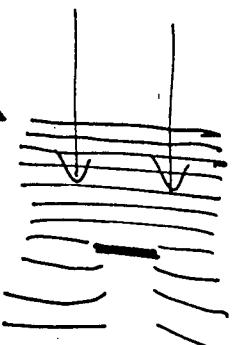


# Diffraction

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CTEQ Summer School 2000



Wave fronts impinging on target — absorbing or.

Diffraction pattern when  $\lambda \ll \text{size of target}$ ,  
small angle. Black disk  $\Rightarrow$



isolated, forward.

Processes

$\gamma + \text{target} \rightarrow \gamma + \text{target}$  (elastic diffr.)

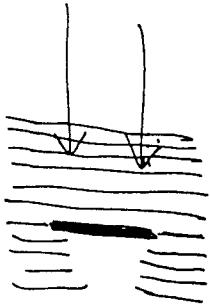
$\left. \begin{array}{l} \text{Mechanisms} \\ \text{Factorization breaking} \\ \text{Exclusive DIS and skewed parton distributions} \end{array} \right\} \rightarrow \text{excited target (absorption)}$

- 1 Soft diffraction
  - Optical model for high-energy hadron scattering
  - Light-cone variables, rapidity
  - Final state in hadronic collisions
  - Pomeron
  - Space-time picture
  - Diffractive excitation and rapidity gaps
- 2 Diffraction and hard scattering
  - Diffractive DIS (and other processes)
  - Ingelman-Schlein model
  - Data: fits and predictions
  - Theory
  - Mechanisms
  - Factorization breaking
  - Exclusive DIS and skewed parton distributions

(Chou & Yang, PR 170, 1591 (1968))

Wave function for CTF of hadron  
relative to other hadron

Target: Lorentz contracted hadron



Just after disk

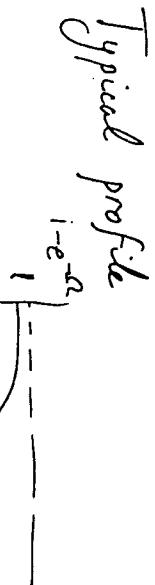
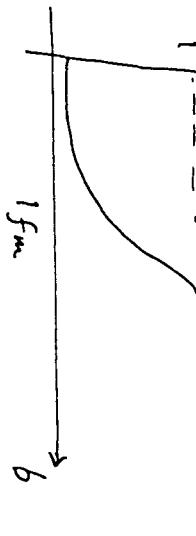
$$e^{ip^2} e^{-\Omega(b;s)}$$

$\Omega$  is opaqueness : { 0 for invisible target  
+ phase for transparent targ.

$$\frac{d\sigma}{dt} = \frac{(m)^2}{16\pi s^2}$$

(optical theorem)

Expect  $|e^{-\Omega}|$



Scattering amplitude

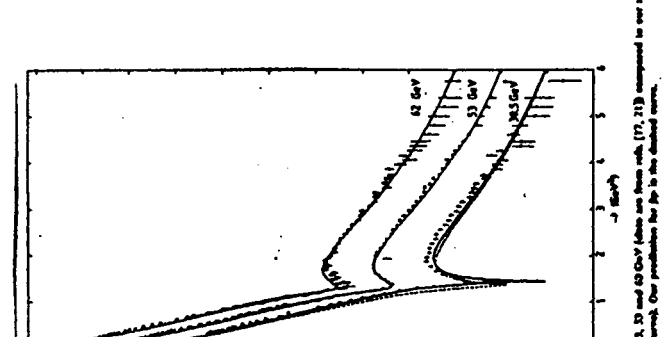
$$m(\rho_r) = is \int d^3b e^{-i\rho_r \cdot b} (1 - e^{-\Omega(b;s)})$$

Typical profile

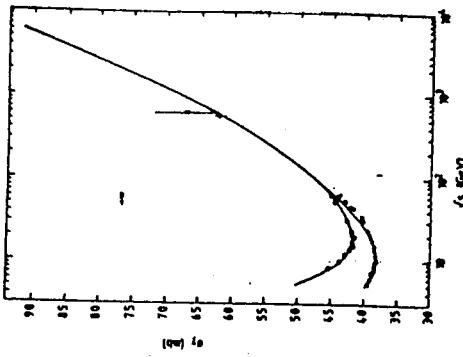


$$- e^{ip^2} + \text{const } \frac{e^{ip}}{r} m(\rho_r) -$$

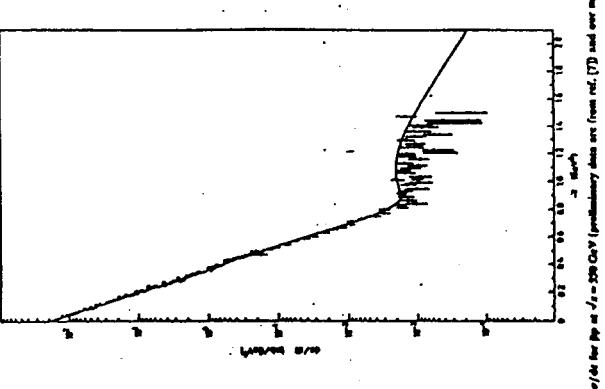
$\int \frac{d\sigma}{dt} (p \bar{p})$



$\lambda, 32$  and  $52$  GeV data and theory with  $(T, T)$  component in one model



$d\sigma/dt (p \bar{p})$



$\lambda, 550$  GeV preliminary data and theory with  $(T, T)$  component in one model

6  
Data  $\Rightarrow$   
1.  $\sigma_{tot}$  varies slowly w/s: "5.000"  
2.  $\frac{d\sigma}{dt}$  falls rapidly w/t:  $e^{-\beta t}$ ,  $\beta = 17 \text{ GeV}^{-2}$  at  $\sqrt{s} = 550 \text{ GeV}$ .

3.  $\frac{d\sigma}{dt}$  narrows as  $s$  increases
4. "proton gets bigger",  $R$  increases

### Exercises on sizes

$$1. \text{ rms}(b) \text{ of } 1 - e^{-R} \text{ is } \sqrt{\frac{2 \int_b^{\infty} b db}{\int_b^{\infty} db}} = \sqrt{2R}$$

$$\approx 1.2 \text{ fm at } \sqrt{s} = 550 \text{ GeV}$$

$$2. \text{ Black disk of radius } R \Rightarrow R = 0.85 \text{ fm} \sqrt{-t_{\text{min}}}$$

$$(\text{use } R_{\text{min}} = 1.2 \text{ fm})$$

$$R = 0.9 \text{ fm for } \sqrt{s} = 550 \text{ GeV}$$

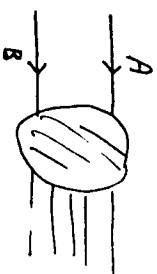
$$\text{rms}(b) = R/\sqrt{2} \text{ (for black disk).}$$

Proton is Fairly black

$$\therefore \sigma_{tot} = 60 \text{ mb} = \pi \times (1.4 \text{ fm})^2$$

Contrast s-channel graph, off resonance

$$e^+ e^- \rightarrow \gamma^* \rightarrow c\bar{c}$$



$$\rho_0 + \rho_1(\cos\theta) \text{ for } \delta^* \gg c$$

- $\Rightarrow$
1. Roughly constant in angle
  2. Large  $p_T$  typical.

3. Small  $T$ .

4.  $S \propto \sqrt{s}$ .

Hadron-hadron

1. Typically many particles in final state,

uniform in rapidity

2. Small transverse momentum

3. Approx. constant cross sections

4.  $\langle \text{Impact parameter} \rangle \sim \text{constant}$

$$\therefore \langle J \rangle \propto \sqrt{s}$$

$$\text{Neglect } m: \quad y = -\ln \tan \theta/2 = \eta \quad (\text{pseudo-rapidity})$$

$$\text{Define } (V^+, V^-, V_T) = \left( \frac{V^+ + V^2}{\sqrt{2}}, \frac{V^0 - V^2}{\sqrt{2}}, V_T \right)$$

$$\begin{aligned} 1. \text{ Z-boost: } & V^+ \rightarrow V^+ e^+ \\ & V^- \rightarrow V^- e^- \end{aligned}$$

$$2. V \cdot W = V^+ W^- + V^- W^+ - V_T \cdot W_T.$$

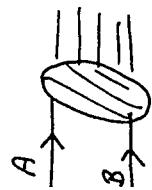
$$\text{Particle momentum } k^{\mu} = \left( \frac{E_T}{\sqrt{2}} e^y, \frac{E_T}{\sqrt{2}} e^{-y}, 0, k_T \right),$$

$$\text{where } E_T = \sqrt{k_T^2 + m^2}$$

$$+ y \stackrel{\text{def}}{=} \frac{1}{2} \ln \frac{k^+}{k^-}: \text{rapidity. Boost: } y \mapsto y$$

Usefulness: — simple form under boosts,

- easy to find large & small terms in  $V \cdot W$
- approx. uniform particle distribution in  $H-H$  w.r.t. beam axis
- jet w.r.t. jet axis



9

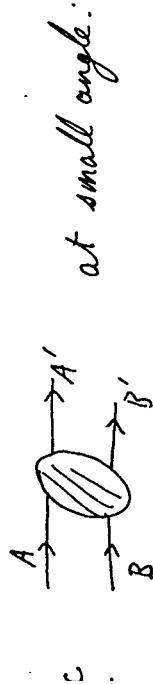
Normal inelastic

$$M \quad p_A' = \left( \sqrt{\frac{s}{2}}, \frac{m^2}{\sqrt{2}s}, 0_T \right)$$

$$y_A = \ln \frac{\sqrt{s}}{m} = 7.6 \text{ at Fermilab}$$

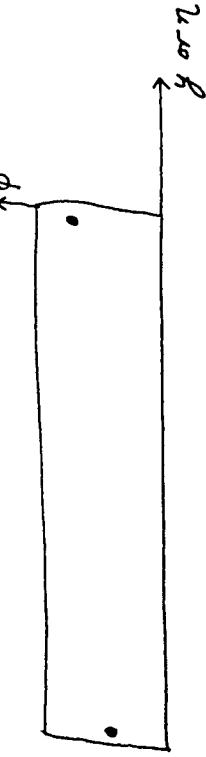
$$p_B' = \left( \frac{m^2}{\sqrt{2}s}, \sqrt{\frac{s}{2}}, 0_T \right)$$

$$y_B = -7.6 \quad \Delta y = 15.2$$



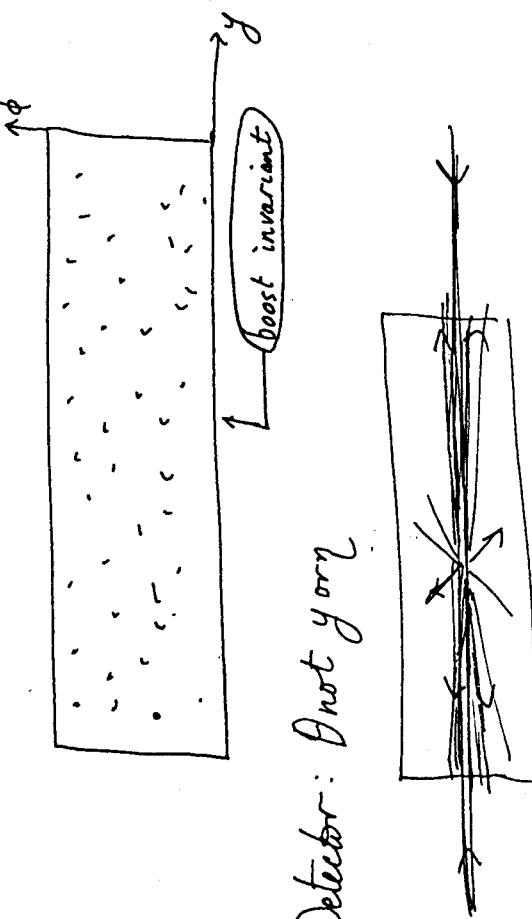
$$p_A' \approx \left( \sqrt{\frac{s}{2}}, \frac{m^2 + p_T^2}{\sqrt{2}s}, p_T \right)$$

$$y_A' = y_A - \ln \frac{\sqrt{m^2 + p_T^2}}{m} = y_A - (\text{less than } 1 \text{ unit})$$



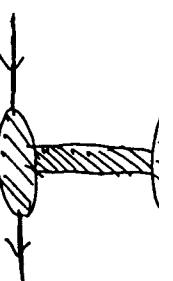
About 2 hadrons/unit of  $y$ , typical  $p_T \approx 0.35 \text{ GeV}$ .

