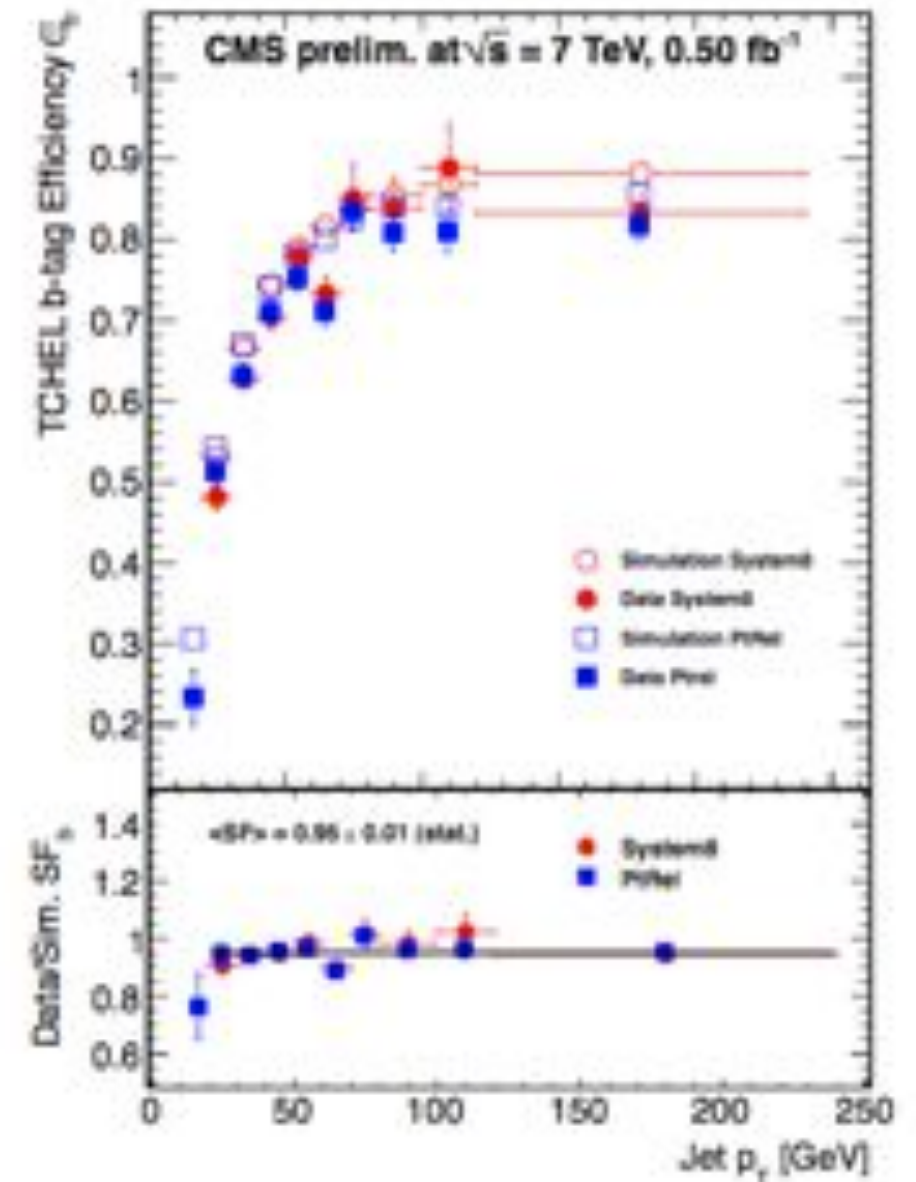
for  $p_T$  20-100 GeV

- **FEX, GS** are higher order effects but dominate at LHC



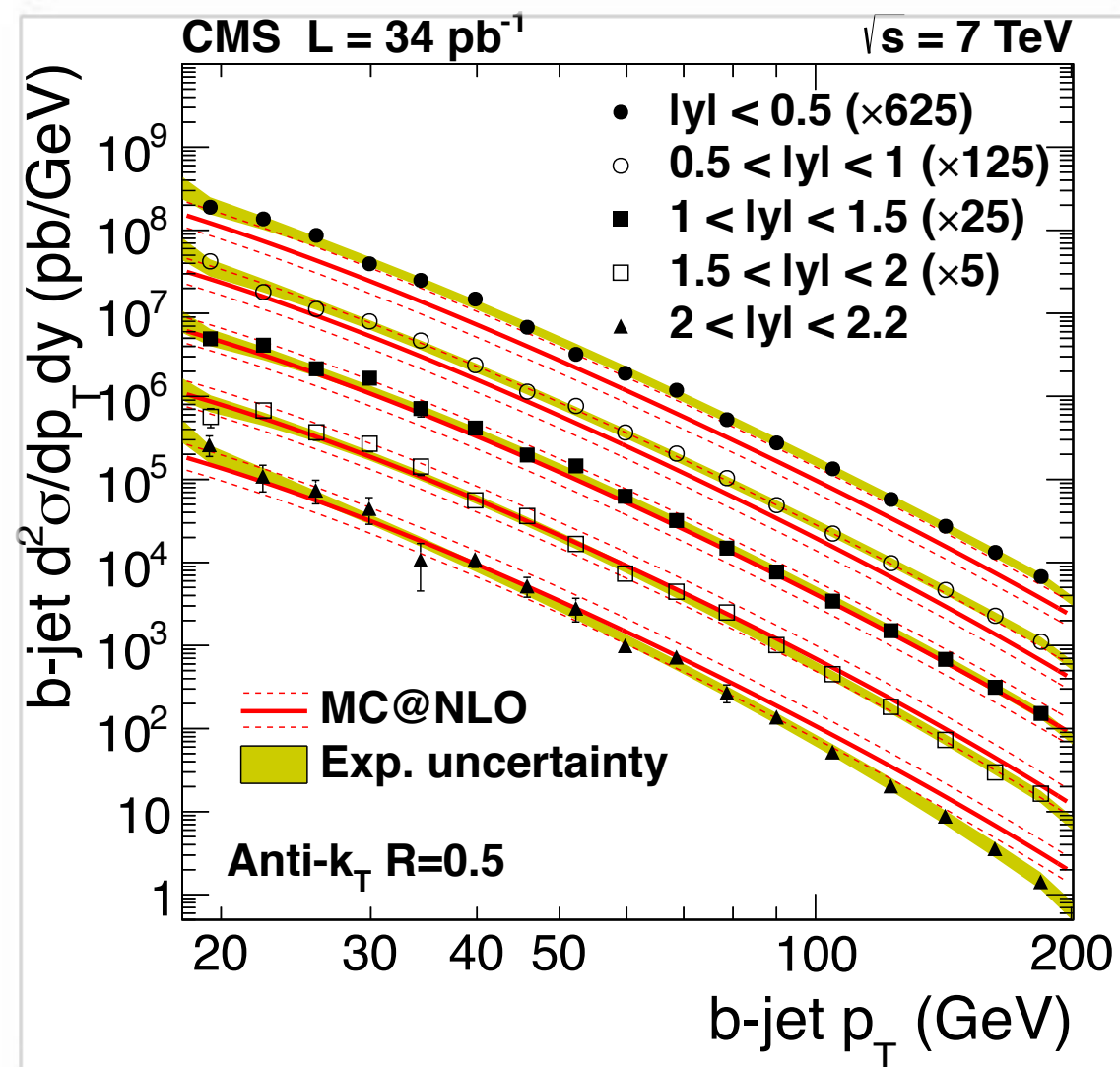
**b-tagging:**

Secondary vertices, impact parameter,  
muons from heavy flavour decays

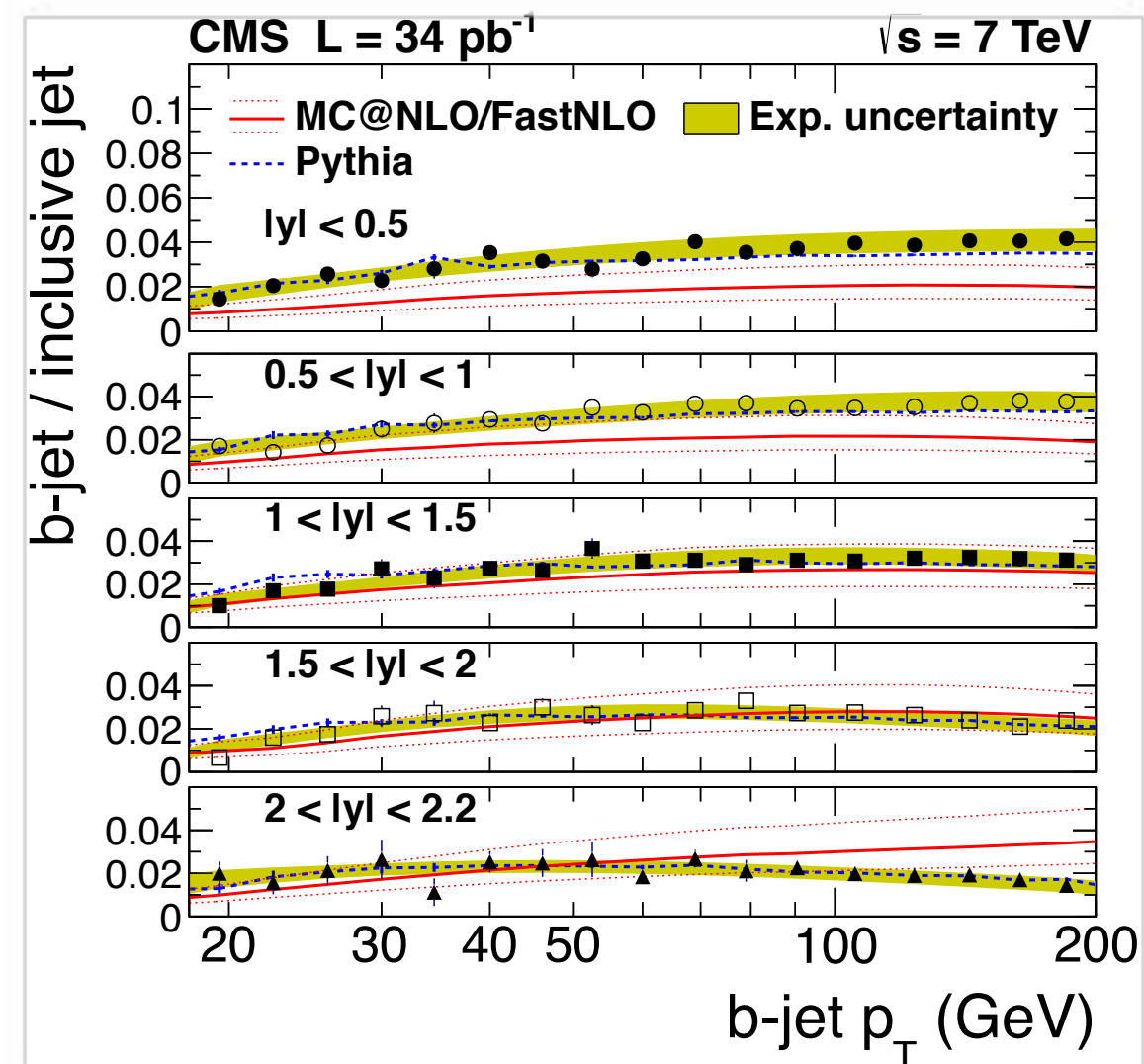




# b-jet production: Results



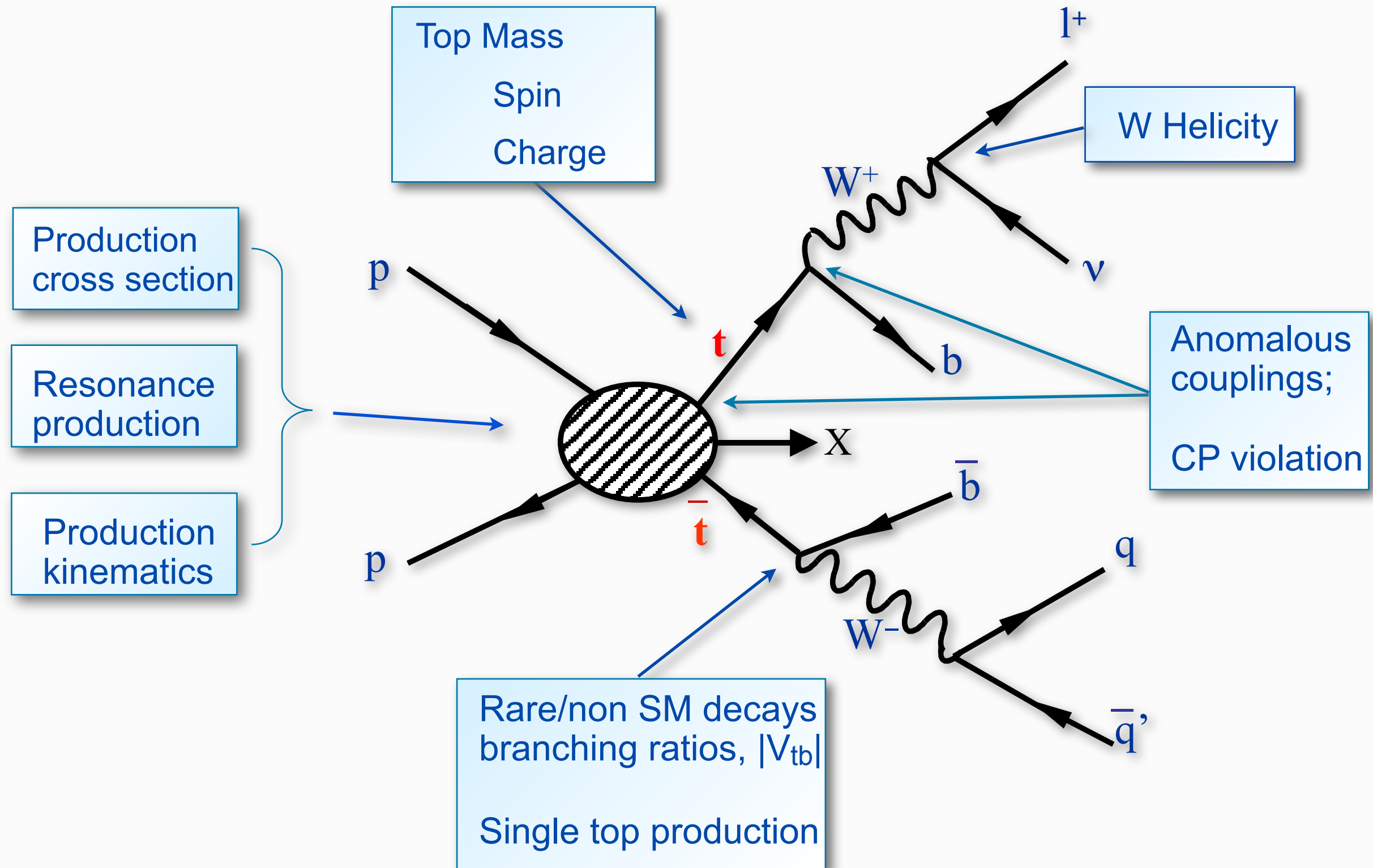
JHEP 04 (2012) 084



- Some discrepancies seen with MC@NLO
- ratio to inclusive jet cross section helps to eliminate some systematics (eg. lumi)
- this ratio better described by Pythia, in particular for forward jets!



# Top Quark Physics

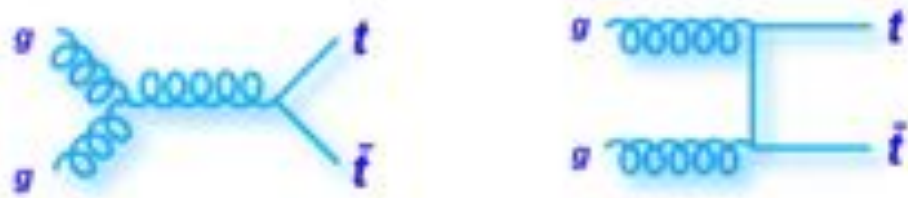




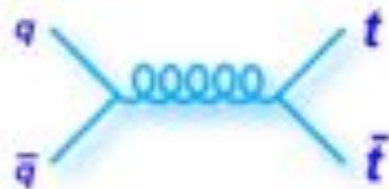
# Top Production

slide adapted from FP. Schilling

## Gluon fusion (dominant at LHC)

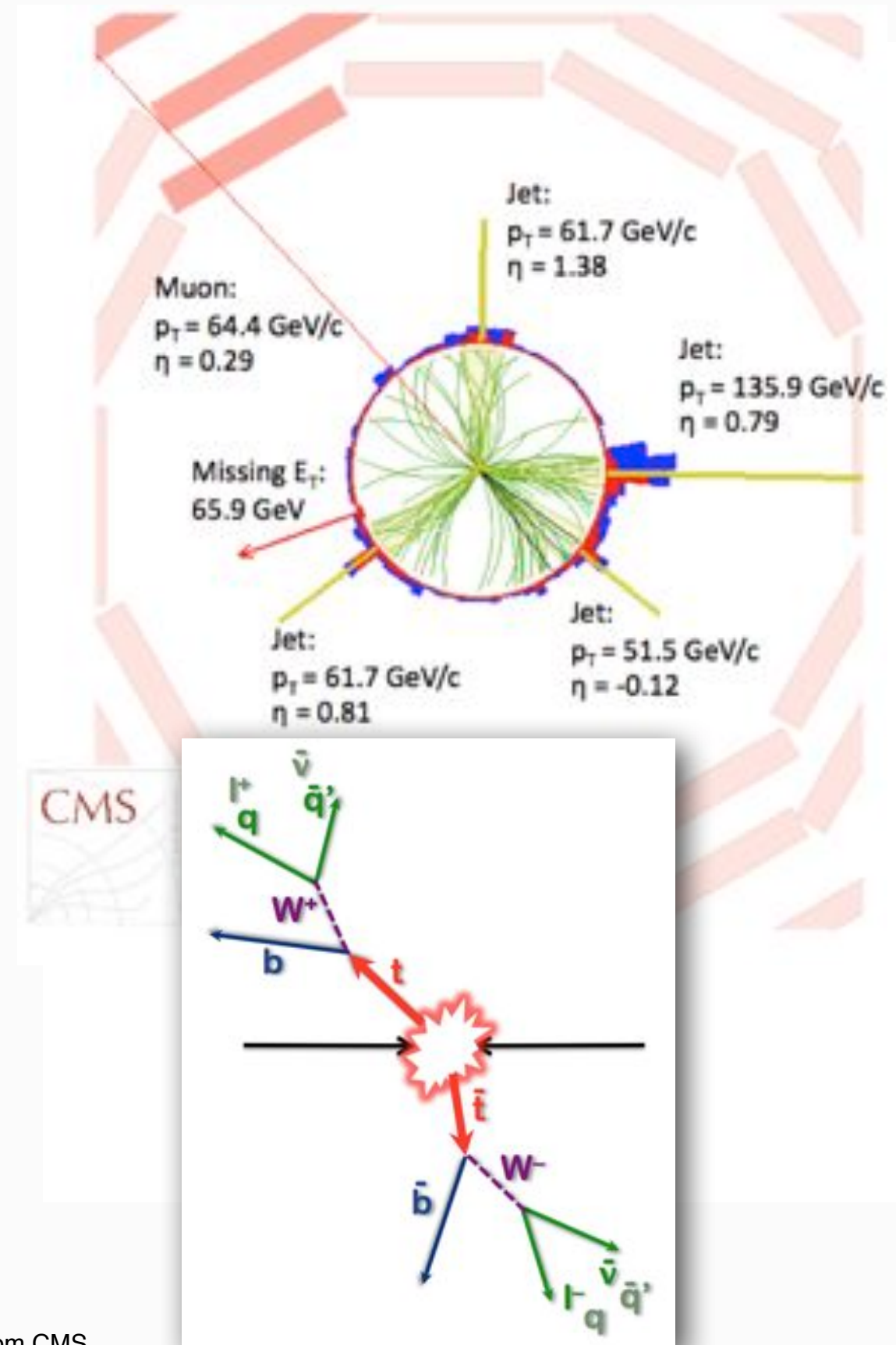


## Quark-antiquark annihilation



## Total cross section at 7 TeV:

- NLO (MCFM)  $\sigma_{t\bar{t}}^{\text{NLO}} = 158_{-24}^{+23}$  pb
- approx. NNLO
  - Kidonakis, PRD 82 (2010) 114030
  - $\sigma_{t\bar{t}} = 163_{-10}^{+11}$  pb
  - Langenfeld, Moch, Uwer, PRD80 (2009) 054009
  - Aliev et al., CPC182 (2011) 1034
  - $\sigma_{t\bar{t}} = 164_{-13}^{+10}$  pb

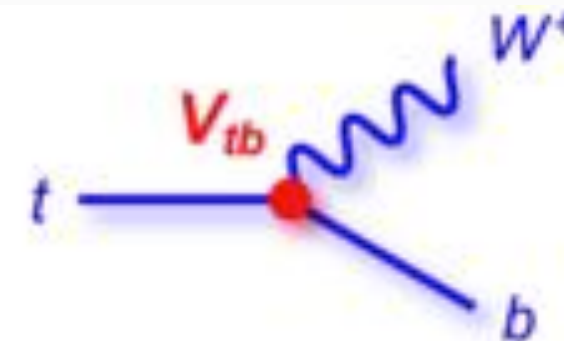




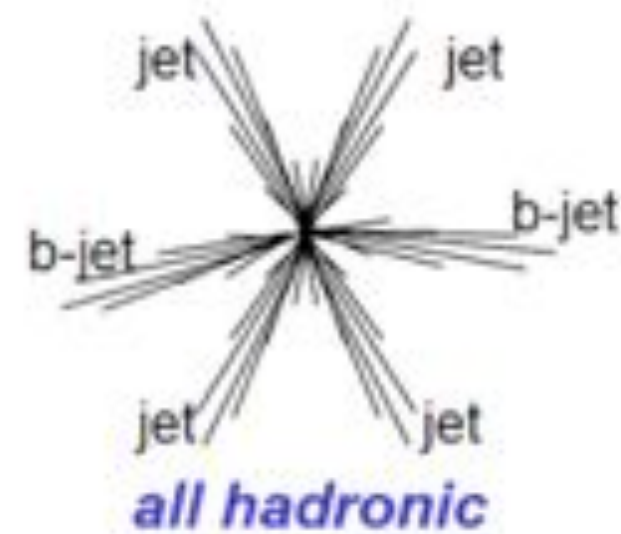
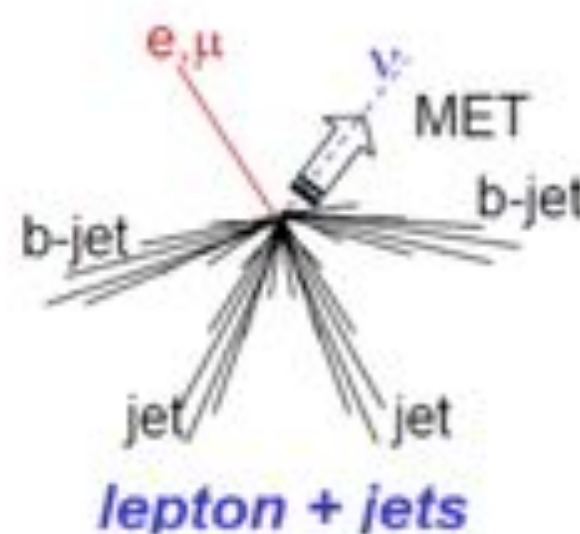
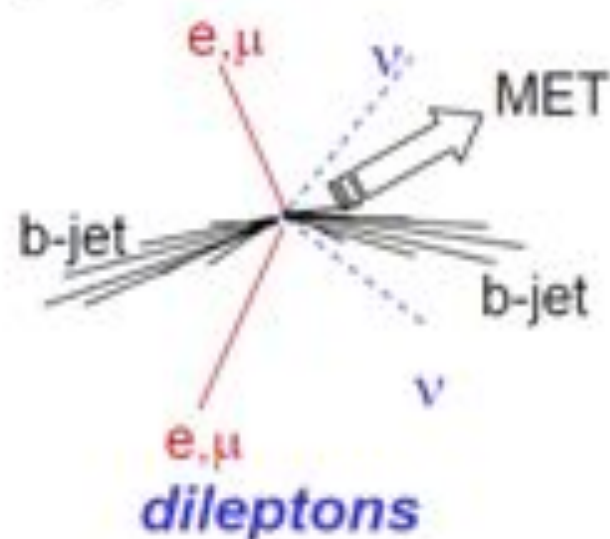
# Top decays and backgrounds

slide adapted from FP. Schilling

- Top decays before it can hadronize
  - almost exclusively  $t \rightarrow Wb$



- Top pair event classification according to W decays

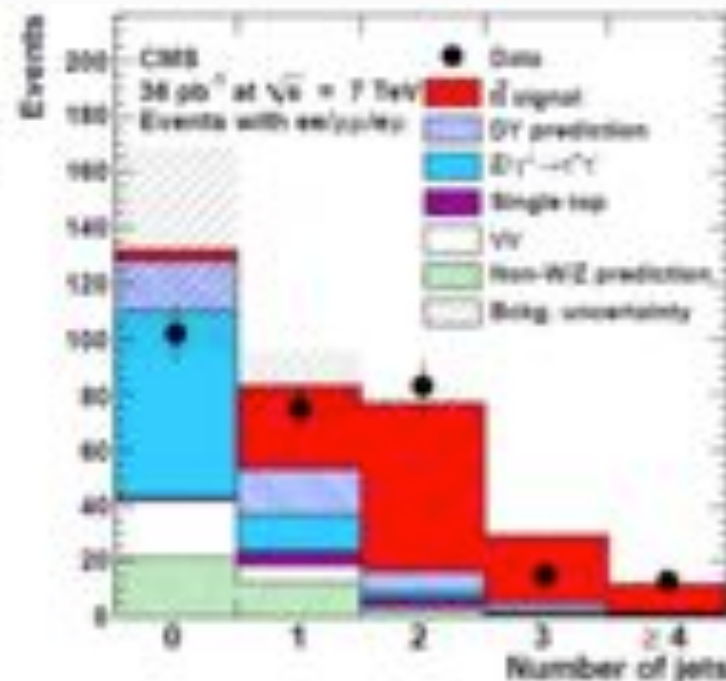


Branching ratio:	~5%	~30%	~46%
Backgrounds:	few (mainly Z+jets)	moderate (mainly W+jets)	huge (mainly QCD)

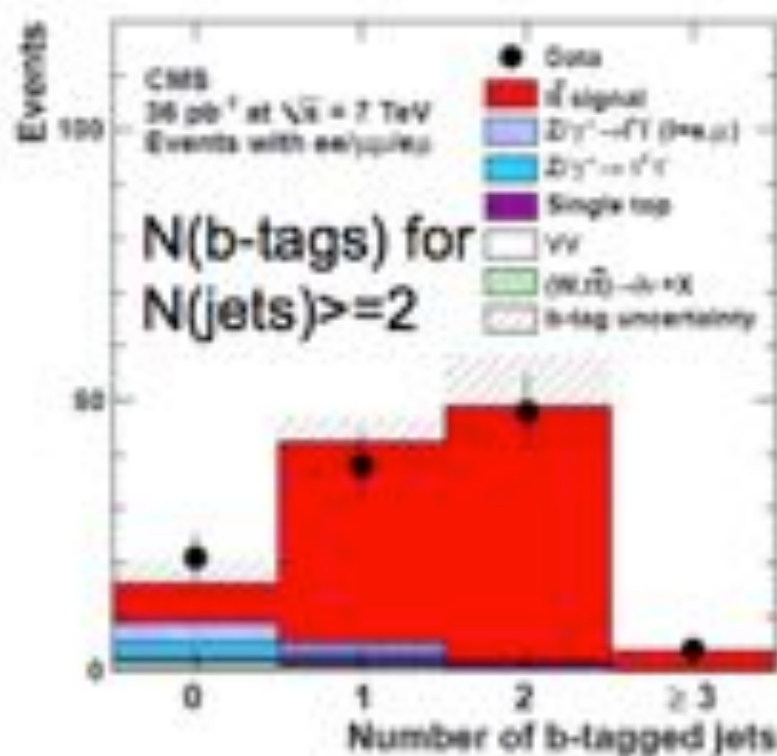
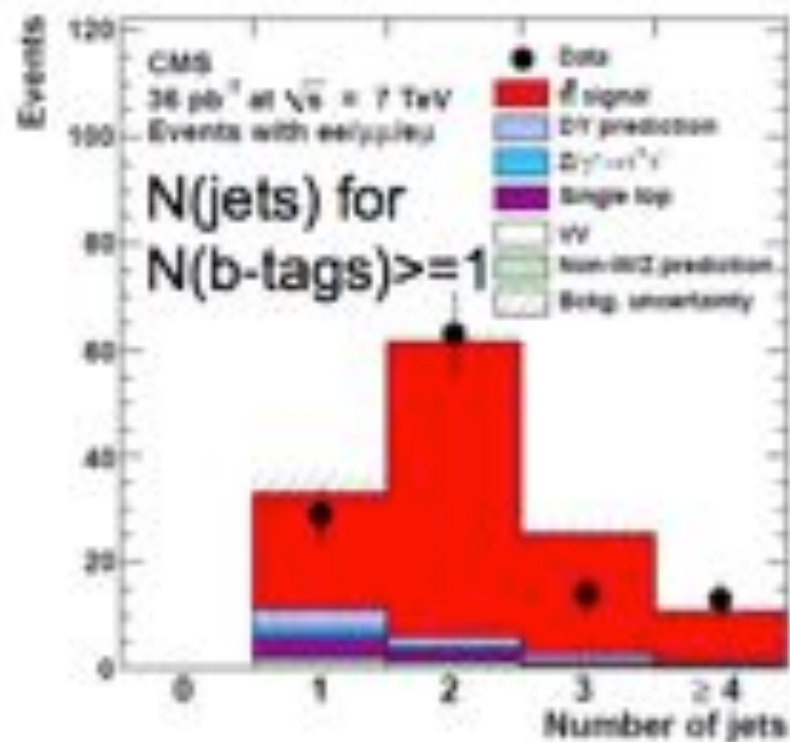
# Cross section: Di-Lepton channel

slide adapted from FP. Schilling

Jet multiplicity before applying b-tagging



Hatched: BG uncertainty



Very pure sample of top events!





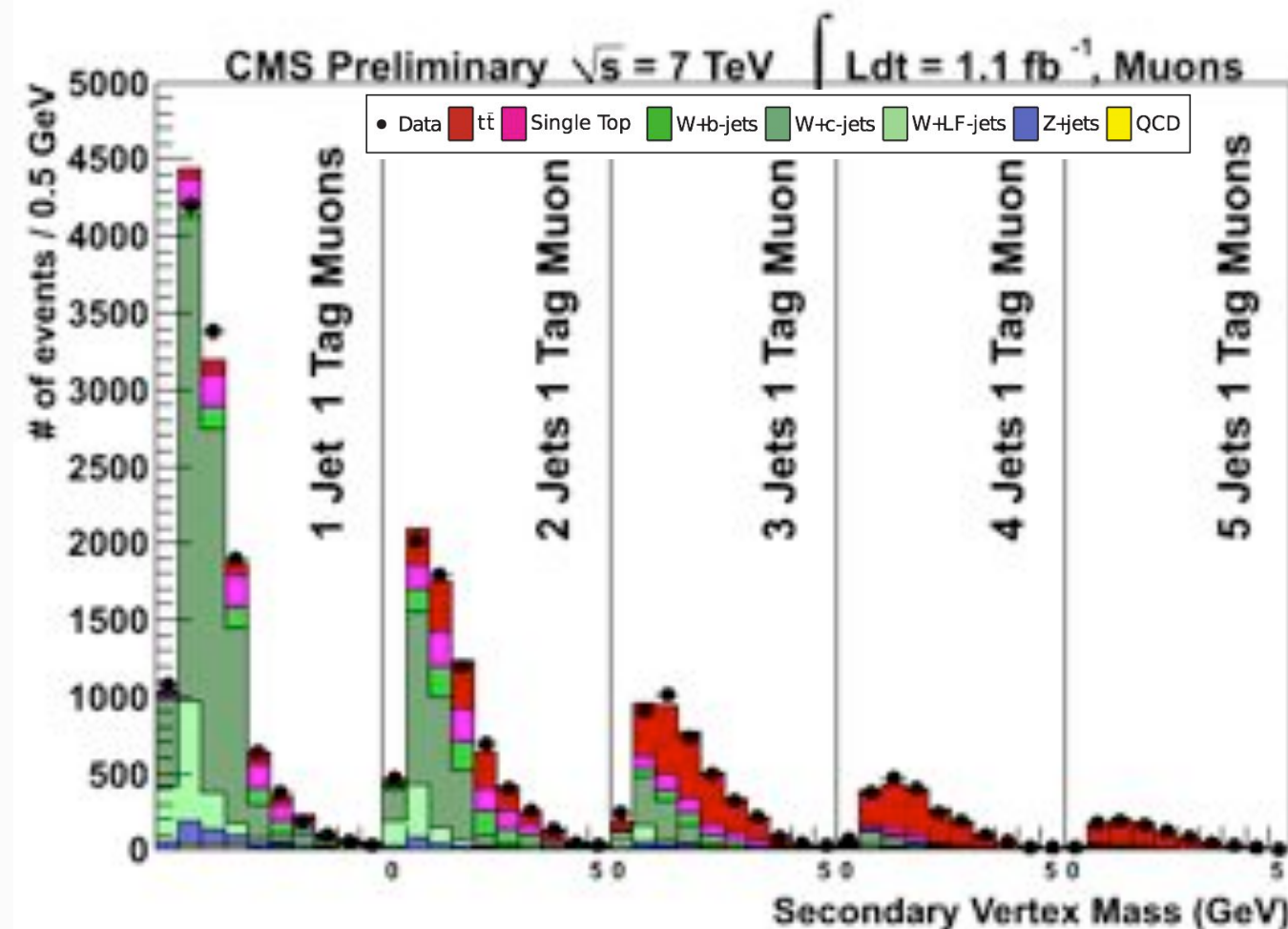
# Cross section: semi-leptonic channel

## Lepton+jets, b-tagged

- divide sample into distinct categories: Nr. jets, Nr. of b-tags, electrons, muons
- fit the secondary vertex mass distribution, using templates, simultaneously in all categories
- let also data/MC scale factors (JES, b-tag eff, W+j Q<sup>2</sup>-scale) float in the fit

### Result:

- top cross section, with **syst. uncert. at the 7% level !**
- scale factors consistent with 1, within the fit error



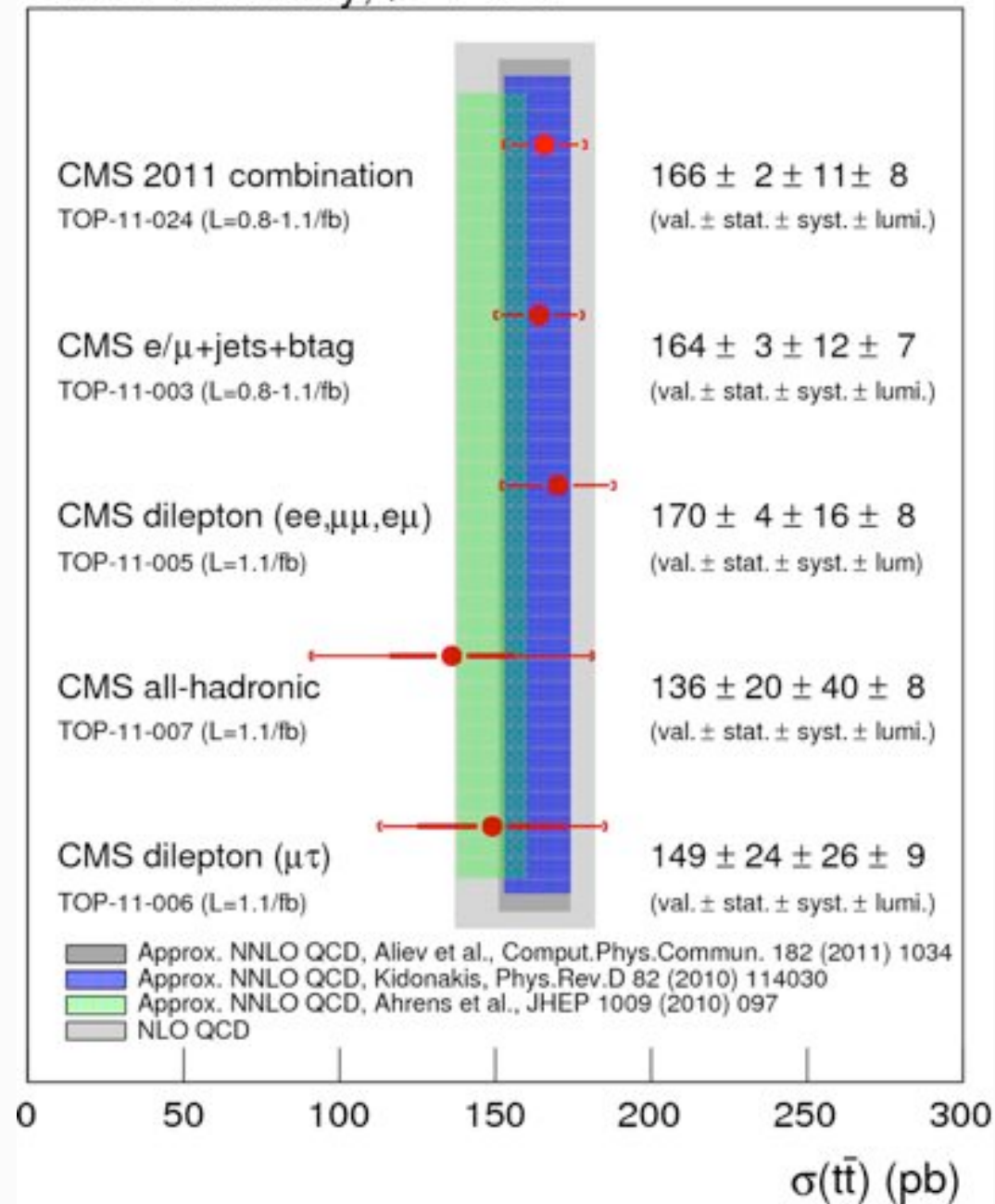
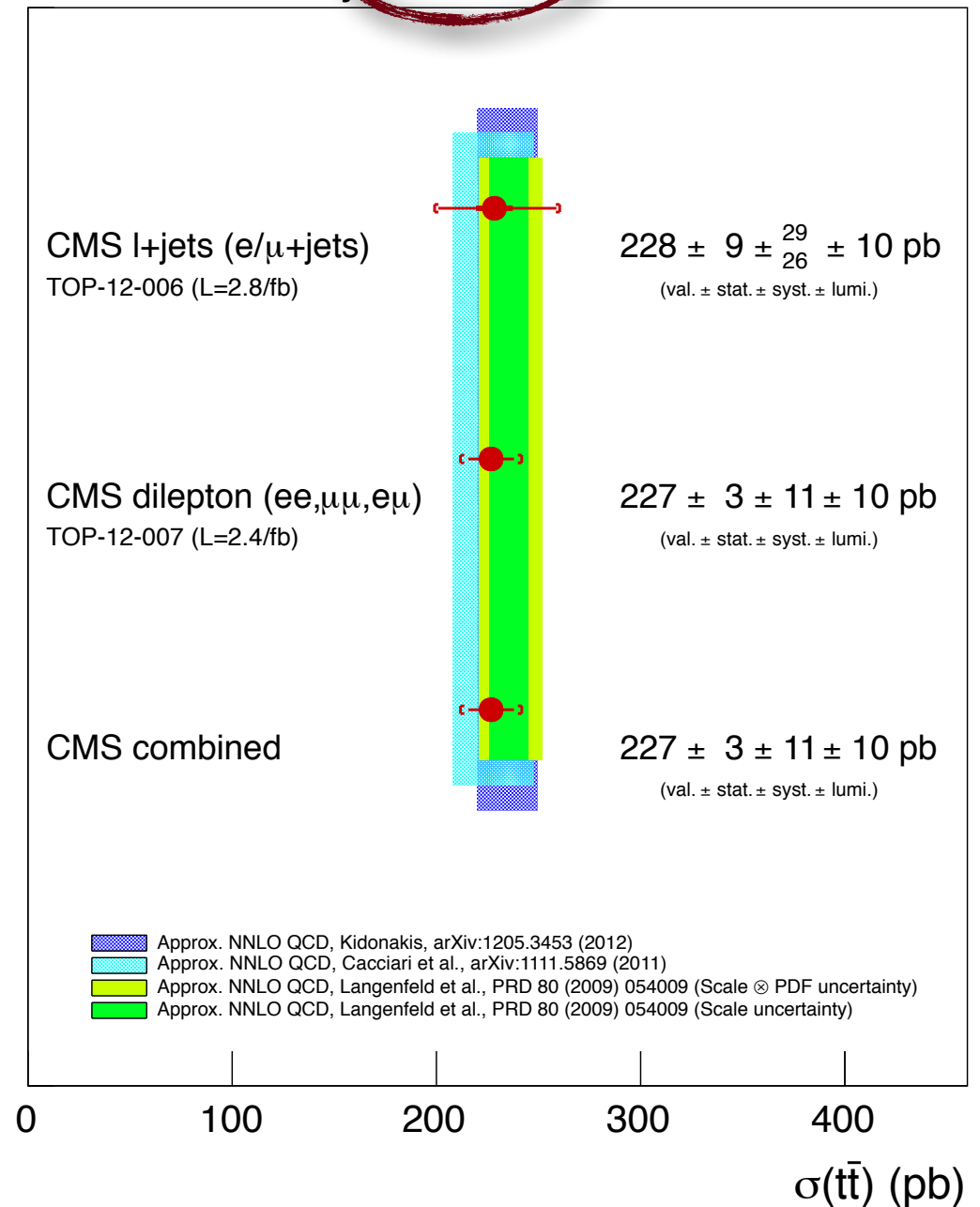
Source	Muon Analysis	Electron Analysis	Combined Analysis
Quantity	Uncertainty (%)		
Lepton ID/reco/trigger	3.4	3	3.4
$\cancel{E}_T$ resolution due to unclustered energy	< 1	< 1	< 1
$t\bar{t}$ +jets $Q^2$ scale	2	2	2
ISR/FSR	2	2	2
ME to PS matching	2	2	2
Pile-up	2.5	2.6	2.6
PDF	3.4	3.4	3.4
Profile Likelihood Parameter	Uncertainty (%)		
Jet energy scale and resolution	4.2	4.2	3.1
b-tag efficiency	3.3	3.4	2.4
W+jets $Q^2$ scale	0.9	0.8	0.7
Combined	7.8	7.8	7.3

A fantastic proof of the excellent understanding of all relevant physics objects, and of their outstanding MC description



# Top cross section

CMS TOP-11-024

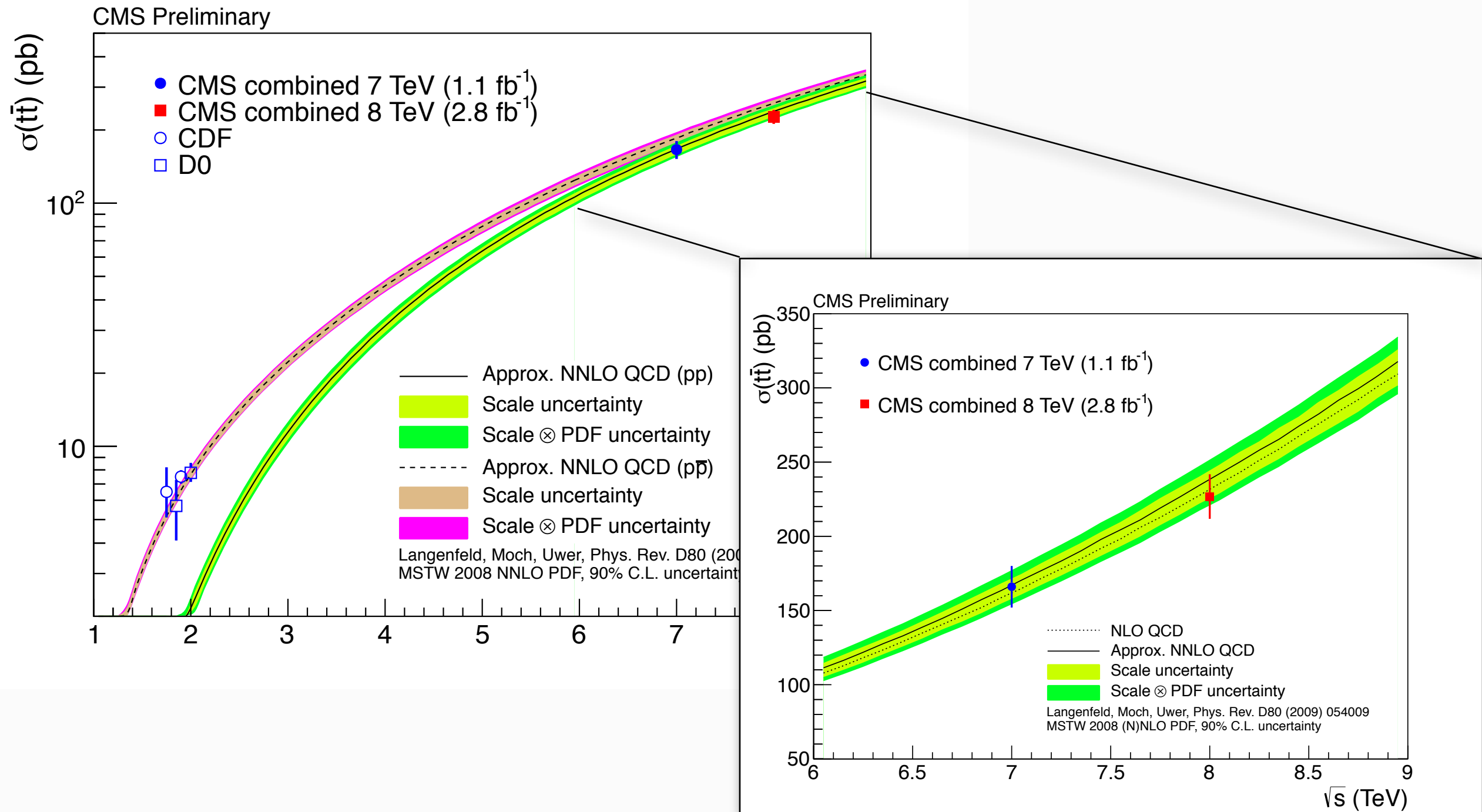
CMS Preliminary,  $\sqrt{s}=7$  TeVCMS Preliminary,  $\sqrt{s}=8$  TeV

- exp. uncertainty reached 6-7% (!) level, ~ or smaller than theory uncertainty.
- overall impressive agreement with pQCD pred.
- top pair xsec useful to constrain pdfs?





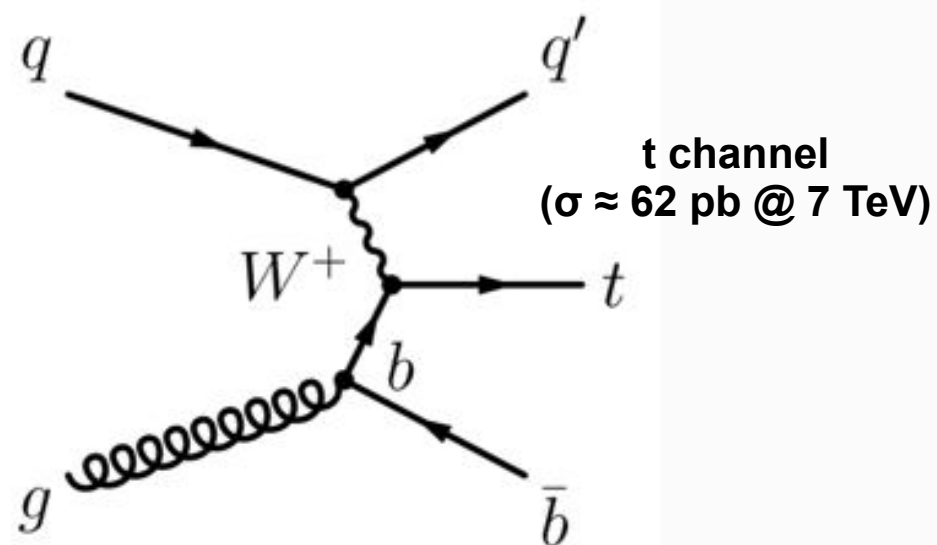
# Top cross section



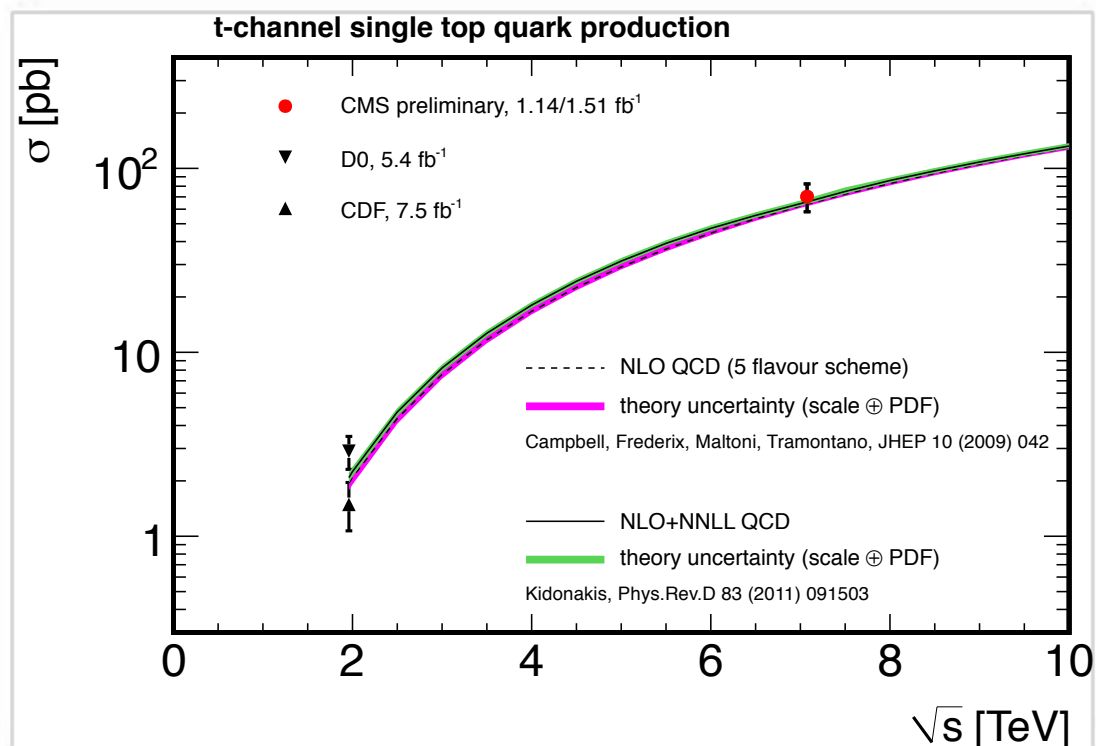
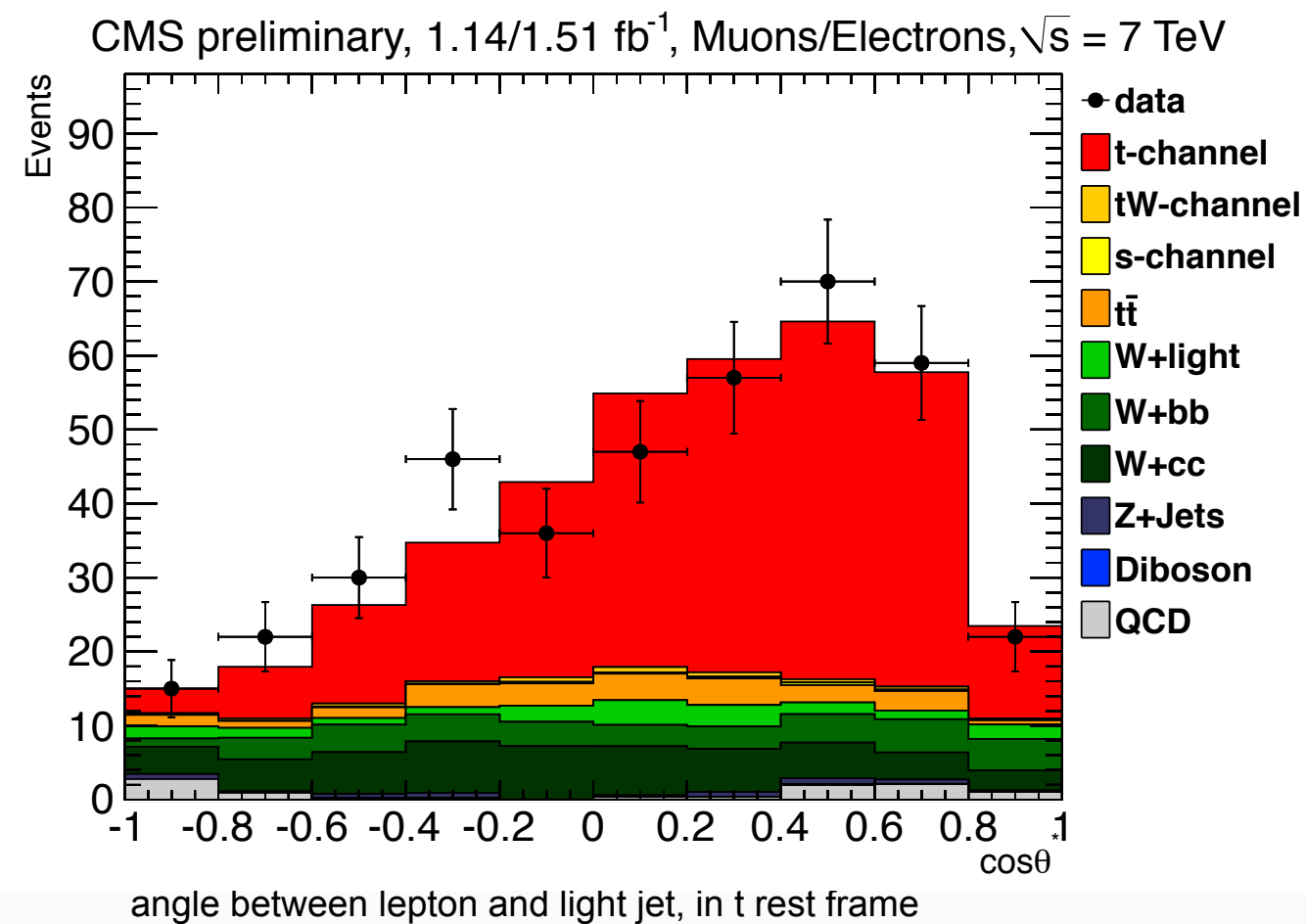


# Single top production

CMS TOP-11-021



- Better than 20% accuracy reached.
- CMS :  $|V_{tb}|$  extracted at the 10% level!
- closing in on tW and s-channel prod.