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## Spin of incoming system

Feynman graph, large  $s$ , small angle:  $1/t \ll s$



$$\overline{\xi} = S^T f_n(t) \Rightarrow \frac{d\sigma}{dt} = S^{2(T-1)} f_n(t)$$

$\underbrace{\phantom{S^T f_n(t)}}$   
analytically continued  $\gamma_m(\text{arg})$

$$j^{\mu} = \left( O\left(\frac{P^2}{s}\right), 0\left(\frac{P^2}{s}\right), P_T \right)$$

$\begin{matrix} + & - & - & T \end{matrix}$

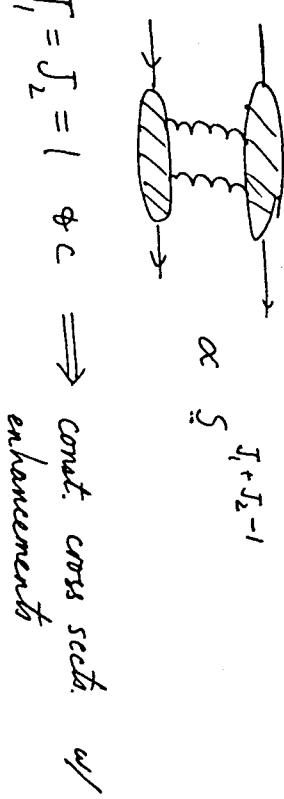
Regge theory:

1. Associate power law in  $s$  with exchanged object.

$$S^{\alpha(t)} f(t)$$

↓  
Effective spin

Multiple exchange

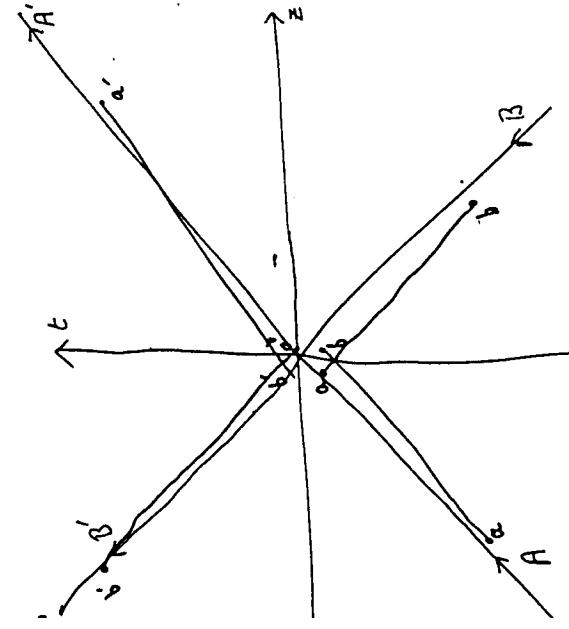
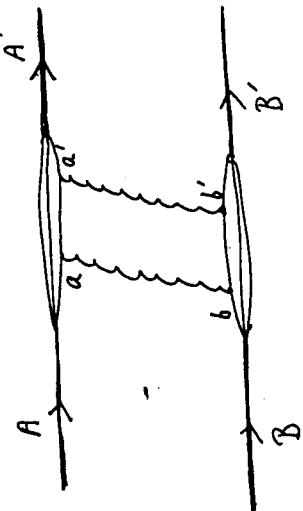


2. Treat exchanged object as (analytically continued) particle.
3.  $\sigma$  rises slowly  $\Leftrightarrow \alpha = 1 + \text{small}$
- Exchanged object is called Pomeron
4. But it's not really a particle ...

$$J_1 = J_2 = l \quad \& c \quad \Rightarrow \text{const. cross sect. w/}$$

enhancements

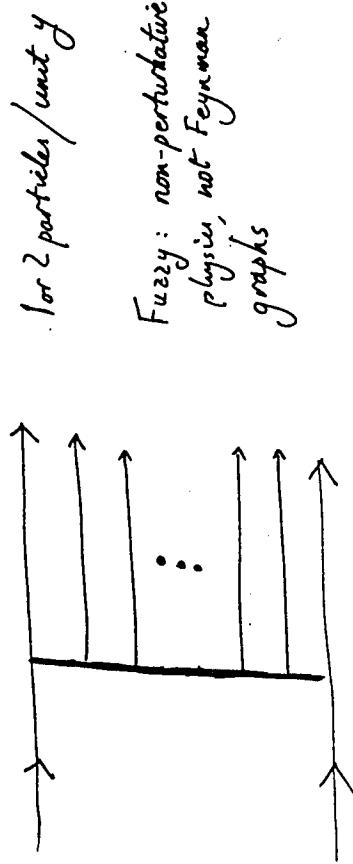
re-time  
alize from



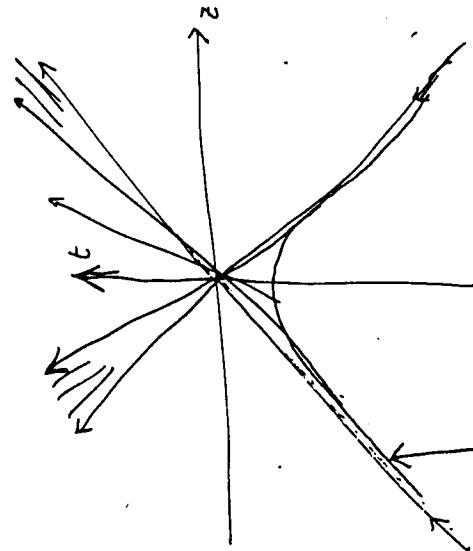
13  
~~13~~

## Basics of final-state production

14'  
 $\frac{14'+1}{14'+2}$



Fuzzy: non-perturbative  
physics, not Feynman  
graphs

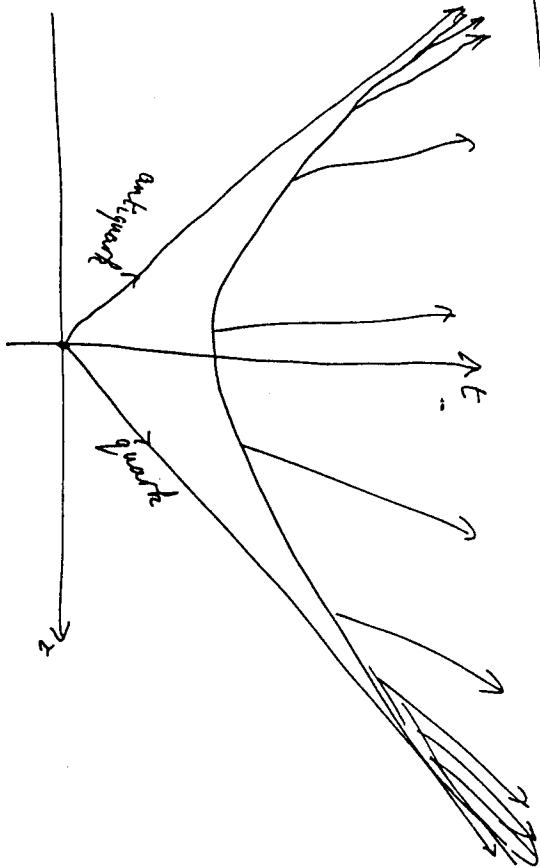


Exchanges on SPACE-LIKE fuzzy hyperbole  
 $c^2t^2 - z^2 \sim 1 \text{ fm}^2$ .

Locally a boost invariant system

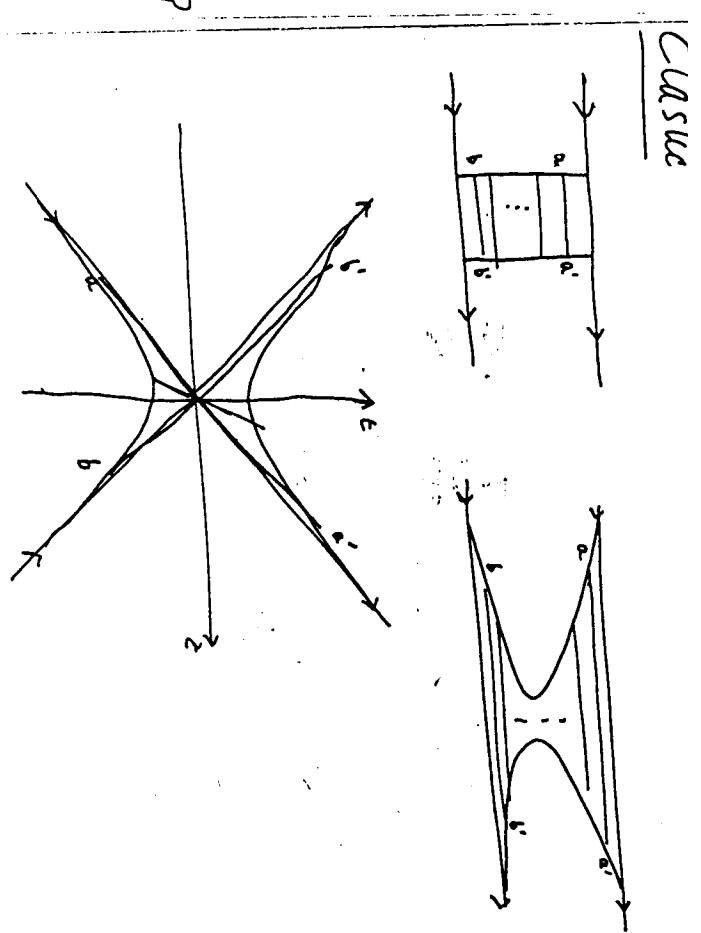
Big theory issue — how to formulate this  
in terms of QM states (while obeying Bell's theorem & c.)?

similar issues in  $e^+e^- \rightarrow \text{jets} + c.$

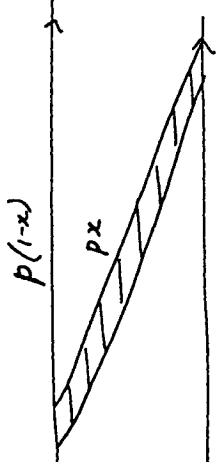


Why?

1. fastest right movers at top  
— time scale  $\frac{\Delta E}{m} \cdot L$
2. fastest left movers at bottom
3. Change time ordering by boost  
— hence t-channel lines space-like.



not particle exchange?

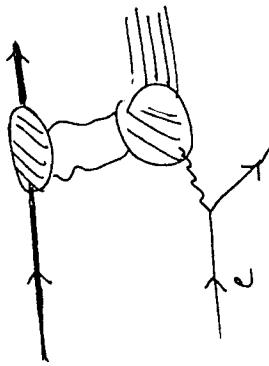


### Hadronic diffraction

$$\begin{aligned} \text{Off denominator} \\ + \frac{m^2}{2p} - \left( p(1-x) + \frac{m^2 + p_T^2}{2p(1-x)} \right) - \left( px + \frac{m^2 + p_T^2}{2px} \right) \\ = - \frac{p_T^2 + m^2(1-x+x^2)}{2px(1-x)} \end{aligned}$$

$\sim \frac{px}{m^2}$  if  $x \ll 1$  &  $p_T \sim m$   
at c.w.  $p/m^2$

Can also do this in  $e\gamma$  collisions

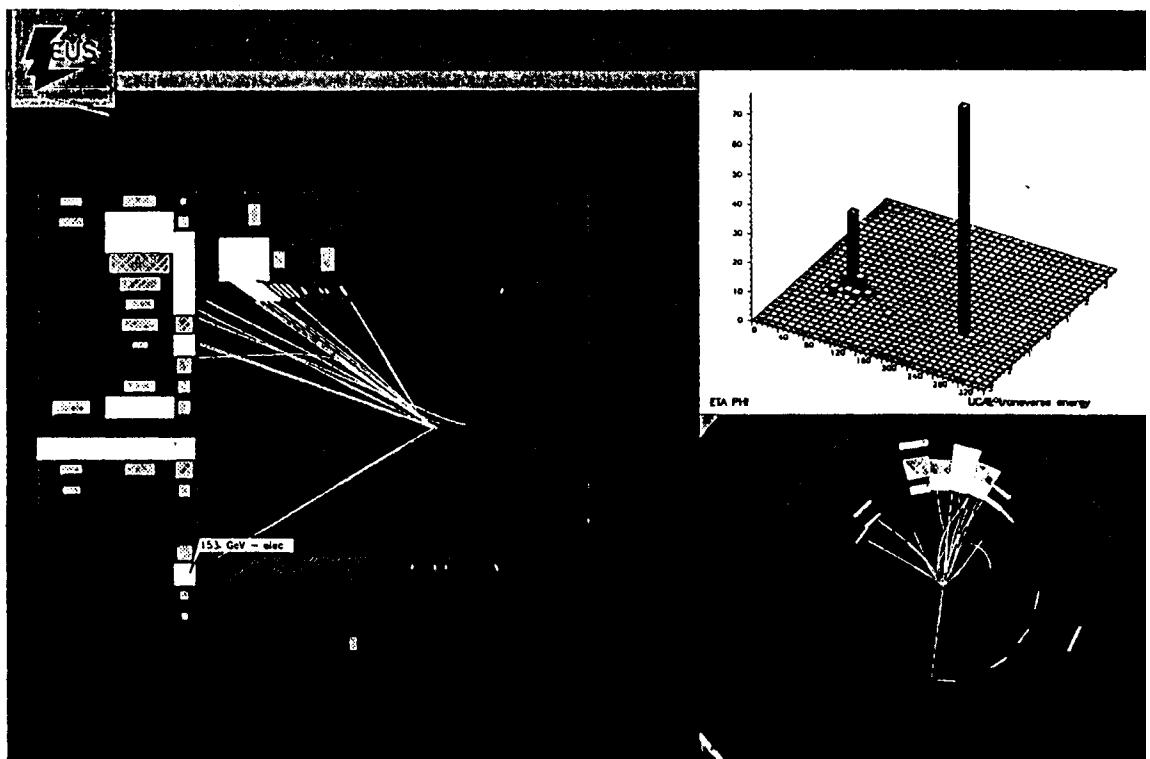


MANY variations on theme.