An example of finding **tiny** signals with leptons, MET, b-tag & jets

Showing the readiness for challenging searches such as low-mass Higgs



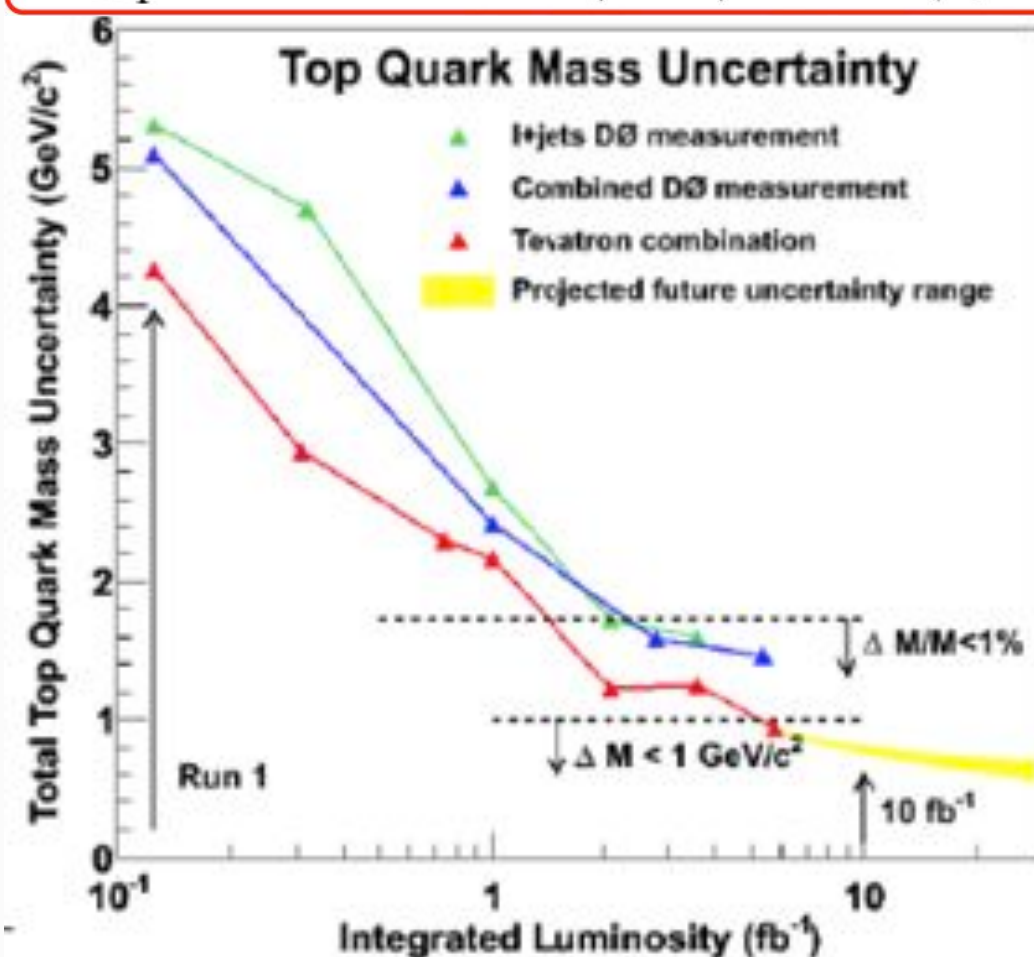


# TOP mass

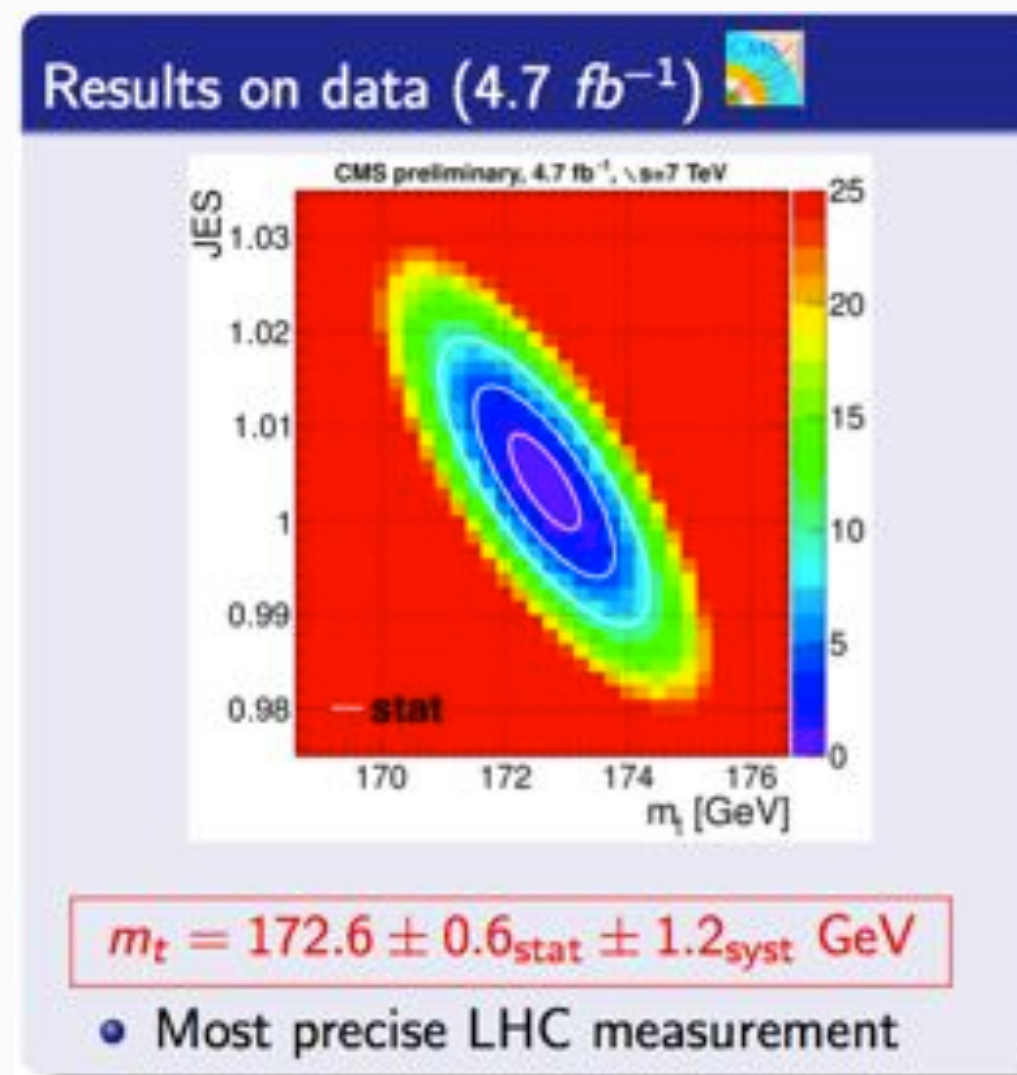
• Tevatron is leading,

with LHC catching up....

$$m_{\text{top}} = 173.2 \pm 0.6 \text{ (stat)} \pm 0.8 \text{ (syst)}$$



**Relative uncertainty: 0.54%**  
**Expect this limit to be improved...**

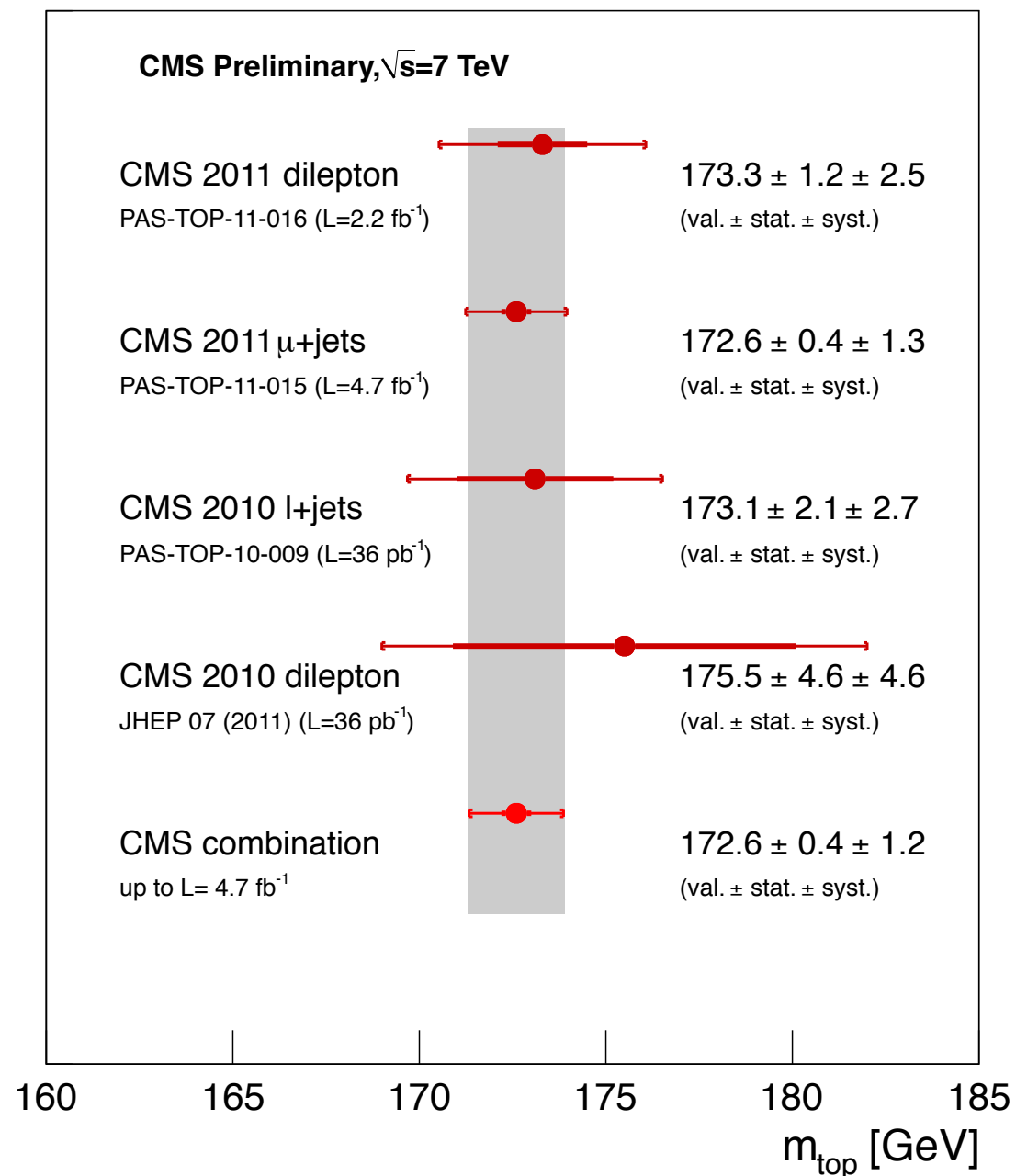


**In this Moriond result not yet included:**  
 Systematics due to colour rec, UE

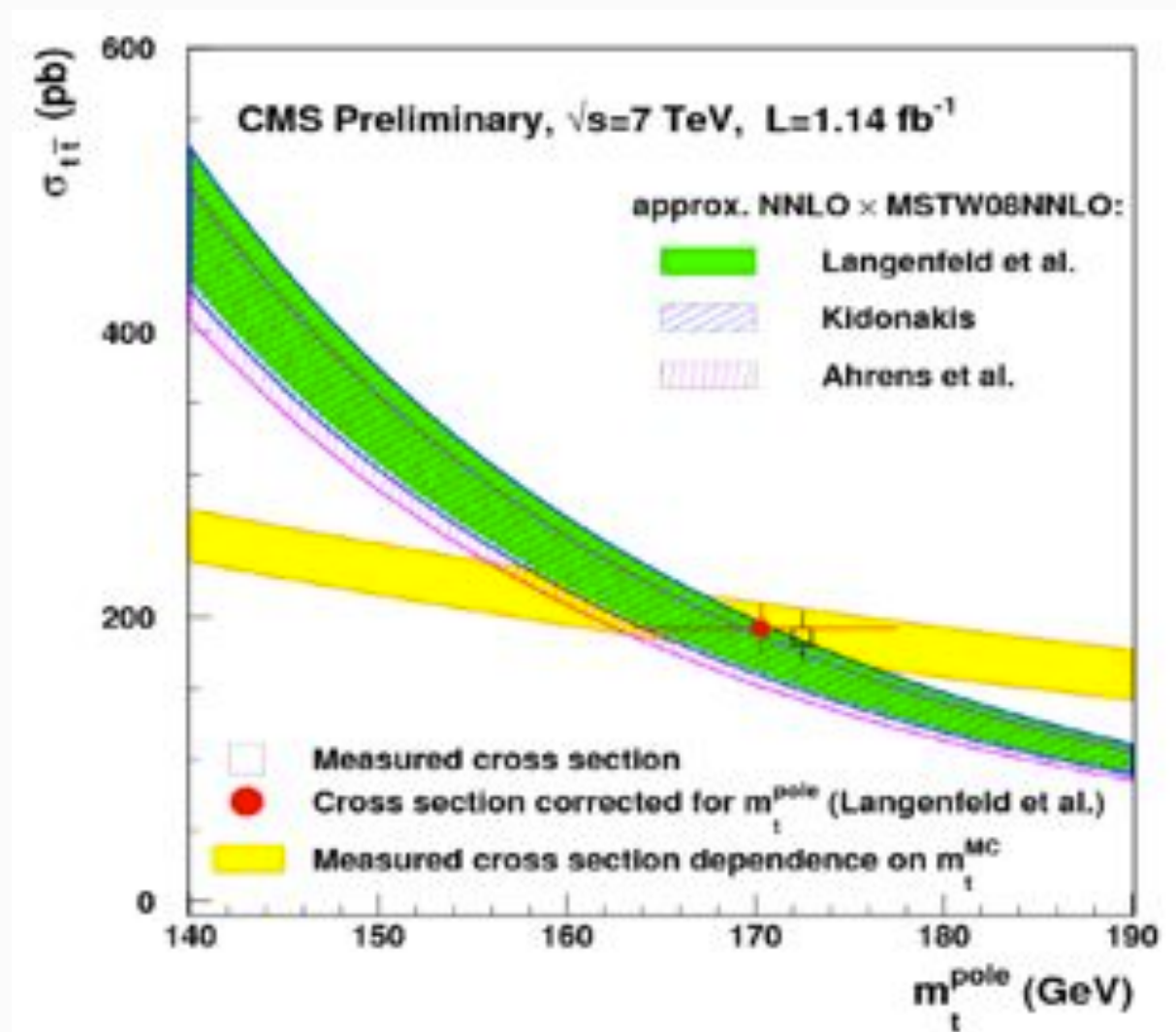
Systematics dominated by JES

# Mass determination

## Direct $m_{\text{top}}$ reconstruction



From cross section dependence on  $m_{\text{top}}$   
interesting, independent alternative; extract  
well defined mass parameter, eg. in  $\overline{\text{MS}}$   
scheme. But: theory uncertainties enter.



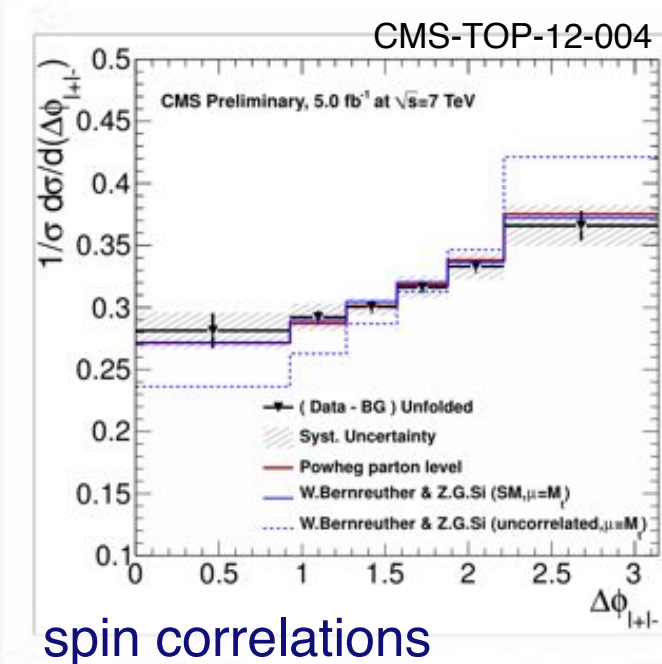
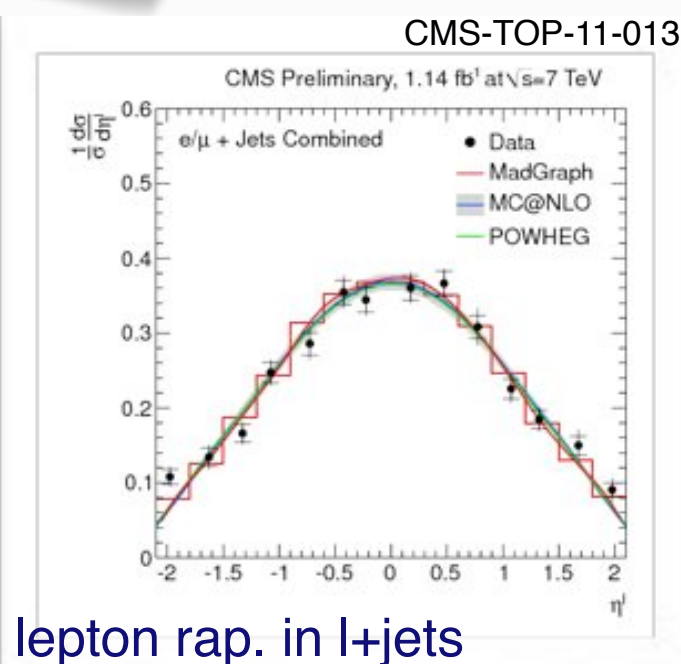
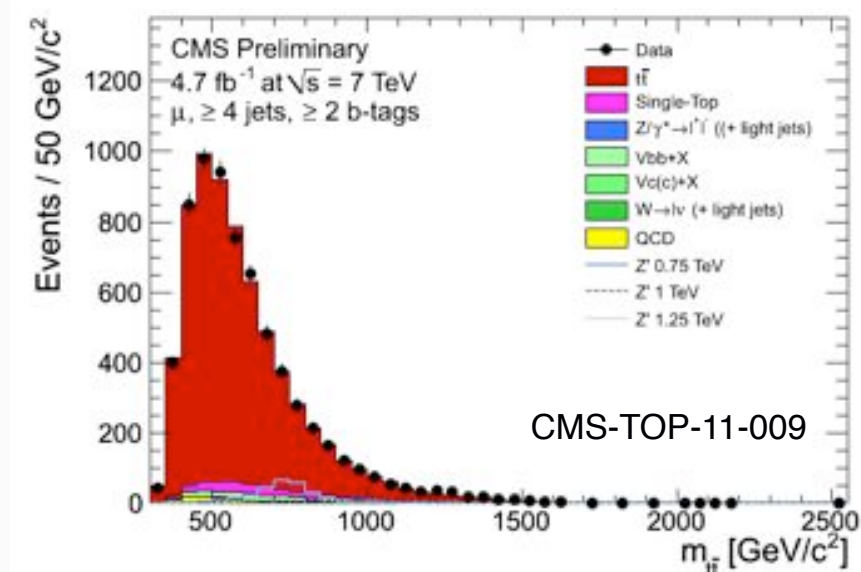
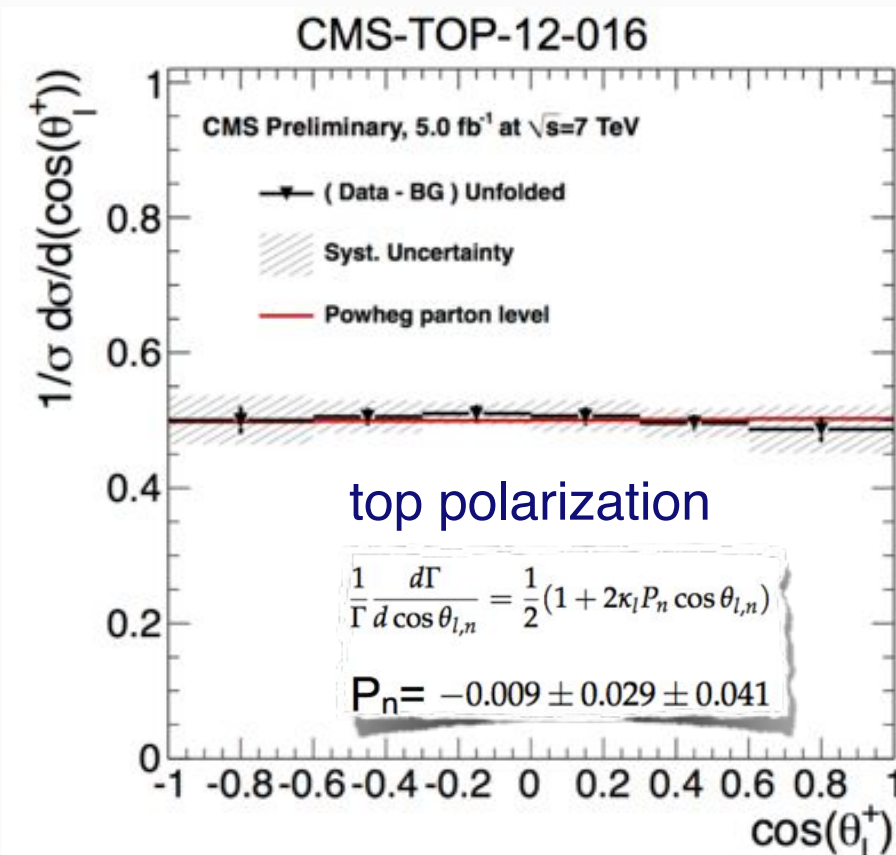
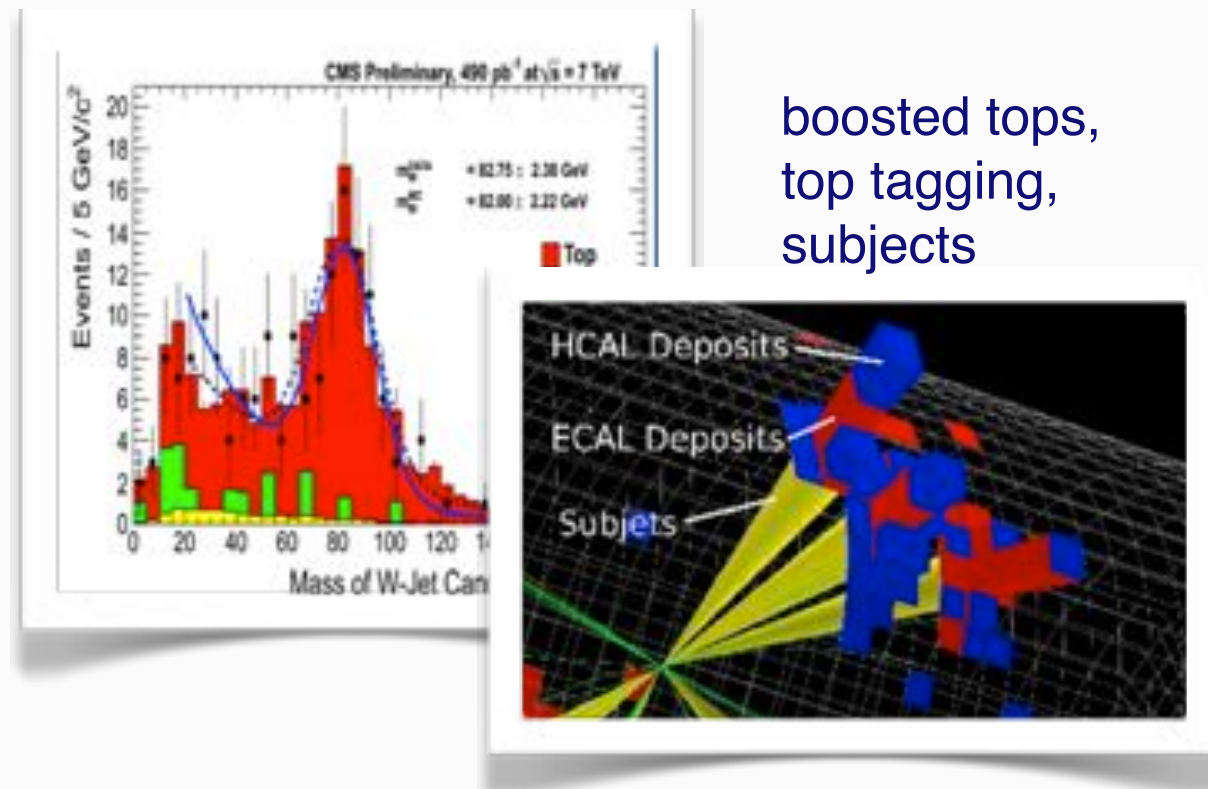
Approx. NNLO $\times$ MSTW08NNLO	$m_t^{\text{pole}} / \text{GeV}$	$m_t^{\overline{\text{MS}}} / \text{GeV}$
Langenfeld et al. [7]	170.3 <sup>+7.3</sup> <sub>-6.7</sub>	163.1 <sup>+6.8</sup> <sub>-6.1</sub>
Kidonakis [8]	170.0 <sup>+7.6</sup> <sub>-7.1</sub>	—
Ahrens et al. [9]	167.6 <sup>+7.6</sup> <sub>-7.1</sub>	159.8 <sup>+7.3</sup> <sub>-6.8</sub>





# Then: going more differential.....

- Many many properties and differential distributions measured
  - spin correlations, W helicity/pol.,  $V_{tb}$ ,  $m_t - m_{t\bar{t}}$ , top charge, top polarization, charge asymmetry, FCNC, high-mass  $t\bar{t}$  pairs (resonances)
  - No anomalies seen so far. Here some examples:

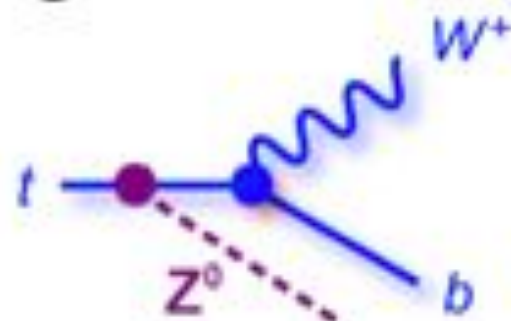




# A first: $tt\bar{t} + V$ production

slide adapted from P. Meridiani

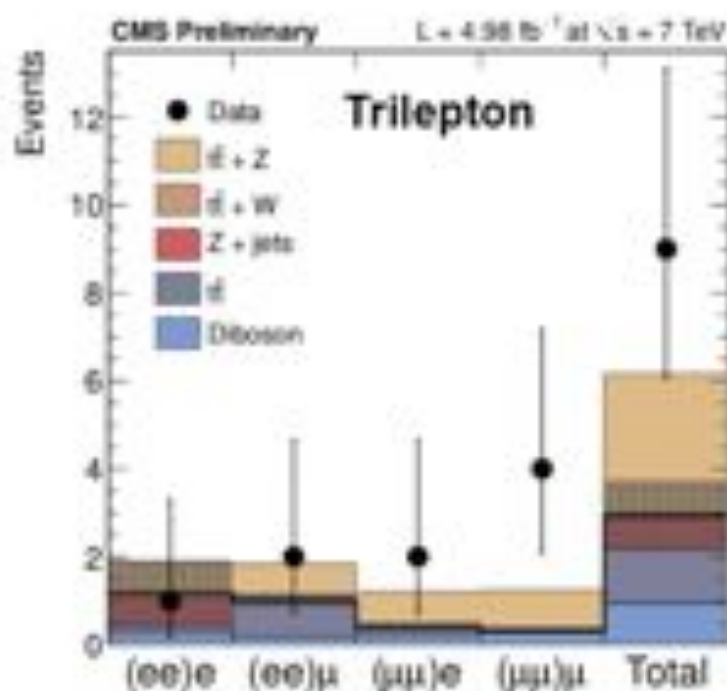
Starting to observe associated production of top pairs with W or Z



$$\frac{\sigma(tt)}{\sigma(tt + V)} \approx 500$$

Trilepton channel:  $\sigma(ttZ \rightarrow l + \text{jets} + (Z \rightarrow ll))$

Same-sign dilepton channel:  $\sigma(ttV \rightarrow l + \text{jets} + (W \rightarrow l\nu) \text{ or } (Z \rightarrow ll))$



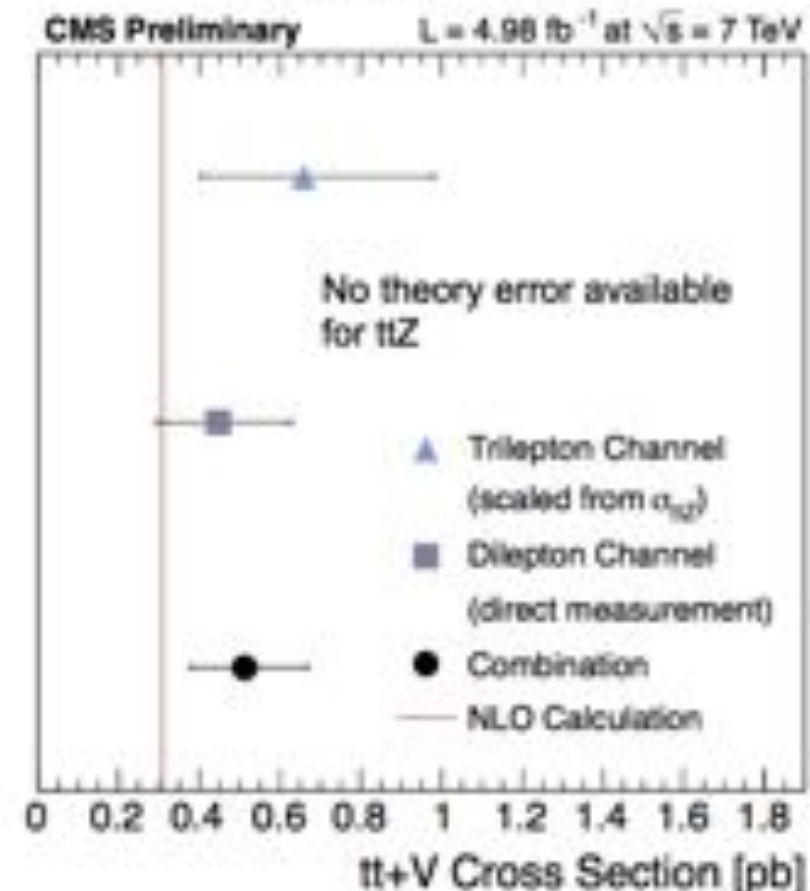
First measurement of  $ttV$ :

Result combining all 7 channels:

$$\sigma(ttV) = 0.51^{+0.15}_{-0.13}(\text{stat.})^{+0.04}_{-0.02}(\text{syst.}) \text{ pb}$$

→ Significance of 4.67  $\sigma$

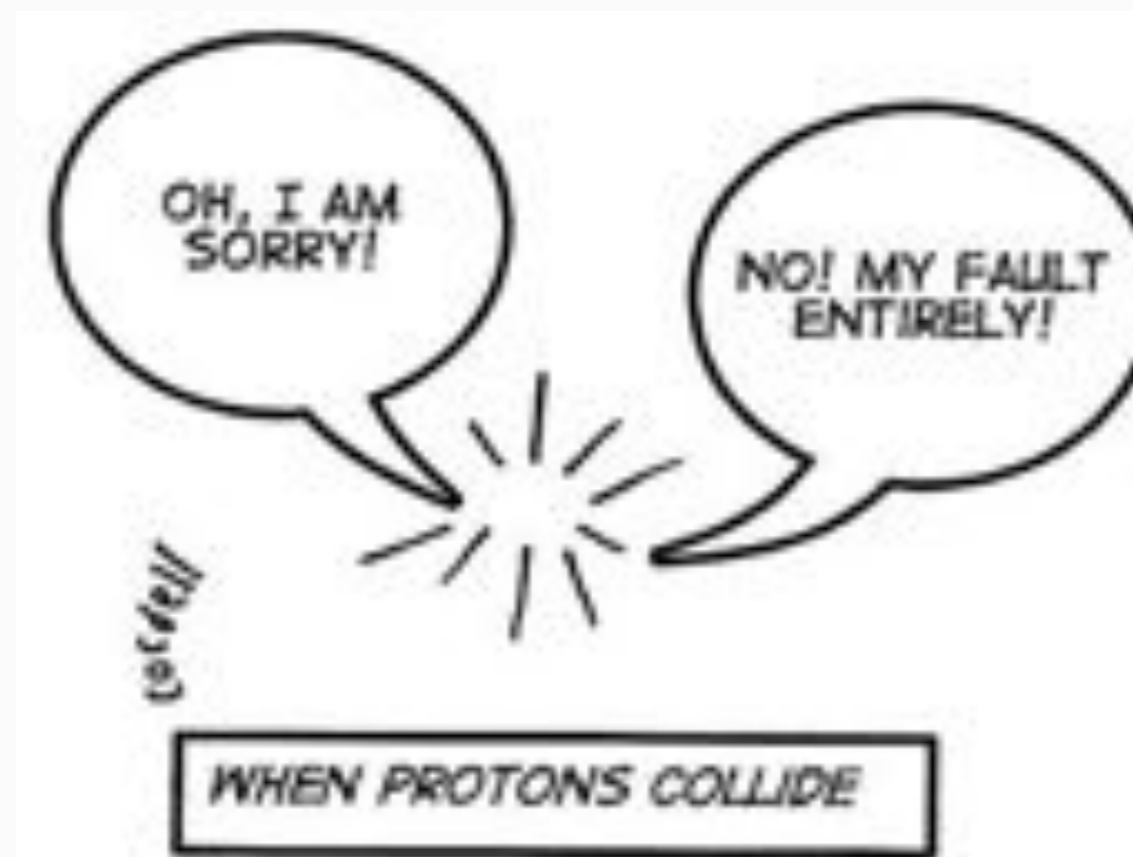
CMS-TOP-12-014



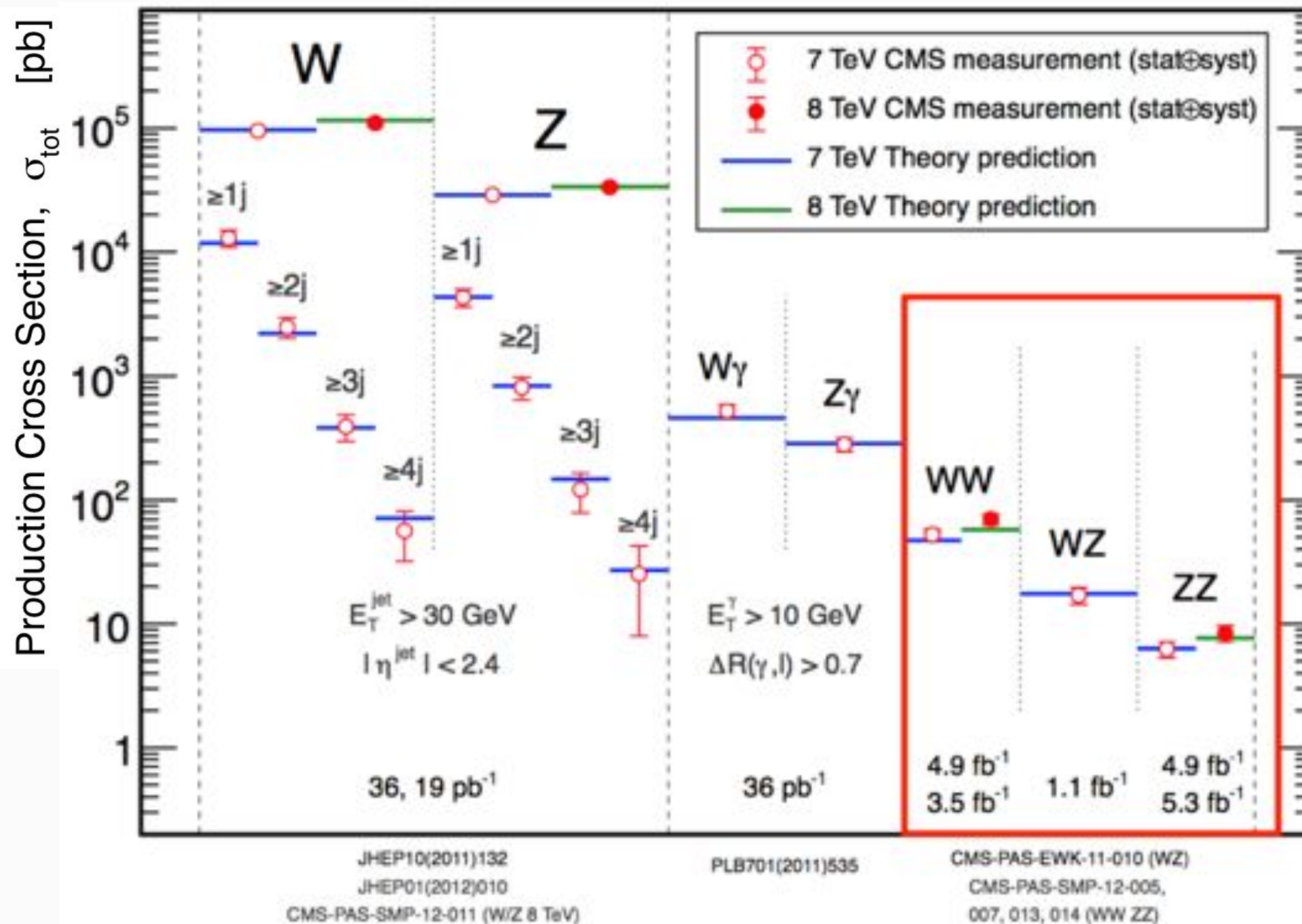




# Summary of Part 1



# Summary Part 1



If this is not a success of the SM (and all the theo. and exp. work invested),  
then what????



# Bonus Material (for free!)

# The Machine







# The LHC : design parameters

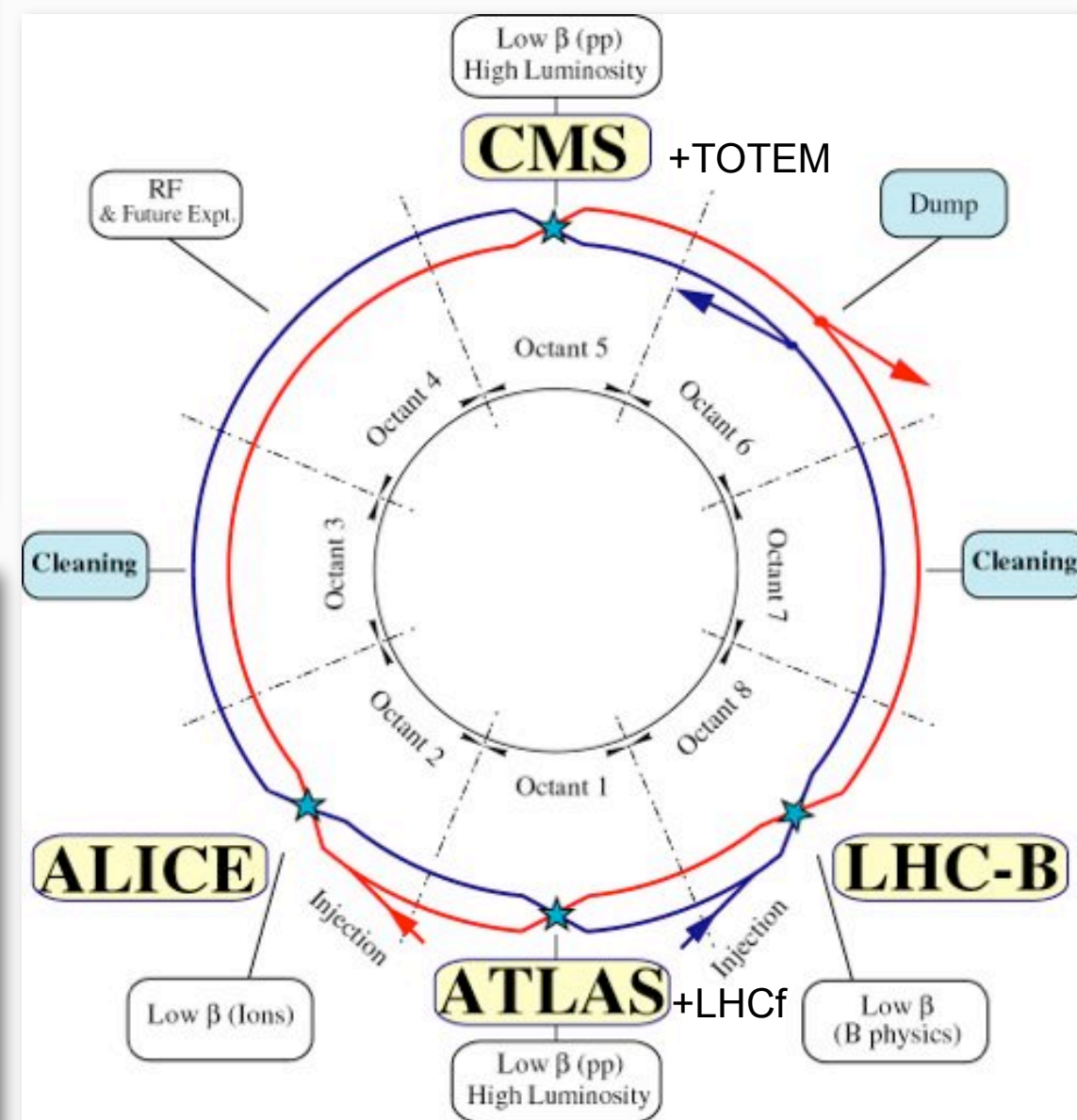


1232 superconducting dipoles  
 15m long at 1.9 K,  $B=8.33$  T  
 Inner coil diameter = 56 mm

max. beam-energy	7 TeV	(7x TEVATRON)
design Luminosity	$10^{34}$ cm <sup>-2</sup> s <sup>-1</sup>	(>100x TEVATRON)
Bunch spacing	24.95 ns	
Particles/bunch	$1.1 \cdot 10^{11}$	
Stored E/beam	362 MJ	

Also : Lead Ions operation

Energy/nucleon	2.76 TeV / u
Total initial lumi	$10^{27}$ cm <sup>-2</sup> s <sup>-1</sup>



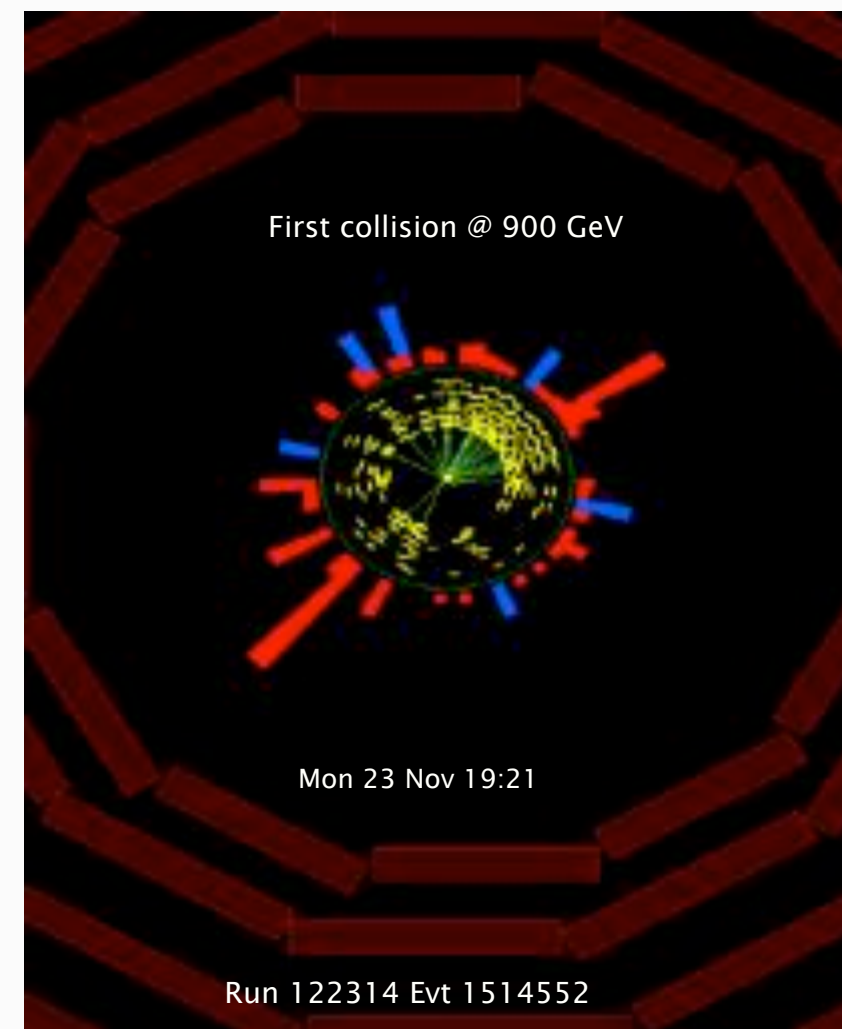
Unprecedented complexity:  
 10k magnets powered in 1700  
 electrical circuits



# The LHC Start-Up in 2009

- Nov.20: Start of 2009 beam circulation
- Nov. 23: First collisions at 900 GeV
- Nov. 26: First results shown publicly at CERN!
- Dec.6: First physics fills
- Dec.8: Acceleration
  - both beams ramped to 1.18 TeV each
- Dec.11: Higher proton intensities (7E10)
  - Starting to accumulate luminosity at 900 GeV
- Dec.14, Collisions at 2.36 TeV !

## First CMS Collision Event

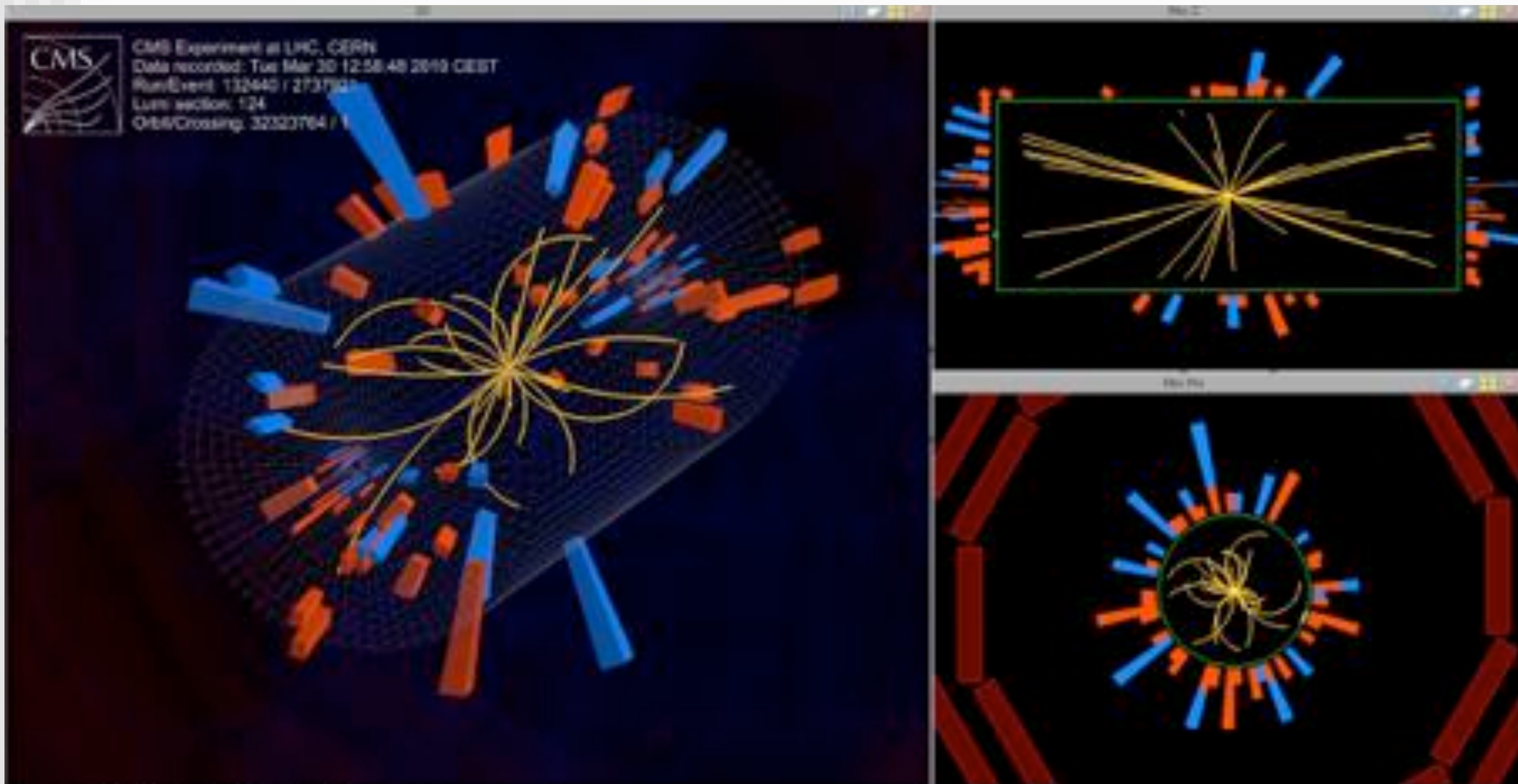


Scientists at Cern in Geneva have restarted the Large Hadron Collider (LHC) experiment, which hopes to shed light on the origins of the universe.





# First collisions in CMS at 7 TeV



within seconds: registered, reconstructed and displayed on screens

# LHC : Performance Limitations

Parameter/Effects	Limitations	Now
<b>Beam energy</b> limited by maximum dipole field. Industrially available technology.	7 TeV	3.5 -> 4 TeV
<b>Bunch and total beam intensity</b> beam-beam effect (tune spread), small allowed space in Q-space, collimators (impedance, collective instabilities), electron cloud, radiation	$N < 1.7 \cdot 10^{11}$ $N_{\text{nom}} = 1.15 \cdot 10^{11}$ $I < 0.85 \text{ A}$	<b><math>N \sim 1.5 \cdot 10^{11}</math></b>
<b>Normalized emittance</b> Limited by injectors and main dipole aperture	$\epsilon_n < 3.75 \mu\text{m}$	<b>1.9 - 2.4 <math>\mu\text{m}</math></b>
<b>Beam size at IP (<math>\beta^*</math>)</b> Limited by (triplet) quadrupole aperture	$0.55 \text{ m} < \beta^* < 1 \text{ m}$ $\sigma \sim 17 \mu\text{m}$	0.6 m $\sigma \sim 20 \mu\text{m}$
<b>Crossing angle</b> Limited by (triplet) quadrupole aperture	300 $\mu\text{rad}$	290 $\mu\text{rad}$
<b>Number of (colliding) bunches</b> Limited by stored beam energy, electron cloud eff.	2808	1368
<b>Luminosity</b>	$1 \cdot 10^{34}$	$\sim 6 \times 10^{33}$

**Legend:**

N : particles/bunch

n : nr. of bunches

I : current / beam

$\epsilon_n = \epsilon \gamma$ ,  $\epsilon$  : emittance

$\beta^*$  :  $\beta$  at IP

Beam size  $\sigma^2 = \beta \epsilon$

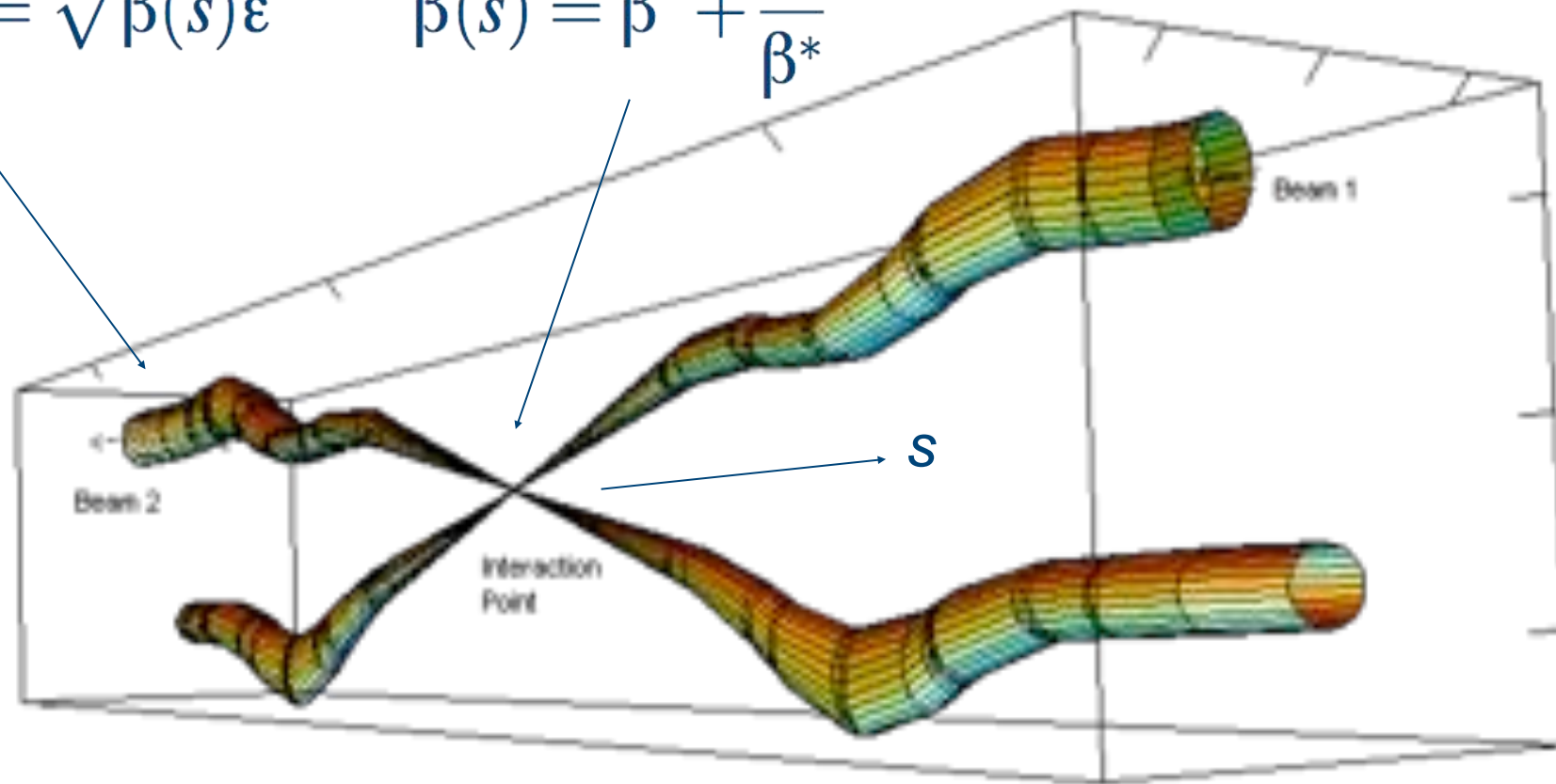
Q : tune (number of trans. oscil./turn)



# LHC : Performance Limitations

$$\sigma = \sqrt{\beta(s)\epsilon}$$

$$\beta(s) = \beta^* + \frac{s^2}{\beta^*}$$



Relative beam sizes around IP1 (Atlas) in collision

$$\sigma^* = 16.6 \mu\text{m} \xrightarrow{\sim 23\text{m}} \sigma(\text{triplet}) = 1.54 \text{ mm}$$

Limited by (triplet) quadrupole aperture

## Number of bunches

Limited by stored beam energy, electron cloud eff.

## Luminosity

LOW

TeV

$1.5 \cdot 10^{11}$

$2.3 \mu\text{m}$

m

$23 \mu\text{m}$

ured

### Legend:

N : particles/bunch

n : nr. of bunches

I : current / beam

$\epsilon_n = \epsilon\gamma$ ,  $\epsilon$  : emittance

$\beta^*$  :  $\beta$  at IP

Beam size  $\sigma^2 = \beta\epsilon$

Q : tune (number of trans. oscil./turn)

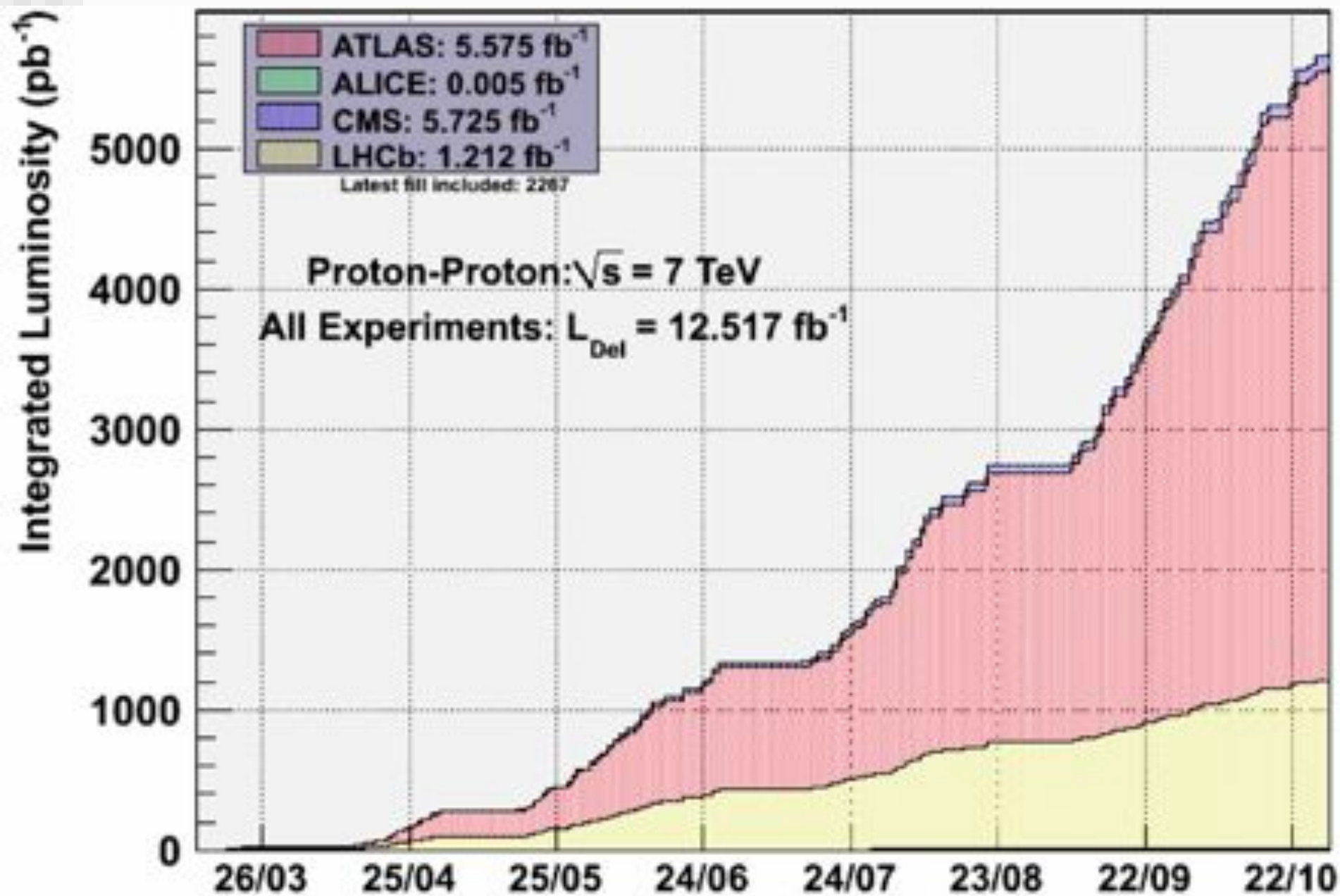
Recent records courtesy ATLAS (18th June 2012)

Peak Stable Luminosity Delivered	$6.76 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$
Maximum Luminosity Delivered in one fill	$237.32 \text{ pb}^{-1}$
Maximum Luminosity Delivered in one day	$250.94 \text{ pb}^{-1}$
Maximum Luminosity Delivered in 7 days	$1350.14 \text{ pb}^{-1}$
Maximum Colliding Bunches	1380
Maximum Peak Events per Bunch Crossing	43.81
Maximum Average Events per Bunch Crossing	31.87
Longest Time in Stable Beams for one fill	22.8 hours
Longest Time in Stable Beams for one day	20.5 hours (85.6%)
Longest Time in Stable Beams for 7 days	90.0 hours (53.6%)
Fastest Turnaround to Stable Beams	2.13 hours



# Performance in 2011

Fournier, HCP2011



**Typical efficiency of experiments:**

data taking eff  $> \sim 90\%$

fraction of good quality data  $\sim 85\text{-}90\%$

**==> ATLAS and CMS have about  $4.7 \text{ fb}^{-1}$  of good data in hand**

(was  $\sim 36 \text{ pb}^{-1}$  in 2010)

Factor  $\sim 20$  gain in peak luminosity w.r.t. 2010, mainly thanks to : number of bunches,  $\beta^*$ , emittance

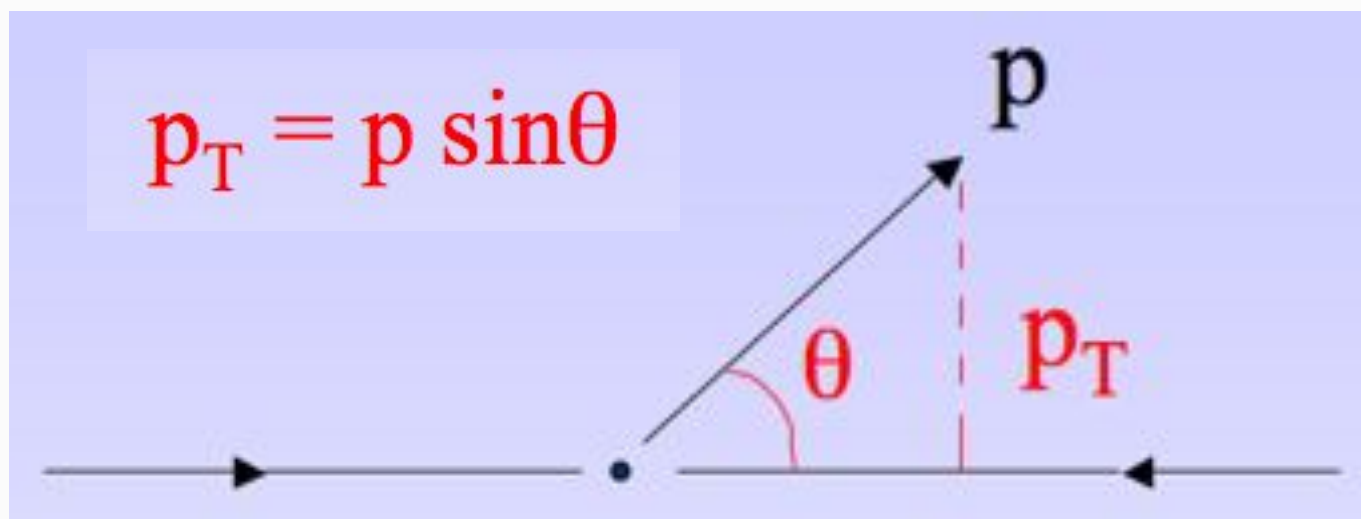


# The experiments: Expectations, requirements, performance



proton - proton collisions are complex....