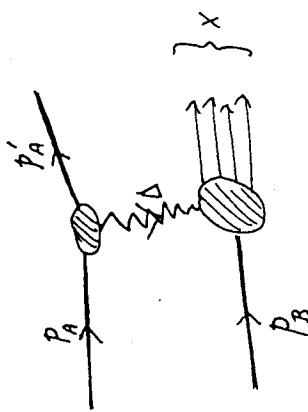
Currently:

"diffraction" is used to label hadronic scattering with large rapidity gaps. Typically diffractive excitation is investigated.

This area least resembles optical diffraction!



## Kinematics of diffraction



$$\vec{p}_A^r = \left( \frac{p_A^+ + \sqrt{\frac{s}{2}}}{2p_A^+}, \frac{\frac{m^2}{2} - \theta_T}{2p_A^+}, \theta_T \right)$$

$$\vec{p}_A^{''r} = \left( \frac{p_A^+ (1-x_0)}{2(1-x_0)p_A^+}, \frac{\frac{m^2}{2} + \frac{p_{AT}^2}{p_A^+}}{2(1-x_0)p_A^+}, \theta_{AT} \right)$$

$$\vec{p}_B^r = \left( \frac{\frac{m^2}{2}}{2p_B^-}, \frac{p_B^- = \sqrt{\frac{s}{2}}}{2p_B^-}, \theta_T \right)$$

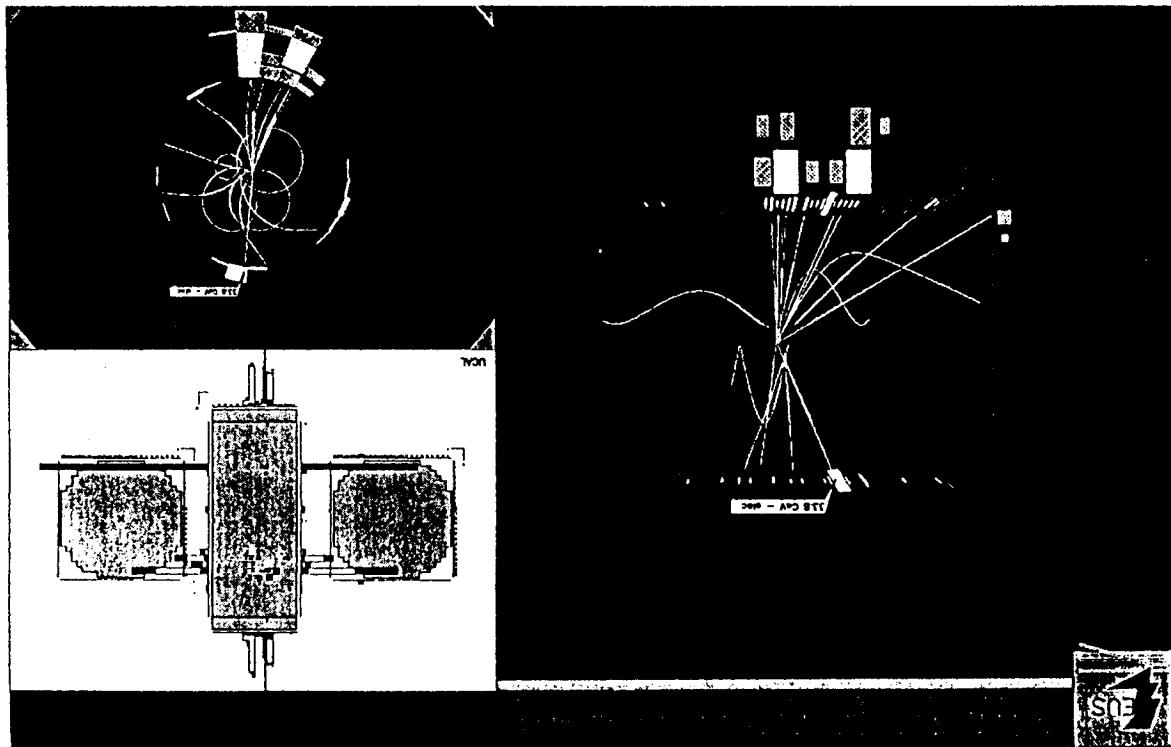
$$\Delta^r = (x_p p_A^+, \dots, \theta_{AT})$$

Diffraction:  $x_p \ll 1$ ;

$$t \approx -\rho'^2$$

$$x_p = \frac{\Delta^r / p_A^+}{S} = \frac{m_x^2}{S}$$

$$= \sum_{i \in X} \frac{E_{Ti}}{p_A^+ \sqrt{2}} e^{y_i} \approx \sum_{\text{highest or } E_T} \frac{E_{Ti}}{2E} e^{y_i}$$



### Triple-Regge formula



Lowest order Regge theory — treat  $\tilde{\chi}$  as particle.

$$x_p \ll 1, m_X \gg 1 \text{ GeV}$$

$$x_p \frac{d\sigma}{dy_p dt} = \frac{1}{16\pi} \left| \beta_A(t) \right|^2 \beta_B(t) \gamma_{pp}(t).$$

$$\left( M_X \right)^2 \propto t^{1-\alpha}$$

Predictions

- Power laws in  $M_X$  &  $x_p$
- $t$ -slope lower than elastic
- $\sigma_{\text{SDR}} > \sigma_{\text{tot}}$  at high energy

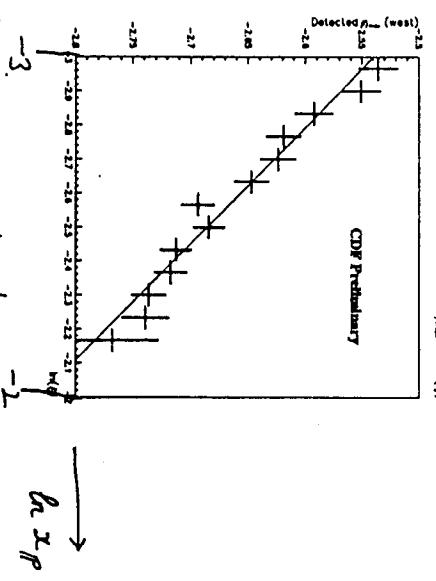
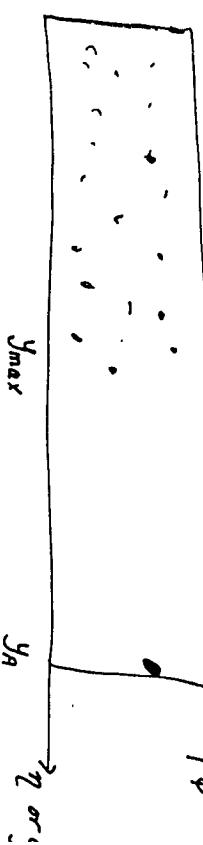
But

Changes if there are high pT jets

$$x_p \approx \frac{\langle E_{T_i} \rangle}{2E} \int dy \frac{dN}{dy} e^y$$

$$= e^{y_{\max}} \frac{\langle E_{T_i} \rangle}{2E} \frac{dN}{dy}$$

$$\ln \frac{1}{x_p} \stackrel{\text{typical}}{=} y_H - y_{\max} + \ln \frac{m}{\langle E_{T_i} \rangle} - \ln \frac{dN}{dy} + \text{constant}$$

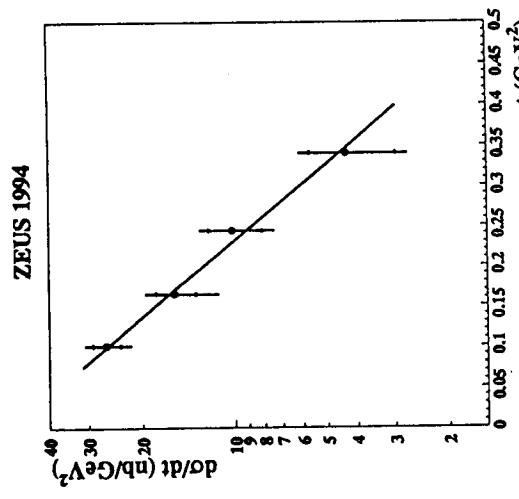


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## Diffraction + hard scattering

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ding proton in diffractive DIS:



Since QCD:  $\sqrt{s}$  has increased.  
 Can have diffraction — e.g.  $\frac{M_x^2}{s} = 10^{-2}, 10^{-3}$   
 & have  $M_x^2$  large enough to have hard scattering.  
 → calculable

Processes:

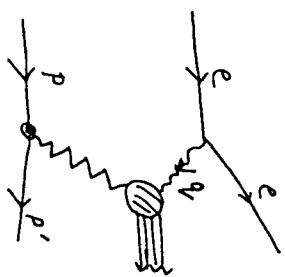
1. Classical diffractive excitation  
plus high  $p_T$  — e.g. D  $\rightarrow$  S, jets.
2. Elastic electroproduction  $J^* p \rightarrow J^* p + c.$
3. Nuclear targets

$$\frac{d\sigma}{d|t|} \propto e^{-b|t|} \text{ with } b = 7.2 \pm 1.1 \begin{matrix} +0.7 \\ -0.9 \end{matrix} \text{ GeV}^2$$

Gives microscope onto strong interaction  
scattering

at  $\sqrt{s} = 550 \text{ GeV}$ :  $b \simeq 14 \text{ GeV}^2$

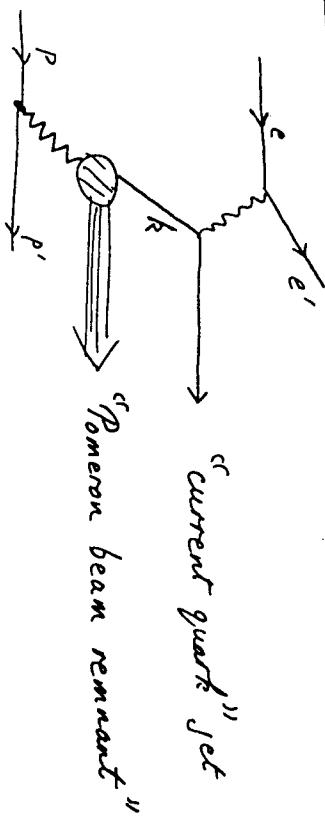
$\rightarrow \gamma \gamma \dots - - -$



$$ep \rightarrow e X_p$$

Treat Pomeron as particle & apply usual  
QCD methods to DIS (sc.) on Pomeron tag.  
Is it QCD? Yes — later. But...

Lowest order in  $\alpha_s(Q)$ :



Variables  $Q^2, x_{bj}; t, x_p$ .

$$p^\mu = (\underline{p}^+, \frac{m^2}{2p^+}, 0_T)$$

$$q^\mu = (-\underline{x_p}^+, \frac{Q^2}{2x_p^+}, 0_T)$$

$$\bar{p}'^\mu = (\underline{x_p}^+, 0(m'/p^+), 0(m))$$

$$x = x_{bj} \stackrel{\text{def}}{=} Q^2/2p \cdot q = -q^+/p^+$$

$$x_p = \frac{p^+ - p'^+}{p^+} = \dots$$

$$(Current jet)^\mu = (\text{small, } \frac{Q^2}{2x_{bj}p^+}, \text{ small})$$

$$k^\mu = (\frac{\xi}{3} x_p p^+, \text{ small, small})$$

$$+ q^\mu = (-\underline{x_p}^+, \frac{Q^2}{2x_p^+}, 0_T)$$

Define  $\beta = \frac{x_{bj}}{x_p} = \text{Bjorken } x \text{ w.r.t. Pomeron}$

( $0 < \beta \leq 1$ , instead of  $0 \leq x_{bj} \leq x_p$ )

$$\text{Hence } \xi \approx \frac{x_{bj}}{x_p} = \beta.$$

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## Inclusive diffraction — data

 $\rightarrow$ 

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$\beta_i(Q^2; x_p, t) = \sum_i e_i^2 \beta f_{\gamma/\alpha}(\beta, Q^2)$ flux factor: $ \beta_{\gamma/\alpha}(t) ^2 \left(\frac{1}{x_p}\right)^{2[\alpha(t)-1]}$	<b>HERA (H1 &amp; ZEUS)</b> • DIS (rap gap & "Roman pot") • dijets in DIS & photo production • charm
--	---

ization hypotheses:

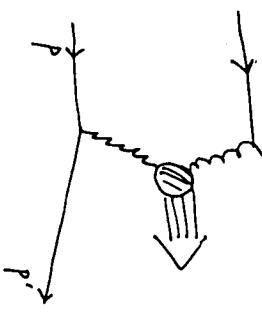
Regge  $\iff x_p$ -dep,  $t$ -dep.Hard scattering  $\Rightarrow$  other processes,  $Q$ -dep.