# Variables used in pp collisions

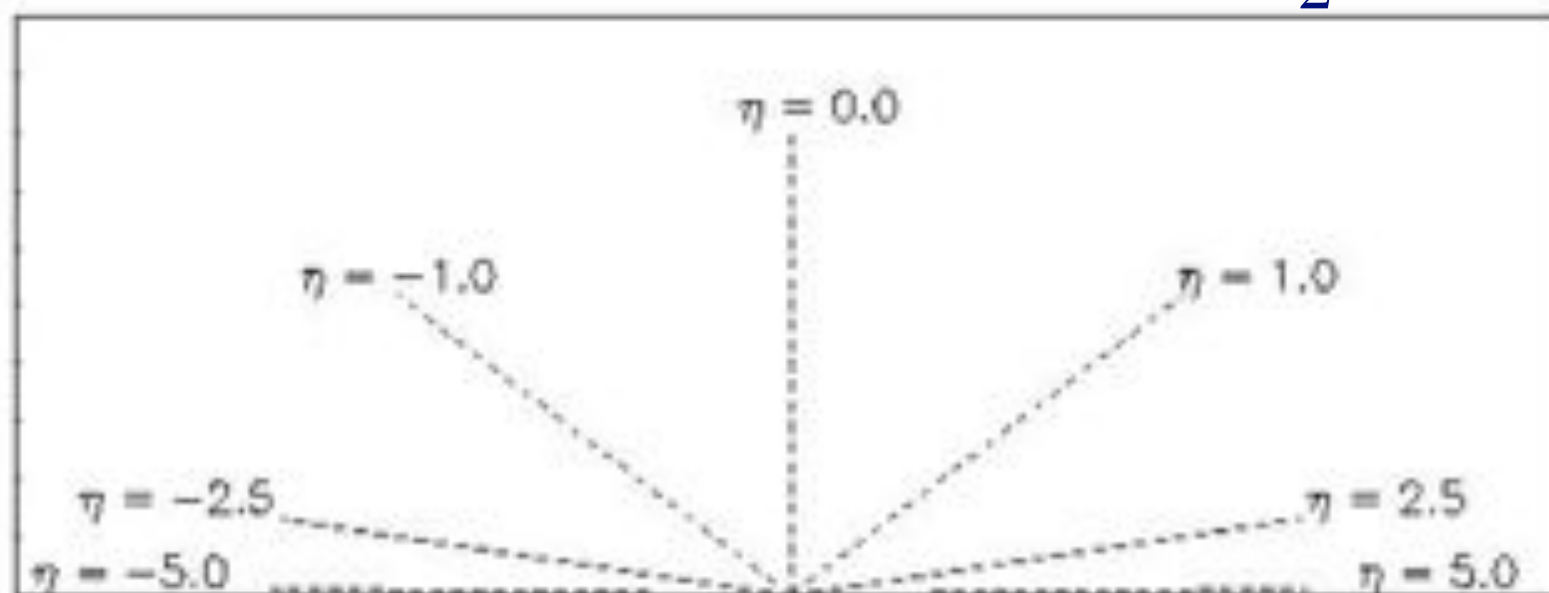


## Transverse momentum

(in the plane perpendicular to the beam)

**Rapidity**  $y = \frac{1}{2} \ln \left( \frac{E + p_L}{E - p_L} \right)$

**(Pseudo)-Rapidity**  $\eta = - \ln \tan \frac{\theta}{2}$



$\theta = 90^\circ$	$\rightarrow$	$\eta = 0$
$\theta = 10^\circ$	$\rightarrow$	$\eta \approx 2.4$
$\theta = 170^\circ$	$\rightarrow$	$\eta \approx -2.4$
$\theta = 1^\circ$	$\rightarrow$	$\eta \approx 5.0$



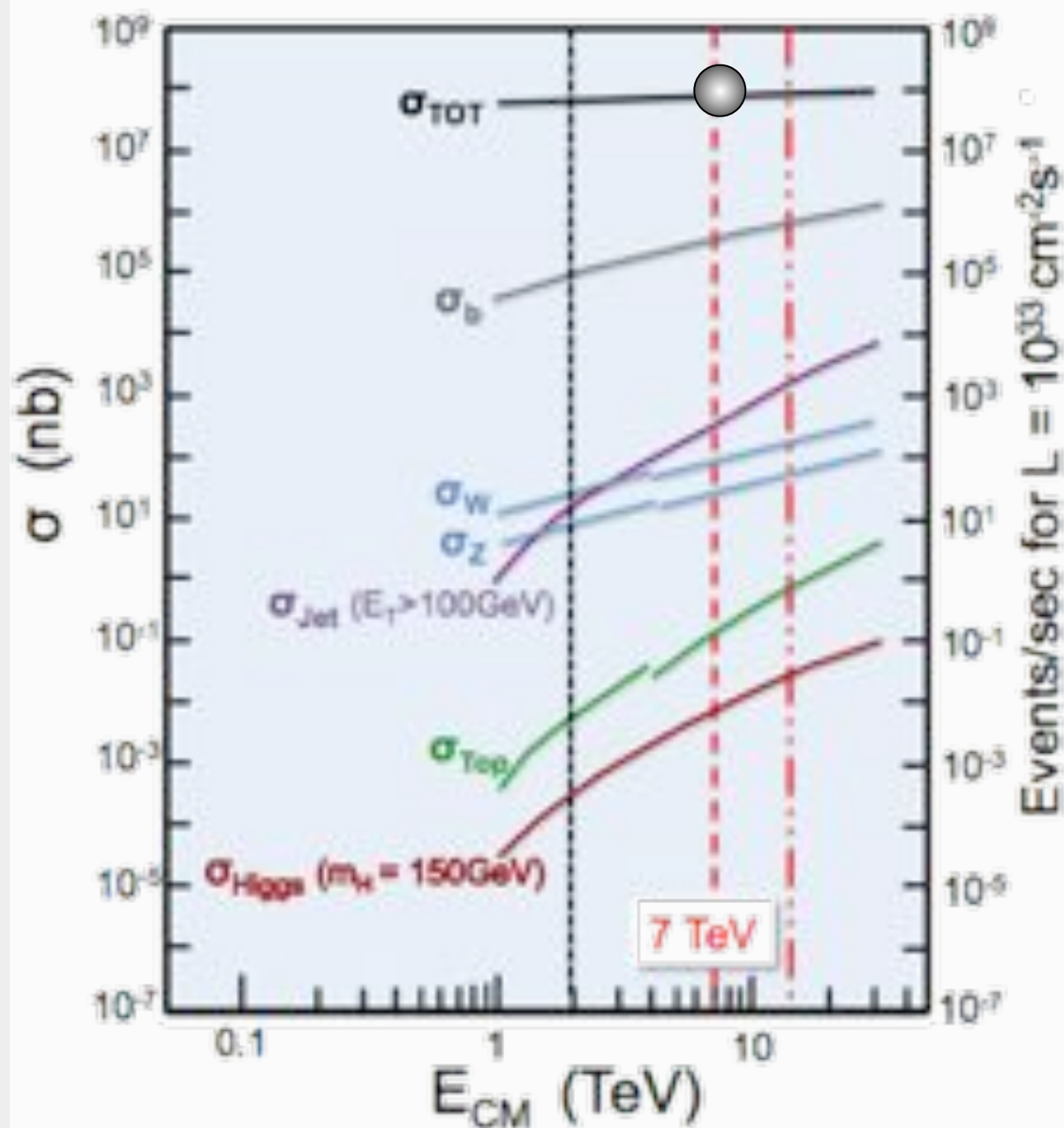


# Expected Physics : 1

## Inelastic low- $p_T$ pp collisions

Most processes are due to soft and semi-soft interactions between incoming protons

- particles in the final state have large longitudinal, but small transverse momentum  $\rightarrow$  small momentum transfer:
  - several hundreds of MeV



### Low- $p_T$ inelastic pp-collisions: “Minimum Bias events”

Parameters (multiplicity etc) poorly known!  
Important for tuning MC simulations,  
and understanding of Pile-Up effects

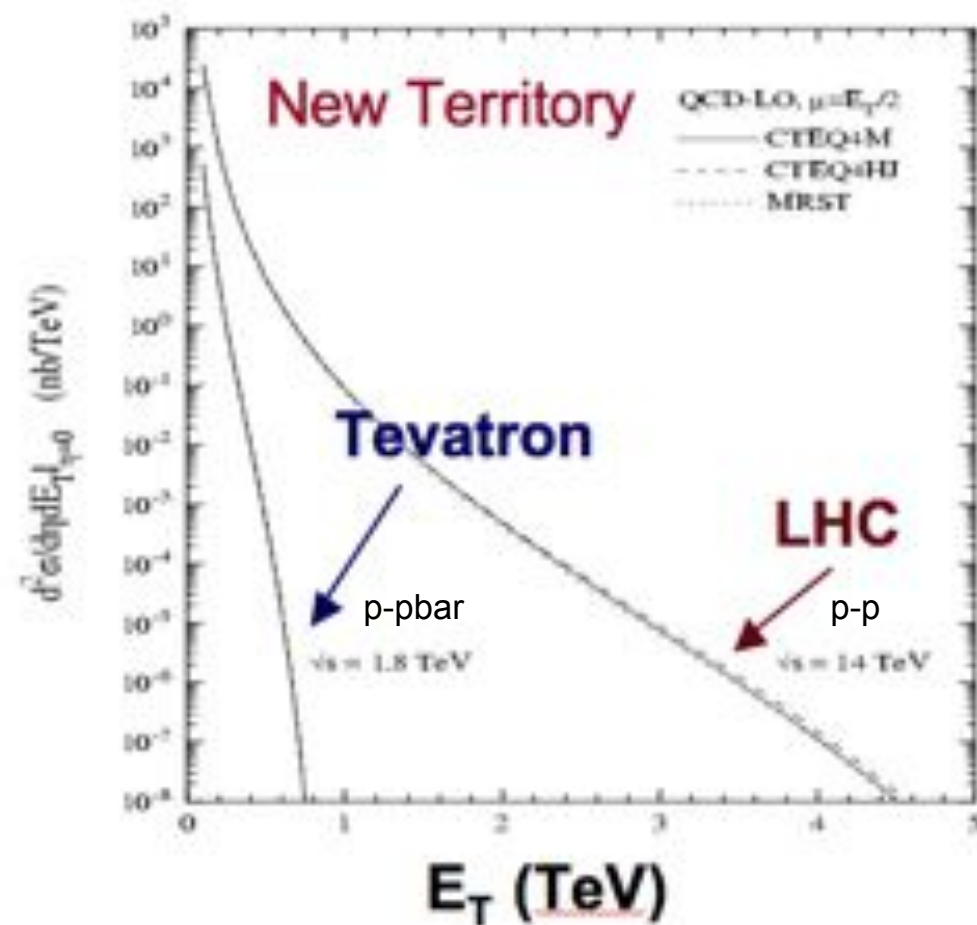
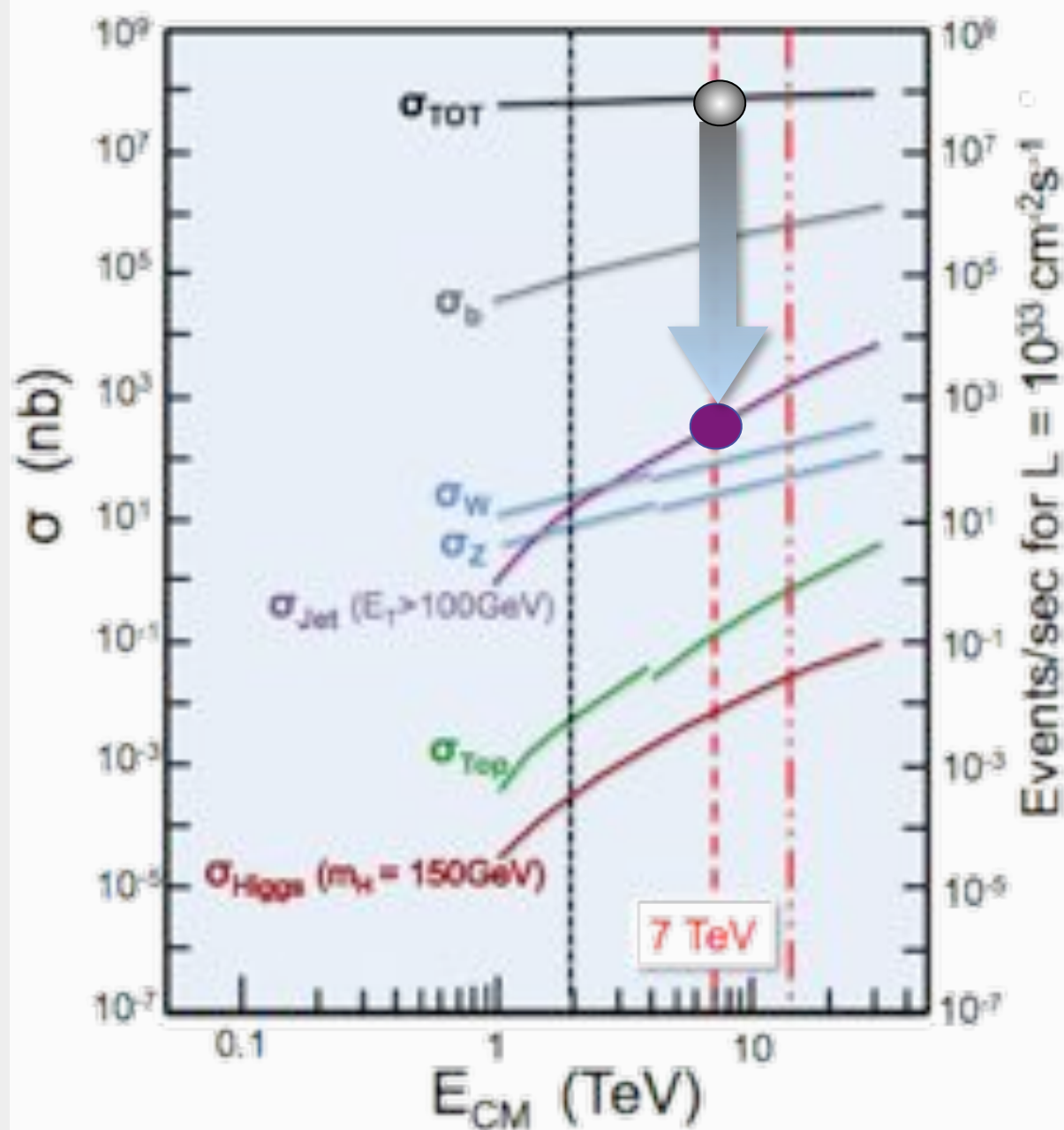
- particle density:
  - $\sim 4 - 6$  charged particles (pions) plus  $\sim 2 - 3$  neutrals ( $\pi^0$ ) per unit of pseudorapidity in the central detector region (and  $\sim$ flat in rap)
- uniformly distributed in  $\varphi$
- average  $p_T \sim$  few hundreds of MeV



# Expected Physics : 2

## Measure Jet cross sections

- $E_T^{\text{Jet}} > 500$  GeV after a few months at startup
- Going fast beyond the TEVATRON reach
  - early sensitivity to compositeness



- requires good understanding of jets (algorithms, production, jet energy scale), PDFs, pile-up, underlying event, ...
- Thus : **good calorimetry!!**

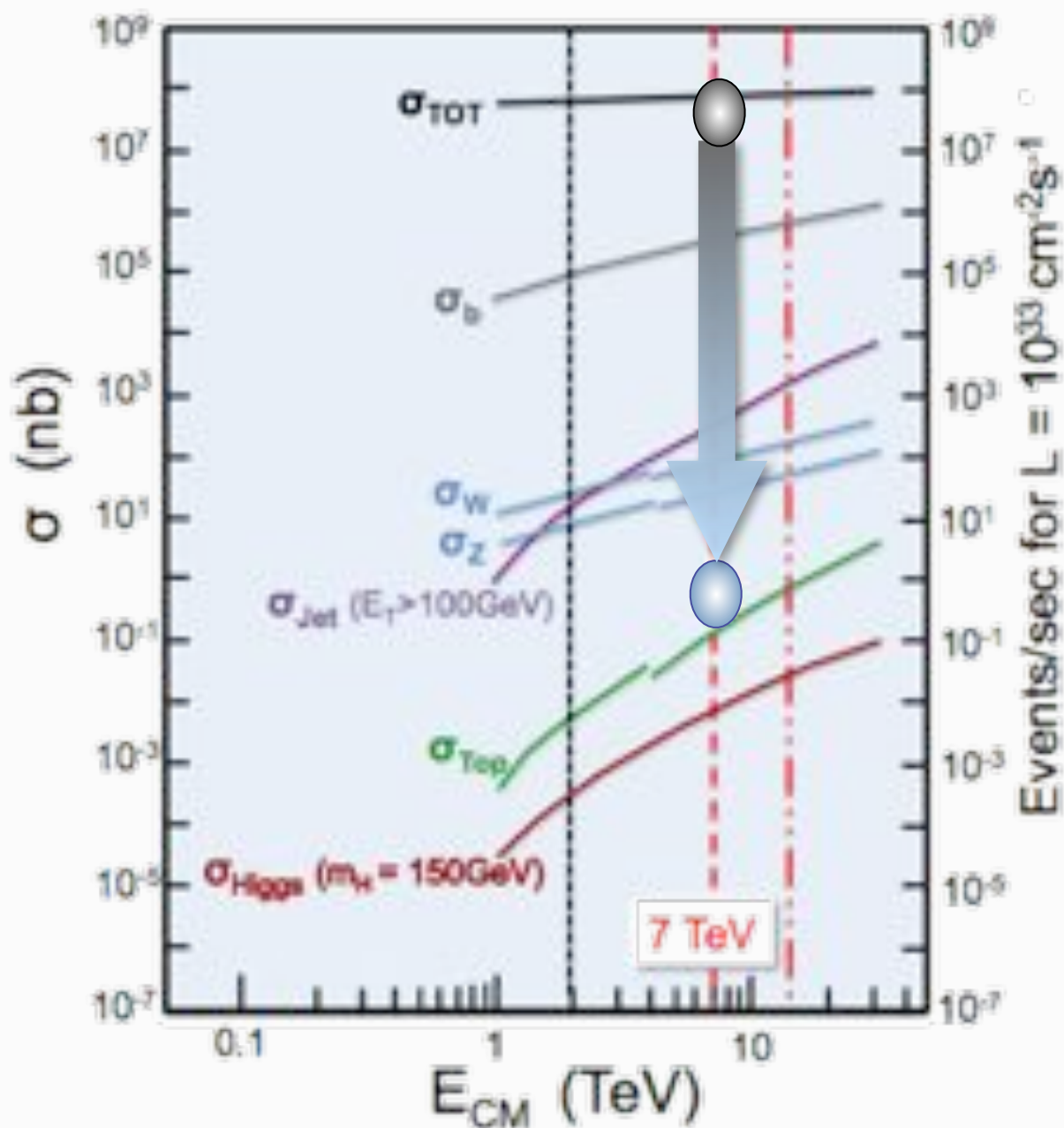




# Expected Physics : 3

## The Electroweak Sector

- test (re-establish the SM) and then go beyond
- most SM cross sections are significantly higher than at the TEVATRON
  - eg. 100x larger top-pair production cross section
  - the LHC is a top, b, W, Z, ..., Higgs, ... factory



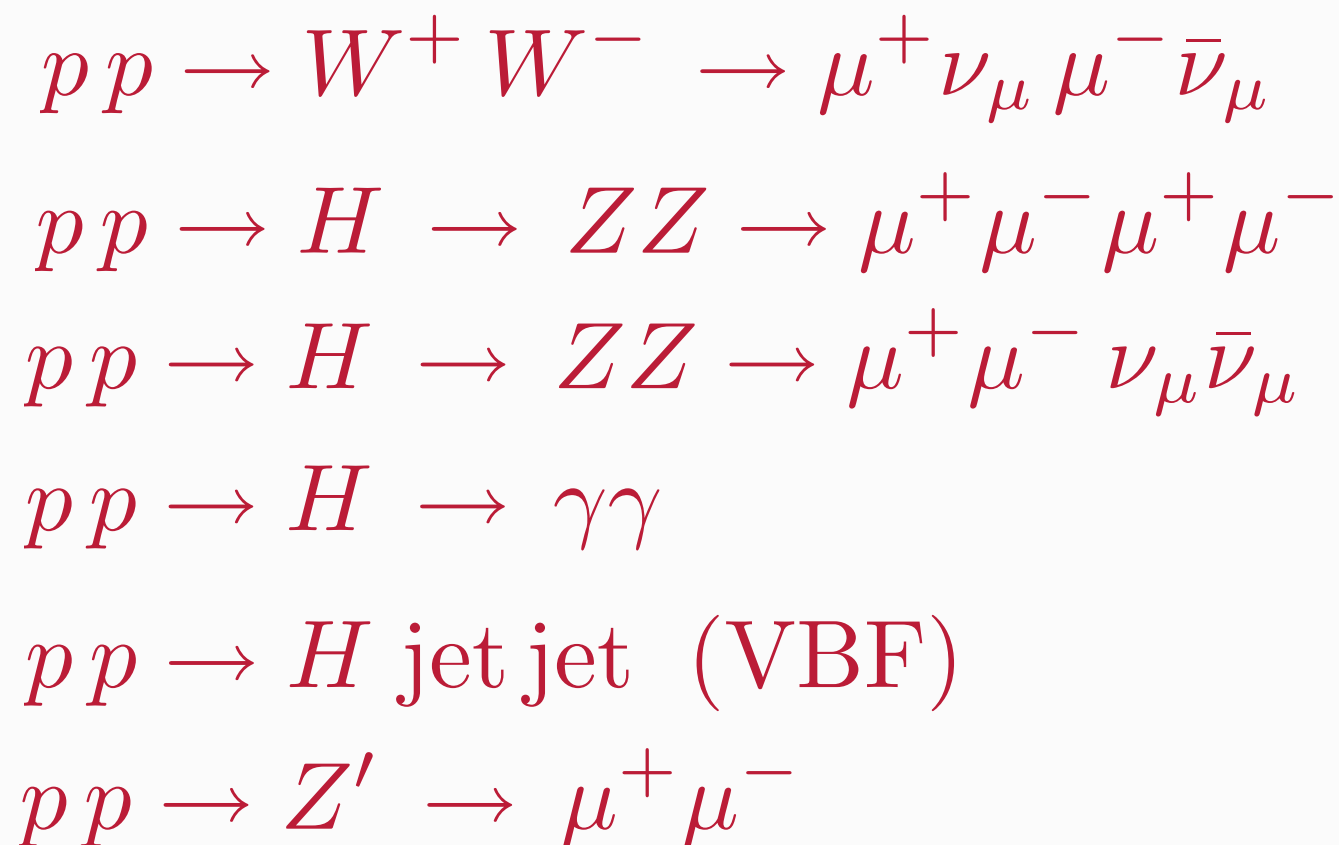
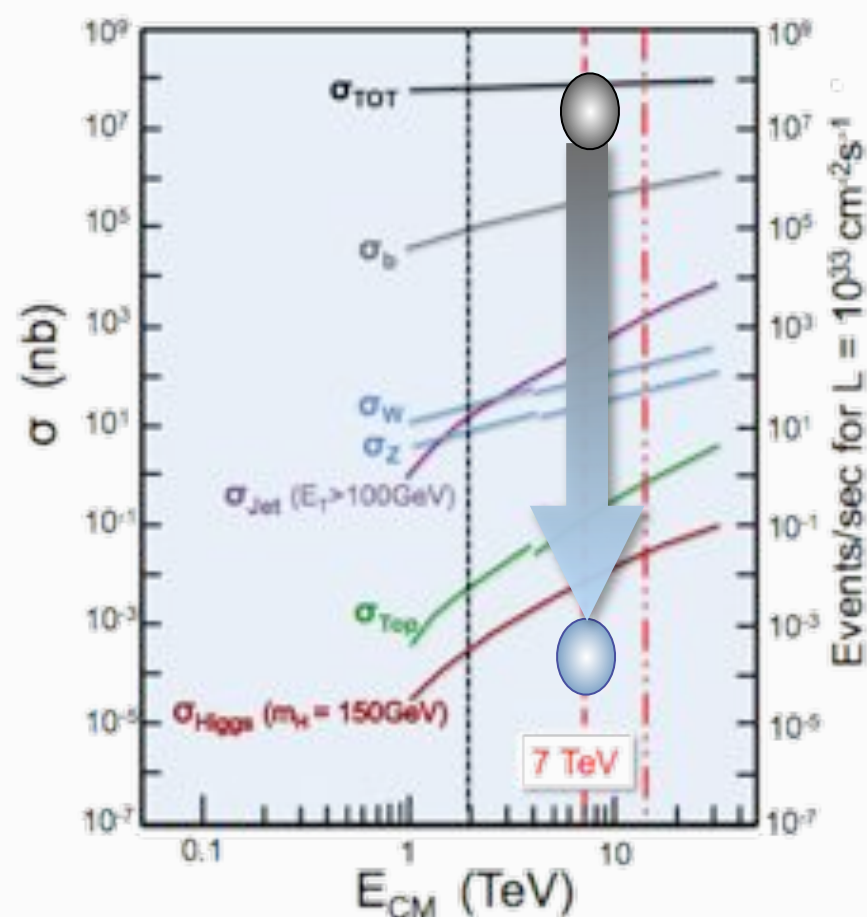
**Important:**  
 Concentrate on final states  
 with high- $p_T$  and isolated  
**leptons and photons**  
 (+ jets)

Otherwise overwhelmed by  
 QCD jet background!!