- these correct?
- dijets
  - $W, Z$
  - bottom
  - dijets in double Pomeron exchange.
- ey follow from QCD?
- predictions, using fits?

"Structure function" & "parton density"  
are different concepts.

~~~~~ 11

$e'$  at high  $p_t$



HERA) Outgoing proton  $p_t = 820 \text{ GeV} (1-x_F)$   
 $\approx 820 \text{ GeV}$

$$\langle p_T \rangle \sim 0.4 \text{ GeV}$$

$$\therefore \theta \sim 5 \times 10^{-4} \text{ radian}$$

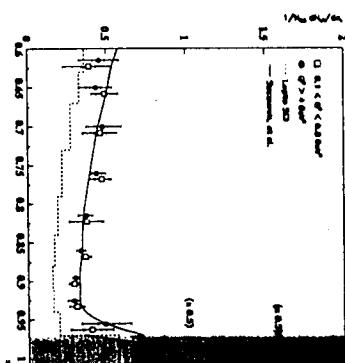
ZEUS : LPS (Leading proton spectrometer)

23 - 92 m downstream  
 'Roman pot' in beam pipe

Small acceptance

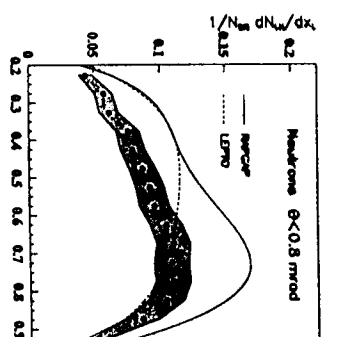
proton

ZEUS PRELIMINARY 1995

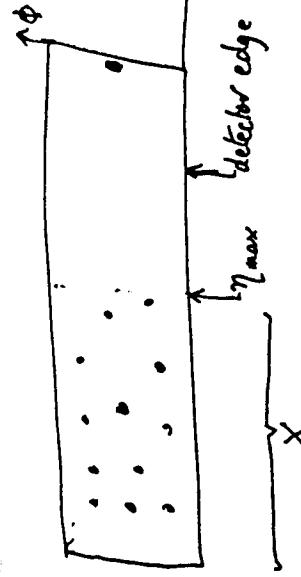


neutron

ZEUS PRELIMINARY 1995-1996



30

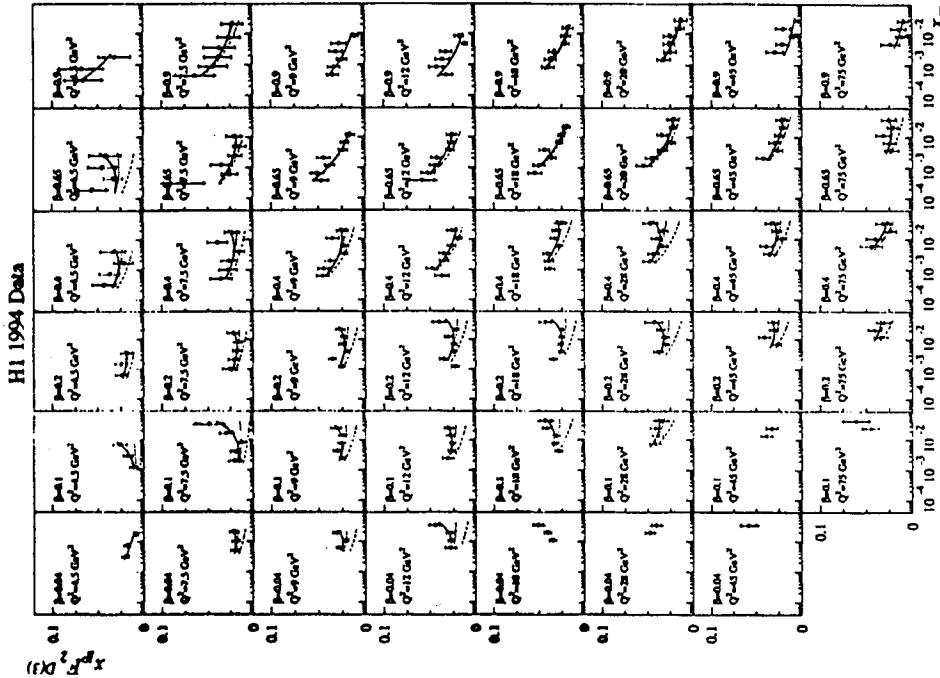


Deduce  $x_p$  from  $p_T^+ / p_T^-$

Some contribution (upto 15%) from

Much more data ~ 10% of all DIS at HERA

Analyses by ZEUS —  $\pi_X$  offgap  
+ H1 — reg gap



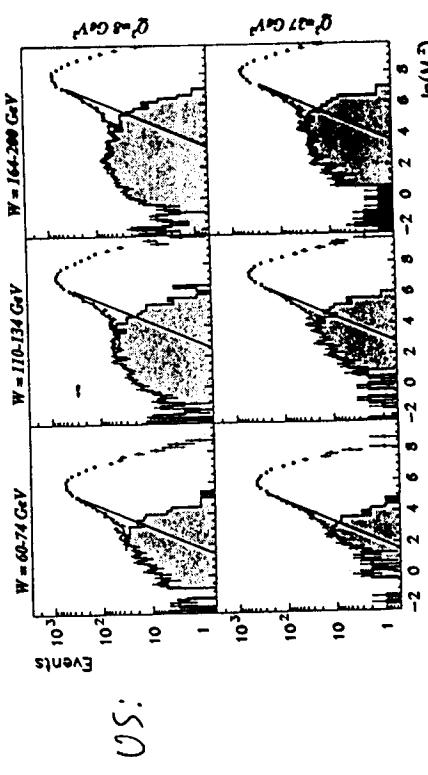
- Pomeron and Regge terms

- Small  $x$

- $\alpha_P$  is 1.14 to 1.20. Soft pomeron 1.08–1.10

- Measurements also from ZEUS

$$\ln x_p + \text{const.}$$



quark:  $u = \bar{u} = d = \bar{d}$   
 gluon  
 Pomeron's  $\alpha_P$

1. H1 DIS. Include Reggeon term

2. ACTW: DIS & jet photoproduction. (H1 & ZEUS)

3. ZEUS: DIS & jet photoproduction

Dominant: DIS:

photo.  $\gamma u$  &  $\gamma \bar{u}$

- large gluon component

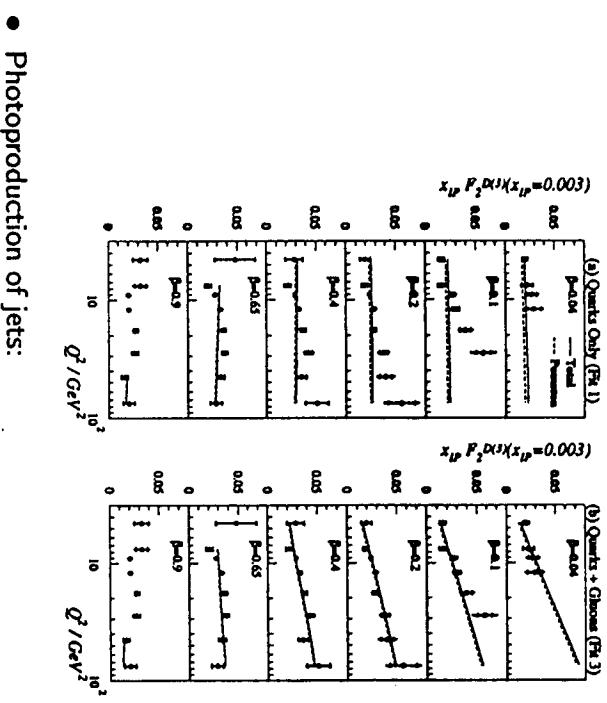
$\Rightarrow$  Predictions (diffractive)

1. Scaling violation

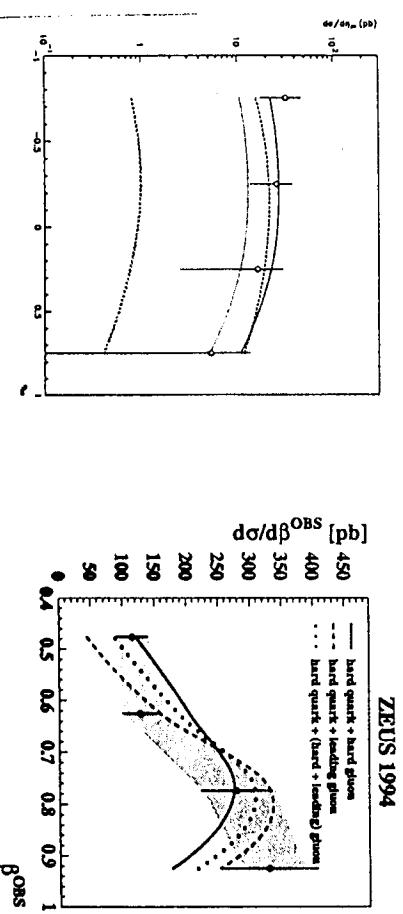
2. DIS dijets

3. charm production

$\uparrow$   
 $\downarrow$ , quark term  $N=0$



- Photoproduction of jets:



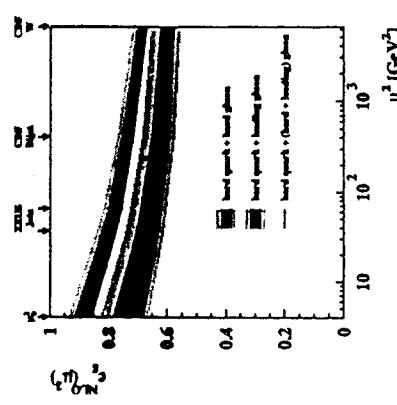
34

- Gluon fraction:

$$\frac{dk}{dx} \propto \begin{cases} \beta(1-\beta) & + \\ \alpha \beta(1-\beta) & \text{or peaked at large } \beta \end{cases}$$

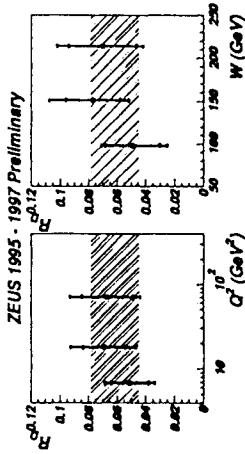
$$Q^2 = Q_0^2 = 4 \text{ GeV}^2 \text{ usually}$$

|                         | glue | $\chi$ | $\chi$ | $\chi$ | $\chi$ | $\chi$ |
|-------------------------|------|--------|--------|--------|--------|--------|
| ACTW A+C<br>H1 fit 1    | ✓    |        |        |        |        |        |
| ACTW B+C<br>H1 fit 2    |      | ✓      |        |        |        |        |
| ZEUS hard               |      |        | ✓      |        |        |        |
| ZEUS leading glue       |      |        |        | ✓      |        |        |
| peaked<br>large $\beta$ |      |        |        |        | ✓      |        |



|      | Expt                           | Data     | Prediction |
|------|--------------------------------|----------|------------|
| H1   | $154 \pm 40 \pm 35 \text{ pb}$ | $5-6$    | $100-600$  |
| ZEUS | $526 \pm 110 \pm 220$          | $\sim 3$ | $100-500$  |

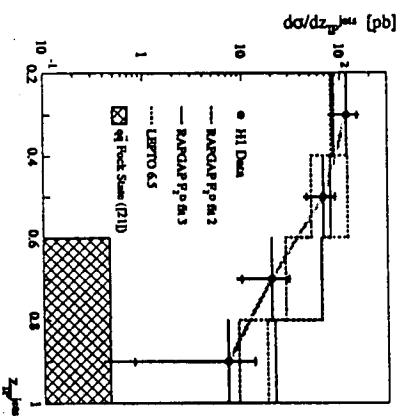
- Diffractive fraction of charm production:



35

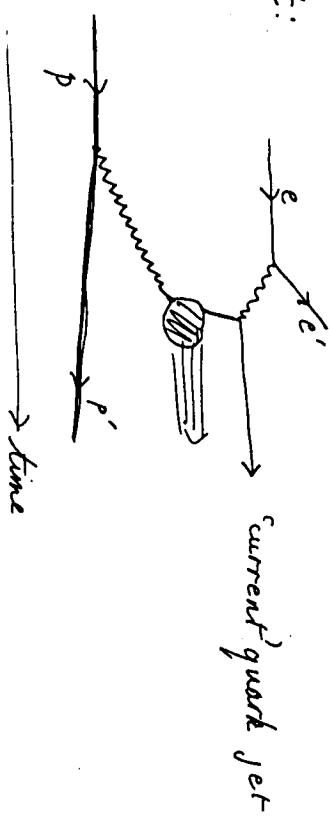
## L.S Ingelman - Schlein model correct Q.C.

Yes, for hard scattering.  
No, for Regge part.

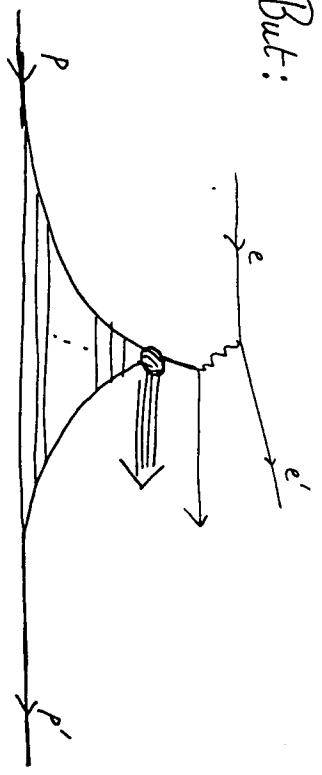


Pomeron is not an off-shell particle

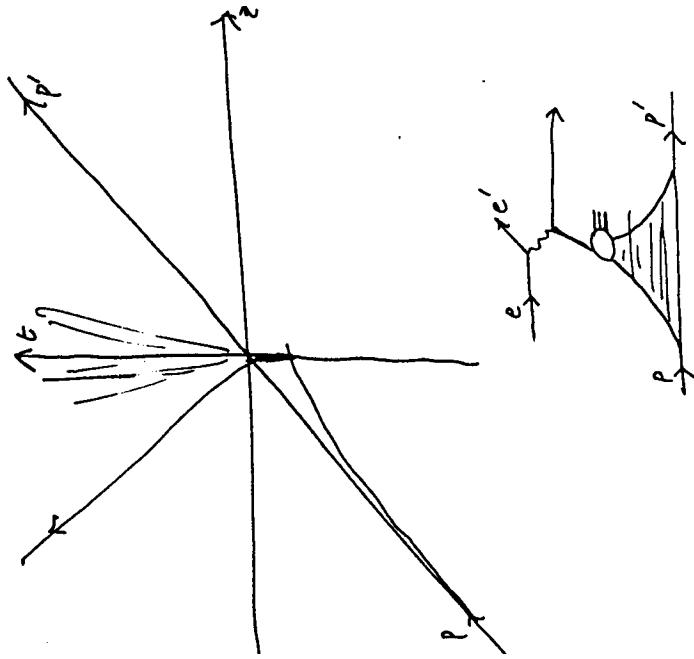
Not:



But:



38



## Diffractive parton densities (or extended fracture functions)

$$f^{(0)}(\beta, \mu; x_0, t) = \text{Fourier transform of} \\ \sum_x \langle \rho | \bar{\psi}(y, \sigma) e^{i\beta x} | \rho' x \rangle \langle \rho' x | e^{-i\beta t} \psi(0) | p \rangle$$

Usual defn.: pdf = number density,  
but with restriction on final state.  
Trentadue & Veneziano: fracture function

- Proof of hard-scattering factorization (JCC)  
Outgoing quark not affected by proton f.s.c.  
or effect is universal - in pdfs.

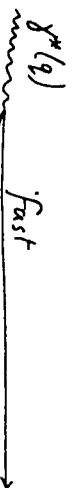
Universal - measure & use to predict other processes  
DGLAP evolution - as usual.

- Extends to general Lepto production process
- NO proof of Regge factorization  
→ Doesn't extend to hadron-hadron case.

Another view — target rest frame

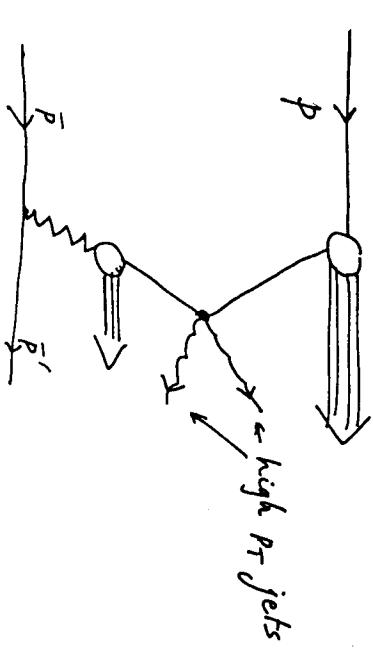
Hadron-hadron scattering

is different



Aligned jet model. Bjorken

Relate physics to normal soft diffraction.



Models — diffraction v ordinary small  $x$

is a matter of different color  
rearrangement &c

(Buckmiller & Hebecker, ...)

Idea:

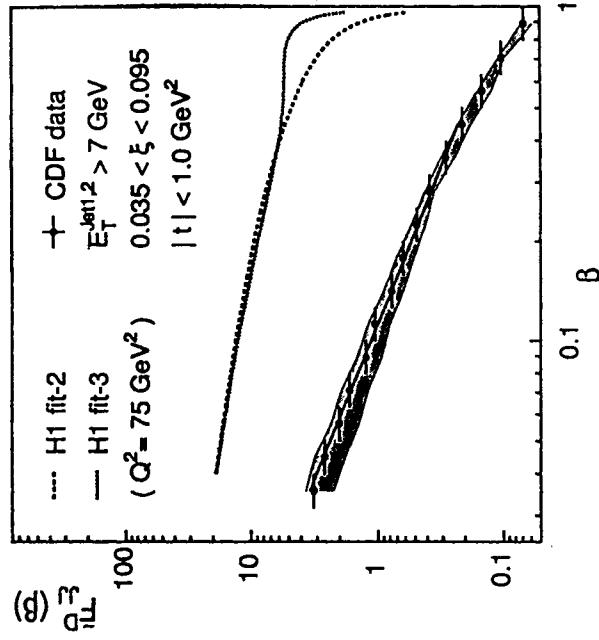


Valid model must be consistent with hard-scattering factorization, especially for

Probability of diffraction  
= (probability for struck parton to leave)  
 $\propto$  (prob. for same for rest of proton)

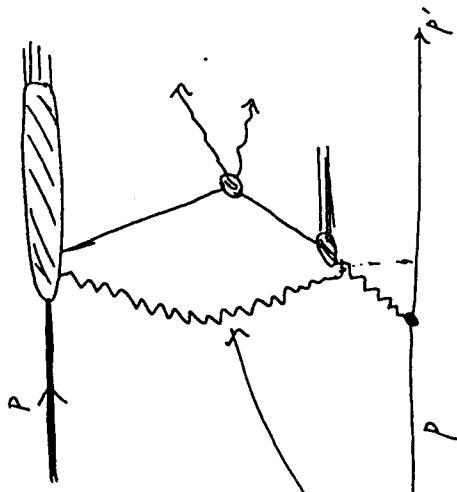
$\rightarrow$  suppression.

43



N.B.:  $\xi = x_P$ ,  $\beta = \text{parton momentum in Pomeron.}$

42



Extra interactions, "Pomeron" again  
break factorization, unless cross-section  
is completely inclusive.

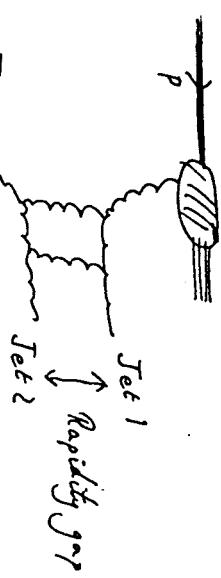
un before QCD — see

De Tar, Ellis & Landshoff

1. Rapidity gap between high  $p_T$  jets

(in hadron-hadron collisions).

Basic model:



$g + g \rightarrow J_1 + J_2$  at large  $\hat{s}$ ,  $\hat{s} \gg |t|$ .

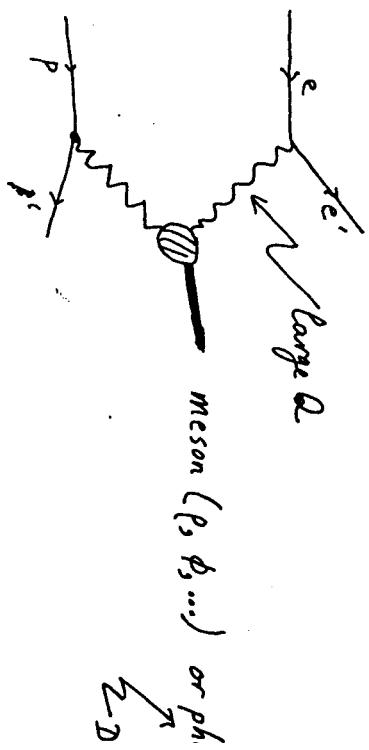
Pomeron & Regge "physics for gluons!"

$$\sigma = \rho_{\text{PDFs}} \times \tilde{\sigma} \text{ (color-singlet exchange)}$$

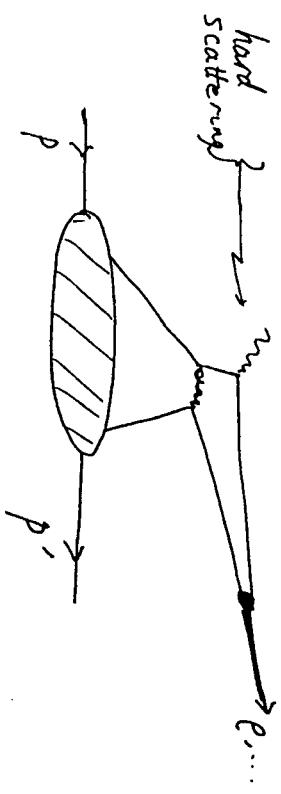
"gap survival probability"

(Bjorken)

2. Exclusive  $D \subset s$



Amplitude in terms of "skewed PDFs"



## References

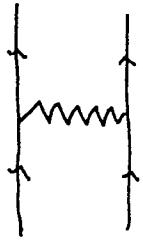
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## Key areas

1. Event structure in soft hadronic interactions
2. Light-cone coordinates, rapidity, pseudo-rapidity
3. Regge phenomenology, Pomeron
4. Space-time structure of scattering
5. Optical analogy
6. Basic diffraction
7. Diffractive hard scattering
  - DIS, jets, photoproduction of jets, heavy quark production &c.
8. Diffractive pdfs; fracture functions
9. Modelling
10. Factorization breaking:  $eH \rightarrow H\bar{H}$
11. Exclusive DIS:  $\gamma^*\gamma \rightarrow H\bar{H}$  &c.
12. Skewed pdfs & their uses

Diffractive scattering: What kinds?

lastic scattering at high energy & small angle



single diffractive excitation ('single diffraction' (SDE))



Double diffraction relatively

isolated / low mass systems



Double pomeron exchange ( $\overline{DPE}$ )



and I will write  $V^\mu = (V^+, V^-, V^T)$ . Some authors prefer to omit the  $1/\sqrt{2}$  factor in Eq. (1). It can easily be verified that Lorentz invariant scalar products have the form<sup>1</sup>

$$V \cdot W = V^+ W^- + V^- W^+ - V_T \cdot W_T. \quad (2)$$

## Light-cone Variables, Rapidity and All That

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(22 May 1997)

### Abstract

I give a pedagogical summary of the methods of light-cone variables, rapidity and pseudo-rapidity. Then I show how these methods are useful in analyzing diffractive high-energy collisions.

### I. INTRODUCTION

The use of light-cone variables, rapidity and pseudo-rapidity is very common in treating high-energy scattering, particularly in hadron-hadron and lepton-hadron collisions. The essential features of these collisions that make these variables of utility are the presence of ultra-relativistic particles and a preferred axis.

In these notes I will explain the theory of these variables. The only prerequisites are a knowledge of the elements of special relativity and an acquaintance with the general phenomenological features of high-energy scattering. Then I will show how these variables can be used conveniently in the analysis of the kinematics of diffractive processes. This will include the derivation of an approximate relation between the size of the rapidity gap and the variable  $x_F$ .

The material in these notes is essentially all standard and well known to many workers in the field. But it is not always easy to find the material conveniently presented.

### II. LIGHT-CONE COORDINATES, RAPIDITY

#### A. Definitions

Light-cone coordinates are defined by a change of variables from the usual  $(t, x, y, z)$  (or  $(0, 1, 2, 3)$ ) coordinates. Given a vector  $V^\mu$ , its light-cone components are defined by

$$V^+ = \frac{V^0 + V^3}{\sqrt{2}}, \quad V^- = \frac{V^0 - V^3}{\sqrt{2}}, \quad V^T = (V^1, V^2), \quad (1)$$

What are the motivations for defining such coordinates, which evidently depend on a particular choice of the  $z$  axis? One is that these coordinates transform very simply under boosts along the  $z$ -axis. Another is that when a vector is highly boosted along the  $z$  axis light-cone coordinates nicely show what are the large and small components of momentum. Typically one uses light-cone coordinates in a situation like high-energy hadron scattering. In that situation, there is a natural choice of an axis, the collision axis, and one frequently needs to transform between different frames related by boosts along the axis. Commonly used frames include the rest frame of one of the incoming particles, the overall center-of-mass frame, and the center-of-mass of a partonic subprocess.

#### B. Boosts

Let us boost the coordinates in the  $z$  direction to make a new vector  $V'^\mu$ . In the ordinary  $(t, x, y, z)$  components we have the well known formulae

$$V'^0 = \frac{V^0 + vV^x}{\sqrt{1 - v^2}}, \quad V'^x = \frac{vV^0 + V^x}{\sqrt{1 - v^2}}, \quad V'^z = V^x, \quad V'^y = V^y. \quad (1)$$

It is easy to derive the following for the light-cone components:

$$V'^+ = V^+ e^\psi, \quad V'^- = V^- e^{-\psi}, \quad V'^T = V_T, \quad (1)$$

where the hyperbolic angle  $\psi$  is  $\frac{1}{2} \ln \frac{1+x}{1-x}$ , so that  $v = \tanh \psi$ .

Notice that if we apply two boosts of parameters  $\psi_1$  and  $\psi_2$  the result is a boost  $\psi_1 + \psi_2$ . This is clearly simpler than the corresponding result expressed in terms of velocities.

#### C. Rapidity

a. *Boost of particle momentum* Consider a particle of mass  $m$  that is obtained by boost  $\psi$  from the rest frame. Its momentum is

$$\begin{aligned} p^\mu &= \left( p^+, \frac{m^2}{2p^+}, 0_T \right) \\ &= \left( \frac{m}{\sqrt{2}} e^\psi, \frac{m}{\sqrt{2}} e^{-\psi}, 0_T \right) \end{aligned}$$

<sup>1</sup>I prefer to make a distinction between contravariant vectors, whose indices are superscripts, covariant vectors, whose indices are subscripts. In all contexts where the distinction matters I put the Lorentz indices in their correct places.

that if the boost is very large (positive or negative), only one of the two non-zero components of  $\mathbf{p}'$  is large; the other component becomes small. With the usual notation for the components,  $p^0$  and  $\mathbf{p}'$ , become large.

Suppose next that we have two such particles,  $\mathbf{p}_1$  and  $\mathbf{p}_2$ , with the boost for particle 1 much larger than that for particle 2. Then in the scalar product of the two momenta one component of each momentum dominates the result, thus for example  $(\mathbf{p}_1 + \mathbf{p}_2)^2 \approx p_1^2$ . This implies that, when analyzing the sizes of scalar products of highly boosted particles, it is simpler to use light-cone components than to use conventional components.

**Definition of rapidity** Since the ratio  $\mathbf{p}^+/\mathbf{p}^-$  gives a measure  $\propto$  of the boost from rest frame, we are led to the following definition of a quantity called “rapidity”:

$$y = \frac{1}{2} \ln \frac{\mathbf{p}^+}{\mathbf{p}^-} = \frac{1}{2} \ln \frac{E + \mathbf{p}'}{E - \mathbf{p}'}, \quad (6)$$

can be applied to a particle of non-zero transverse momentum. The 4-momentum of particle of rapidity  $y$  and transverse momentum  $\mathbf{p}_T$  is

$$\mathbf{p}^a = \left( \sqrt{\frac{m^2 + \mathbf{p}_T^2}{2}} e^y, \sqrt{\frac{m^2 + \mathbf{p}_T^2}{2}} e^{-y}, \mathbf{p}_T \right). \quad (7)$$

$\sqrt{m^2 + \mathbf{p}_T^2}$  being called the transverse energy  $E_T$  of the particle. It can be checked that the scalar product of two momenta is

$$\mathbf{p}_1 \cdot \mathbf{p}_2 = E_{1T} E_{2T} \cosh(y_1 - y_2) - \mathbf{p}_{1T} \cdot \mathbf{p}_{2T}. \quad (8)$$

In the case where the transverse momenta are negligible, this reduces to  $m^2 \cosh(y_1 - y_2)$ , which is like the formula for the product of two Euclidean vectors  $\mathbf{p}_1 \cdot \mathbf{p}_2 = p_1 p_2 \cos \theta$ , with trigonometric cosine being replaced by the hyperbolic cosine.

**c: Transformation under boosts** Under a boost in the  $z$  direction, rapidity transforms:

$$y \rightarrow y' = y + \psi. \quad (9)$$

This implies that in situations where we have a frequent need to work with boosts along the  $z$  axis it is economical to label the momentum of a particle by its rapidity and transverse momentum, rather than to use 3-momentum.

**d. Rapidity distributions in high-energy collisions** It also happens that in most collisions high-energy hadronic scattering, the distribution of final-state hadrons is approximately form in rapidity, within kinematic limits. That is, the distribution of final-state hadrons is approximately invariant under boosts in the  $z$  direction. This implies that rapidity and transverse momentum are appropriate variables for analyzing data and that detector electronics should be approximately uniformly spaced in rapidity. (What is physically possible to make a detector uniform in the angular variable pseudo-rapidity that I will discuss below.) This is in contrast to the situation for  $e^+e^-$  collisions where most of the interest in events generated via annihilation into an electro-weak boson. Such events are much easier to uniform in solid angle than uniform in rapidity.

**e. Non-relativistic limit** Observe that for a non-relativistic particle, rapidity is the same as velocity along the  $z$ -axis, for then

$$y = \frac{1}{2} \ln \frac{E + \mathbf{p}'}{E - \mathbf{p}'} \simeq \frac{1}{2} \ln \frac{m + m\mathbf{v}^s}{m - m\mathbf{v}^s} = \mathbf{v}^s. \quad (10)$$

Non-relativistic velocities transform additively under boosts, and the non-linear change of variable from velocity to rapidity allows this additive rule Eq. (9) to apply to relativistic particles (but only in one direction of boost).

One way of seeing this is as follows: The relativistic law for addition of velocities in one dimension is

$$\beta_{13} = \frac{\beta_{12} + \beta_{23}}{1 + \beta_{12}\beta_{23}}, \quad (11)$$

where  $\beta_{12}$  is the velocity of some object 1 measured in the rest-frame of object 2, etc. This formula is reminiscent of the following property of hyperbolic tangents:

$$\tanh(A + B) = \frac{\tanh A + \tanh B}{1 + \tanh A \tanh B}. \quad (12)$$

So to obtain a linear addition law, we should write  $\beta_{12} = \tanh A_{12}$ , and then the rule Eq. (11) for the addition of velocities becomes simply  $A_{13} = A_{12} + A_{23}$ . The  $A$  variables are exactly relative rapidities, since

$$\mathbf{v}^s = \frac{\mathbf{p}^+}{E} = \frac{\mathbf{p}^+ - \mathbf{p}^-}{\mathbf{p}^+ + \mathbf{p}^-} = \tanh y. \quad (13)$$

**f. Relative velocity** Rapidity is the natural relativistic velocity variable. Suppose we have a proton and a pion with the same rapidity at  $\mathbf{p}_T = 0$ . Then they have no relative velocity; to see this, one just boosts to the rest frame of one of the particles. But these same particles have very different energies:  $E_p = \frac{m_p}{m_\pi} E_\pi$ .

#### D. Pseudo-rapidity

As I will now explain, the rapidity of a particle can easily be measured in a situation where its mass is negligible, for then it is simply related to the polar angle of the particle.