# Benchmarks

- Some benchmark processes of the early days, which influenced certain design parameters:
  - Basic processes relevant for studying electro-weak symmetry breaking (as seen in early days):



- All cross sections (times BR) of order 1 - 100 fb : determines needed luminosities for sizable statistics





# So, some numbers to remember

- For some nominal numbers, eg. a bunch spacing of 25 ns:
  - relevant cross sections for testing of EWK symmetry breaking of order 1 - 100 fb<sup>-1</sup>
  - Running time per year  $T \sim 10^7$  secs (don't forget efficiency factors....)

$$\text{for } \mathcal{L} = 10^{34} / \text{cm}/\text{sec} = 10^{-5} \text{ fb}^{-1} / \text{sec}$$

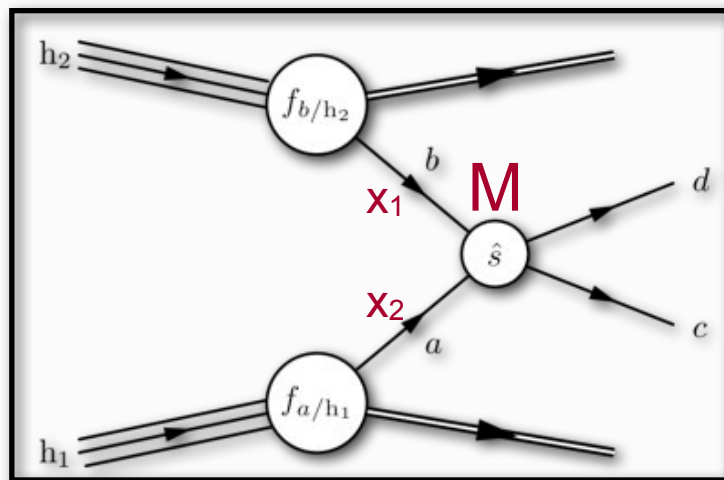
$$N = (\mathcal{L} \cdot T) \sigma \Rightarrow 100 \text{ events per year for } \sigma = 1 \text{ fb}$$

- Total rate of inelastic events  $R = \sigma_{\text{inel}} \mathcal{L} \approx (100 \text{ mb}) (10^7 \text{ mb}^{-1} / \text{sec}) = 10^9 \text{ events}/\text{sec}$
- Number of inelast. events per bunch crossing =  $10^9 / \text{sec} * 25 * 10^{-9} \text{ sec} = 25$  (pile-up)!
- Number of chg. particles per bunch x-ing :  $25 * N(\text{pions})/\text{rap} * (2 y_{\text{max}}) \sim 2000$  !!
- Thus have an issue with **radiation levels!** (and pile up ... )

# Production of heavy states

## Heavy particles are produced “more centrally”

example: single heavy resonance (eg.  $Z'$ ) of mass  $M$ , Energy  $E$ , rapidity  $y$  :

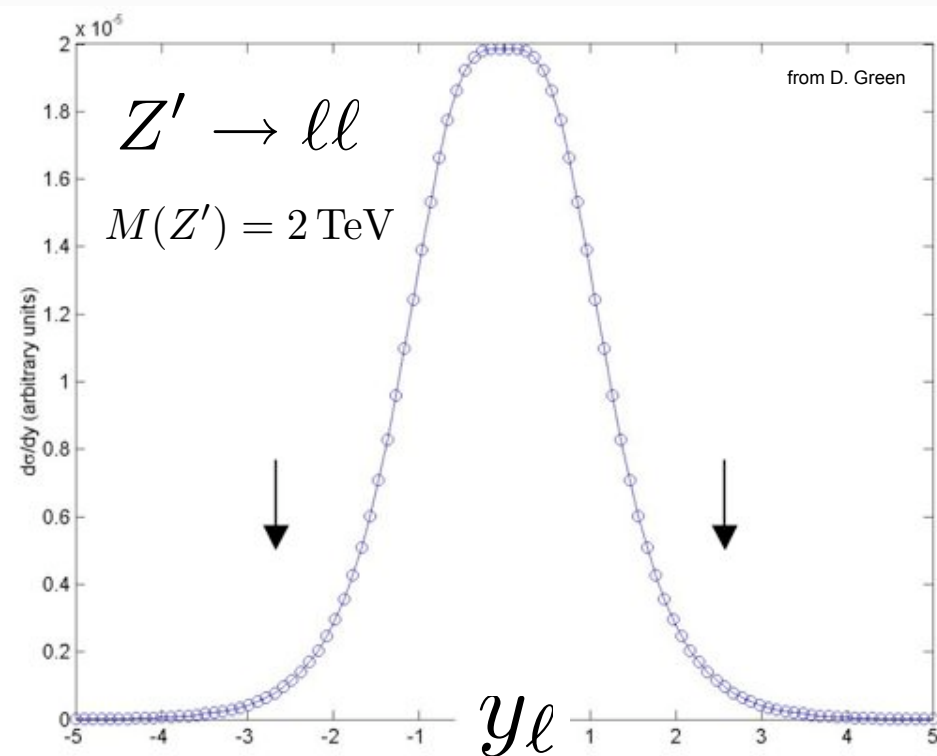


$$\hat{s} = x_1 x_2 s = M^2 \quad x_1 \approx x_2 \rightarrow x_{1,2} = \frac{M}{\sqrt{s}}$$

$$E = \frac{\sqrt{s}}{2} (x_1 + x_2) \quad p_L = \frac{\sqrt{s}}{2} (x_1 - x_2)$$

$$y = \frac{1}{2} \ln \frac{E + p_L}{E - p_L} \Rightarrow e^y = \sqrt{\frac{x_1}{x_2}} \Rightarrow y \rightarrow 0 \text{ for } x_1 \approx x_2$$

$$x_{1,2} = \frac{M}{\sqrt{s}} e^{\pm y}$$



Thus important to concentrate on precision tracking/calorimetry in area of approx.  $|y| < 2.5$





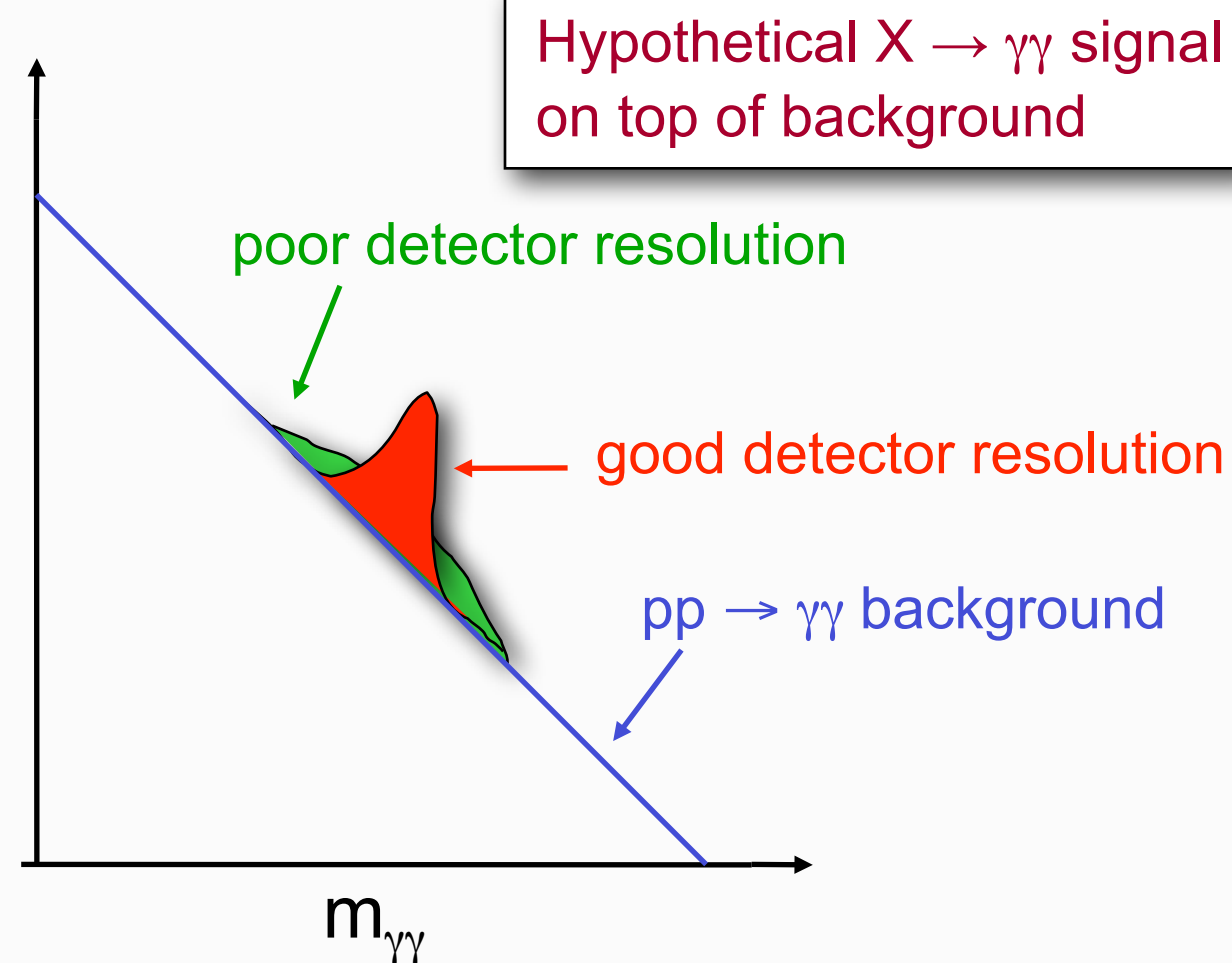
# Examples of detector performance requirements

Lepton measurement:  $p_T \approx \text{GeV} \rightarrow \text{a few TeV}$  ( $b \rightarrow l+X, W/Z, W'/Z', \dots$ )

## Mass resolutions:

$\approx 1\%$  decays into leptons or photons  
(Higgs, new resonances)

$\approx 10\%$   $W \rightarrow jj, H \rightarrow bb$   
(top physics, Higgs, ...)



## Particle identification:

- b/jet separation :  $\epsilon (b) \approx 50\%$   $R(\text{jet}) \approx 100$  ( $H \rightarrow bb, \text{SUSY, 3rd generation !!}$ )
- $\tau$ /jet separation :  $\epsilon (\tau) \approx 50\%$   $R(\text{jet}) \approx 100$  ( $A/H \rightarrow \tau\tau, \text{SUSY, 3rd generation !!}$ )
- $\gamma$ /jet separation :  $\epsilon (\gamma) \approx 80\%$   $R(\text{jet}) > 10^3$  ( $H \rightarrow \gamma\gamma$ )
- e/jet separation :  $\epsilon (e) > 70\%$   $R(\text{jet}) > 10^5$  (inclusive electron sample)



# Tools and Methods





# Our Master Equation

Event rates (absolute, relative, differential)  
 Stat vs syst errors, backgrounds from data or MC?  
 Resolution, Energy Scale, Signal Significance

$$\sigma_{\text{meas}} = \frac{N_{\text{obs}} - N_{\text{bkg}}}{\epsilon L}$$

Proton-Proton Luminosity  
 uncertainty < 5%

**Experimental issues** : Triggers, reconstruction, isolation cuts, low- $p_T$  jets (jet veto)  
 acceptance, efficiency determination (tag&probe)

**Theoretical issues** :  $p_T$  distributions at NLO + resummation;  
 differential calculations for detectable acceptance.

$$\sigma_{\text{theo}} = PDF(x_1, x_2, Q^2) \otimes \hat{\sigma}_{\text{hard}}$$

constrain, define uncertainties

HO calculations,  
 implement in MC

Goal : test SM (in)consistency :  $\sigma_{\text{exp}} \pm \Delta_{\text{exp}} \stackrel{?}{=} \sigma_{\text{SM}} \pm \Delta_{\text{th}}$





# Efficiencies and Acceptance

$$\sigma_{\text{meas}} = \frac{N_{\text{obs}} - N_{\text{bkg}}}{\varepsilon L}$$



# Efficiencies and acceptances

$$\sigma_{\text{meas}} = \frac{N_{\text{obs}} - N_{\text{bkg}}}{\epsilon L}$$

**Identification eff.**

Number of “reconstructed” objects/events,  
which have passed the ID criteria

Number of “all reconstructed” objects/events

**Trigger eff.**

Number of “detectable” objects/events,  
which have been triggered on

Number of “all detectable” objects/events

$$= \epsilon_{\text{ID}} \cdot \epsilon_{\text{RECO}} \cdot \epsilon_{\text{TRIG}} \cdot A$$

**Acceptance**

Number of “detectable” objects/events

Number of “all produced” objects/events

**Reconstruction eff.**

Number of “detectable, triggered” objects/events,  
which have been reconstructed

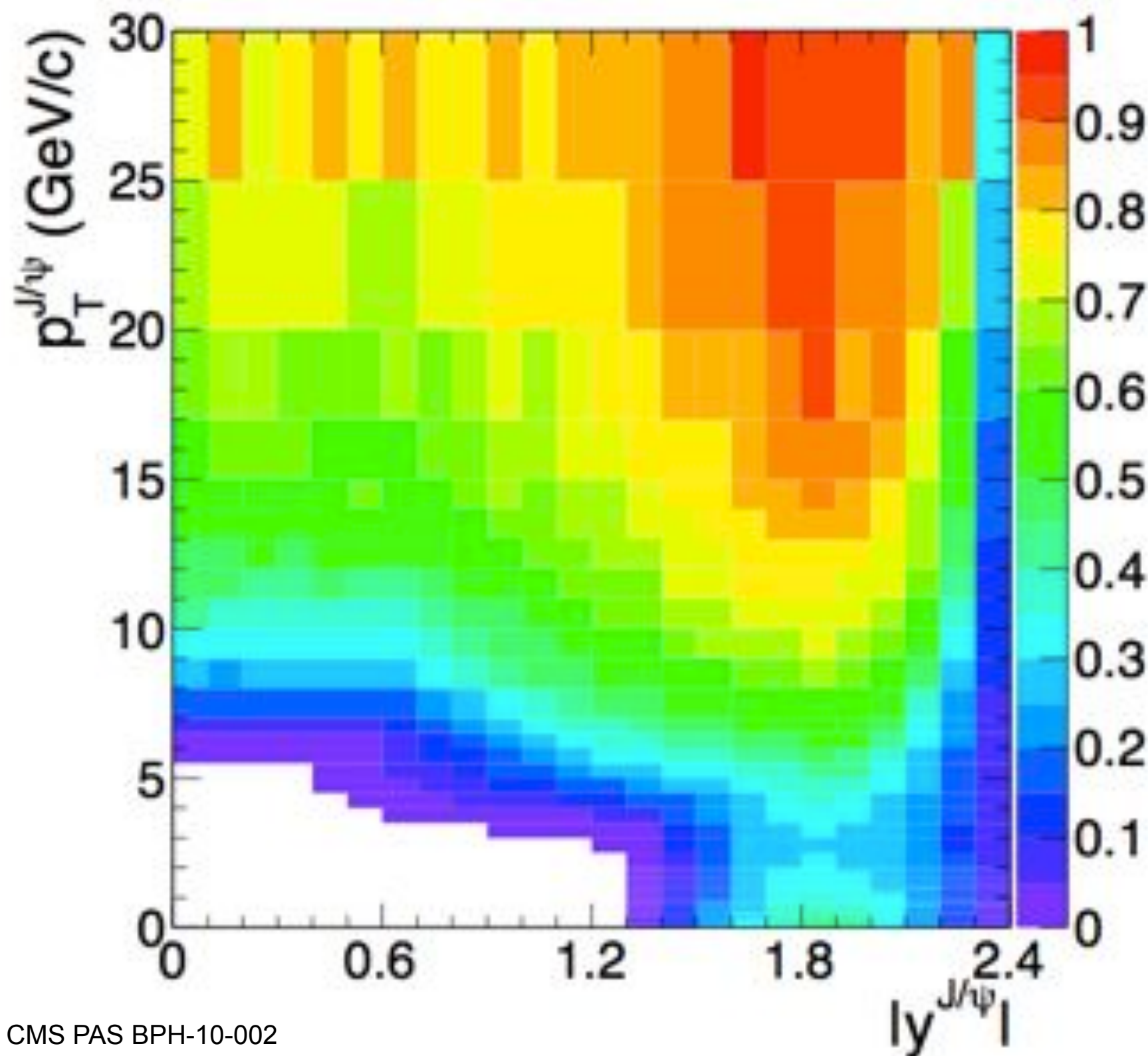
Number of “detectable/triggered” objects/events

**example, from MC:**

N(muons) with  $p_T > 10$  GeV and  $\eta < 2$

N(all generated muons)

# Acceptance, Example



CMS PAS BPH-10-002

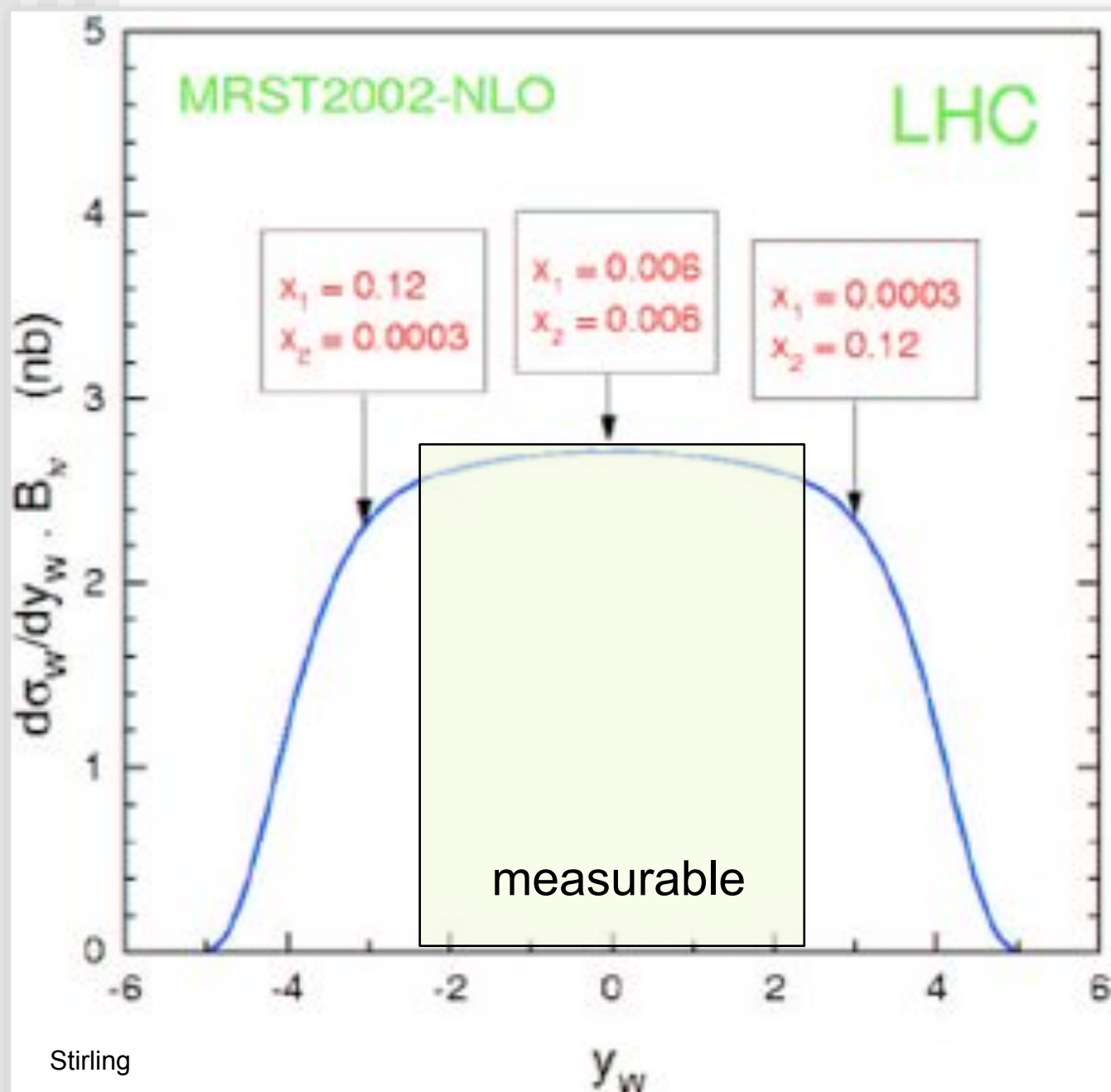
- A “tricky” case:
  - Acceptance for  $J/\Psi$ , in CMS at 7 TeV
  - as a function of the  $p_T$  and rapidity of the  $J/\Psi$
  - this is a convolution of the acceptances for the two muons, coming from the  $J/\Psi$  decay
  - Muon acceptance strongly determined by detector geometry and magnetic field, as well as muon penetration power (iron thickness vs. momentum)





# Issue of acceptance...

- Example: W or Z production



- Again, is a convolution of the acceptances for the leptons

- Do we really want to correct for acceptance?

## Pros:

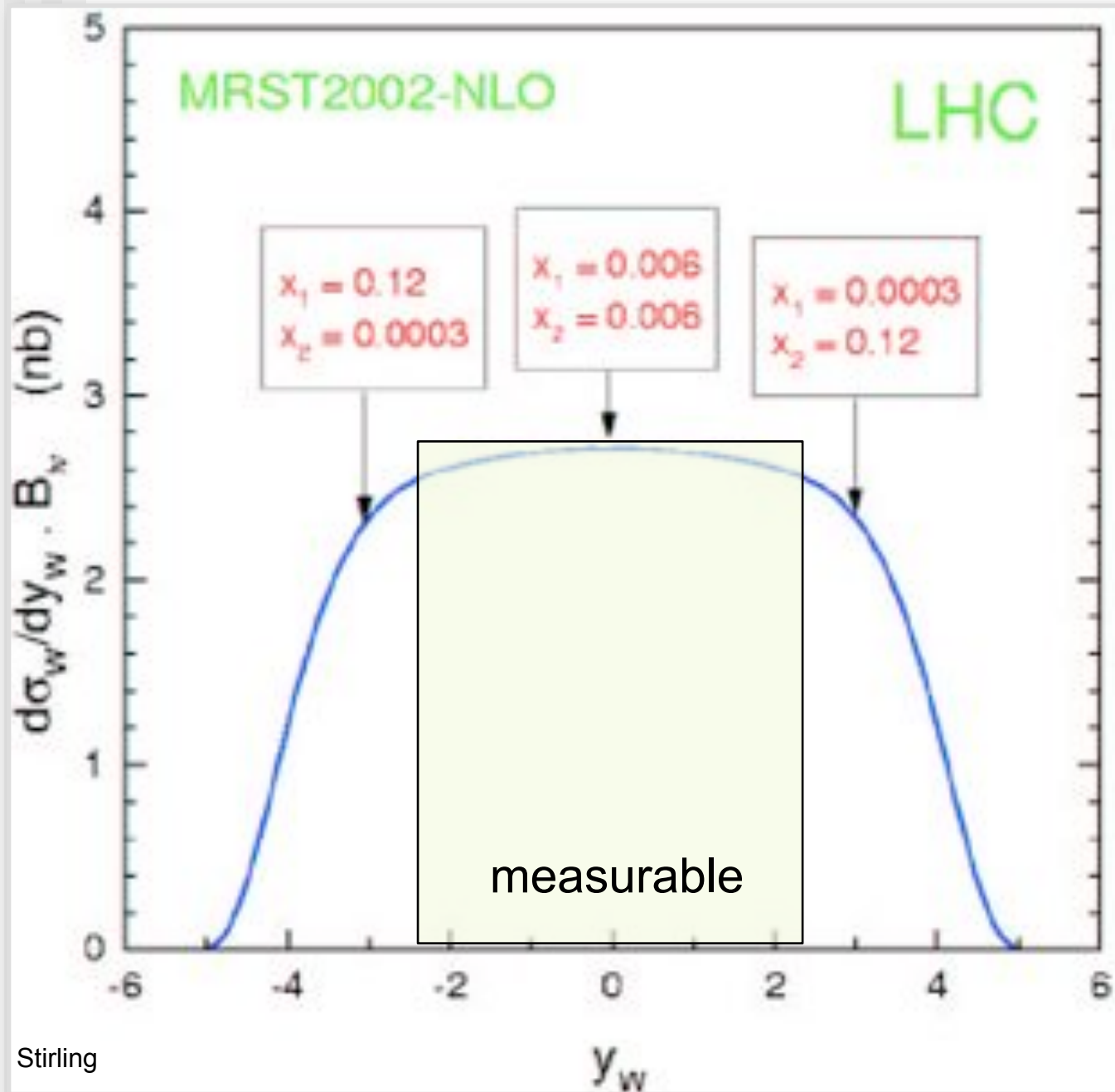
- The cross section measurement can be directly compared to other (corrected) measurements, from other expts
- The measurement can be compared to theory predictions which cannot be obtained for arbitrary acceptance

## Cons:

- The measurement becomes model dependent
- we introduce a systematic error, eg. because of uncertain extrapolation to full acceptance

# Issue of acceptance...

- Example: W or Z production



if we want **precise measurement** of Luminosity or some parameter in hard-interaction cross section, it is essential to have HO calc.

restricted to measurable  
acceptance

⇒ avoid **extrapolation errors**

eg. from extrapolation to large  $y_W$  where uncertainties from pdfs are large!

... fortunately, nowadays more and more fully differential calculations are available.... thus it becomes possible to calculate “EXACTLY” what is measured....



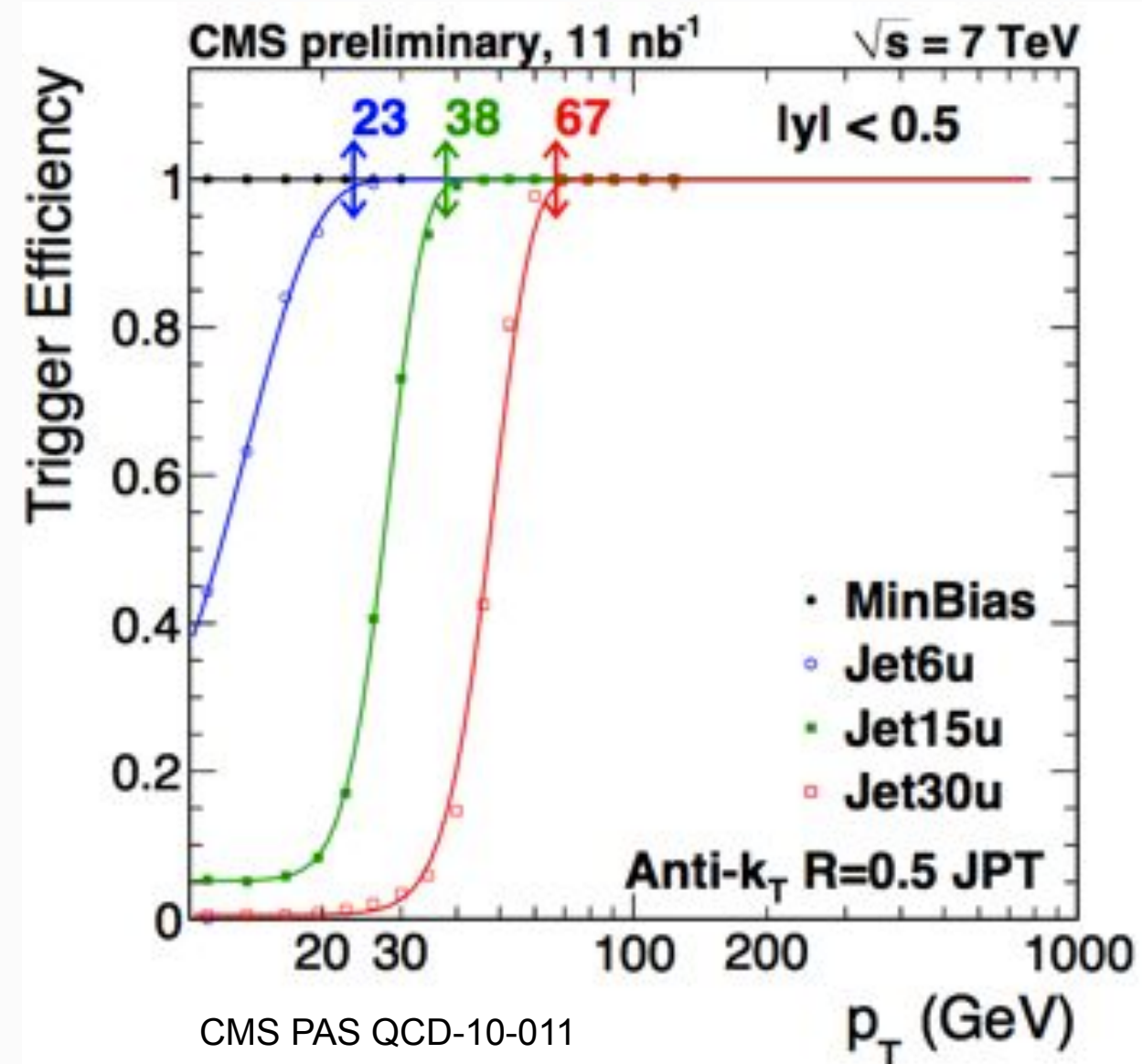
# Trigger efficiencies

- **Usual recipe:** try to have a “more inclusive” trigger, where you “know” that it is “100% efficient”, and calculate rate w.r.t. this one
- Example: trigger rate for a Jet Trigger with  $E_T > 15$  GeV:

$$\epsilon_{\text{TRIG}} = \frac{N(\text{Jet15 Trigger AND MinBias Trigger})}{N(\text{MinBias Trigger})}$$

- **Minimum Bias Trigger:** a minimal set of selection criteria are applied, eg. a few hits in the beam scintillation counters
- compare, eg. to **Zero Bias Trigger**
- Then, the efficiency of a higher Jet ET trigger, eg. 30 GeV, can be found from:

$$\epsilon_{\text{TRIG}} = \frac{N(\text{Jet30 Trigger AND Jet15 Trigger})}{N(\text{Jet15 Trigger})}$$



- Typically, apply selection cuts only above a  $p_T$  where your trigger is >99% efficient!