First let us define the pseudo-rapidity of a particle by

$$\eta = -\ln \tan \frac{\theta}{2}, \quad (14)$$

where  $\theta$  is the angle of the 3-momentum of the particle relative to the  $+z$  axis. It is easy to derive an expression for rapidity in terms of pseudo-rapidity and transverse momentum:

$$y = \ln \sqrt{\frac{m^2 + \mathbf{p}_T^2 \cosh^2 \eta + \mathbf{p}_T \sinh \eta}{m^2 + \mathbf{p}_T^2}}. \quad (15)$$

In the limit that  $m \ll p_T, y \rightarrow \eta$ . This accounts both for the name 'pseudo-rapidity' and for the ubiquitous use of pseudo-rapidity in high-transverse-momentum physics. Angles, and hence pseudo-rapidity, are easy to measure. But it is really the rapidity that is of physical significance; for example the distribution of particles in a minimum bias event is approximately uniform in rapidity over the kinematic range available.

The distinction between rapidity and pseudo-rapidity is very clear when one examines the kinematic limits on the two variables. In a collision of a given energy, there is a limit to the energy of the particles that can be produced. This can easily be translated to limits on the rapidities of the produced particles of a given mass. But there is no limit on the pseudo-rapidity, since a particle can be physically produced at zero angle (or at  $180^\circ$ ), where its pseudo-rapidity is infinite. The particles for which the distinction is very significant are those for which the transverse momentum is substantially less than the mass. Note: from Eq. (15) it follows that  $y < \eta$  always.

### III. DIFFRACTIVE SCATTERING

#### A. Kinematics

Let us define a single diffractive collision as one in which one of the beam particles emerges almost unscattered from the scattering, with only a small deflection and a small loss of energy (Fig. 1):

$$p + \bar{p} \rightarrow p' + X. \quad (16)$$

For the sake of definiteness, I have assumed a proton-antiproton collision with the proton being diffracted. One can treat any other collision in the same fashion. Indeed, replacing the incoming and outgoing protons by electrons gives us electroproduction processes, and the low  $Q^2$  events that are used to measure photoproduction cross sections have exactly the same kinematic properties as diffractive hadron collisions.

Suppose the particle  $p$  is moving in the  $+z$  direction, and  $\bar{p}$  in the opposite direction. Then we define the kinematics in terms of the transverse momentum  $p_T'$  of the diffracted hadron and a variable  $x_P$  defined as  $1 - p'^+ / p^+$ . Thus the components of  $p$  and  $p'$  are

$$\begin{aligned} p^\mu &= \left( p^+, \frac{m^2}{2p^+}, 0_T \right), \\ p' &= \left( (1 - x_P)p^+, \frac{m^2 + p_T'^2}{2(1 - x_P)p^+}, p_T' \right). \end{aligned} \quad (17)$$

It is convenient to examine the momentum transfer:

$$\Delta^\mu \equiv p^\mu - p'^\mu = \left( x_P p^+, -\frac{x_P m^2 + p_T'^2}{2(1 - x_P)p^+}, \mathbf{p}' \right). \quad (18)$$

Clearly,  $x_P$  represents a fractional loss of the  $+z$  component of momentum. When the two protons are both moving fast, this fraction is also the fractional energy loss:  $x_P = 1 - E'/E + O(1/E)$ , but the definition in light cone components converts this to a boost-invariant definition. The invariant momentum transfer is



FIG. 1. Diffractive scattering.

Thus at small  $x_P$ ,  $t$  is an equivalent variable to the transverse momentum of the outgoing diffracted hadron.

The diffractive region is when  $x_P$  is small enough that the power law attributed to pomeron exchange dominates. The exact nature of the pomeron in QCD is still quite uncertain. But from a practical point-of-view one can identify it with whatever is exchanged between hadrons to make the approximately constant total cross sections that are observed at high-energy. The object exchanged between the protons in Fig. 1 and the system labeled  $X$  is a pomeron when  $x_P$  is sufficiently small. In the Regge phenomenology of diffractive scattering, this is associated with an  $x_P$  distribution of the events that is approximately  $dx_P/x_P$ .

A more general definition of diffraction is that it consists of events in which there are not exponentially suppressed rapidity gaps. The events that are diffractive in the first definition in fact are also diffractive according to the second definition. As I will show in Sect. III I events with small  $x_P$  have a rapidity gap that grows like  $\ln(1/x_P)$ . The power law associated with pomeron exchange gives a distribution of events that is approximately  $dx_P/x_P$ , and hence approximately a constant distribution in the size of the rapidity gap. That is, it gives non-exponentially suppressed rapidity gaps.

In contrast, if one has a statistical distribution of particles, uniform in rapidity, and if a the correlations between particles are local in rapidity, then the probability of a rapidity gap of size  $\Delta y$  is proportional to  $e^{-a\Delta y}$  for some constant  $a$ . Experimental data, for example Fig. 2 indicate that for small gaps there is indeed an approximately exponential fall-off with increasing  $\Delta y$ . But for large enough gaps, more than 2 or 3 units, a presumably different mechanism of particle production becomes significant, and there is no longer a strong exponential drop. Of course, once  $\Delta y$  gets close to the kinematic limit, the distribution in  $\Delta y$  must decrease again.

Strictly speaking, one should not talk about specific events as being diffractive or non-diffractive. Instead one should talk about the diffractive and non-diffractive components a cross-section. When one says that a specific event is diffractive, one really means that it in a kinematic region (of  $x_P$  or rapidity gap) where diffraction is the dominant contribution to the cross section.

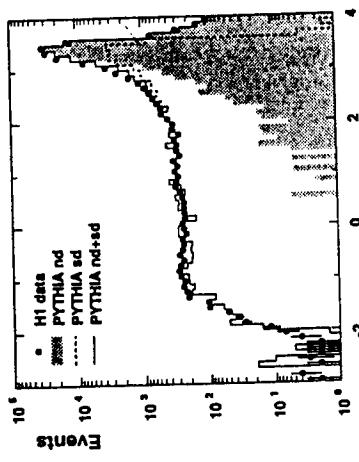


FIG. 2. Distribution of  $\eta_{\max}$  in photoproduction at H1 [1]. There are no detected particles with  $\Delta$ -rapidity between  $\eta_{\max}$  and  $\eta$  at the end of the detector at  $\eta = 3.65$

### B. Existence of a rapidity gap

Now the maximum value of the  $\Delta$  component of the momentum of a hadron in the  $X$  of the final state in (16) is  $\Delta^+ = \mathbf{z} p^+$ . It then follows from Eq. (7) that the maximum value of rapidity for any particle in  $X$  is

$$\eta_{\text{in}, \max} = \ln \frac{2 \mathbf{z} p^+ E}{m}, \quad (20)$$

where  $E$  is the beam energy (assumed to be much larger than the proton mass).

For a numerical example, consider a diffractive process with an incoming proton of energy 1 GeV (as at the Fermilab Tevatron), and with  $\mathbf{z} p = 0.01$ , a value typically considered to safely in the diffractive region. The incoming proton itself has rapidity 7.6. From Eq. (20) the maximum possible rapidity of pions in the event is 4.9. There is therefore a rapidity gap, on the proton side of the event. Since there are normally many particles in the event, which share the momentum, the edge of the rapidity gap,  $\eta_{\max}$ , will typically be a unit or one lower.

The distribution of the soft particles in a hadronic collision is approximately uniform in rapidity. So when the final-state particles are plotted in azimuth  $\phi$  and rapidity  $y$ , a diffractive event therefore looks like Fig. 3. When  $x_P$  is decreased, the value of  $y_{\text{in}, \max}$  also increases, and hence the size of the rapidity gap increases.

### C. Computation of $x_P$ from the non-diffracted part of the final state

In principle,  $x_P$  can be either measured from the final state hadron:  $x_P = 1 - p'^+ / p^+$  or from the rest of the final state:

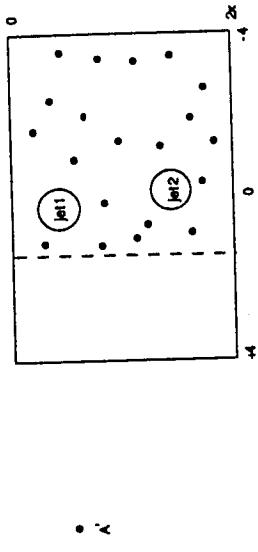


FIG. 3. Plot of the distribution of final-state particles in a typical diffractive event is uniform in  $\phi$  and  $y$  except for an isolated forward proton.

$$x_P = \sum_{i \in X} \frac{k_i^+}{p^+}. \quad (21)$$

where the sum is over all particles in the remaining part  $X$  of the final state.

To measure  $x_P$  from the diffracted hadron requires first that there be elements of the detector (e.g., Roman pots) close to the beam-pipe, which is not always the case. Moreover, if  $x_P$  is very small, the hadron's energy needs to be known very precisely to obtain a useful accuracy on  $x_P$ ; if the measurement is not sufficiently accurate, one cannot distinguish the value from zero. (Values of  $x_P$  in the range  $10^{-4}$  to  $10^{-2}$  are often discussed.) So if a useful measurement of  $x_P$  is not available, one must measure the rest of the hadronic final-state, deduce  $x_P$  by using Eq. (21).

In principle, the use of Eq. (21) requires knowledge of all the (typically many) particles in  $X$ . One might imagine that poor information on  $X$ , for example from a lack of calorimetry in the backward part of the detector, would prevent such a measurement from being practical.

In fact, as I will now show, the value of the right-hand-side of Eq. (21) is dominated by the particles of the largest  $k_T$  (i.e., jet particles), and by the particles that are closest in rapidity to the diffracted hadron, i.e., closest to the edge of the rapidity gap.

We simply write each  $k_i^+$  in Eq. (21) in terms of rapidity and transverse momentum to obtain

$$x_P = \sum_{i \in X} \frac{E_i e^{\eta_i}}{2E}. \quad (22)$$

Here I have approximated  $p^+$  by  $E\sqrt{\hat{s}}$ , with  $E$  being the energy of the incoming proton, which is assumed to be large. The transverse momentum appears in form of the transverse energy  $E_{T,i} = \sqrt{k_{iT}^2 + m^2}$ . We next recall that particles in a hadronic scattering event are typically uniformly distributed in rapidity between some kinematic limits, and have a steeply falling spectrum in transverse momentum. The exponential of rapidity in Eq. (22) therefore implies that the sum is dominated by those particles of the highest rapidity.

The exception to this statement is given by particles that result from a hard scattering and that therefore have large transverse momentum. Hence the general statement is that the sum in Eq. (22) is dominated by (a) particles with the largest rapidity (i.e., closest to the edge of the rapidity gap), and (b) particles with high transverse momentum. This means that the measurement can be effectively be made from

$$x_P \simeq \sum_{\substack{\text{particles with highest } E_T \\ \text{particles with large } x_T}} \frac{E_T e^y}{2E}. \quad (23)$$

Hence a lack of detector coverage on the opposite side to the diffracted proton does not greatly affect the accuracy of the measurement.

Exactly this method is used in a corresponding problem in deep-inelastic scattering, where it is known as the Jacquet-Blondel [2] method. There it is desired to measure the scaling variable  $y$  (which has no relation to rapidity). This variable is defined in terms of the scattered lepton in exactly the way in which our  $x_P$  is defined in terms of the diffracted proton. By momentum conservation,  $y$  can be computed from the hadronic final state. The Jacquet-Blondel method is in regular use in deep-inelastic experiments at the HERA collider, and it provides useful accuracy. It is therefore evident that the same method applied to diffraction may well provide a practical method for measuring  $x_P$ .<sup>2</sup>

#### D. Scaling variable $\beta_H$ relative to pomeron

When one has not only diffraction but also a hard scattering, there is another important kinematic variable that can be measured by a similar method. A closely related variable is the one called  $\beta$  in diffractive deep-inelastic scattering.<sup>1</sup> I have added a subscript ‘H’ to distinguish my definition, with ‘H’ denoted ‘hard scattering’. The definition and its physical interpretation can be explained by considering a diffractive hard collision treated by the QCD factorization formula, as formulated by Ingelman and Schlein [3]. The situation is represented in Fig. 4. There the hard part (i.e., the large  $p_T$  subprocess) of the collision is treated as being due to a collision of a parton from the antiproton with a parton out of the exchanged pomerion. The parton in the pomerion has a distribution that is a function of its momentum fraction,  $\beta_H$ , in the pomerion. Now fractions here are defined to be fractions of the + component of momenta. So we can measure  $\beta_H$  from the hadronic final state by

$$\beta_H = \frac{x_{jet}}{x_P}, \quad (24)$$

where  $x_{jet}$  is defined in the same way as  $x_P$ , except that the sum is restricted to the particles that are generated by the hard scattering subprocess, i.e., the large transverse momentum particles. Thus

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$$\beta_H = \frac{\sum_{\substack{\text{particles with large } E_T \\ \text{particles with large } x_T}} E_T e^y}{\sum_{\text{all particles}} E_T e^y} \quad (25)$$

The sum in the denominator is effectively over just the particles with the largest rapidity and/or transverse energy. The importance of measuring cross sections differential in  $\beta_H$  is that it gives a direct probe of the parton densities in the pomerion.

I use the name ‘jet’ in the symbol  $x_{jet}$ , in view of the fact that an important application is to events containing high  $p_T$  jets. In that case the sums are actually over hadrons. However, it is important to observe that the same principles apply to any other hard process, like Drell-Yan. Then the sums are over all particles of the specified kinematics, hadronic or leptonic.

Important note about notation: In diffractive deep-inelastic scattering, it is common to define a variable  $\beta \equiv x_{hi}/x_P$ . In an event that is generated by the lowest-order mechanism of elastic electron-quark scattering, it can readily be verified that  $\beta = \beta_H$ . This is in fact a motivation for the definition of  $\beta$ .

Moreover, although the motivation for the definition of  $\beta_H$ , and many of its uses, are associated with the factorization formula (the ‘Ingelman-Schlein model’), the reader should observe that the definition of  $\beta_H$  is completely independent of the model. The expression for  $\beta_H$  involves only properties of measurable hadrons (and leptons) in the collision. It does not involve the unobserved partons that are the mechanism by which the process is supposed to be generated. This observation is particularly important in the light of the fact that the factorization formula for diffractive hard scattering has not been proved from QCD, unlike the related formula for inclusive hard scattering.

#### E. Relation between rapidity gaps and $x_P$

Much diffractive data is presented as a function of the position of the edge of the pseudo rapidity gap rather than as a function of  $x_P$ . So it is useful to obtain an approximate relation between the two variables in order to be able to compare different sets of data. I will present results both for the gaps in rapidity and in pseudo-rapidity.