# The Tag & Probe Method

- Useful to measure efficiencies from data
  - trigger eff, reconstruction eff., identification eff.
  - eg. **single muon trigger eff.**: what is the fraction of reconstructed muons, which would also have been triggered on?
  - eg. **electron ID eff**: what is the fraction of reconstructed electron candidates, which also pass a tight isolation criterium?

$$\epsilon_{ID} = \frac{N(\text{ Probes which pass further criteria})}{N(\text{ all tags})}$$

**Probe Object:** “loosely” selected:  
now apply further criteria

**Tag Criterium:** eg. di-lepton system close to invariant mass of Z or J/Psi; or a very pure W candidate: one isolated lepton, large MET, no further activity in the event, transverse mass > X

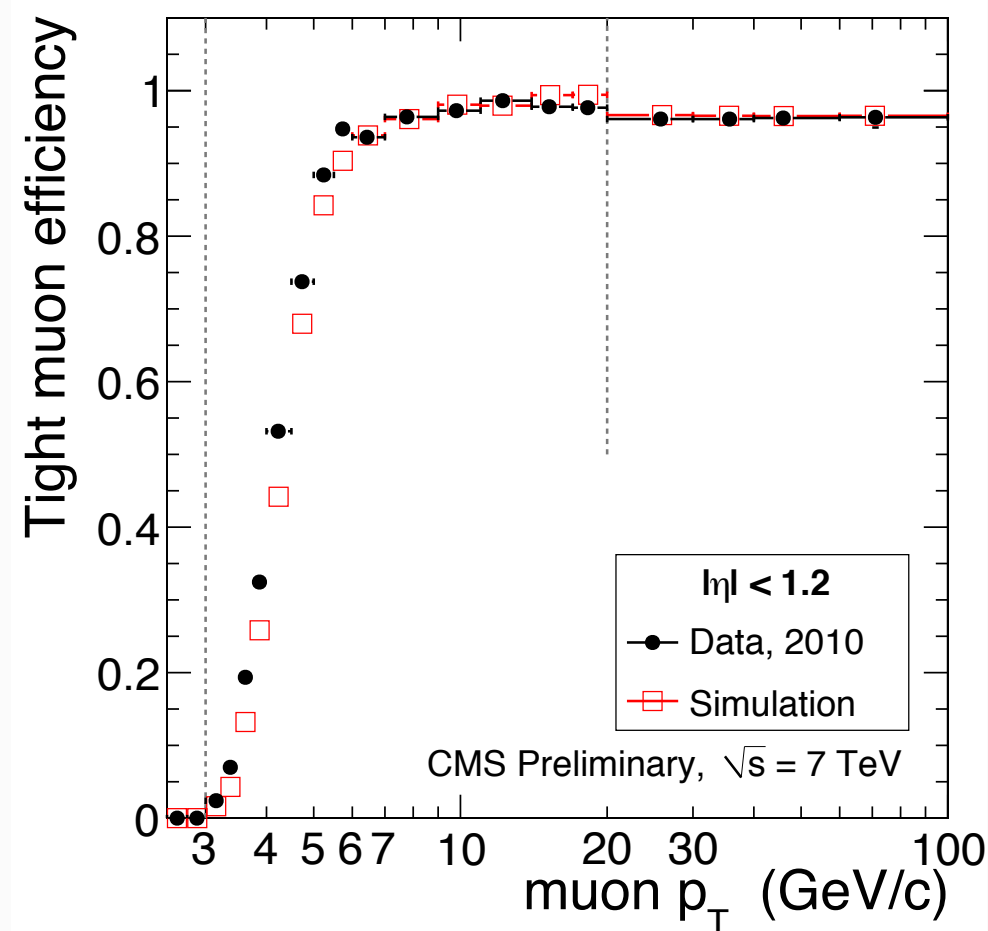
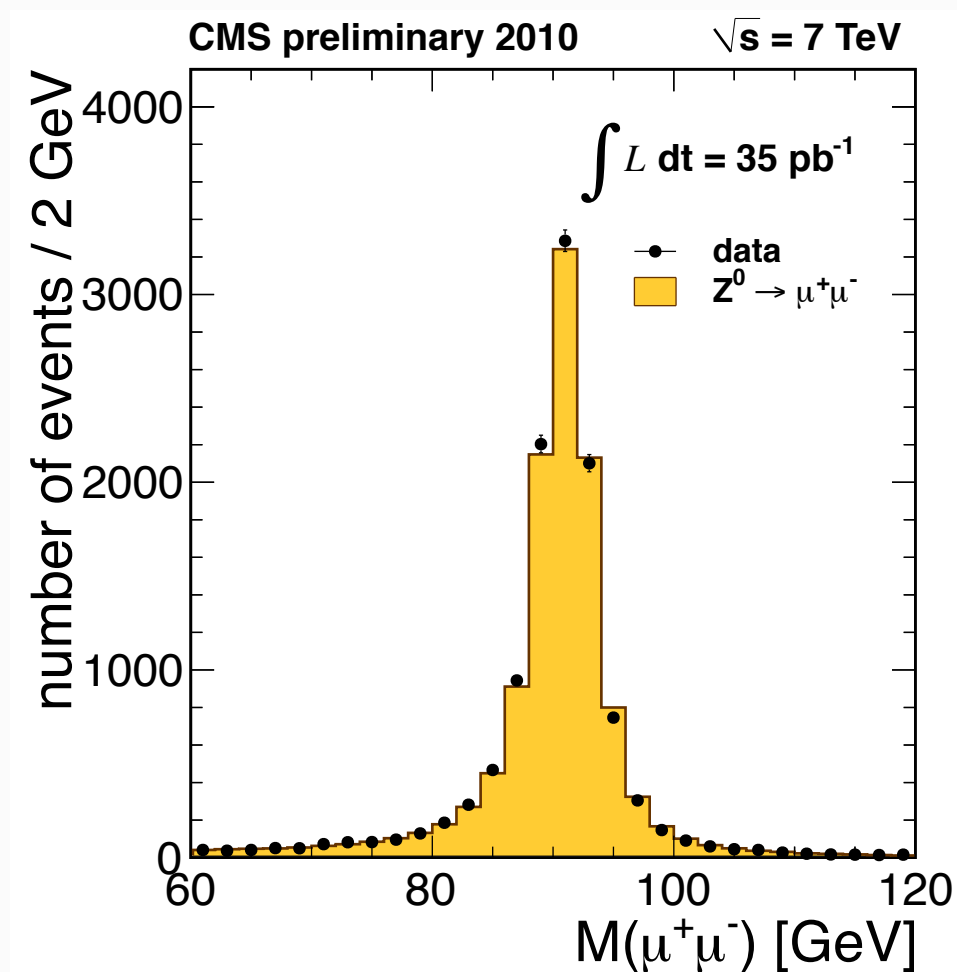
**Tag Object:** “tight” selection applied:  
defined the tag together with the additional criterium above



# Tag & Probe....

## Careful:

- make sure no background left, or subtract it
  - make sure no correlations introduced
- Apply same method in data and MC.  
In MC: compare to “True Eff.” and if necessary apply (hopefully small) additional correction factors, if some bias is observed



see eg. <https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsMUO>



# Backgrounds

$$\sigma_{\text{meas}} = \frac{N_{\text{obs}} - N_{\text{bkg}}}{\varepsilon L}$$

see also later some applications.....





# General observations

## Apply selection which optimizes

- either: sum of stat + syst error

- or : best S/B                      S=N(**S**ignal), B=N(**B**ackground

- or : best S/sqrt(B)                      or : .....

$$\sigma_{\text{meas}} = \frac{N_{\text{obs}} - N_{\text{bkg}}}{\varepsilon L}$$

## How to find optimum, especially if S/B $\ll 1$ , complicated signatures, many variables involved?

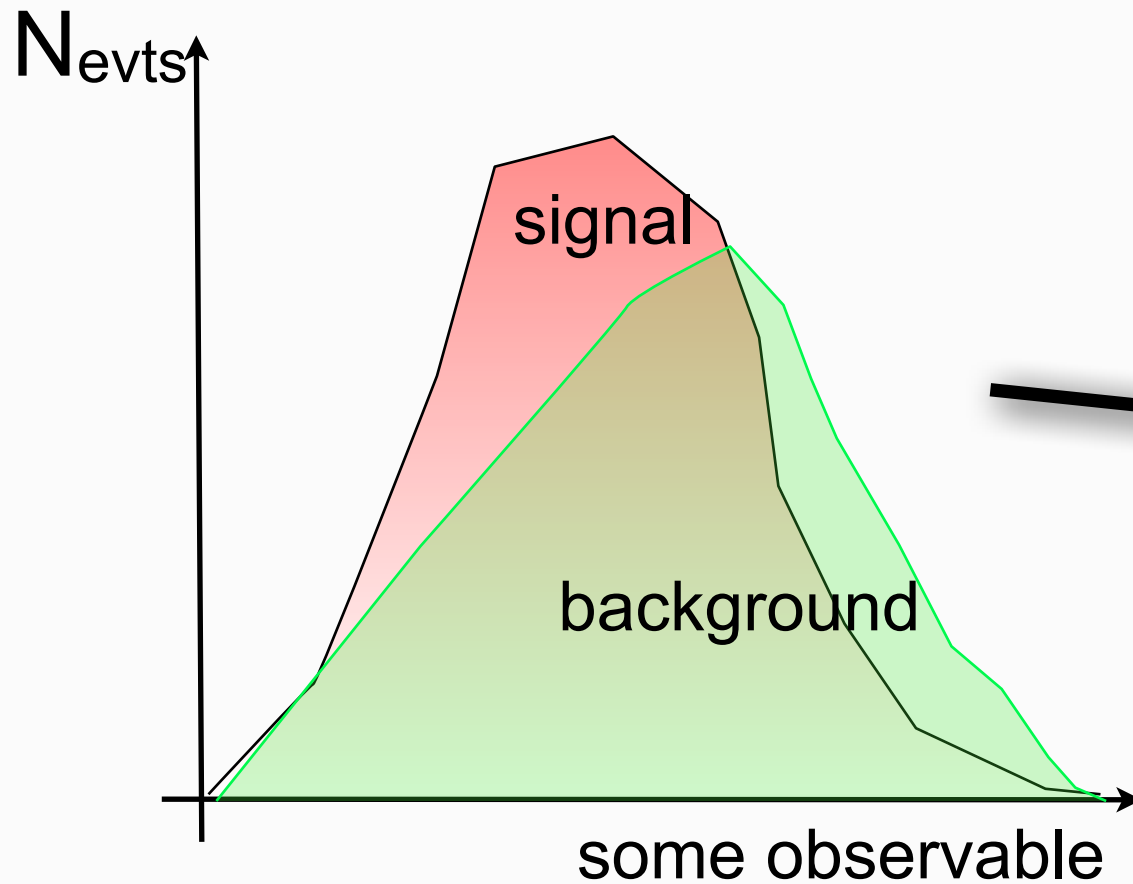
- modern approach : “Multi-Variate Approaches”

## If you have

- S/B  $\gg 1$**  : don't have to worry much about syst. uncertainty of B, in case of searches it won't affect much the significance or your signal or your exclusion limit

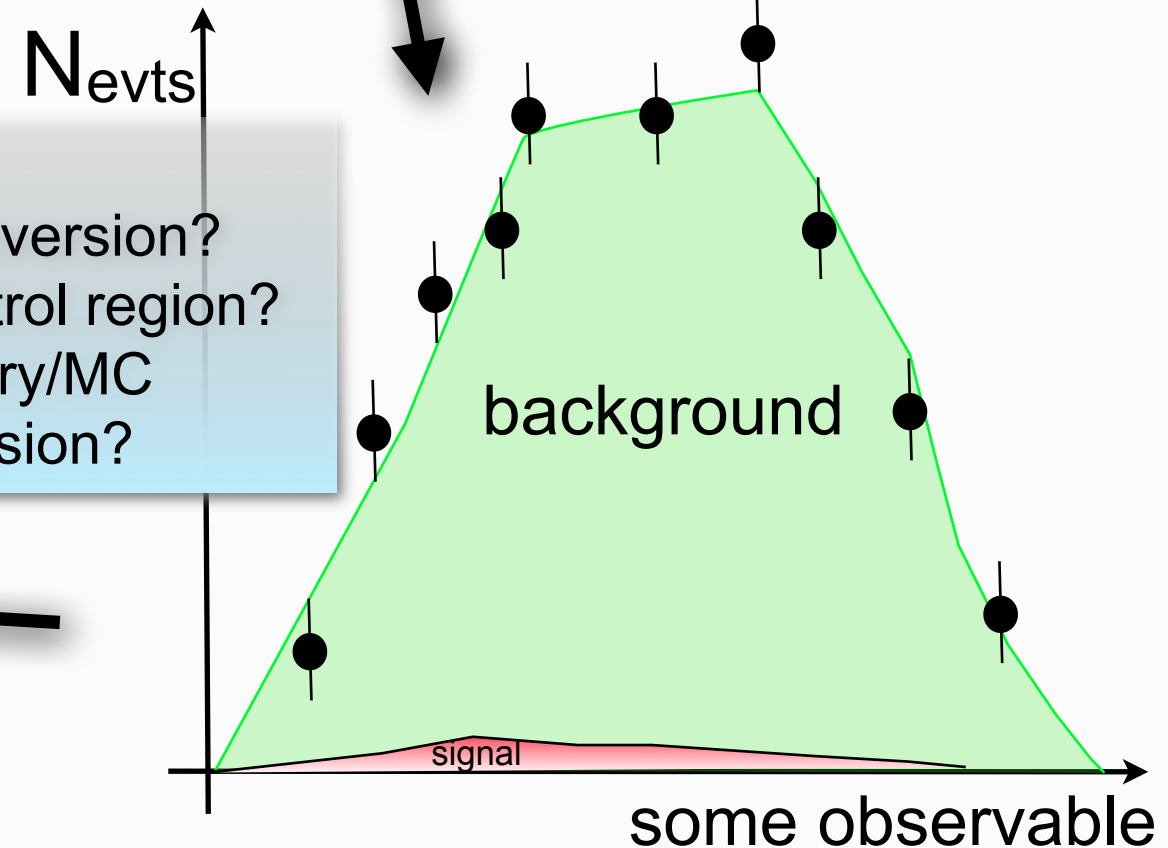
- S/B  $< 1$**  : you should worry!

## the general idea



invert cuts :  
from signal enhancement to  
background enhancement

use data to  
normalize background



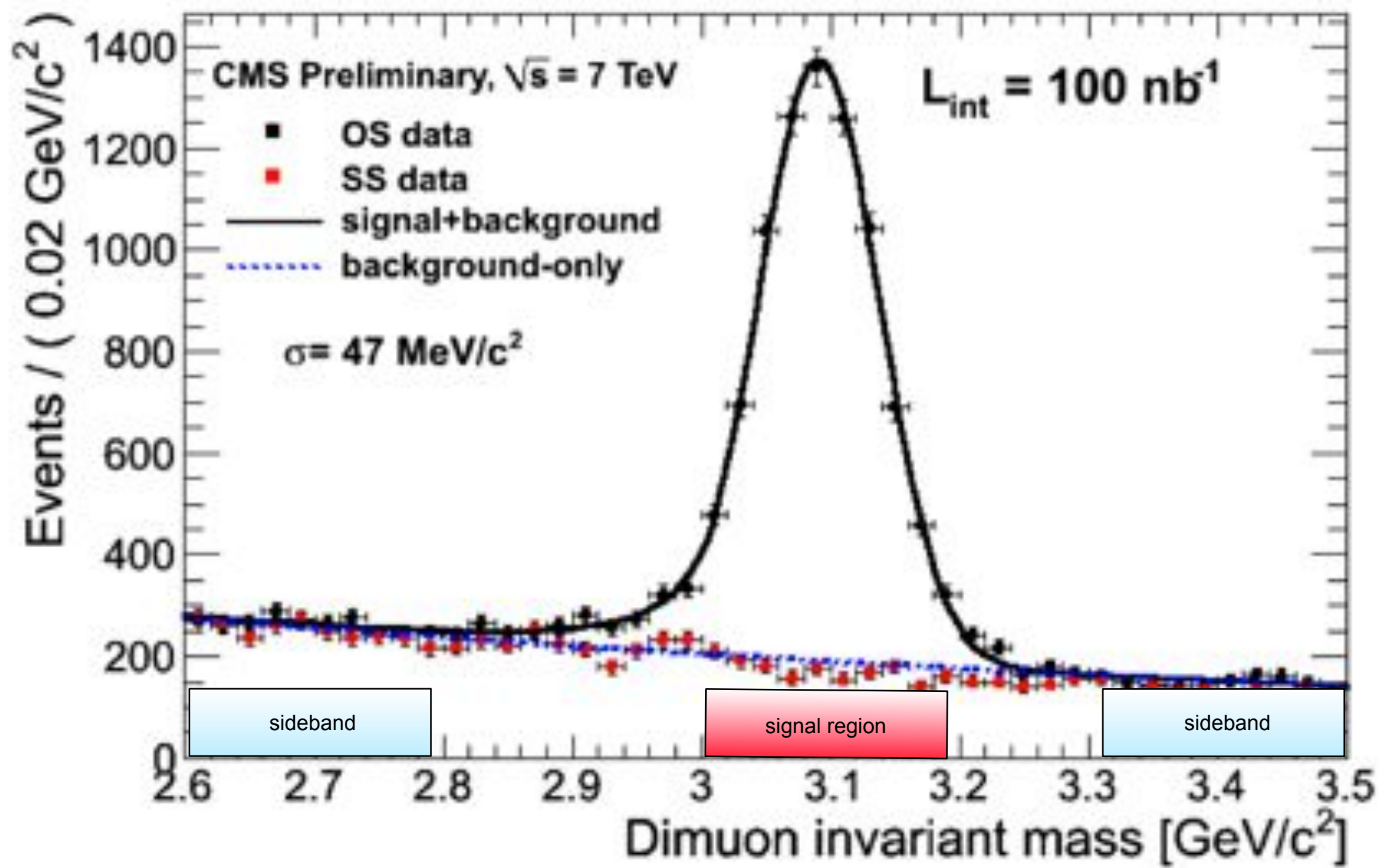
**Issues:**

- is signal left after inversion?
- any bias in the control region?
- how well does theory/MC model the cut inversion?

going back:  
use theory (MC) to  
compute change in  
background  
when inverting cuts; or  
use some well-motivated  
extrapolation, data only



# The “trivial” case : Sidebands

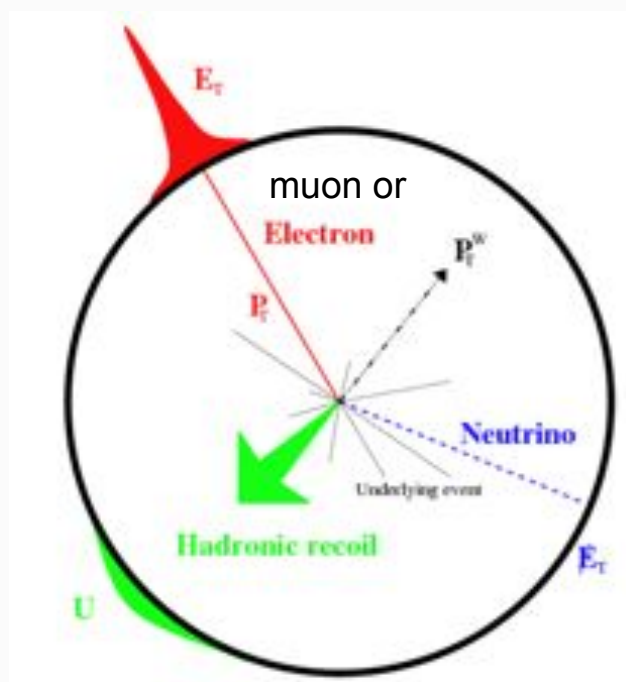


CMS PAS BPH-10-002





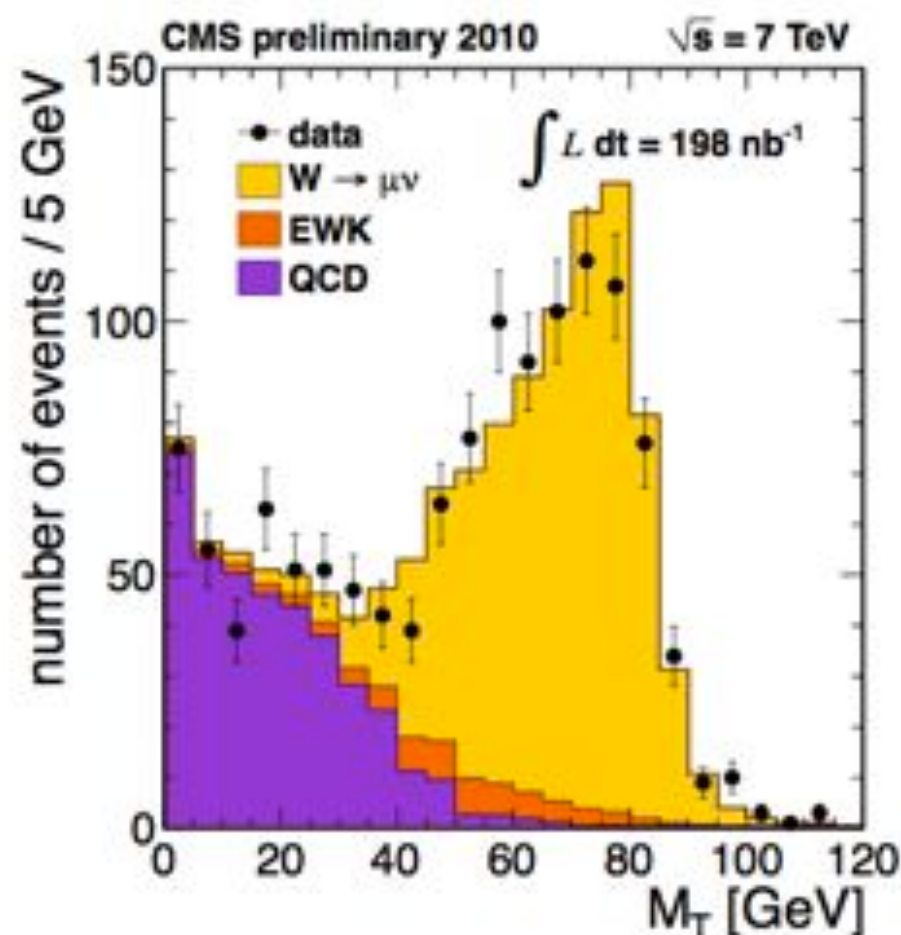
# A less trivial case : W selection



W: decay to charged leptons

- high- $p_T$
- isolated
- $E_{T,miss}$  (from neutrino)

$$\text{transverse mass: } M_T = \sqrt{2p_T(\mu)E_T(1 - \cos(\Delta\phi_{\mu, E_T}))}$$



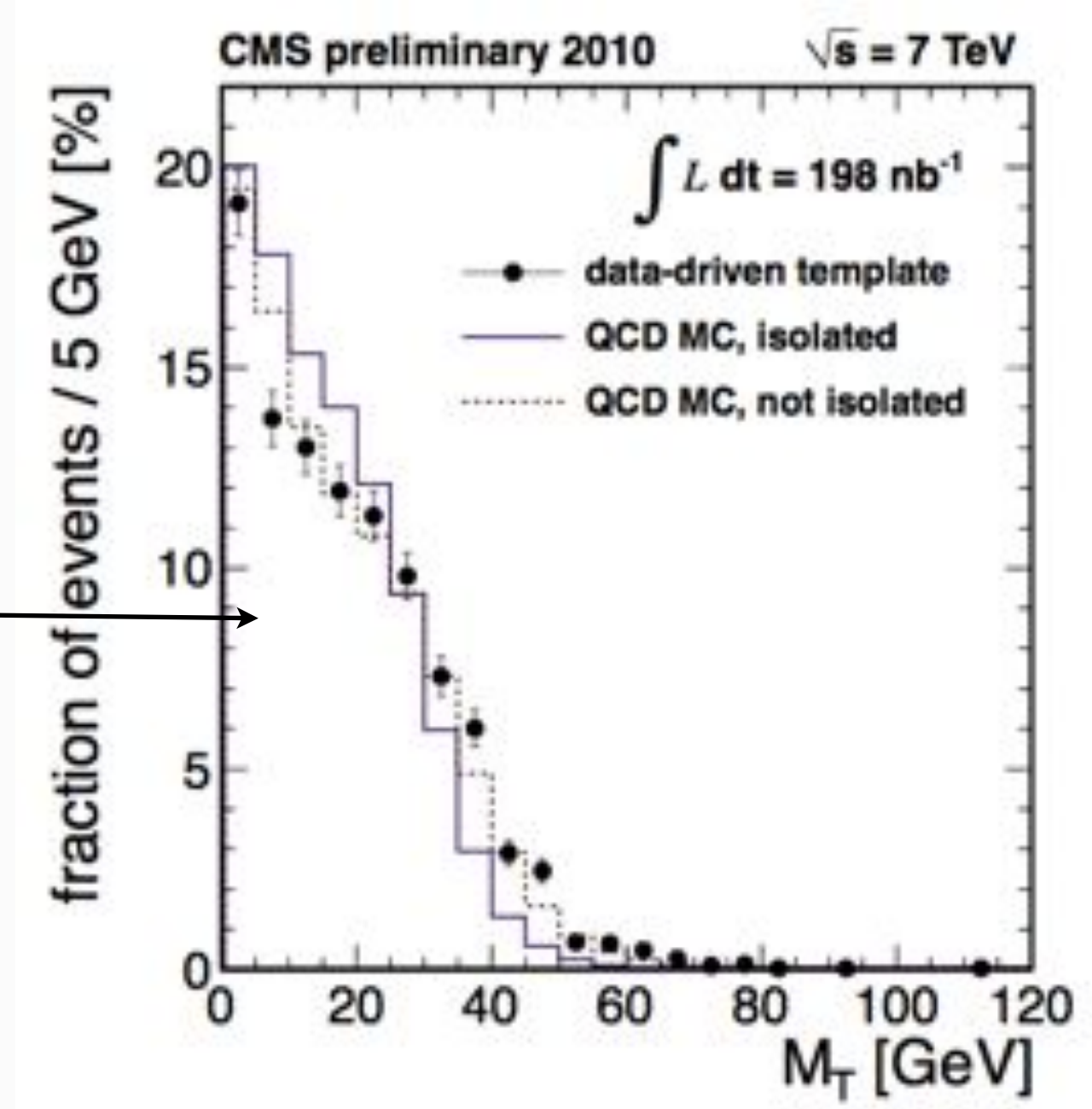
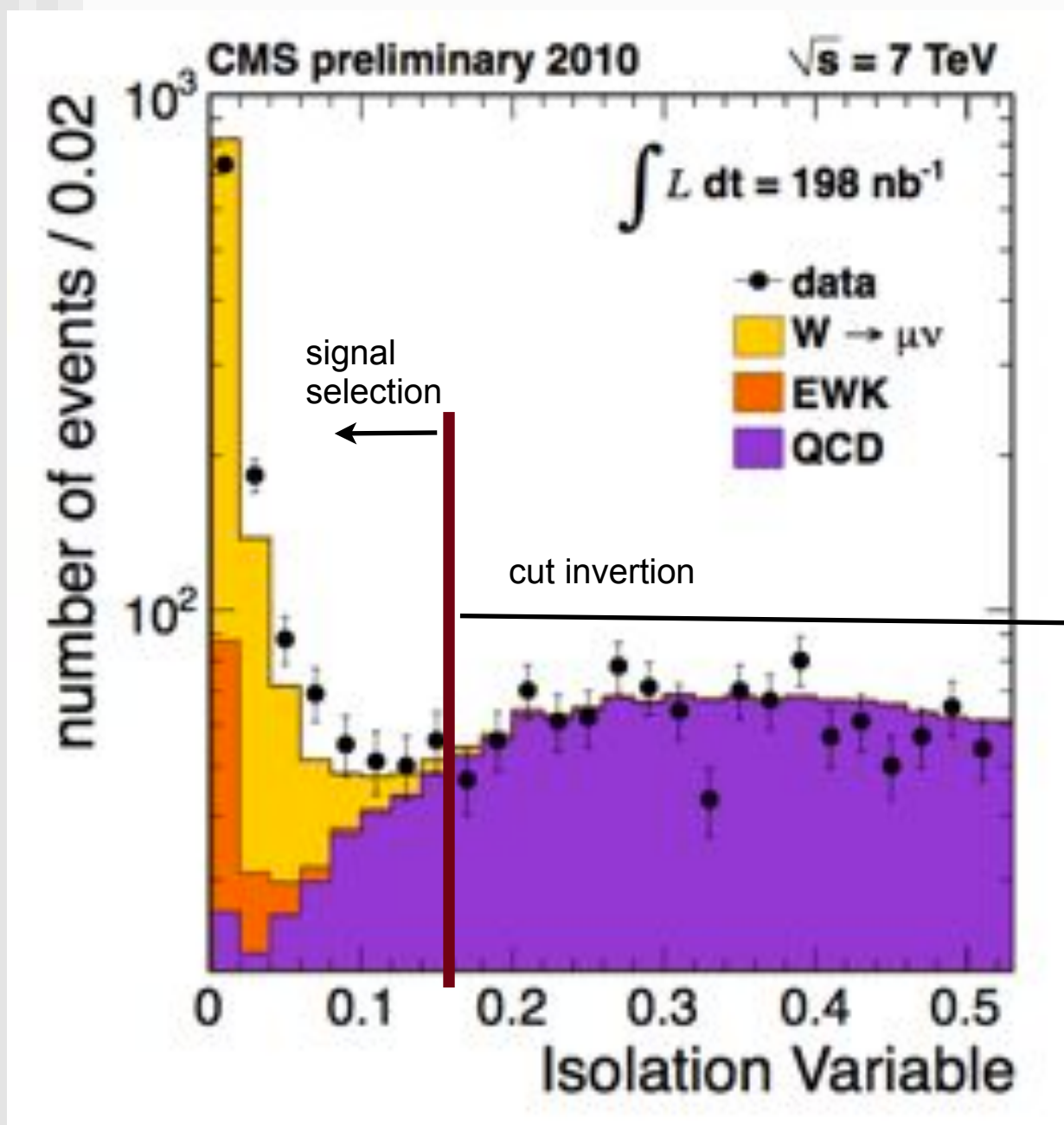
after cut on important selection variable,  
the relative isolation:

$$I_{\text{comb}}^{\text{rel}} = \left\{ \sum (p_T(\text{tracks}) + E_T(\text{em}) + E_T(\text{had})) \right\} / p_T(\mu)$$

in cone  $\Delta R = \sqrt{(\Delta\phi)^2 + (\Delta\eta)^2} < 0.3$   
around the muon