##### 1. Estimation of the size of the gap

In a typical event, the distribution of particles as a function of  $y$  is supposed to look like Fig. 5(a). To estimate the relation between the edge of the rapidity gap and  $x_P$ , I approximate the true distribution by a theta function distribution, Fig. 5(b). In the approximative distribution we have a uniform distribution, for values of  $y$  less than a value I will call  $y_{gap}$  and no particles above  $y_{gap}$ . The height of the distribution I will call  $dN/dy$ . From this distribution of hadrons in real diffractive events [4]<sup>3</sup> I estimate that an appropriate value for

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<sup>2</sup>One counterargument is that when one measures  $y$  in deep-inelastic scattering, the particles dominating the sum corresponding to the one in Eq. (23) are those with large transverse momentum, whereas in diffractive hadron scattering, the soft particles, those in the underlying event, are important. In diffractive hadron scattering one will measure all the relevant low transverse momentum particles only if the tracking is very good.

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<sup>3</sup>There is also earlier data from the ISR [5].

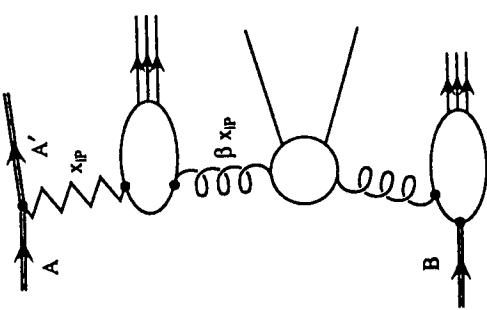


FIG. 4. Diffractive hard scattering. A parton inside the pomeron undergoes a scattering scattering with a parton out of the antiproton.

is about one unit less than the actual end of the rapidity gap, in order to obtain the value for  $x_P$ . Next, I split the computation of  $x_P$  into a sum over the high  $p_T$  particles (e.g., associated jets), and a sum over the low  $p_T$  particles (which comprise what is often termed the underlying event). For the particles in the underlying event, I replace their transverse  $y$  by its mean value  $\langle E_T \rangle$ . This gives

$$\begin{aligned} x_P &\simeq \beta_H x_P + \int_{-\infty}^{y_{\text{edge}}} dy e^y \frac{dN}{dy} \frac{\langle E_T \rangle}{2E} \\ &= \beta_H x_P + e^{y_{\text{edge}}} \frac{dN}{dy} \frac{\langle E_T \rangle}{2E}. \end{aligned} \quad (26)$$

I have extended the integral over  $y$  to  $-\infty$ , since, in the underlying event, only the ones closest to the edge of the rapidity gap are important in the calculation. Hence

$$\begin{aligned} \ln \frac{1}{x_P} &\simeq -\ln \frac{1}{1-\beta_H} - y_{\text{edge}} - \ln \frac{dN}{dy} + \ln \frac{2E}{\langle E_T \rangle} \\ &= -y_{\text{max}} - \ln \left( \frac{1}{1-\beta_H} \right) + \left[ \ln \frac{2E}{\langle E_T \rangle} + y_{\text{max}} - y_{\text{edge}} - \ln \frac{dN}{dy} \right], \end{aligned} \quad (27)$$

the term in square brackets being approximately independent of the event's kinematic parameters,  $y_{\text{max}}$  and  $\beta_H$ .

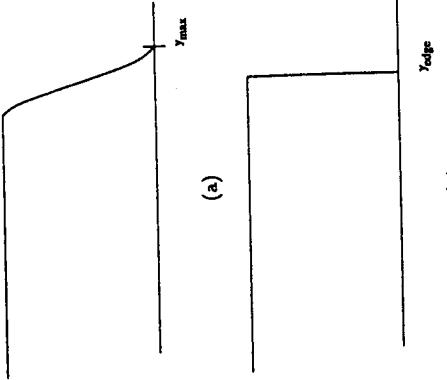


FIG. 5. (a) True distribution of particles in a diffractive event. (b) Theta-function approximation to this distribution.

## 2. Numerical values

For a relation between  $x_P$  and the maximum measured pseudorapidity, let us rewrite Eq. (27) as

$$\ln \frac{1}{x_P} = C - \eta_{\text{max}} - \ln \frac{1}{1-\beta_H} + \ln \frac{E}{900 \text{ GeV}}, \quad (28)$$

with

$$C = \eta_{\text{max}} - y_{\text{edge}} + \ln \frac{1800 \text{ GeV}}{\langle E_T \rangle} - \ln \frac{dN}{dy}. \quad (29)$$

Now  $C$  is a pure number that should be approximately independent of any of the parameters of the collision. So we can try to estimate it from the CDF data on single diffraction [4].

Their plots for the number distribution of charged tracks as a function of pseudo-rapidity are averaged over all events. Since different events have different values of  $x_P$ , the plot need not exhibit a rapidity plateau even if individual events do so. Moreover the rise of  $dN/dy$  from zero to its plateau will be spread out.

From the data, I estimate that at  $\sqrt{s} = 1800 \text{ GeV}$

$$\begin{aligned} \frac{dN}{d\eta} &\approx 2, \\ \eta_{\text{max}} - y_{\text{edge}} &\approx 1. \end{aligned} \quad (30)$$

## REFERENCES

- In addition I use the value [6] ( $E_T \approx 0.3$  GeV). These values give  $C = 8.5$ . Eq. (28) is, of course, not exact on an event-by-event basis. However, it should be useful on average, with the real data being smeared around the values related by the formula, and of course the numerical value 8.5' should be adjusted by a fit to ordinary single diffraction data.
- There is indeed such data. CDF in Ref. [7] give a plot of  $\eta_{\max}$  against  $z_F$  for their diffractive dijet data. Unfortunately, it disagrees quite badly with the above formula, both as to normalization and slope. This needs to be investigated. For example, the numbers I quoted above in Eq. (30) may be wrong, and my formulae have made no allowance for the fact that the measured  $\eta_{\max}$  applies to particles above some value of  $E_T \approx 400$  MeV. The true  $\eta_{\max}$  may be rather larger.

## ACKNOWLEDGMENTS

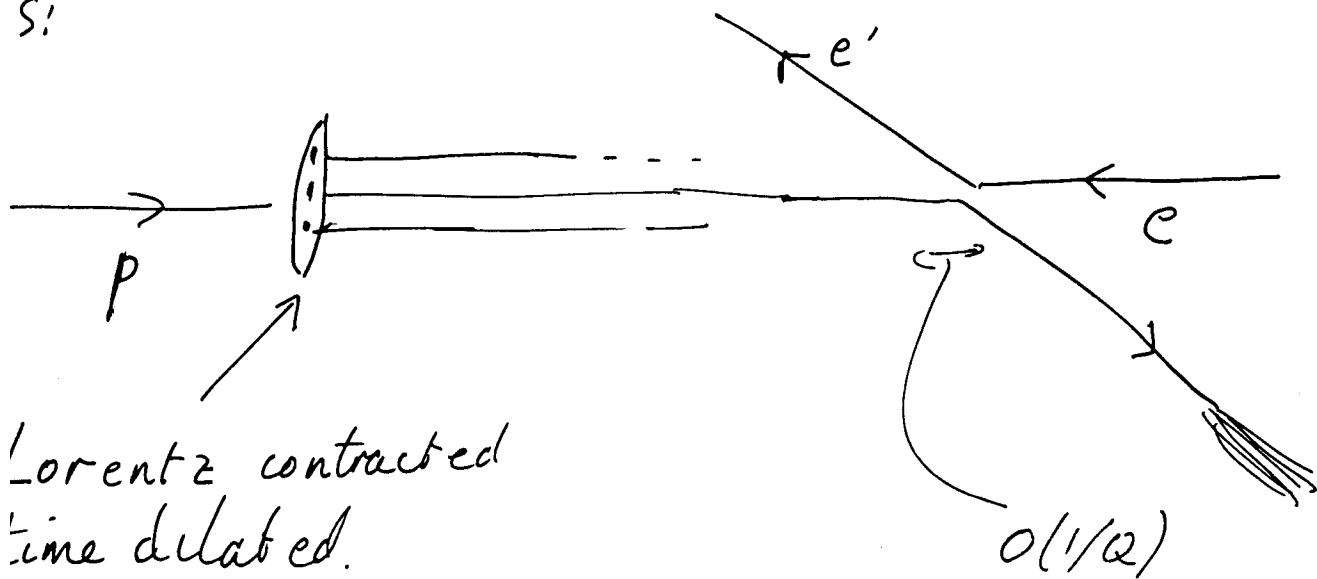
This work was supported in part by the U.S. Department of Energy under grant number DE-FG02-90ER-40577. I would like to thank Mike Albrow, Andrew Brandt, and Kristal Mauritz for discussions.

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- [6] CDF Collaboration (presented by M. Schub), "CDF Minimum Bias Results", in Proceedings, Elastic and diffractive scattering, Nucl. Phys. B, Proc. Suppl. 12 (1990), 254.
- [7] The relation between  $\eta_{\max}$  and  $z_F$  in diffractive jet production at CDF can be found in: P.L. Melèse for the CDF collaboration, "Diffractive Dijet Search with Roman Pots at CDF" FERMILAB-CONF-96-231-E, contributed to 1996 Annual Divisional Meeting (DPF 96) of the Division of Particles and Fields of the American Physical Society, Minneapolis, MN, 10–15 Aug. 1996,  
<http://www-11b.fnal.gov/archive/1996/conf/Conf-96-231-E.ps>.

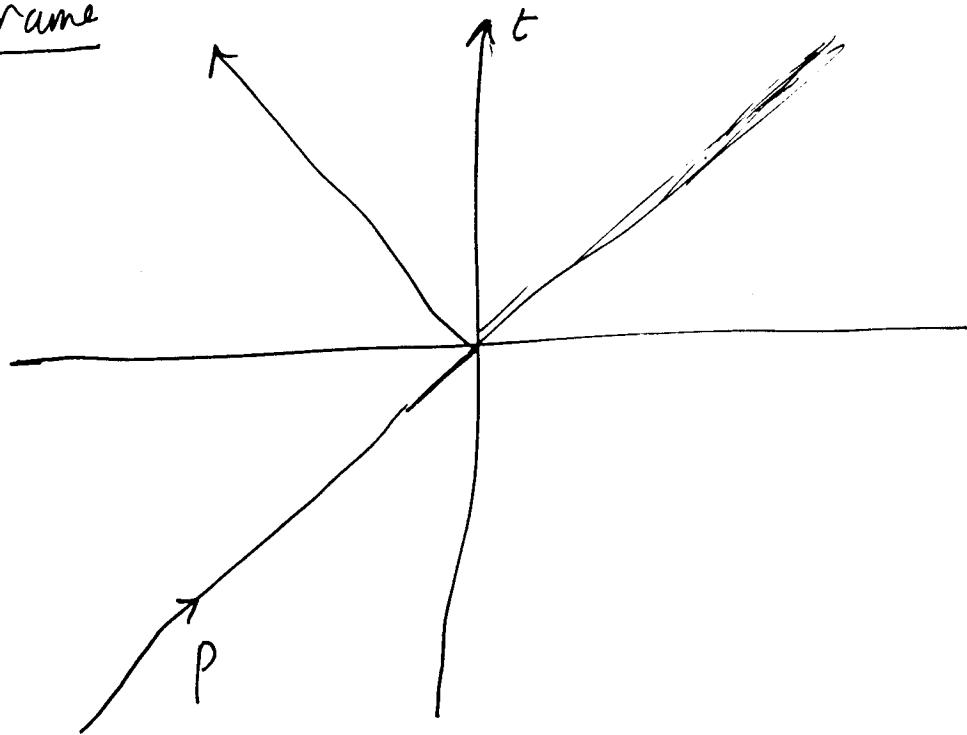
In that paper,  $\xi$  is used to denote what I call  $z_F$ .

$$F_2 = \sum_a \int d\xi \quad F_2\left(\frac{x}{\xi}\right) \quad f_{a/p}(\xi) \quad \text{qc.}$$

S!



frame



• uppinning cross  
scattering on free quarks &  
gluons, moving in z-direction

res:  $\sim m^2/Q^2$ .

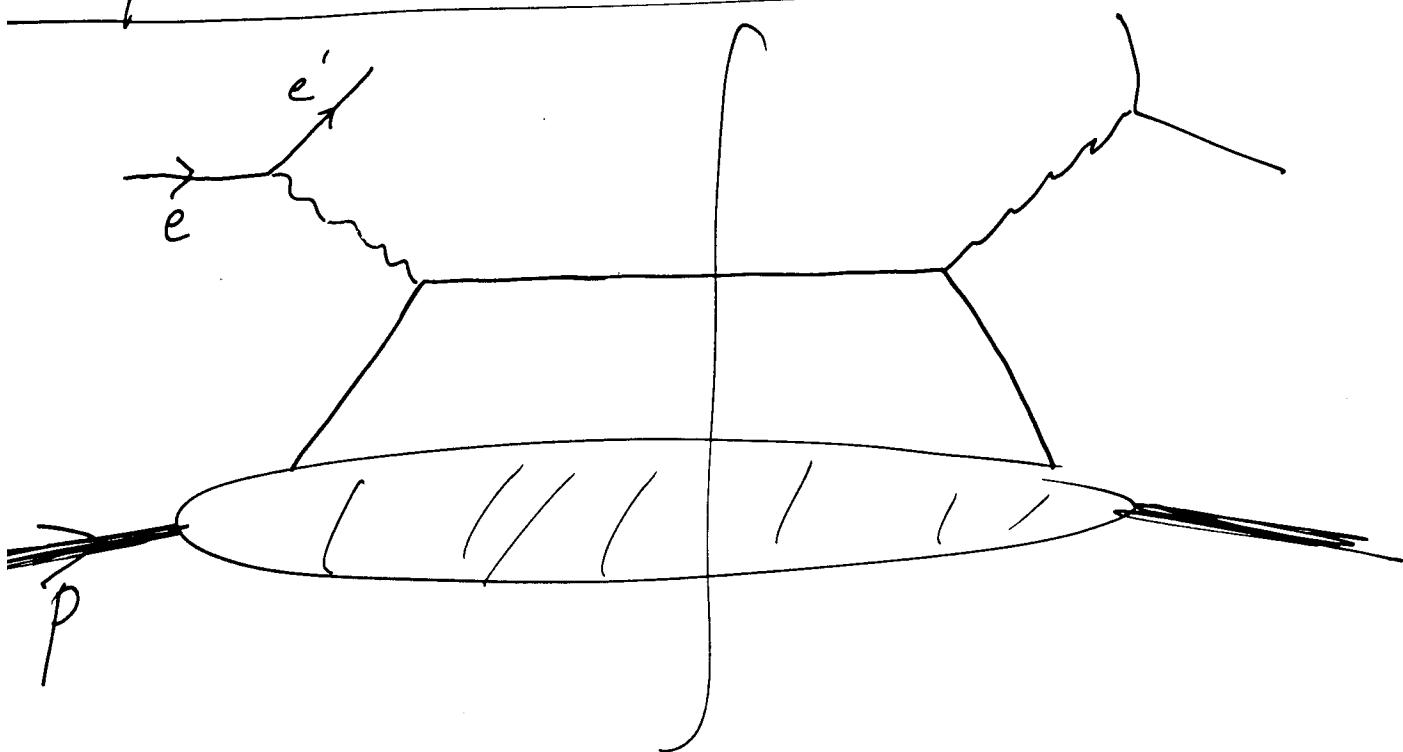
not  $e + q + q$ ?

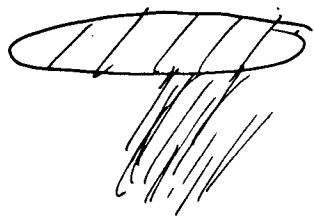
Prob. of second parton

~  $\frac{\text{Number of partons}}{\pi R^2} \times \pi \left(\frac{1}{Q}\right)^2$

~  $\# \times \frac{1}{Q^2 R^2}$ .

Implement in QFT:





Hadronization — too late to  
affect inclusive cross-section  
to correlate struck quark &  
proton remnant

5+2

$$\begin{matrix} \cancel{k+q} \\ k+q \\ > \end{matrix}$$

$k \rightarrow$

$$P^\mu = (\underline{p^+}, p^- = \text{small}, O_T)$$

$$q^\mu = (-xp^+, \frac{Q^2}{2xp^+}, O_T)$$

$$So \quad \frac{Q^2}{2p \cdot q} = x.$$

$$N.B. \quad V^+ = \frac{1}{\sqrt{2}}(V^0 + V^z), \quad V^- = \frac{1}{\sqrt{2}}(V^0 - V^z)$$

$$V^2 = 2V^+V^- - V_T^2.$$

$$= (\xi p^+, \text{small}, \text{small}) \quad 4)$$

$$\simeq \int dk^+ dk^- d^2 k_T \quad \text{Upper} \times \text{Lower}$$

$$\begin{aligned} &= \int d\xi p^+ \quad \text{Upper}(q, (\xi p^+, 0, 0_T)) \times \\ &\quad \times \left[ \int dk^- d^2 k_T \quad \text{Lower}(p, k) \right] \end{aligned}$$

$$\begin{aligned} &= \int d\xi p^+ \quad (\text{amplitude})^2 \times \delta((\xi - x) \frac{Q^2}{x} - \text{small}) \\ &\quad \times \text{pdf}(\xi) \end{aligned}$$

$$x \quad e_a^{\nu} \quad f_{a/p}(x)$$