# A less trivial case : W selection

CMS PAS EWK-10-002



$p_T > 20 \text{ GeV}/c$  in  $|\eta| < 2.1$

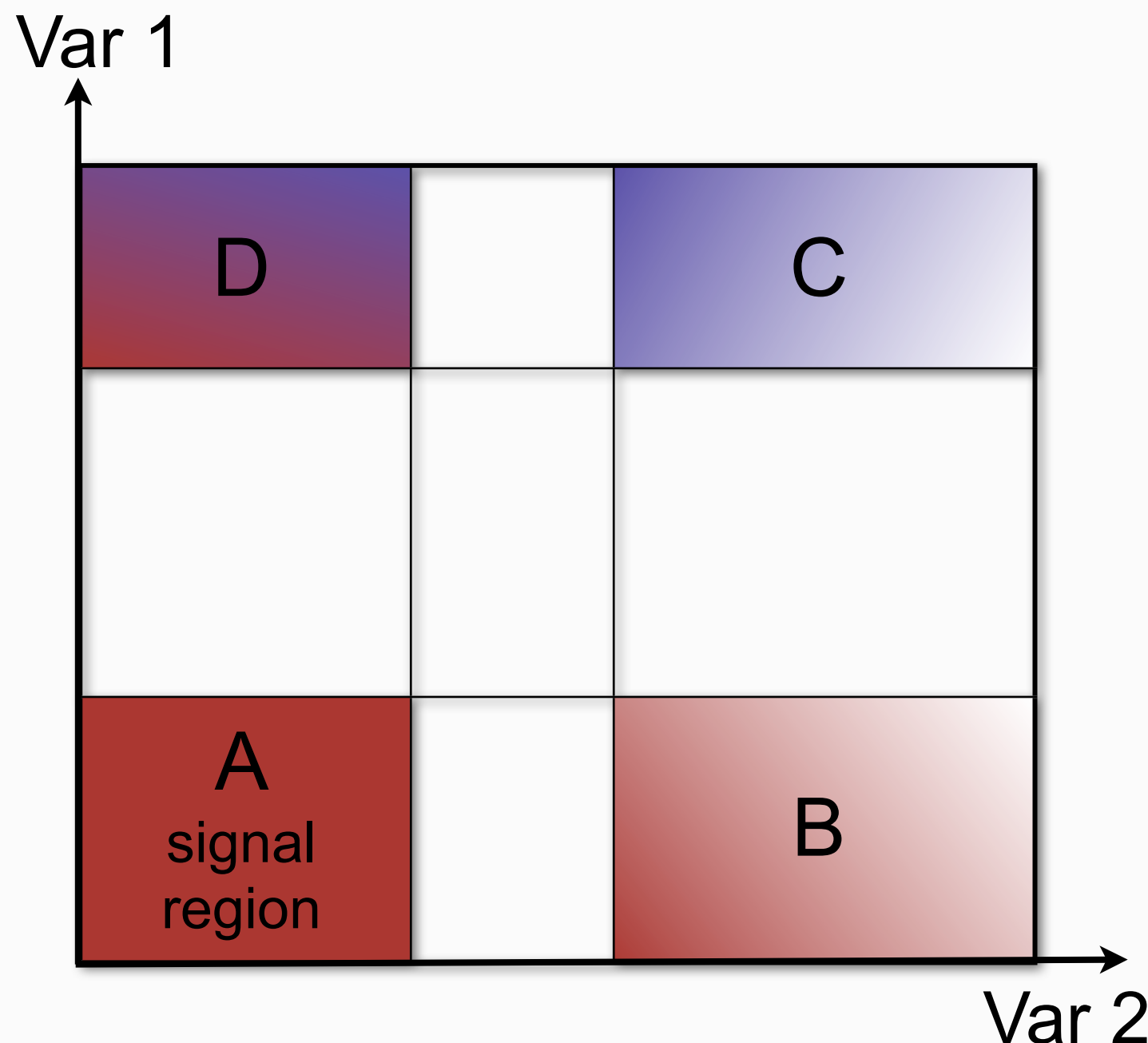
QCD bkg: mostly b-decays

take this shape for fit to  $M_T$  distribution



# The “ABCD” method

- find two variables, which characterize the events of interest
- A=signal region, B,C,D: background regions
- hypothesis of **un-correlated** variables:  
background shape in AD sector is the same as in BC sector



If this hypothesis is true,  
and **no** signal contamination  
in B,C,D:

estimate for background in  
signal region is

$$\frac{N(A)}{N(D)} = \frac{N(B)}{N(C)} \Rightarrow N(A)$$

from the counted number  
of events  $N(B,C,D)$  in the  
background regions.





# Fake rates

- What is probability that eg. a jet is mis-identified as an “isolated” lepton?
  - Important to know for leptonic analyses, especially in case of search for rare “multi-lepton” signatures
  - even if tight isolation requirements are applied, the probability of faking is not zero, and a small number, multiplied with the huge cross section of multi-jet production, can still lead to a sizable background
  - difficult (impossible?) to trust the simulation on this faking probability, rather try to get it from data
- “Standard Method”:
  - “Fakeable Object method”, or “Tight-To-Loose Ratio”
    - **Idea** : define two selection steps, one with **LOOSE** criteria, and one with **TIGHT** criteria (eg. on isolation)
    - determine the “**fake ratio**”, or “probability for a jet to fake a lepton” from the ratio of tightly to loosely selected objects, in a control sample that should not have any prompt leptons (eg. multi-jet sample)
    - determine this number as function of basic kinematics ( $p_T$ , rapidity)
    - apply it to a MC background simulation, or at a preselection level, to determine this fake background on the final selection level

# Fake ratio

- $N_L$  = number of loosely selected objects
- $N_p$  = number of prompt leptons,
- $N_f$  = number of fake leptons
- $N_{Tp}$  = Number of objects passing the tight selection
- $N_{Tf}$  = Number of objects failing the tight selection
- $p$  = probab. of prompt lepton to pass tight selection, typically  $\sim 1$
- $f$  = probab. of jet, to pass tight selection

$$N_L = N_p + N_f = N_{Tp} + N_{Tf}$$

$$N_{Tp} = p N_p + f N_f \approx N_p + f N_f$$

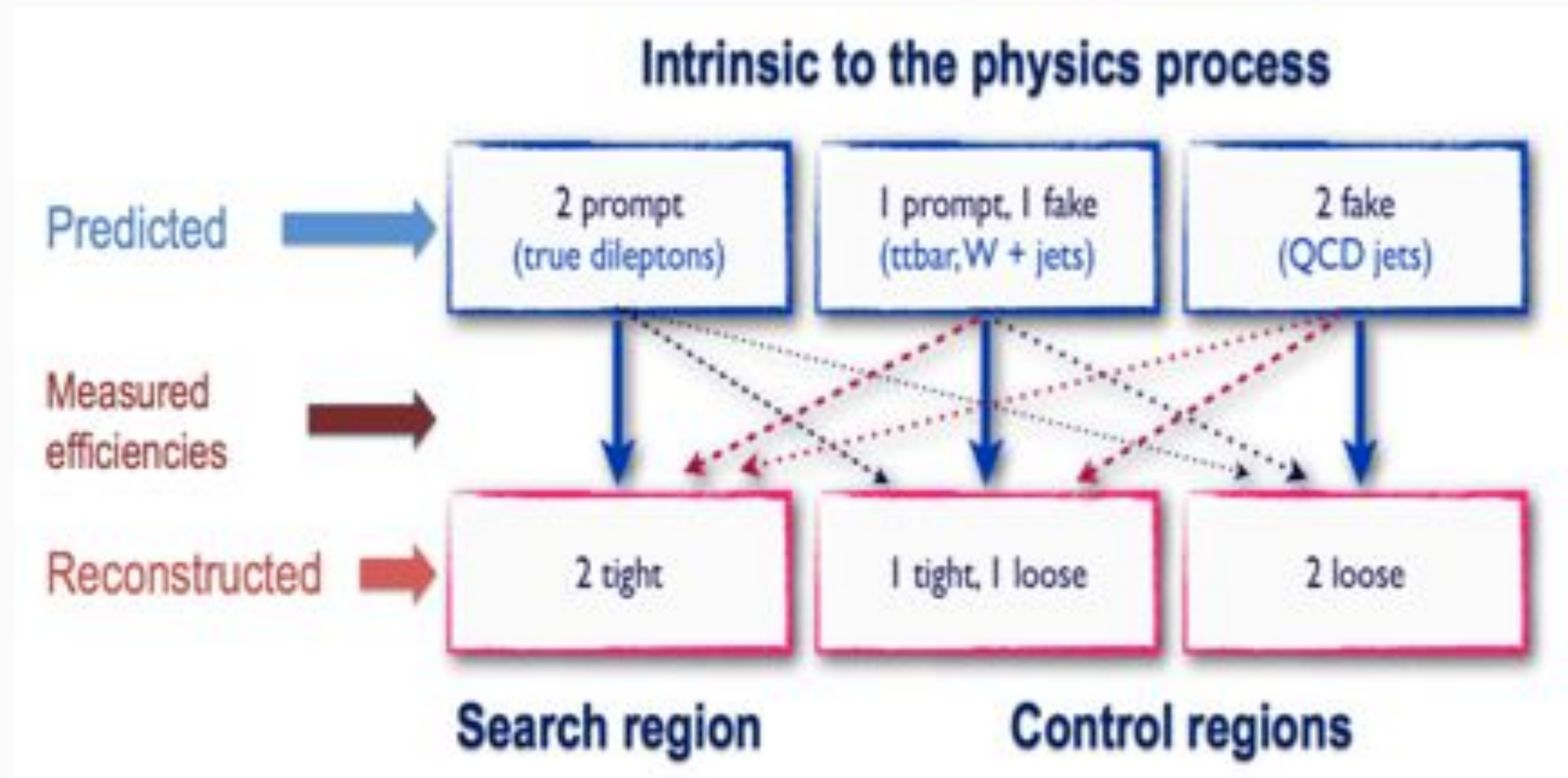
$$\rightarrow f = \frac{N_{Tp} - N_p}{N_f}$$

- on a control sample (pure background):  $N_p \rightarrow 0$   $N_f = N_L - N_p \rightarrow N_L$   
(eg. jet-triggered sample)

$$\Rightarrow f = \frac{N_{Tp}}{N_L}$$

# Fake ratio

- use MC to correct for remaining signal contamination in control sample, or for  $p < 1$
- Extendable to more than one lepton
- Example: di-lepton SUSY search



from PhD thesis, P. Milenovic



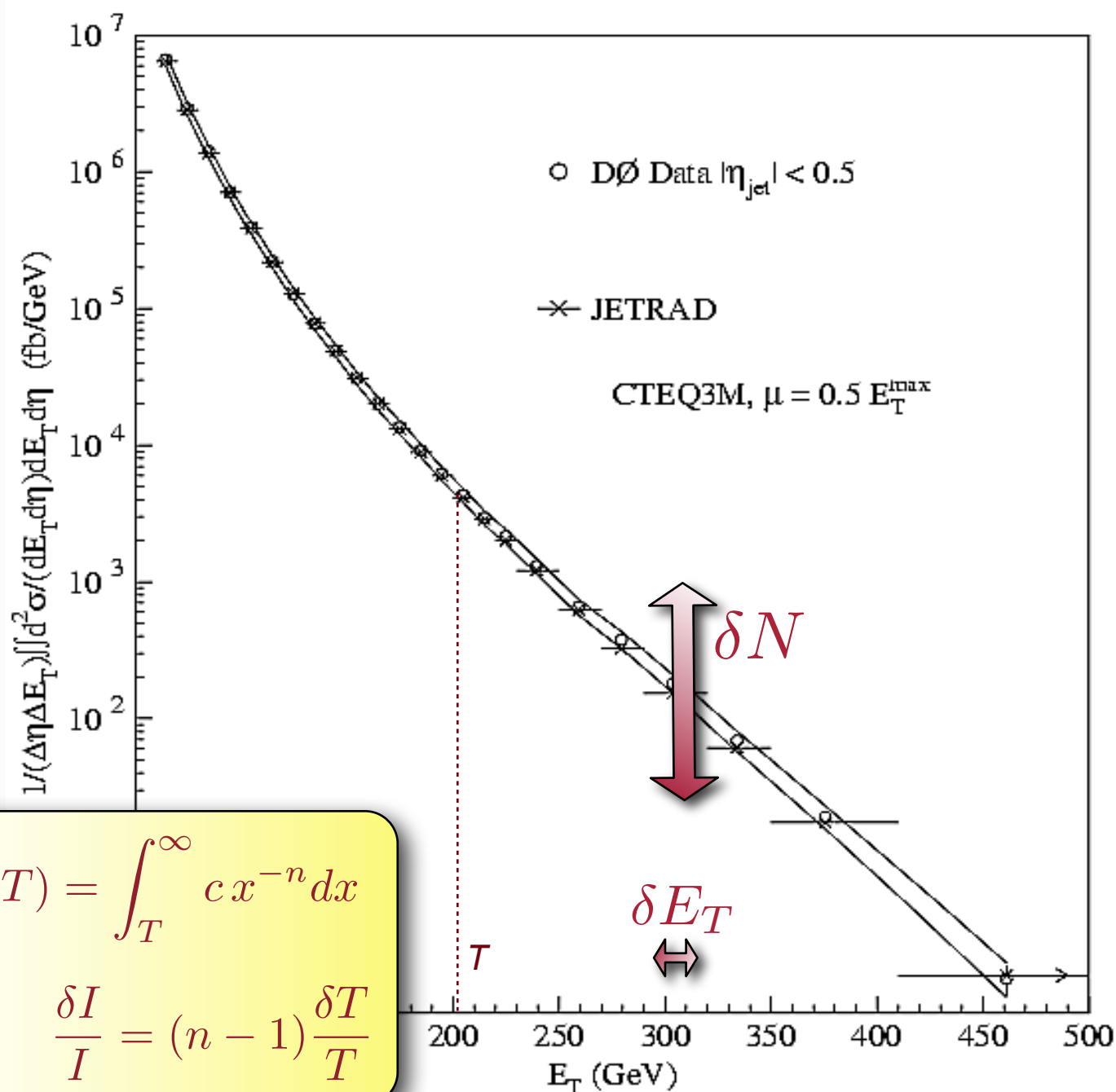


# Issues when measuring steeply falling spectra

$$\sigma_{\text{meas}} = \frac{N_{\text{obs}} - N_{\text{bkg}}}{\varepsilon L}$$

# Problem 1 : Absolute scale

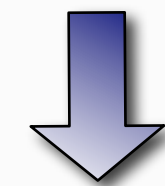
- Question : how well do we know the **calibration** of the variable on the x-axis, eg. jet energy?
- A general problem for a very steeply falling spectrum!



$$I(T) = \int_T^\infty c x^{-n} dx$$

$$\Rightarrow \frac{\delta I}{I} = (n - 1) \frac{\delta T}{T}$$

$$\frac{d^2\sigma}{dE_T d\eta} \approx \text{const} \cdot E_T^{-6}$$



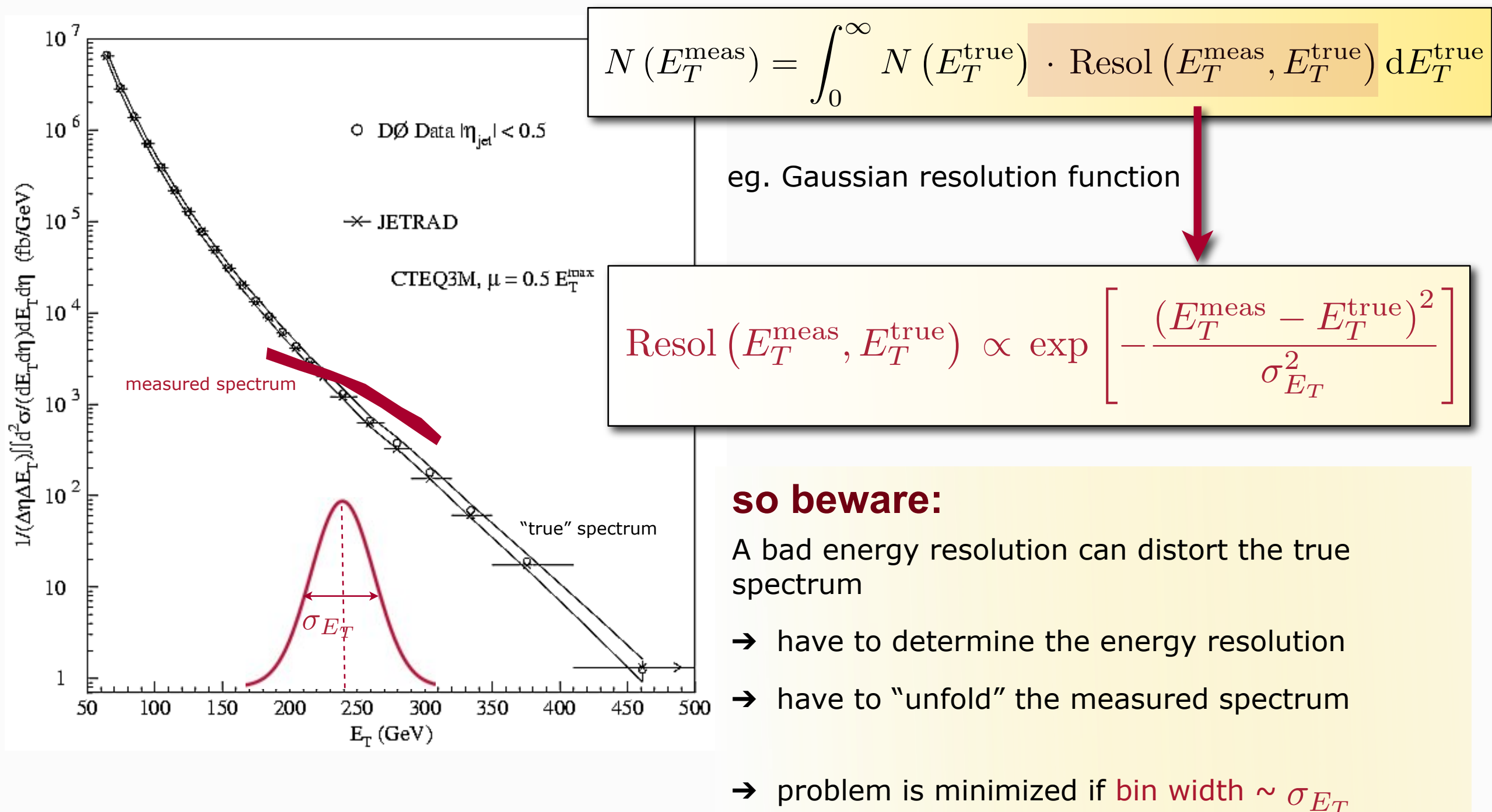
relative uncertainties

$$\frac{\delta N}{N} \approx 6 \cdot \frac{\delta E_T}{E_T}$$

**so beware:**  
 eg. an uncertainty of **5%** on absolute energy scale (calibration)  
 → an uncertainty of **30%** (!) on the measured cross section

# Problem 2 : Resolution

- The finite resolution can distort the spectrum
- Again : Critical because of very steeply falling spectrum!







# The Luminosity

$$\sigma_{\text{meas}} = \frac{N_{\text{obs}} - N_{\text{bkg}}}{\varepsilon L}$$



# Two possible approaches

$$\sigma_{\text{meas}} = \frac{N_{\text{obs}} - N_{\text{bkg}}}{\epsilon L}$$

invert the  
problem

$$L = \frac{N_{\text{obs}} - N_{\text{bkg}}}{\epsilon \sigma_{\text{theo}}}$$

**Needs a very precisely  
calculable process,  
eg. W and Z production,  
as well as low exp. uncertainties**

measure the  
luminosity from first  
principles

$$L = \frac{N_1 N_2 v_{\text{orb}} N_b}{2\pi \sigma_{\text{eff}}(x) \sigma_{\text{eff}}(y)} \equiv \frac{\dot{N}}{\sigma}$$

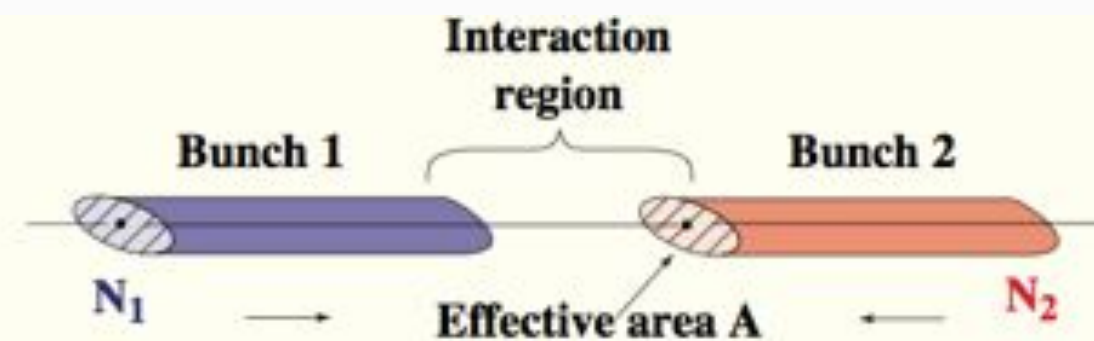
**Have to measure:**

- beam currents
- effective beam size --> Van der Meer scan !
- then, after absolute calibration:  
take stable process to measure evolution in time, eg. number of counts in forward calorimeters

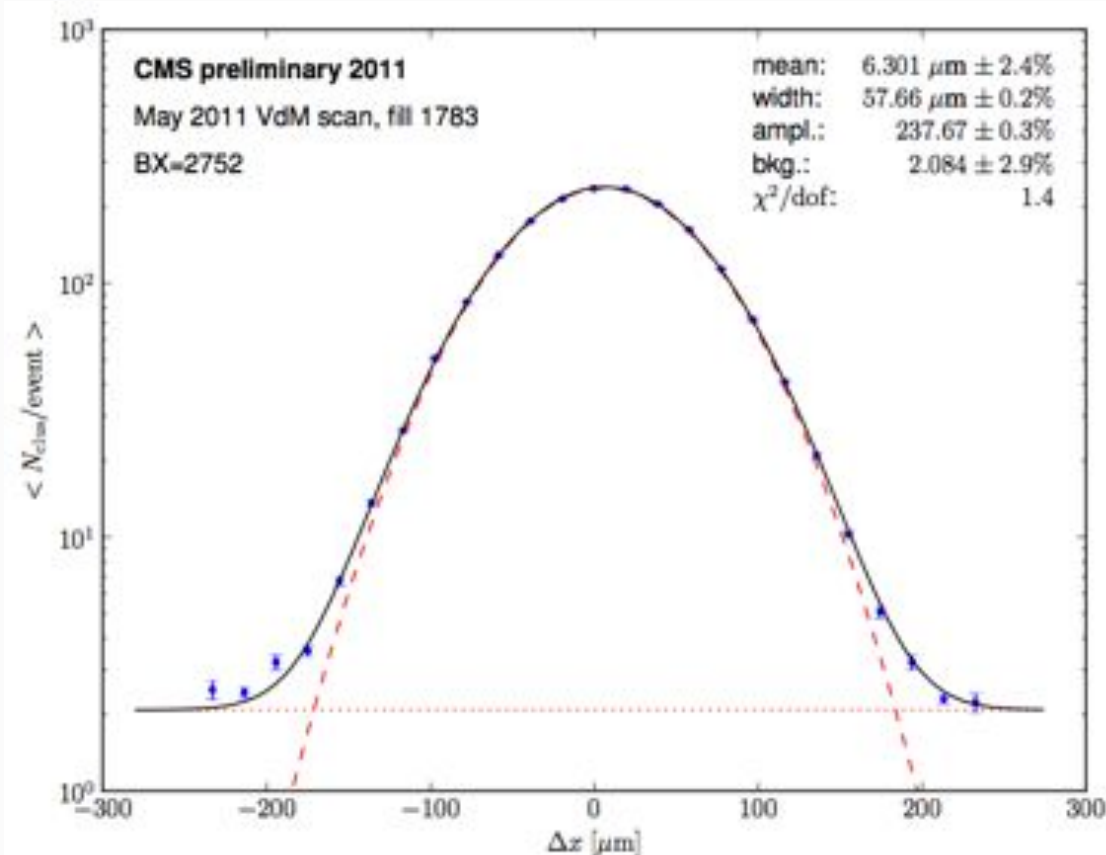


# Van der Meer scans

- Move the beams relative to each other and monitor the rate of some basic process, eg. MinBias triggers



for Gaussian bunches with rms sizes  $\sigma_x \sigma_y$   $A = 4 \pi \sigma_x \sigma_y$



Source	Uncertainty (%)
Stability across pixel detector regions	0.3
Pixel gains and pedestals	0.5
Dynamic inefficiencies	0.4
Length-scale correction	0.5
Beam width evolution	0.6
Beam intensity - DCCT	0.3
Beam intensity - FBCT	0.5
Beam intensity - Ghosts	0.2
Scan-to-scan variations	1.5
Afterglow	1.0
<b>Total</b>	<b>2.2</b>